

1 **Comparison of Illumina versus nanopore 16S rRNA gene sequencing of the human  
2 nasal microbiota.**

3 Astrid P. Heikema<sup>1\*</sup>, Deborah Horst-Kreft<sup>1</sup>, Stefan A. Boers<sup>2</sup>, Rick Jansen<sup>3</sup>, Saskia D.  
4 Hiltemann<sup>3</sup>, Willem de Koning<sup>3</sup>, Robert Kraaij<sup>4</sup>, Maria A. J. de Ridder<sup>5</sup>, Chantal B. van  
5 Houten<sup>6</sup>, Louis J. Bont<sup>5</sup>, Andrew P. Stubbs<sup>2</sup> and John P. Hays<sup>1</sup>.

6 <sup>1</sup>Department of Medical Microbiology and Infectious Diseases, Erasmus University  
7 Medical Center Rotterdam (Erasmus MC), The Netherlands, <sup>2</sup> Department of  
8 Microbiology, Leiden University Medical Center (LUMC), Leiden, The Netherlands,  
9 <sup>3</sup>Department of Pathology, Erasmus University Medical Center Rotterdam (Erasmus  
10 MC), The Netherlands, <sup>4</sup>Department of Internal Medicine, Erasmus University Medical  
11 Center Rotterdam (Erasmus MC), The Netherlands, <sup>5</sup>Department of Medical Informatics,  
12 Erasmus University Medical Center Rotterdam (Erasmus MC), The Netherlands,  
13 <sup>6</sup>Division of Paediatric Immunology and Infectious Diseases, University Medical Center  
14 Utrecht, Utrecht University, the Netherlands.

15

16

17

18

19 \* Corresponding author:

20 Astrid Heikema

21 Erasmus University Medical Center Rotterdam (Erasmus MC)

22 Department of Medical Microbiology and Infectious Diseases

23 Wytemaweg 80

24 3015 CN, Rotterdam, the Netherlands

25 Phone: +31-650031980

26 Fax : +31-10-4703875

27 Email: A. heikema@erasmusmc.nl

**1 Abstract**

2 Illumina and nanopore sequencing technologies are powerful tools that can be used to  
3 determine the bacterial composition of complex microbial communities. In this study,  
4 we compared nasal microbiota results at genus level using both Illumina and nanopore  
5 16S rRNA gene sequencing. We also monitored the progression of nanopore sequencing  
6 in the accurate identification of species, using pure, single species cultures, and  
7 evaluated the performance of the nanopore EPI2ME 16S data analysis pipeline.  
8 Fifty-nine nasal swabs were sequenced using Illumina MiSeq and Oxford Nanopore 16S  
9 rRNA gene sequencing technologies. In addition, five pure cultures of relevant bacterial  
10 species were sequenced with the nanopore sequencing technology. The Illumina MiSeq  
11 sequence data were processed using bioinformatics modules present in the Mothur  
12 software package. Albacore and Guppy base calling, a workflow in nanopore EPI2ME  
13 and an *in house* developed bioinformatics script were used to analyze the nanopore data.  
14 At genus level, similar bacterial diversity profiles were found, and five main and  
15 established genera were identified by both platforms. However, probably due to  
16 mismatching of the nanopore sequence primers, the nanopore sequencing platform  
17 identified *Corynebacterium* in much lower abundance compared to Illumina sequencing.  
18 Further, when using default settings in the EPI2ME workflow, almost all sequence reads  
19 that seem to belong to the bacterial genus *Dolosigranulum* and a considerable part to the  
20 genus *Haemophilus* were only identified at family level. Nanopore sequencing of single  
21 species cultures demonstrated at least 88% accurate identification of the species at genus  
22 and species level for 4/5 strains tested, including improvements in accurate sequence  
23 read identification when the basecaller Guppy and Albacore, and when flowcell  
24 versions R9.4 and R9.2 were compared.

25

26 **Keywords:** Nasal microbiota; Illumina sequencing; nanopore sequencing; 16S rRNA  
27 gene; Bacterial species; *Corynebacterium*.

## 1   Introduction

2   The use of traditional culture and established 16S rRNA gene sequencing techniques has  
3   shown that the composition of the nasal microbiota comprises microbiota profiles,  
4   dominated by four or five microbial genera. The microbiota composition varies in  
5   individuals with age [1] and shows large-scale variations in the first few years of life [2].  
6   This variation usually involves colonization with *Streptococcus pneumoniae*, *Haemophilus*  
7   *influenzae* and *Moraxella catarrhalis* (three bacterial species often associated with the  
8   development of upper respiratory tract infections, including otitis media in young  
9   children) as well as *Staphylococcus aureus*, *Dolosigranulum* spp. or *Corynebacterium* spp.  
10   Further, the composition of the nasal microbiota has been associated with several other  
11   diseases, including the progression of cystic fibrosis [3], chronic rhinosinusitis [4] and  
12   progression to pneumonia after respiratory syncytial virus upper respiratory tract  
13   infection [5]. Nasal colonization with bacterial species such as *Streptococcus pneumoniae*,  
14   *Haemophilus influenzae*, *Moraxella catarrhalis* and *Staphylococcus aureus* may in the majority  
15   of cases be mutualistic or commensal, though a disturbance in this symbiotic  
16   relationship could lead to dysbiosis and disease, especially when these bacteria may also  
17   be present in the nasopharynx [6]. However, this phenomenon may not be related to  
18   microbiota profiles alone, but to a combination of bacterial, viral and child  
19   characteristics [7].

20   Unfortunately, traditional culture techniques are unable to detect a wide range of the so-  
21   called 'non-culturable' bacteria that DNA sequencing techniques have indicated to be  
22   present within the human nasal microbiota [8]. However, to date, accurate species  
23   identification using 16S rRNA gene sequencing protocols in combination with the most  
24   popular sequencing platform (Illumina sequencing) is currently not universally possible  
25   as only short regions of bacterial 16S rRNA genes tend to be sequenced using Illumina  
26   technology [9]. This means that the majority of microbiota publications to date have been  
27   limited to reporting the diversity of the (nasal) microbiota at best at the genus level.  
28   However, the accurate speciation of bacteria can be very important for clinicians as a  
29   bacterial genus may contain several species that possess very different virulence  
30   characteristics [10]. For example, being able to differentiate between a *Staphylococcus*  
31   *aureus* and a *Staphylococcus epidermidis* infection may be significant in the treatment of  
32   sepsis or skin infections.

33   Nanopore sequencing (Oxford Nanopore Technologies – ONT, <https://nanoporetech.com/>)  
34   is a 'third generation' (i.e. single-molecule) sequencing technology that is able to  
35   generate long sequence read-lengths that can span the majority of the bacterial 16S  
36   rRNA gene. Several recent comparative studies demonstrated promising results for the  
37   nanopore technology including identification of the microbiota composition at the  
38   species level. For example, a significantly similar bacterial composition at genus level

1 and the identification of more bacterial species was reported when Oxford Nanopore  
2 and Illumina 16S rRNA gene sequencing were compared for the mouse gut microbiota  
3 [11]. In another study, the performance of nanopore versus IonTorrent PGM® sequencing  
4 on mock and dog skin microbiota samples indicated increased bacterial richness at high  
5 taxonomic levels (species identification) associated with nanopore sequencing [12]. In a  
6 separate time course analysis, nanopore 16S rRNA gene sequencing resulted in the  
7 detection of all 20 of the bacterial species present in a mock bacterial community within  
8 minutes [13]. However, one drawback of nanopore sequencing is the relatively high  
9 sequencing error rate, ranging from 5% [1] to 38.2% [14].

10 Although comparisons of nanopore sequencing with other sequencing systems have  
11 previously been published, to our knowledge no comparative data were published with  
12 a specific focus on the nasal microbiota. The nasal microbiota contains microbial species  
13 at lower microbial abundance compared to high-biomass samples such as feces, and  
14 may also be a source of potential antibiotic resistant pathogens such as methicillin  
15 resistant *Staphylococcus aureus* (MRSA) [15]. In this manuscript, we compared Illumina  
16 versus nanopore sequencing at genus level using nose swab samples that had been  
17 obtained from the European Union-funded FP7 project [16]. Initial comparative research  
18 was performed using version R9.2 nanopore sequencing devices (flowcells), the  
19 Albacore basecaller and earlier versions of the EPI2ME 16S sequence data analysis  
20 pipeline which is still evolving and being updated by ONT [17]. Therefore, subsequent to,  
21 and based on, the results of our initial comparative analysis, we performed further  
22 analysis and investigated the potential effect of newer ONT advancements (EPI2ME, the  
23 Guppy basecaller and flowcells R9.4) on the results of microbiota profiling at genus and  
24 species level using pure cultures of relevant bacterial species.

**1 Material and methods****2 Sample collection and selection.**

3 Fifty-nine nose swab samples generating at least 1,000 Illumina sequence reads and  
4  $3 \times 10^3$  16S rRNA gene copies per microliter were randomly selected for nanopore 16S  
5 rRNA gene sequencing. These samples had been previously obtained from patients with  
6 lower respiratory tract infections, sepsis and non-infected control patients participating  
7 in the EU FP7-funded TAILORED-treatment study, and Illumina sequenced. They  
8 comprised nose swab samples from 10 adults and 49 children under the age of 18. Seven  
9 negative control swabs were also sequenced, containing nasal swab Universal Transport  
10 Medium (UTM, ESwab<sup>TM</sup>, COPAN Diagnostics Inc. Brescia, Italy) only.

11

**12 DNA isolation.**

13 DNA was previously isolated from nasal swab samples using the mag mini kit (LGC  
14 Standards, Wesel, Germany) and an adjusted protocol that included an initial bead-  
15 beating step. In short, 200  $\mu$ l of nose swab fluid combined with 200  $\mu$ l phenol and 150  $\mu$ l  
16 Lysis buffer BL (LGC Standards) was added to a vial containing Lysing Matrix beads  
17 (MP Biomedicals, Eschwege, Germany), and subjected to bead-beating using a FastPrep-  
18 24 (MP Biomedicals) at 6m/s for 60 seconds. After centrifugation, 200  $\mu$ l of the water  
19 phase (top layer) was incubated for 2 minutes at room temperature with 400  $\mu$ l binding  
20 buffer BL (LGC Standards), to which 10  $\mu$ l mag particle suspension had been added. The  
21 manufacturer's protocol was then followed, with the exception that the DNA was eluted  
22 by incubating for 30 minutes at 55°C instead of 10 minutes. Prior to 16S rRNA gene  
23 sequencing, the total number of 16S rRNA gene copy numbers within each DNA extract  
24 was measured using a 16S rRNA gene quantitative PCR as previously described [18].

25

**26 Bacterial strains.**

27 The following purely cultured bacterial strains were used in this study: *Haemophilus*  
28 *influenzae* ATCC 10211, *M.oraxella catarrhalis* ATCC 25240, *Staphylococcus aureus* ATCC  
29 25923, *Staphylococcus epidermidis* ATCC 12228, *Streptococcus pneumoniae* ATCC 49619,  
30 *Corynebacterium diphtheriae* ATCC 13812, and from our own hospital strain collection:  
31 *Corynebacterium accollens*, *Corynebacterium amycolatum*, *Corynebacterium*  
32 *pseudodiphtheriticum* and *Corynebacterium striatum*. The identity of the hospital isolates  
33 used was confirmed by matrix-assisted laser desorption ionization-time of flight  
34 spectrometry (MALDI-TOF MS, Bruker Daltonics).

35

**36 Illumina sequencing.**

37 The hypervariable V5 and V6 regions (276 base pairs - bp) of the 16S rRNA gene were  
38 amplified using the 785F (5'-GGA TTA GAT ACC CBR GTA GTC-3') and 1061R (5'-TCA  
39 CGR CAC GAG CTG ACG AC-3') primers [19] and dual indexing [20]. Amplicons were  
40 generated in 30 cycles using the FastStart High Fidelity System (Roche, Woerden, the

1 Netherlands), normalized using the SequalPrep Normalization Plate kit (Thermo Fischer  
2 Scientific, Breda, the Netherlands) and pooled in batches of approximately 250 samples.  
3 Pools were purified prior to sequencing using the Agencourt AMPure XP (Beckman  
4 Coulter Life Science, Indianapolis, IN) and the amplicon size and quantity of the pools  
5 were assessed on the LabChip GX (PerkinElmer Inc., Groningen, The Netherlands). The  
6 PhiX Control v3 library (Illumina Inc., San Diego, CA) was combined (~10%) with the  
7 pooled amplicon libraries and each pool was sequenced on an Illumina MiSeq sequencer  
8 (MiSeq Reagent Kit v3, 2 x 300 bp).

9  
10 **Nanopore sequencing.**

11 16S rRNA gene sequence libraries were prepared with the 16S Rapid Amplicon  
12 Barcoding Kit (Oxford Nanopore Technologies (ONT), SQK-RAB201) according to the  
13 standard procedures described by ONT. The complete 16S rRNA gene was amplified  
14 using 10  $\mu$ l input DNA purified from nasal swabs, LongAmp Taq 2X master mix (New  
15 England Biolabs, Ipswich, MA) and the barcoded nanopore sequence primers 27F 5'-  
16 AGA GTT TGA TCM TGG CTC AG-3' and 149R 5'-CGG TTA CCT TGT TAC GAC TT-  
17 3'. The DNA amplification was performed on a T100 Thermal Cycler (Biorad, Lunteren,  
18 the Netherlands) using the program; 1 min denaturation at 95°C, 25 cycles (95°C - 20s,  
19 55°C - 30s, 68°C - 2 mins) and a final extension step of 5 mins at 65 °C. The 16S rRNA  
20 gene amplicons were quantified using Quant-IT™ PicoGreen™ (Thermo Fisher  
21 Scientific), equal amounts of amplicons per sample were pooled and the library was  
22 further processed as described by the manufacture. Next, the library was incubated with  
23 Library Loading Beads (ONT) and the mixture was added to the MinIon/GridIon flow  
24 cell (ONT, R9.2 or R.9.4). Sequencing was performed using a MinIon or GridIon  
25 nanopore sequencer (ONT) for approximately 16 hours.

26  
27 **Data analysis.**  
28 The Illumina MiSeq sequence data were analyzed using bioinformatics modules present  
29 in the Mothur software package [21] that we previously integrated into Galaxy (i.e.  
30 Galaxy mothur Toolset, Gm [22]). In short, forward and reverse FASTQ-formatted  
31 sequence files were merged using the make.contigs command. Primer sequences were  
32 trimmed and sequences that had an ambiguous base call (N) in the sequence or with  
33 lengths smaller than 200 were removed from the analysis. Unique sequences were then  
34 aligned against a customized reference alignment based on the SILVA reference  
35 alignment release 123 (available at: [https://www.Mothur.org/wiki/Silva\\_reference\\_files](https://www.Mothur.org/wiki/Silva_reference_files))  
36 [23]. The reference sequences were trimmed to only include the V5-V6 region of the 16S  
37 rRNA gene using the pcr.seqs command. Sequences that did not align to this region  
38 were culled from further analysis and the alignments were trimmed so that the  
39 sequences fully overlapped the same alignment coordinates. Next, sequences were  
40 further de-noised by pre-clustering the sequences using the pre.cluster command

1 allowing for up to two differences between sequences and potentially chimeric  
2 sequences were removed using Uchime, as implemented in Mothur. The remaining  
3 sequences were classified using the classify.seqs command with the customized SILVA  
4 alignment release 123 as reference. Finally, sequences were clustered into operational  
5 taxonomic units (OTUs) at 97% similarity using the default settings of the dist.seq and  
6 cluster commands respectively and the classify.otu algorithm was used to get a  
7 consensus taxonomy for each OTU.

8

9 Basecalling of nanopore signals was performed using the MinKNOW (MinION software,  
10 ONT, version 1.6) embedded Albacore version 1.0 data processing pipeline or the  
11 Guppy version 3.2.10 pipeline. The Barcoding workflow in the Metrichor Ltd analysis  
12 platform EPI2ME (<https://epi2me.nanoporetech.com/>) was used for the de-barcoding of  
13 the sequence reads derived from the nose swab samples sequenced with the Oxford  
14 Nanopore platform. For the identification of bacteria at genes and species level, fast5 or  
15 fastq files containing full length 16S rRNA gene amplicons were uploaded to the  
16 EPI2ME desktop agent 16S workflow (versions 2.47.53720F8, 2.48.690655 or 2020.2.10)  
17 where each file was classified real-time using the NCBI 16S rRNA gene blast database  
18 ([https://blast.ncbi.nlm.nih.gov/Blast.cgi?PAGE\\_TYPE=BlastSearch&BLAST\\_SPEC=TargLociBlast](https://blast.ncbi.nlm.nih.gov/Blast.cgi?PAGE_TYPE=BlastSearch&BLAST_SPEC=TargLociBlast)). Blastn was run using the parameters max\_target\_seqs = 3 (finds the top three  
19 hits that are statistically significant) and output fmt = 6. The number of genera  
20 represented in the top three classifications (num\_genus\_taxid) was calculated along  
21 with the genus rank (if classified at genus rank or below) per sequencing record. These  
22 were calculated using the Python library ete2 (<https://pypi.org/project/ete2/>) which  
23 utilizes the NCBI taxonomy. The top scoring classification per individual record within  
24 the file was selected as the read classification along with the accompanying  
25 num\_genus\_taxid and genus and species information. Coverage information per read  
26 was calculated as number of identical matches / query length. All read classifications  
27 were then filtered for >77% accuracy and >30% coverage which removes spurious  
28 alignments. Results were returned via a web report and can be downloaded as a  
29 comma-separated values (CSV) file.

30

31 Then, the results in the CSV file of the EPI2ME 16S workflow output were used for  
32 further analysis using an *in house* generated Python script together with the Python ete2  
33 package. This script reads the contents of the CSV file and retrieves the species and  
34 genus names from the NCBI taxonomy IDs found by the EPI2ME 16S workflow.  
35 Exclusion criteria for the single nanopore reads were an alignment count accuracy < 80%,  
36 quality score (QC) score < 7, read length < 1400 > 1700 bp, and a num\_genus\_taxid other  
37 than 1 or 2. These exclusion criteria apply for the initial analyses of the nasal swab  
38 samples in this study. For the nasal swab samples that were re-basecalled with Guppy,  
39 and the purely cultured bacterial strains that were (re-)basecalled with Guppy, the  
40 applied exclusion criteria were: alignment count accuracy 85%, QC score < 9, read length

1 < 1400 > 1700 bp and an Ica score other than 0. The higher accuracy and QC thresholds  
2 were chosen because (re-)basecalling with Guppy or using a R.9.4 flowcell resulted in a  
3 higher average QC score (from at least 7 to ~10) and accuracy (from ~85% to ~90%) in the  
4 EP2ME analysis (R9.2 flowcell, Albacore basecalling versus R9.2 or R9.4 flowcell and  
5 Guppy basecalling respectively, data not shown). On average, ~15% of the reads were  
6 excluded after re-basecalling with Guppy and filtering with the more stringent  
7 thresholds (data not shown).

8

9 **Statistics.**

10 Rarefaction analysis was performed to determine the amount of reads needed to  
11 accurately assess the bacteria richness in the samples (Figure S1). Plots were generated  
12 with QIIME 1.9.1 (multiple\_rarefactions.py, alpha\_diversity.py, collate\_alpha.py,  
13 make\_rarefaction\_plots.py) using the Shannon diversity metric. Based on the  
14 rarefaction analysis, samples generating > 500 sequence reads were included for  
15 bioinformatics analysis.

16 Taxonomy results of the data produced after Illumina and nanopore sequencing were  
17 loaded into BioNumerics software version 7.6 (Applied Math, Belgium) and a  
18 phylogenetic tree was generated based on the relative abundance proportions of the  
19 genera (normalized to 100%), the Pearson's correlation coefficient and the UPGMA  
20 algorithm. Microbiota profiles generated after Illumina or nanopore sequencing were  
21 visualized using Microsoft Excel 2010, and ordered based on the sample order in the  
22 phylogenetic tree. Alpha-diversity was assessed at the genus and species level using two  
23 metrics: the number of observed genera present with an abundance of at least 1%, and  
24 the inverse Simpson index (ISI). Bland-Altman plots were made to explore the  
25 comparability of the microbiota profiles generated by Illumina and nanopore  
26 sequencing for the six most prevalent genera. These plots show the difference in  
27 measured percentages between the two methods versus the mean of the measured  
28 percentages.

29

30 **Sequence data availability.**

31 The Illumina and nanopore sequence datasets of the nose swab samples, generated and  
32 analyzed in the current study, are available in the European Nucleotide Archive (ENA)  
33 under accession number PRJEB28612.

34 <https://www.ebi.ac.uk/ena/data/search?query=PRJEB28612>.

## 1 Results

### 2 Sample population.

3 Fifty-one nose swab samples from patients with a respiratory tract infection or sepsis  
4 and eight control patients (no infection) were included in the study (Table 1). Most  
5 patients were children under the age of five year (37/59, 63%). It should be noted that  
6 the current analysis was designed to investigate differences between Illumina and  
7 nanopore sequencing of nasal microbiota profiles and not to determine possible  
8 differences between infection versus no-infection or children versus adult patient  
9 populations.

10

### 11 General sequencing results.

12 An average of 131,024 raw reads were generated per sample using the Illumina MiSeq  
13 platform, with a mean of 91% of raw reads being classified into a mean of 4.4 genera,  
14 which were present with an abundance of  $\geq 1\%$  per sample (Table 1). Using nanopore  
15 sequencing, an average of 21,907 raw reads were obtained per sample and a mean of 78%  
16 of the raw reads were classified into a mean of 4.5 genera, which were present with an  
17 abundance of  $\geq 1\%$  per sample (Table 1). The Illumina platform resulted in a  
18 significantly higher ISI compared to nanopore; 2.7 vs 2.2,  $p < 0.0001$ , paired T. test (Table  
19 1).

20 For the data generated using nanopore sequencing, 2/59; 3.4% of the samples were  
21 below the cut-off of 500 reads. These samples were excluded from further analysis. Low  
22 read numbers ranging from 1 – 3408 reads for the Illumina platform and 0 - 56 reads for  
23 nanopore were detected in negative control samples (n=7).

24

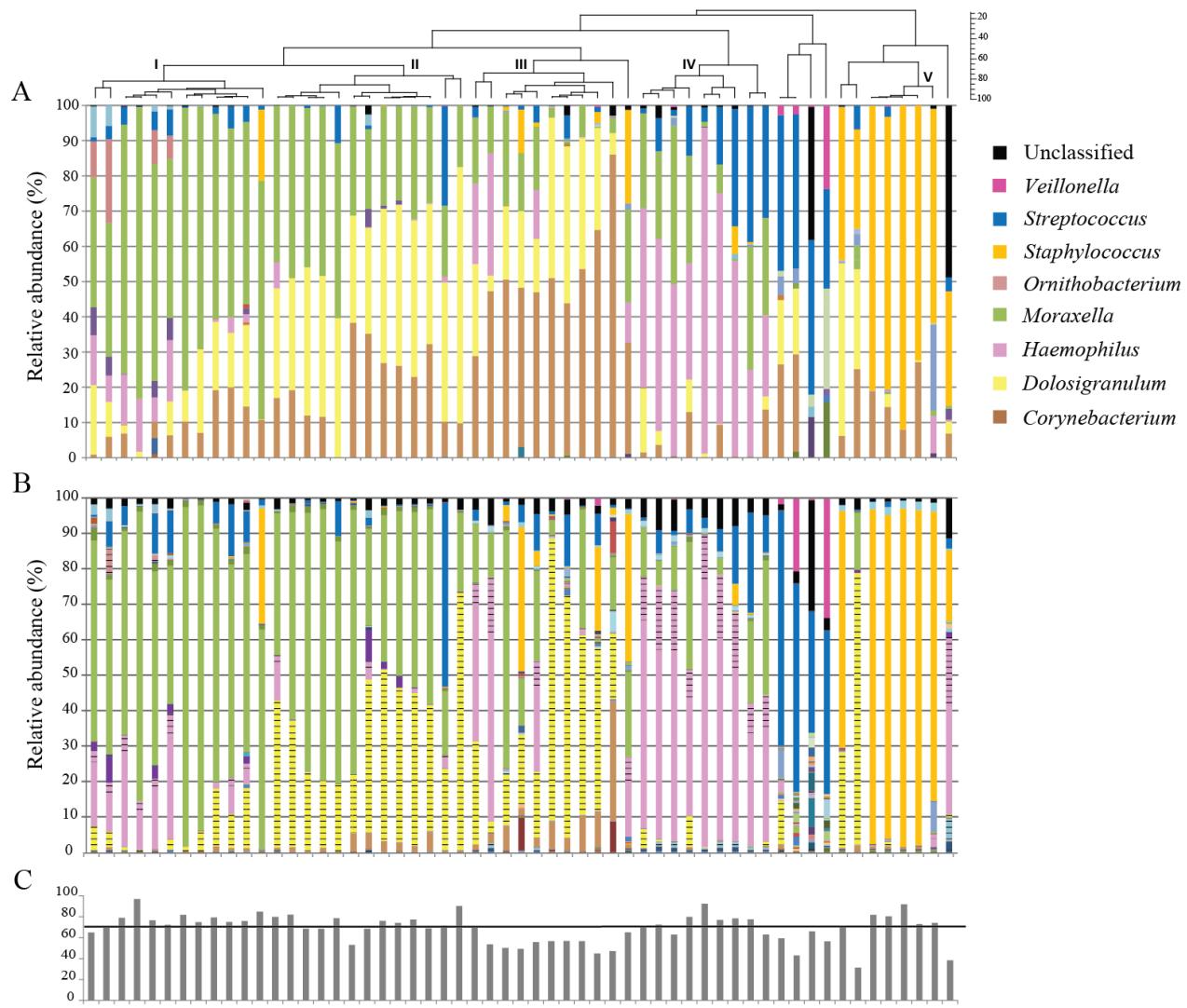
25 **Table. 1** Nose swab samples of individuals and negative controls that were sequenced  
26 using and Illumina and nanopore 16S rRNA gene sequencing technologies. <sup>(a)</sup> = a  
27 maximum of 5000 raw Illumina sequence reads were analyzed for the classification of  
28 genera. <sup>(b)</sup> = read numbers below 500 read cut-off using the nanopore sequencing  
29 platform. NA = not applicable.

Sample	Infection	Age (years)	16S copies	Illumina technology				Nanopore technology			
				Raw reads	Percentage reads classified (%) <sup>(a)</sup>	Genera identified >=1%	Genera ISI	Raw reads	Percentage reads classified (%)	Genera identified >=1%	Genera ISI
1	yes	3.50	5.E+05	133,880	92	5	4.2	34,944	77	5	3.0
2	yes	0.92	1.E+05	186,250	95	5	1.9	15,254	79	3	2.2
3	yes	2.00	3.E+05	1,661	94	5	4.1	39,474	77	4	2.9
4	yes	1.50	3.E+05	154,877	96	7	4.6	36,608	76	6	2.3
5	yes	9.00	3.E+05	114,702	97	5	3.5	5,107	59	4	2.7
6	yes	2.00	3.E+05	22,805	97	5	2.7	31,642	52	4	1.7
7	yes	5.00	2.E+05	1,940	88	8	3.8	2,246	57	6	3.1
8	yes	4.00	3.E+05	24,214	100	4	1.2	10,174	62	3	1.2
9	yes	1.67	4.E+05	104,134	93	9	2.5	21,462	68	6	2.6
10	yes	8.00	2.E+05	186,945	96	3	2.5	923	68	2	1.6
11	yes	11.00	2.E+05	120,867	95	3	3.0	27,569	78	3	1.6
12	yes	0.42	4E+05	25,743	98	3	3.0	5,127	66	3	2.2
13	yes	15.00	4E+05	261,123	95	4	2.7	12,572	66	5	2.0
14	yes	2.17	1.E+05	6,246	97	4	3.0	20,441	89	3	2.7
15	yes	3.80	3.E+06	68,095	91	3	2.3	27,077	90	4	2.5
16	yes	2.40	1.E+05	119,295	84	7	2.9	2,978	85	6	2.6
17	yes	0.80	2.E+05	74,902	96	3	1.5	4,408	91	2	1.1
18	yes	61.00	3E+03	77,851	86	6	3.4	2,141	82	8	4.1
19	yes	0.90	3.E+06	74,730	85	4	2.3	20,584	82	6	1.6
20	yes	0.80	3.E+05	113,078	93	3	2.4	10,974	91	3	1.9
21	yes	78.00	2.E+06	131,837	90	2	1.7	21,449	93	1	1.0
22	yes	1.70	3.E+06	162,890	85	4	2.4	23,530	92	5	1.8
23	yes	2.30	2.E+05	83,596	92	8	4.4	15,748	88	7	3.2
24	yes	73.00	2.E+05	83,947	84	4	2.0	3,181	88	5	3.3
25	yes	2.60	5E+05	28,221	92	3	3.0	15,453	50	3	3.1
26	yes	65.00	3.E+05	77,012	82	7	4.5	31,461	85	6	2.8
27	yes	0.80	1.E+06	58,962	85	3	2.5	23,652	90	3	1.5
28	yes	3.00	5.E+05	57,600	86	6	3.7	22,991	84	7	3.4
29	yes	57.00	2.E+06	129,131	94	2	1.5	48,167	90	1	1.1
30	yes	0.40	6E+05	180,796	88	3	2.9	3,997	65	4	2.3
31	yes	0.90	4.E+05	547,695	98	4	2.7	15,626	80	7	1.7
32	yes	23.00	8E+05	750,669	97	3	1.8	6,653	67	2	1.6
33	yes	3.40	1.E+05	924,890	98	7	3.3	25,148	74	7	2.1
34	yes	4.10	1E+06	31,896	94	5	4.0	15,979	49	4	2.7
35	yes	14.00	3.E+05	79,970	90	3	2.1	40,551	88	3	1.4
36	yes	0.10	3.E+05	113,047	88	3	1.7	50	76	NA	NA
37	yes	0.40	3.E+05	59,397	88	4	2.9	51,254	63	11	3.6
38	yes	0.30	6.E+05	7,421	99	3	1.4	41,757	89	2	1.4
39	yes	1.10	3.E+05	121,819	86	3	2.6	6,340	86	6	1.9
40	yes	0.20	2.E+06	83,457	83	4	2.4	59,923	82	6	1.9
41	yes	4.20	4.E+05	92,006	87	4	2.9	17,785	90	4	2.3
42	yes	0.10	1.E+06	36,248	90	4	2.0	45,047	92	3	1.9
43	yes	0.10	2.E+05	55,585	92	5	2.3	47,084	92	3	1.4
44	yes	0.40	3.E+05	101,465	87	5	2.7	5,288	80	6	1.6
45	yes	1.70	7.E+05	92,476	89	3	1.9	49,104	55	2	1.1
46	yes	0.50	3.E+05	72,068	88	4	2.1	50,486	80	6	1.5
47	yes	0.10	5.E+05	90,128	80	6	4.0	107,161	91	6	3.2
48	yes	67.00	2.E+05	51,826	94	5	1.3	8	75	NA	NA
49	yes	0.30	9E+05	1,148	82	8	4.3	14,673	66	3	1.5
50	yes	3.30	5E+06	39,030	83	3	2.6	12,239	66	3	2.0
51	yes	56.00	5E+06	2,191	85	7	3.3	17,248	64	7	2.4
52	no	28.00	3.E+05	193,859	96	2	1.2	6,789	91	1	1.0
53	no	62.00	2.E+05	262,184	89	3	2.3	18,680	88	2	1.8
54	no	8.10	2.E+06	308,123	83	5	2.8	13,741	89	4	2.3
55	no	7.20	3.E+05	203,242	100	6	3.4	15,490	84	6	4.3
56	no	14.90	1.E+05	235,820	92	3	1.4	18,318	88	8	5.0
57	no	5.40	9.E+05	90,422	86	3	2.8	11,207	87	4	2.2
58	no	7.10	6.E+05	103,176	87	5	2.9	19,604	73	7	2.7
59	no	6.40	1.E+05	111,844	93	4	1.6	17,971	88	3	1.1
Average	NA	12.5	761493	131,024	91	4.4	2.7	21,907	78	4.5	2.2
<b>Control</b>											
C-1	NA	NA	< 1E+02	6	0	0	NA	7	57	4	NA
C-2	NA	NA	< 1E+02	1	0	0	0	42	74	8	NA
C-3	NA	NA	< 1E+02	1	0	0	0	33	42	9	NA
C-4	NA	NA	< 1E+02	3	0	0	0	35	51	11	NA
C-5	NA	NA	< 1E+02	2	0	0	0	15	67	3	NA
C-6	NA	NA	2E+02	2,440	98	4	4	56	91	6	NA
C-7	NA	NA	3E+02	3,408	94	18	18	0	0	0	NA

1  
2  
3 **Illumina versus nanopore sequencing.**

1 Phylogenetic clustering of the taxonomy results (normalized to 100%) generated after  
2 Illumina sequencing provided five microbial clades (I-V, Fig 1A). Clade I was  
3 dominated by *Moraxella* spp., II had a mixture of *Moraxella* spp., *Dolosigranulum* sp. and  
4 *Corynebacterium* spp., III *Dolosigranulum* spp. and *Corynebacterium* spp., IV *Haemophilus*  
5 spp. and V *Staphylococcus* spp. (Fig. 1A). When using the Illumina platform,  
6 *Corynebacterium* spp., *Moraxella* spp., *Dolosigranulum* spp., and *Streptococcus* spp. were most  
7 prevalent and 1% or more of these genera could be detected in 46, 44, 43 and 32 of the 57  
8 samples analyzed, respectively.  
9 In general, a similar microbiota composition was observed when the genus taxonomy  
10 results derived from the two sequencing methods, Illumina and nanopore, were aligned  
11 and compared (Fig. 1A and B). However, initially, in the nanopore sequenced samples,  
12 *Dolosigranulum* spp. was classified in very low abundance (none of that samples  
13 had >1%) in the EPI2ME output. By default, the EPI2ME report (EPI2ME version  
14 2.47.537208 and 2.48.690655, used May-September 2017) only showed sequence reads for  
15 which the num\_genus\_taxid is 1. The num\_genus\_taxid represents the total number of  
16 different genera out of the top three BLAST classification results. When the  
17 num\_genus\_taxid is 2 or 3, two or three genera are identified in the top 3, respectively,  
18 the read is not classified at genus level but at family level (*Carnobacteriaceae* for the genus  
19 *Dolosigranulum*), in the EPI2ME report. When we looked at the EPI2ME CSV output file,  
20 we noticed that most reads (>95%) with a *Dolosigranulum* genus taxID had a  
21 num\_genus\_taxid of 2. When we added the reads with a num\_genus\_taxid of 2 to our  
22 results (for each genus, dashed lines in Fig. 1B) the presence and abundance of  
23 *Dolosigranulum* spp. and also *Haemophilus* spp. and *Ornithobacterium* spp. in the nanopore  
24 versus the Illumina dataset appeared much more similar (Fig 1A and B).

25



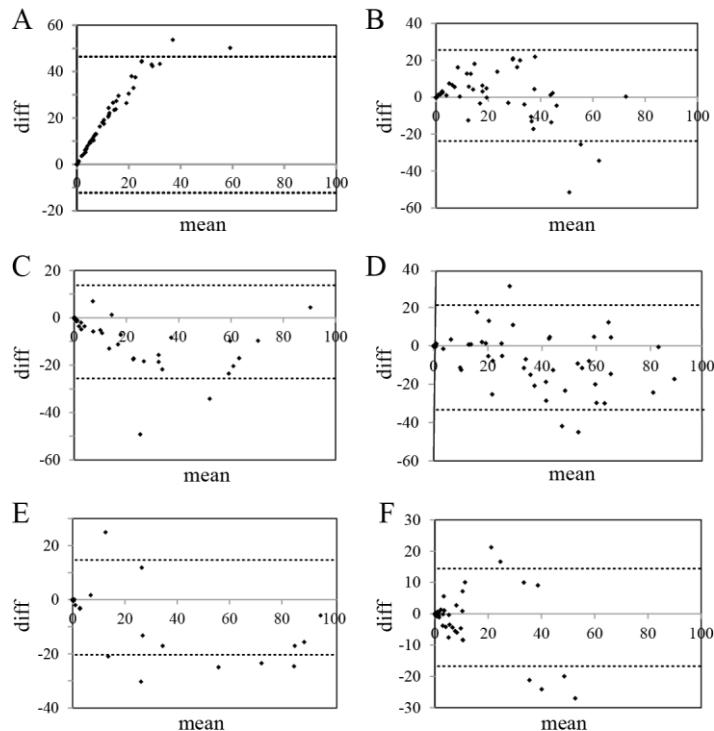
1 **Figure 1. Nasal microbiota profiles generated using nanopore and Illumina 16S rRNA**  
2 **gene sequencing.** DNA was isolated from 57 nose swab samples and 16S rRNA gene  
3 sequencing was performed using both Illumina (A) and nanopore (B) technologies. Each  
4 bar in the graph represents a nasal microbiota profile from a single individual. The  
5 dashed lines in (B) represent genera that, by default, were reported as unclassified at  
6 genus level in the EPI2ME report but were identified when, next to reads with a top  
7 three blast hit with one genera (num\_genus\_taxid is 1), reads with a top three blast hit  
8 with two genera (num\_genus\_taxid is 2) were also included. A phylogenetic tree was  
9 generated by Pearson/UPGMA clustering of bacterial genera in microbiota profiles, as  
10 determined using Illumina sequencing. To compare between the two techniques, the  
11 sample order of the samples that were sequenced with the Oxford Nanopore platform  
12 was matched to the sample order in of the samples that were sequenced with the  
13

1 Illumina platform, and the percentage of agreement was calculated for each nose swab  
 2 sample (C). The horizontal black line in (C) indicates the mean percentage of agreement.  
 3

4 For nanopore: *Moraxella* spp., *Dolosigranulum* spp. and *Haemophilus* spp. were most  
 5 prevalent and could be detected with an abundance of at least 1% in 42-, 38- and 32- out  
 6 of 57 samples respectively. Overall, *Moraxella* spp. (33%) were most abundant, followed  
 7 by *Dolosigranulum* spp. (18%) and *Haemophilus* spp. (18%). To compare the two  
 8 sequencing platforms, the sum of the percentage of matching genera (sum of agreement)  
 9 was calculated for each sample (Fig. 1C). The highest sum of agreement was 96.9%, the  
 10 lowest 31.4% and the median was 69.1%

11 To assess the agreement per sample for the six main genera, Bland-Altman plots were  
 12 generated. With mean differences of between 0.9 and -6.0, the detection of  
 13 *Dolosigranulum* spp., *Moraxella* spp., *Haemophilus* spp., *Staphylococcus* spp and  
 14 *Streptococcus* spp., showed good agreement between the two technologies used (Fig. 2).  
 15 However, *Corynebacterium* spp. were detected far more frequent using Illumina  
 16 sequencing compared to nanopore sequencing (mean difference = 17.1).

17



Genus	Mean difference	Limits of agreement
<i>Corynebacterium</i>	17.1	-12.3, 46.4
<i>Dolosigranulum</i>	0.9	-23.8, 25.5
<i>Haemophilus</i>	-5.9	-25.6, 13.8
<i>Moraxella</i>	-6.0	-33.3, 21.2
<i>Staphylococcus</i>	-2.9	-20.4, 16.6
<i>Streptococcus</i>	-1.1	-16.7, 14.4

18

**Figure 2. Bland-Altman plots of six main genera present in the nasal microbiota.**

Bland\_Altman plots were generated for the six main genera. (A) *Corynebacterium*, (B) *Dolosigranulum*, (C) *Haemophilus*, (D) *Moraxella*, (E) *Staphylococcus*, (F) *Streptococcus*. For each genus, the mean difference between the two sequence methods (Illumina versus nanopore) and the limits of agreement (95% reference interval) were calculated and shown (G).

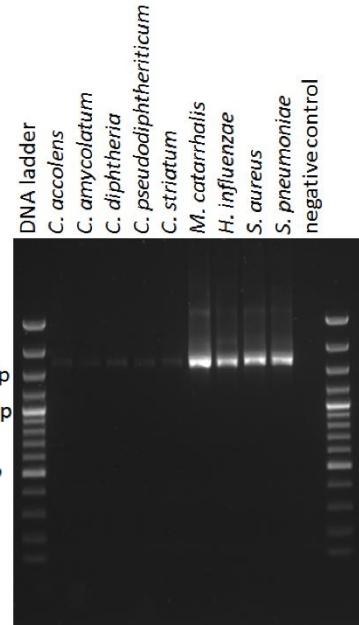
In 2/7 and 6/7 (Illumina and nanopore, respectively) of the negative control samples, bacterial genera were identified (Table 1). Mostly, these genera, which included *Escherichia-Shigella*, *Delphia* and *Pseudomonas* (data not shown), were uncommon in nasal swabs. An exception was negative control C-6 in which 63% of the classified reads, 1500 reads in total, obtained through Illumina sequencing, were identified as *Corynebacterium* spp. In comparison, no reads were generated from the negative control C-6 when using nanopore sequencing.

Compared to the nose swab samples, the number of reads in the negative control samples was maximum 2.7% of the average number of raw reads of 57 samples tested, and therefore may not have influenced the results obtained from the nasal swabs.

**Prevalence of *Corynebacterium* spp.**

A striking difference was the significantly lower prevalence and abundance of *Corynebacterium* spp. in the nanopore sequenced samples compared to the samples sequenced by Illumina technology (prevalence based on an abundance of at least 1% per sample: 22/57, 39% vs 46/57, 81%,  $p < 0.001$ , Chi squared test; total abundance in the combined nose swab samples: 2.2% vs 19.1%,  $p < 0.001$ , t-test). There was no obvious explanation for this low prevalence in the EPI2ME CSV files. When we checked whether the ONT 16S rRNA gene primers had a good match with the 16S rRNA gene of *Corynebacterium* spp., using the 16S rRNA gene NCBI database, we found that this was not always the case. *Corynebacterium* spp. that are common residents in the human nose include *C. accolens*, *C. amycolatum*, *C. aurimucosum*, *C. propinquum*, *C. pseudodiphtheriticum* and *C. tuberculostearicum* [24,25]. Of these species, both the forward and the reverse primer were not compatible with the 16S rRNA gene of *C. amycolatum*, and there was only an eight basepair stretch (bp 2-9), of the forward primer that annealed to 16S rRNA gene of *C. propinquum*. Thus, the 16S rRNA gene will not be amplified during the PCR using the ONT 16S rRNA gene primers for the *Corynebacterium* species: *C. amycolatum* and *C. propinquum*. Furthermore, the first four bp (5' end) of the reversed primer could not anneal to the 16S rRNA gene of *C. pseudodiphtheriticum* and *C. tuberculostearicum*. To assess how well the ONT 16S rRNA primers performed in amplifying the 16S rRNA gene, a PCR was done using DNA isolated from pure cultures of five *Corynebacterium* species that we had available in our hospital strain collection (*C. accolens*, *C. amycolatum*, *C. diphtheriae*, *C. pseudodiphtheriticum* and *C. striatum*) and four species commonly present

1 in the nasal microbiota (*M. catarrhalis*, *H. influenzae*, *S. aureus* and *S. pneumoniae*). In  
2 agreement with the observed underrepresentation of *Corynebacterium* species in the  
3 samples sequenced with the Oxford Nanopore technology, we found that the 16S rRNA  
4 gene of the *Corynebacterium* species was poorly amplified (Fig.3).



5  
6 **Figure 3. Agarose gel with 16S rRNA gene amplicons.**

7 Total DNA was isolated from pure bacterial cultures in a similar manner as the isolation  
8 of DNA from the nasal swab samples, the DNA concentration was determined by  
9 picogreen and a PCR was performed as described for nanopore sequencing using equal  
10 amounts of template DNA, with the exception that 30 PCR cycli instead of 25 cycli were  
11 used.

12  
13 **Re-basecalling and analysis of the nose swab samples**  
14 To determine whether upgrades in the basecaller and the 16S EPI2ME 16S pipeline  
15 improved the detection of genera with an assigned num\_genus\_taxid of 2, we re-  
16 basecalled and re-analyzed the raw reads of all nose swab samples sequenced with the  
17 Oxford Nanopore technology. For this, the most recent version of the Guppy basecaller  
18 (version 3.2.10) and the most recent version of EPI2ME (version 2020.2.10, used April  
19 2020) was used.

20 Instead of the num\_genus\_taxid, newer versions of the EPI2ME 16S pipeline assign a  
21 lowest common ancestor (lca) score of 0 or 1 to the reads in the CSV file. Reads with an  
22 lca score of 0 in the newer EPI2ME version are similar to reads with a num\_genus\_taxid  
23 of 1 in the older version, and, by default, are considered to be accurate.

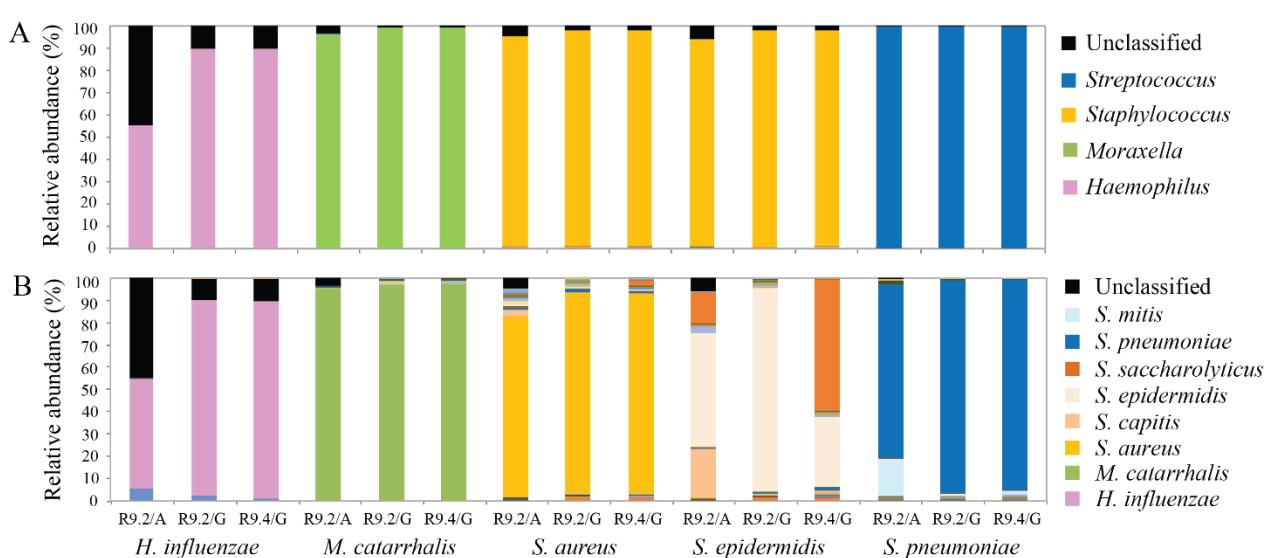
24 Re-basecalling slightly improved the identification of *Dolosigranulum* sp. (Figure S2).  
25 However, still 81% of the reads had an lca score of 1 and were only identified at family  
26 level as *Carnobacteriaceae*. No improvement was observed for the identification of

1 *Haemophilus spp.*, of which 28% was identified at family level as *Pasteurellaceae* compared  
 2 30% in the initial analysis. Based on the highest scoring BLAST identification (top rank),  
 3 sequence reads that were identified as *Carnobacteriaceae* and *Pasteurellaceae* did belong to  
 4 the genera *Dolosigranulum* and *Haemophilus*, respectively.

5  
 6 **Genus and species level taxonomy on pure cultured single species bacteria using  
 7 nanopore sequencing.**

8 To further evaluate how accurately nanopore sequencing of the nasal microbiota  
 9 performed at genus, and also species level, we sequenced five pure culture bacterial  
 10 ATCC strains that reflect species that are common to the nasal microbiota. We again  
 11 followed the development of nanopore data analysis in time, and sequenced the ATCC  
 12 strains twice using flowcell versions R9.2 and R9.4. At genus level, 93.1% - 99.5% of the  
 13 sequence reads were accurately identified for 4/5 single species using a R9.2 flowcell and  
 14 Albacore basecalling. Re-basecalling of the same sequence reads, using Guppy, showed  
 15 an improvement to 97.0% - 99.7% accurate identification (Fig. 4A). As already observed  
 16 during sequencing of the nasal microbiota, poor genus identification was found for *H.*  
 17 *influenzae* (55.1%, R9.2 flowcell, Albacore, Fig. 4A). However, upon re-basecalling using  
 18 Guppy or re-sequencing using a more recent R9.4 flowcell together with Guppy  
 19 basecalling, accurate identification of *H. influenzae* at genus level significantly improved  
 20 to 89.6% in both cases.

21 At species level, a similar trend of improvement was observed upon re-basecalling  
 22 sequence reads, generated with a R9.2 flowcell, using Guppy, or using a R9.4 flowcell  
 23 and Guppy basecalling. An exception was *S. epidemidis*, that, un-expectantly, showed  
 24 poorer identification with the R9.4- compared to the R9.2 flowcell, with 58.9% of the  
 25 sequence reads being mis-identified as *S. saccharolyticus* (Fig. 4B).



27  
 28 **Figure 4. Genus and species level identification on pure culture species.**

1 Pure cultures of bacterial ATCC strains were sequenced using an R9.2 or R9.4 nanopore  
2 flowcell and Albacore or Guppy basecalling. Taxonomic assignment was performed at  
3 genus (**A**) and species (**B**) level using the EPI2ME 16S pipeline and the following  
4 thresholds: read length  $\geq$  1400bp  $\leq$  1700bp, num\_genus\_taxid is 1 or lca is 0 and accuracy  
5  $\geq$  80%, QC  $\geq$  7 when albacore basecalling was used, or accuracy  $\geq$  85%, QC score  $\geq$  9  
6 when Guppy basecalling was used.

7 A is Albacore; G is Guppy basecalling.

8

## 9 Discussion

10 In this study, we compared and evaluated two 16S ribosomal gene sequencing strategies  
11 based on Illumina and nanopore technologies by analyzing the nasal microbiota  
12 composition of fifty-nine human nose swab samples. In general, both sequencing  
13 techniques performed comparably at genus level except for the detection of  
14 *Corynebacterium* spp, a main and established genus in the nasal microbiota that was  
15 poorly detected by the Oxford Nanopore platform. New releases, especially of the  
16 nanopore flowcell but also of a basecaller led to improved genus and species  
17 identification but not for all species tested.

18 Upon comparing Illumina versus nanopore sequencing of the nasal microbiota samples  
19 tested, a comparable average diversity of 4.4 and 4.5 bacterial genera (Illumina versus  
20 nanopore) was detected per sample. The ISI - a measure of diversity that takes the  
21 number as well as the relative abundance of species in an environment into account -  
22 indicated greater bacterial genus diversity when Illumina sequencing was compared to  
23 nanopore, on average 2.7 versus 2.2 respectively. These numbers are lower than a  
24 previously published ISI of 4.1 for the nasal microbiota [24]. This difference may have  
25 been the result of the fact that we calculated our values based on genera instead of using  
26 operational taxonomic units (OTUs) which are more diverse and normally used for  
27 Illumina sequencing. The relative young age of the individuals sampled in the current  
28 study and the fact that many were sampled during active infection may also have  
29 resulted in our relatively low ISI values [26].

30 The most dominant genera detected by the Illumina platform were: *Corynebacterium*,  
31 *Dulosigranulum*, *Haemophilus*, *Moraxella*, *Staphylococcus* and *Streptococcus*. Previous  
32 culture- and next generation sequence approaches have revealed that these are well  
33 established genera in the nasal microbiota [25].

34 Initially, most of the nanopore sequenced reads derived from bacteria with the genus  
35 *Dulosigranulum* were identified at family level only i.e. Carnobacteriaceae, which  
36 appeared to be due to fixed cut-off restrictions in the output of the Oxford Nanopore  
37 Technologies EPI2ME 16S workflow. In the EPI2ME 16S workflow, basecalled nanopore  
38 sequence reads are blasted against the NCBI 16S rRNA gene database. Although it is  
39 possible that certain species are not represented in the NCBI database, this was not the  
40 case for *Dulosigranulum* spp. as 16S rRNA gene sequences of at least two strains are

1 present (taxid 29394 and 883103). However, exactly because there were only two 16S  
2 rRNA gene sequences of *Dolosigranulum* spp. present in the NCBI database, the  
3 condition of a top three blast hit with similar genera (num\_genus\_taxid is 1, or lca is 0),  
4 which is a requirement for reads to be classified using the EPI2ME 16S workflow, cannot  
5 be met. Thus, the limited number of two *Dolosigranulum* 16S rRNA genes in the NCBI  
6 16S rRNA gene database is probably why the EPI2ME workflow failed to identify this  
7 genus. Besides *Dolosigranulum* spp., the bacterial genera *Haemophilus* spp., and  
8 *Ornithobacterium* spp. were also identified more abundantly when read with a top three  
9 blast hit with two similar genera (num\_genus\_taxid is 2) next to reads with a top three  
10 blast hits with three similar genera (num\_genus\_taxid is 1) were included in the analysis.  
11 It did not become clear to us why this was the case.

12 When taking into account the inclusion of sequence reads with a num\_genus\_taxid of 1  
13 or 2, comparison of the two sequencing platforms resulted in a median sum of  
14 agreement of 69.1%, with the main genera *Dolosigranulum*, *Moraxella*, *Haemophilus*,  
15 *Staphylococcus* and *Streptococcus* showing good agreement. *Corynebacterium*, however,  
16 was severely underrepresented in the taxonomy data generated after analysis of the  
17 nanopore sequencing results, even when reads with a num\_genus\_taxid other than 1  
18 were included. Blast analysis established that two *Corynebacterium* species, *C.*  
19 *amycolatum* and *C. propinquum*, known to be habitants of the nasal microbiota [25], could  
20 not be detected due to potential incompatibility of the nanopore 16S rRNA gene  
21 sequence primers. Incomplete annealing at the first four 5' base pairs of the nanopore  
22 reverse primers, applicable for *Corynebacterium pseudodiphtheriticum* and *Corynebacterium*  
23 *tuberculostearicum*, may additionally have result in a low prevalence of *Corynebacterium*  
24 species. However, the first four 5' base pairs of this reverse primer also did not match  
25 several other species that were detected in high abundance (including *Moraxella*  
26 *catarrhalis* and *Moraxella nonliquefaciens*), which tends to negate the hypothesis that poor  
27 annealing of the nanopore reverse primer led to an underrepresentation of  
28 *Corynebacterium pseudodiphtheriticum* and *Corynebacterium tuberculostearicum*. A PCR bias  
29 due to the relatively high genomic GC-content may be another explanation why the  
30 genus *Corynebacterium* was underrepresented in the samples sequenced using Oxford  
31 Nanopore technology [27]. With respect to nasal microbiota profiling, our results  
32 indicate that researchers should take into account the fact that different sequencing  
33 platforms and pipelines may generate different results. However, it is usually (due to  
34 cost) not feasible to perform research microbiota profiling using multiple sequencing  
35 platforms. It should also be noted that Illumina and nanopore sequencing technologies  
36 are constantly evolving and improvements in available sequencing hardware and  
37 software platforms are constantly being made.

38 In this respect, we also compared taxonomic analysis performance using pure cultured  
39 bacterial isolates and the newest ONT hardware and sequencing platform (R9.4  
40 flowcells and Guppy). At genus level, we found that at least 93% of the reads were

1 accurately identified for 4/5 ATCC strains tested with a R9.2 flowcell, and an  
2 improvement for the remaining strain when we used Guppy instead of Albacore  
3 basecalling software or a R9.4 compared to a R9.2 flowcell.  
4 Bacterial taxonomic identification at species level can be of clinical importance, as it can  
5 help guide antibiotic prescribing in cases of infection, or potentially identify  
6 (prophylactic) species that suppress nasal colonization of opportunistic pathogens. For  
7 example, previous studies have demonstrated that *S. epidermidis* may secrete a serine  
8 protease (Esp), that is able to inhibit nasal colonization by *Staphylococcus aureus* [28].  
9 Further, *Streptococcus mitis* has been negatively associated with nasal colonization by  
10 methicillin-resistant *S. aureus* (MRSA) - apparently being able to inhibit the growth of  
11 MRSA by a hydrogen peroxide-mediated mechanism [29].  
12 When we addressed species level identification of nanopore sequence reads we found  
13 that 4/5 pure culture species were accurately identified when using a R9.4 flowcell and  
14 Guppy basecalling. However, species identification of *S. epidermidis* was found to occur  
15 with almost 60% of reads being mis-classified as *S. saccharolyticus*. This mis-classification  
16 may have been the result of a high degree of sequence similarity between the *S.*  
17 *epidermidis* and *S. saccharolyticus* 16S rRNA gene. Contamination of the *S. epidermidis*  
18 culture with *S. saccharolyticus* before DNA isolation is not plausible  
19 because the bacteria were grown under aerobic conditions in which anaerobic *S.*  
20 *saccharolyticus* does not grow.  
21 In conclusion, the current study shows that microbiota profiling of the human nasal  
22 microbiota, using nanopore sequencing platform, is comparable to Illumina sequencing  
23 at the genus level and above. However, nanopore sequencing may not accurately  
24 identify bacteria within the genus *Corynebacterium*. At the species level, it appears that  
25 advances still need to be made to improve the accuracy of taxonomic classification by  
26 nanopore sequencing (as with other sequencing technologies). Since our initial  
27 comparative studies began, accurate taxonomic assignment at species level using  
28 nanopore sequencing continues to improve, with advances in reducing the relatively  
29 high error rate of nanopore sequencing generating obvious advantages. Such changes  
30 are to be welcomed. However, constantly evolving hardware and software outputs  
31 complicates downstream data analysis and makes the comparison of historically  
32 published results with more recent results potentially problematic.

33  
34 **Acknowledgements**  
35 We thank Asi Cohen, Eran Eden and Kfir Oved, MeMed, Tirat Carmel, Israel and Dan  
36 Engelhard, Hadassah Medical Centre, Ein Kerem, Israel, for their contribution to  
37 collecting nose swab samples; and David Fernandez and Eva Gonzalez, NorayBio,  
38 Bilbao, Spain for help with microbiota database management.

39  
40 **Ethics approval and consent to participate**

1 Approval for the sampling protocol (protocol version 4, date 08-08-2014 ) was obtained  
2 prior to study start from the Medical Ethical Committee of University Medical Centre  
3 Utrecht (14–104, approval date: 09–09–2014) and the Institutional Review Boards of  
4 Hillel Yaffe Medical Centre (HYMC-0108-13 and HYMC-0107-13), Bnai Zion Medical  
5 Centre (BNZ-0107-14 and BNZ-0011-14) and Hadassah University Medical Centre  
6 (HMO-0007-14 and HMO-0006-14). Written, informed consent was obtained from each  
7 patient-participant by research staff (by research nurse, research fellow or the principal  
8 investigator) prior to enrolment in the study.

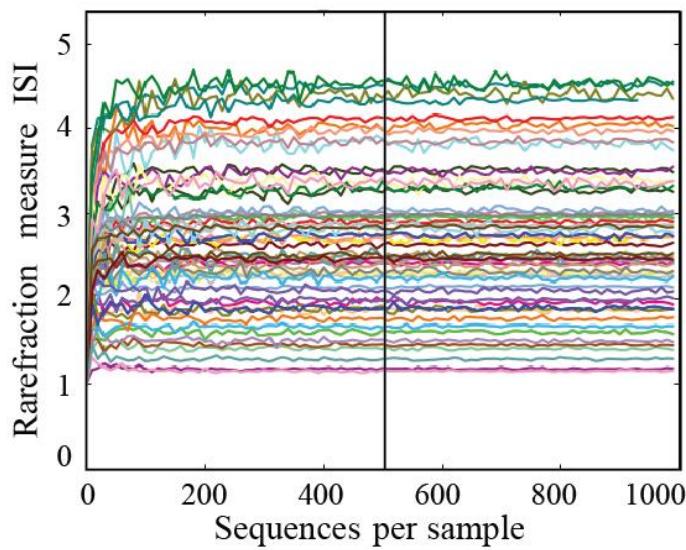
9

10 **Funding**  
11 This work received funding from the European Union's Seventh Framework  
12 Programme for Health under grant agreement number 602860 (TAILORED-Treatment;  
13 www.tailored-treatment.eu).

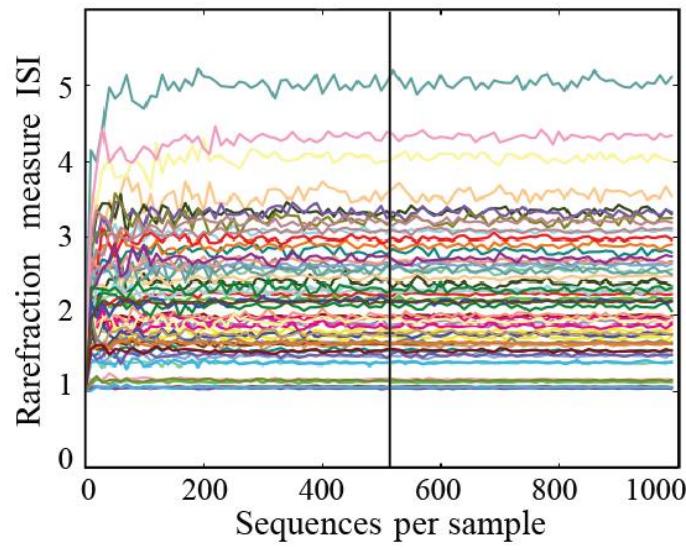
14

15 **Author's contribution**  
16 Sample collection, C.H. and L.B.; DNA isolation D.H.K. and A.H.; Illumina sequencing  
17 S.B. and R.K.; Nanopore sequencing A.H. and D.H.K.; software development, data  
18 analysis and data curation, A.H., S.B., R.J., S.H., A.S., and W.K.; statistical analysis, A.H.,  
19 R.K. and M.R.; writing-original draft preparation, A.H. and J.H.; review and editing, all  
20 authors; funding acquisition, A.S., L.B. and J.H.; supervision, A.S. and J.H. All authors  
21 have read and agreed to the published version of the manuscript.

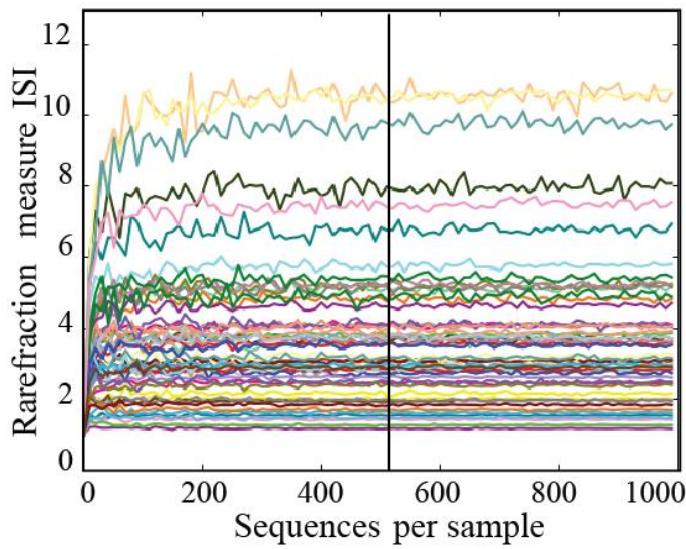
A



B



C

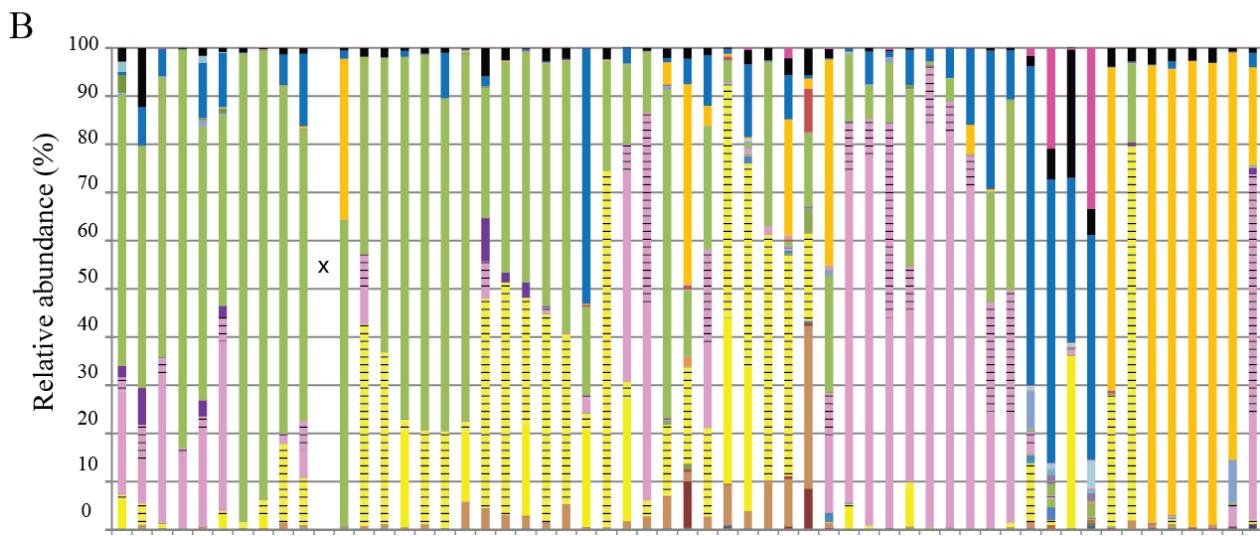
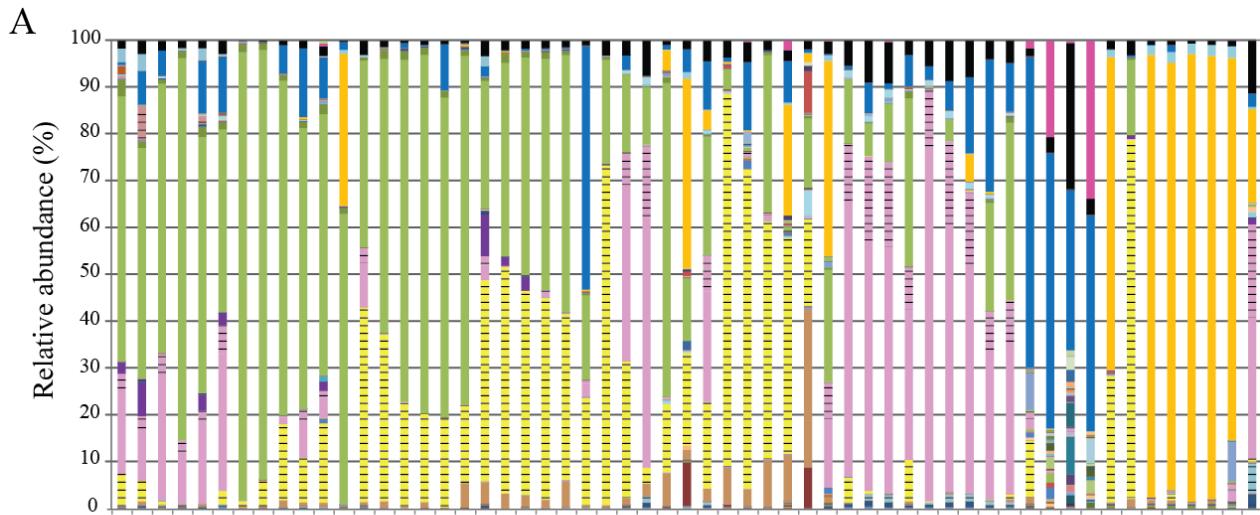


1 **Figure S1.**

2 Rarefaction curves of sequenced nasal swab samples.

3 Plots were generated with QIIME 1.9.1 (multiple\_rarefactions.py, alpha\_diversity.py,  
4 collate\_alpha.py, make\_rarefaction\_plots.py) using the 21hannon diversity metric. See  
5 <https://bioinf-galaxian.erasmusmc.nl/public/astrid/qiime/makeplots.sh> for full script. (A)  
6 determined by Illumina sequencing at genus level (B) determined by nanopore  
7 sequencing at genus and (C) species level.

8



9

10 **Figure S2.**11 **Re-basecalling of nanopore sequence reads derived from nasal swabs.**12 DNA was isolated from 57 nose swab samples and 16S rRNA gene sequencing was  
13 performed using the Oxford Nanopore sequencing platform. The sequence reads were  
14 basecalled and analysed twice, using the Albacore basecaller and the EPI2ME versions  
15 2.47.537208 or 2.48.690655 16S pipeline (A), or the Guppy basecaller and the EPI2ME  
16

1 version 2020.2.10 16S pipeline. Each bar in the graph represents a nasal microbiota  
2 profile from a single individual, with a similar sample order in (A) and (B). The dashed  
3 lines in (A) and (B) represent genera that, by default, were reported as unclassified at  
4 genus level in the EPI2ME report but were identified when, next to reads with a top  
5 three blast hit with similar genera (num\_genus\_taxid is 1) (A), or lca is 0 (B), reads with  
6 a top three blast hit with two genera (num\_genus\_taxid is 2) (A), or lca of 1 and a top  
7 BLAST identification of *Dolosigranulum spp.* or *Heamophilus spp.* (B) were included. x is  
8 insufficient read numbers remained for this sample (sample 16) after basecalling.  
9  
10

## 11 Reference List

12

- 13 1. Mansbach, J.M.; Luna, P.N.; Shaw, C.A.; Hasegawa, K.; Petrosino, J.F.; Piedra,  
14 P.A.; Sullivan, A.F.; Espinola, J.A.; Stewart, C.J.; Camargo, C.A., Jr. Increased Moraxella  
15 and *Streptococcus* species abundance after severe bronchiolitis is associated with  
16 recurrent wheezing. *The Journal of allergy and clinical immunology* 2020, 145, 518-527  
17 e518, doi:10.1016/j.jaci.2019.10.034.
- 18 2. Bomar, L.; Brugger, S.D.; Lemon, K.P. Bacterial microbiota of the nasal passages  
19 across the span of human life. *Current opinion in microbiology* 2017, 41, 8-14,  
20 doi:10.1016/j.mib.2017.10.023.
- 21 3. Mika, M.; Korten, I.; Qi, W.; Regamey, N.; Frey, U.; Casaulta, C.; Latzin, P.; Hilty,  
22 M.; group, S.s. The nasal microbiota in infants with cystic fibrosis in the first year of life:  
23 a prospective cohort study. *The Lancet. Respiratory medicine* 2016, 4, 627-635,  
24 doi:10.1016/S2213-2600(16)30081-9.
- 25 4. Hui, J.W.; Ong, J.; Herdegen, J.J.; Kim, H.; Codispoti, C.D.; Kalantari, V.; Tobin,  
26 M.C.; Schleimer, R.P.; Batra, P.S.; LoSavio, P.S., et al. Risk of obstructive sleep apnea in  
27 African American patients with chronic rhinosinusitis. *Annals of allergy, asthma &*  
28 *immunology : official publication of the American College of Allergy, Asthma, &*  
29 *Immunology* 2017, 118, 685-688 e681, doi:10.1016/j.anai.2017.03.009.
- 30 5. Shah, D.; Ajami, N.J.; Ghantotaji, S.S.; Shelburne, S.; El\_Haddad, D.; Shah, P.;  
31 Piedra, P.; Shpall, E.; Kontoyiannis, D.P.; Chemaly, R.F. Nasal Microbiota Changes are  
32 Associated with Progression to Lower Respiratory Infection Following Respiratory  
33 Syncytial Virus Upper Respiratory Infection in Hematopoietic Cell Transplant  
34 Recipients. *Open Forum Infectious Diseases* 2016, 3, 2232.
- 35 6. Man, W.H.; de Steenhuijsen Piters, W.A.; Bogaert, D. The microbiota of the  
36 respiratory tract: gatekeeper to respiratory health. *Nature reviews. Microbiology* 2017,  
37 15, 259-270, doi:10.1038/nrmicro.2017.14.
- 38 7. Man, W.H.; van Houten, M.A.; Mérelle, M.E.; Vlieger, A.M.; Chu, M.L.J.N.;  
39 Jansen, N.J.G.; Sanders, E.A.M.; Bogaert, D. Bacterial and viral respiratory tract  
40 microbiota and host characteristics in children with lower respiratory tract infections: a

1 matched case-control study. *The Lancet Respiratory Medicine* 2019, 7, 417-426,  
2 doi:10.1016/s2213-2600(18)30449-1.

3 8. Lu, Y.J.; Sasaki, T.; Kuwahara-Arai, K.; Uehara, Y.; Hiramatsu, K. Development of  
4 new application for comprehensive viability analysis based on microbiome analysis by  
5 next-generation sequencing: insights into staphylococcal carriage in human nasal  
6 cavities. *Appl Environ Microbiol* 2018, AEM.00517-18 [pii]  
7 10.1128/AEM.00517-18, doi:AEM.00517-18 [pii]  
8 10.1128/AEM.00517-18.

9 9. Sadowsky, M.J.; Staley, C.; Heiner, C.; Hall, R.; Kelly, C.R.; Brandt, L.; Khoruts, A.  
10 Analysis of gut microbiota - An ever changing landscape. *Gut microbes* 2017, 8, 268-275,  
11 doi:10.1080/19490976.2016.1277313.

12 10. Rohde, H.; Burandt, E.C.; Siemssen, N.; Frommelt, L.; Burdelski, C.; Wurster, S.;  
13 Scherpe, S.; Davies, A.P.; Harris, L.G.; Horstkotte, M.A., et al. Polysaccharide  
14 intercellular adhesin or protein factors in biofilm accumulation of *Staphylococcus*  
15 *epidermidis* and *Staphylococcus aureus* isolated from prosthetic hip and knee joint  
16 infections. *Biomaterials* 2007, 28, 1711-1720, doi:S0142-9612(06)01012-X [pii]  
17 10.1016/j.biomaterials.2006.11.046.

18 11. Shin, J.; Lee, S.; Go, M.J.; Lee, S.Y.; Kim, S.C.; Lee, C.H.; Cho, B.K. Analysis of the  
19 mouse gut microbiome using full-length 16S rRNA amplicon sequencing. *Sci Rep* 2016,  
20 6, 29681, doi:srep29681 [pii]  
21 10.1038/srep29681.

22 12. Cusco, A.; Vines, J.; D'Andreano, S.; Riva, F.; Casellas, J.; Sanchez, A.; Francino, O.  
23 Using MinION™ to characterize dog skin microbiota through full-length 16S rRNA  
24 gene sequencing approach. *bioRxiv* July 2017, 167015, doi:doi:  
25 <https://doi.org/10.1101/167015>

26 13. Mitsuhashi, S.; Kryukov, K.; Nakagawa, S.; Takeuchi, J.S.; Shiraishi, Y.; Asano, K.;  
27 Imanishi, T. A portable system for rapid bacterial composition analysis using a  
28 nanopore-based sequencer and laptop computer. *Sci Rep* 2017, 7, 5657,  
29 doi:10.1038/s41598-017-05772-5  
30 10.1038/s41598-017-05772-5 [pii].

31 14. Laver, T.; Harrison, J.; O'Neill, P.A.; Moore, K.; Farbos, A.; Paszkiewicz, K.;  
32 Studholme, D.J. Assessing the performance of the Oxford Nanopore Technologies  
33 MinION. *Biomol Detect Quantif* 2015, 3, 1-8, doi:10.1016/j.bdq.2015.02.001  
34 S2214-7535(15)00022-4 [pii].

35 15. Lee, A.S.; de Lencastre, H.; Garau, J.; Kluytmans, J.; Malhotra-Kumar, S.; Peschel,  
36 A.; Harbarth, S. Methicillin-resistant *Staphylococcus aureus*. *Nat Rev Dis Primers* 2018, 4,  
37 18033, doi:nrdp201833 [pii]  
38 10.1038/nrdp.2018.33.

39 16. van Houten, C.B.; Oved, K.; Eden, E.; Cohen, A.; Engelhard, D.; Boers, S.; Kraaij,  
40 R.; Karlsson, R.; Fernandez, D.; Gonzalez, E., et al. Observational multi-centre,

1 prospective study to characterize novel pathogen-and host-related factors in  
2 hospitalized patients with lower respiratory tract infections and/or sepsis - the  
3 "TAILORED-Treatment" study. *BMC infectious diseases* 2018, 18, 377,  
4 doi:10.1186/s12879-018-3300-9.

5 17. Heikema, A.; de Koning, W.; Li, Y.; Stubbs, A.; Hays, J.P. Lessons learnt from the  
6 introduction of nanopore sequencing? *Clin Microbiol Infect* 2020, S1198-743X(20)30312-8  
7 [pii]  
8 10.1016/j.cmi.2020.05.035, doi:S1198-743X(20)30312-8 [pii]  
9 10.1016/j.cmi.2020.05.035.

10 18. Yang, S.; Lin, S.; Kelen, G.D.; Quinn, T.C.; Dick, J.D.; Gaydos, C.A.; Rothman, R.E.  
11 Quantitative multiprobe PCR assay for simultaneous detection and identification to  
12 species level of bacterial pathogens. *J Clin Microbiol* 2002, 40, 3449-3454.

13 19. Bogaert, D.; Keijser, B.; Huse, S.; Rossen, J.; Veenhoven, R.; van Gils, E.; Bruin, J.;  
14 Montijn, R.; Bonten, M.; Sanders, E. Variability and diversity of nasopharyngeal  
15 microbiota in children: a metagenomic analysis. *PLoS One* 2011, 6, e17035,  
16 doi:10.1371/journal.pone.0017035.

17 20. Fadrosh, D.W.; Ma, B.; Gajer, P.; Sengamalay, N.; Ott, S.; Brotman, R.M.; Ravel, J.  
18 An improved dual-indexing approach for multiplexed 16S rRNA gene sequencing on  
19 the Illumina MiSeq platform. *Microbiome* 2014, 2, 6, doi:2049-2618-2-6 [pii]  
20 10.1186/2049-2618-2-6.

21 21. Schloss, P.D.; Westcott, S.L.; Ryabin, T.; Hall, J.R.; Hartmann, M.; Hollister, E.B.;  
22 Lesniewski, R.A.; Oakley, B.B.; Parks, D.H.; Robinson, C.J., et al. Introducing mothur:  
23 open-source, platform-independent, community-supported software for describing and  
24 comparing microbial communities. *Appl Environ Microbiol* 2009, 75, 7537-7541,  
25 doi:AEM.01541-09 [pii]  
26 10.1128/AEM.01541-09.

27 22. Batut, B.; Gravouil, K.; Defoix, C.; Hiltemann, S.; Brugere, J.F.; Peyretaillade, E.;  
28 Peyret, P. ASaIM: a Galaxy-based framework to analyze microbiota data. *Gigascience*  
29 2018, 7, doi:5001424 [pii]  
30 10.1093/gigascience/giy057.

31 23. Pruesse, E.; Quast, C.; Knittel, K.; Fuchs, B.M.; Ludwig, W.; Peplies, J.; Glockner,  
32 F.O. SILVA: a comprehensive online resource for quality checked and aligned ribosomal  
33 RNA sequence data compatible with ARB. *Nucleic Acids Res* 2007, 35, 7188-7196,  
34 doi:gkm864 [pii]  
35 10.1093/nar/gkm864.

36 24. De Boeck, I.; Wittouck, S.; Wuyts, S.; Oerlemans, E.F.M.; van den Broek, M.F.L.;  
37 Vandenheuvel, D.; Vanderveken, O.; Lebeer, S. Comparing the Healthy Nose and  
38 Nasopharynx Microbiota Reveals Continuity As Well As Niche-Specificity. *Front*  
39 *Microbiol* 2017, 8, 2372, doi:10.3389/fmicb.2017.02372.

1 25. Brugger, S.D.; Bomar, L.; Lemon, K.P. Commensal-Pathogen Interactions along  
2 the Human Nasal Passages. *PLoS Pathog* 2016, 12, e1005633,  
3 doi:10.1371/journal.ppat.1005633.

4 26. Biswas, K.; Hoggard, M.; Jain, R.; Taylor, M.W.; Douglas, R.G. The nasal  
5 microbiota in health and disease: variation within and between subjects. *Front Microbiol*  
6 2015, 9, 134, doi:10.3389/fmicb.2015.00134.

7 27. Laursen, M.F.; Dalgaard, M.D.; Bahl, M.I. Genomic GC-Content Affects the  
8 Accuracy of 16S rRNA Gene Sequencing Based Microbial Profiling due to PCR Bias.  
9 *Front Microbiol* 2017, 8, 1934, doi:10.3389/fmicb.2017.01934.

10 28. Iwase, T.; Uehara, Y.; Shinji, H.; Tajima, A.; Seo, H.; Takada, K.; Agata, T.;  
11 Mizunoe, Y. *Staphylococcus epidermidis* Esp inhibits *Staphylococcus aureus* biofilm  
12 formation and nasal colonization. *Nature* 2010, 465, 346-349, doi:nature09074 [pii]  
13 10.1038/nature09074.

14 29. Bessesen, M.T.; Kotter, C.V.; Wagner, B.D.; Adams, J.C.; Kingery, S.; Benoit, J.B.;  
15 Robertson, C.E.; Janoff, E.N.; Frank, D.N. MRSA colonization and the nasal microbiome  
16 in adults at high risk of colonization and infection. *J Infect* 2015, 71, 649-657, doi:S0163-  
17 4453(15)00261-3 [pii]  
18 10.1016/j.jinf.2015.08.008.

19