

Article

Efficiency of positron-emission tomography with ^{18}F -DOPA for visualization of dopaminergic system of brain

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Abstract: Positron-emission tomography is powerful but costly tool for various medical investigations. In particular, it is used in Parkinsons disease and essential tremor diagnostics. However, yet there is no standardized figures of the references, for it. We examined the PET efficiency for the analysis of development and degradation of dopaminergic neurons in Parkinsons disease. The informative indices are determined from the observed PET data. Also, high efficiency of PET for Parkinsons disease as approved.

Keywords: elastic map, clustering, classification, degeneration, diagnostics

1. Introduction

Parkinson's disease (PD) is among the most common neurodegenerative diseases of the elderly. PD is rare among youth [1]; however, the disease rate grows in a population elder than 60 [2,3]. Also, the decrease in the average age of the patients makes the problem worse. Men suffer from this pathology at a twice higher rate than women [4], although paper [5] reports an absence of the difference between genders in the disease rating.

The etiology of PD is still unknown in detail; late age, a family history of PD, exposure to adverse environmental factors are among risk factors [6,7]. The pathogenesis of PD is associated with neuron death, and these neurons are the most crucial component of the extrapyramidal system producing dopamine. At an early stage of the disease, the most significant loss of dopaminergic neurons is observed in the area of the ventrolateral substantia nigra; a progression of the disease causes the expansion of the neurodegenerative processes [8]. Also, PD is peculiar for accumulating an intracellular protein (α -synuclein). Lewy bodies, consisting of aggregated α -synuclein, are increased in number in cholinergic and monoaminergic neurons of the brain stem and neurons of the olfactory system [9,10]. The death of dopaminergic neurons at an early stage of the disease does not manifest in motor symptoms [11,12].

Positron emission tomography (PET) is an up-to-date and promising method in diagnosing PD and other diseases [13]. The registration of γ quanta emitted in the annihilation of an electron and a positron emitted by a radiopharmaceutical (RP) stands behind the method. RP consists of a biologically active substance (BAS) labelled with a positron-emitting radioisotope. One must adequately choose RP for successful PET diagnostics: it must be actively metabolized by a specific organ or a neoplasm [14,15]. The isotope used in RP must have a short half-life period, and tissues must weakly absorb its radiation. It is necessary to ensure a minimal radiation load on a human body and a high resolution of the recorded image. PET is advantageous in diagnosing PD in terms of high sensitivity to the metabolic changes in the target structures before the onset of atrophy. PET-examination in PD diagnosis unambiguously allows determining

the lack of dopamine, which is the key link in the pathogenesis of this disease. It detects the disease even at the early stages of its development [16].

2. Materials and Methods

¹⁸F-DOPA is the optimal RP for studying the dopaminergic system. The substance is levodopa labelled with fluorine-18. Levodopa is an amino acid, an immediate precursor of dopamine able to cross the blood-brain barrier using a carrier. ¹⁸F-DOPA binds to the decarboxylase of aromatic amino acids and generates positronic release [17].

The ratio of the ¹⁸F-DOPA activity in the shell to the activity in the caudate nucleus is about 1 for healthy people. PD patients have this ratio close to 0.6 [18,19]. During the latency period and in the early stage of PD development, a decrease in the uptake of ¹⁸F-DOPA is observed precisely in the dorsocaudal part of the shell on the contralateral side, i. e., in the side opposite to clinical manifestations. Similar changes are detected on the ipsilateral side, i. e. in the side of clinical symptoms occurrence, but to a lower extent, reflecting the neurodegenerative process's asymmetry [20].

Increasing the efficiency of differential diagnosis of PD and essential tremor (ET) is a hot topic of up-to-date neurology. The etiology and pathogenesis of ET are unknown in detail, and the most evident symptoms include tremors of the limbs, trunk, and vocal cords [21]. ET is also characterized by non-motor symptoms such as cognitive and affective disorders, sensory impairments, and dyssomnia [22,23]. Clinical practice follows several criteria for diagnosing ET and PD, but the incidence of erroneous diagnoses in some studies reaches 50 % [24,25]. This problem can be solved through examination of the dopaminergic system of the brain using PET with ¹⁸F-DOPA. Detection of a decrease in the activity of this RP allows to suspect a patient to have PD; on the contrary, no destruction of dopaminergic neurons is observed for ET patients. Also, ¹⁸F-DOPA can be used for the differential diagnostics of idiopathic parkinsonism and atypical parkinsonism. To do it, the zonal analysis of the striatum is used in a PET scan with ¹⁸F-DOPA. Idiopathic Parkinson's syndrome mainly manifests in a more linear decrease in DA metabolism from the anterior to the posterior part of the shell [26].

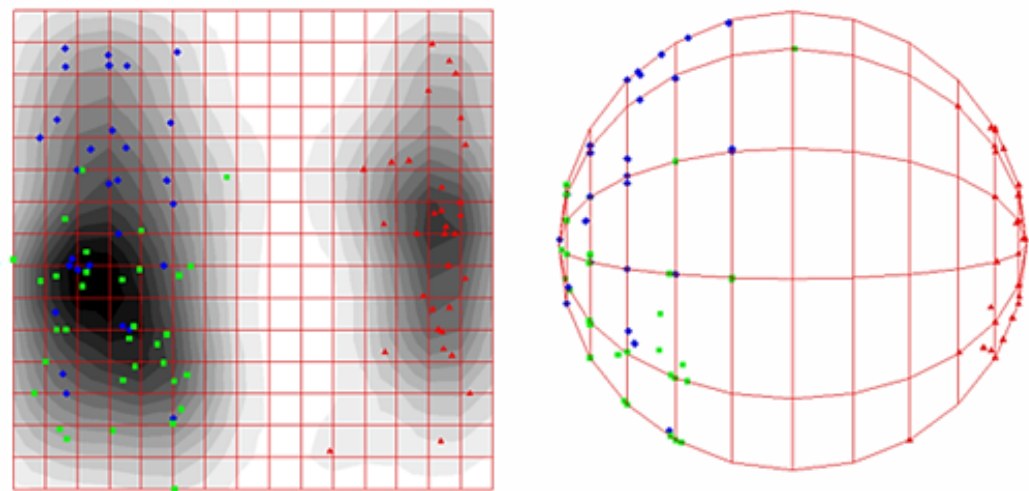


Figure 1. Elastic maps of the distribution of healthy people (green labels) vs. ET patients (blue labels) and PD patients (red labels) developed over 8 indicators of ¹⁸F-DOPA activity.

The work was done from 2017 to 2020 in the Federal Siberian Research and Clinical Center of the FMBA of Russia in Krasnoyarsk. All patients initially underwent magnetic resonance imaging (MRI) of the brain to exclude structural changes and compare the MRI and PET images. Fifty minutes after the administration of ¹⁸F-DOPA, static 3D scanning was performed for 20 minutes on a PET scanner. We analysed the maximum (*max*) and mean (*ave*) values of activity, measured in kilobecquerels per millilitre (kBq/ml), as well

71 as the normalized indicators: the ratio of the activity of the shell / visual cortex (*SOR*),
72 caudate/visual cortex (*COR*), posterior shell / front shell (*PAR*). Also, the ratio of the
73 in-shell activity to the activity in the caudate nucleus (*SCR*) was recorded. All indicators
74 were recorded from the right (**R**) and left (**L**) sides.

75 We use a Microsoft Excel database to store and process the collected data. The
76 Shapiro-Wilk test was used for the normality of the distribution verification. Student's
77 test was used to compare two groups if the distribution of values in both groups were
78 normal. If the distribution within at least one group under study differed from the
79 normal one, the Mann-Whitney test was used for comparison. The significance level
80 for all of the above criteria was set to $\alpha = 0.05$. ROC analysis was used to assess the
81 quality of the binary classification. Data were analysed using the IBM SPSS Statistics 26
82 software. The elastic maps method [27] was used to visualize multidimensional data.
83 We use freely distributed VidaExpert software¹. The diagnostic norm was determined
84 using smoothed curves constructed with the Parsen – Rosenblatt window method in the
85 Rstudio software.

86 **3. Results**

87 We have selected the most informative indicators to distinguish the groups of
88 patients. To do it, we use the Mann-Whitney and the Student's tests and ROC analysis.
89 We measured the absolute values of the average activity of ¹⁸F-DOPA in the posterior
90 shell (PPRave, PPLave) and the visual cortex on both sides (ORave, OLave). The *SOR*,
91 *COR*, *SCR*, and *PAR* indices are calculated as the ratio of the average values of the
92 activities in different zones. These indices were selected from the entire set of relative
93 indices due to their increased informativity.

94 The level of RP uptake in the rear part of the shell is the most diagnostically
95 significant indicator among all relative indices. The shell plays an essential role in
96 regulating motor activity through interaction with the caudate nucleus, globus pallidus,
97 and substantia nigra. Dysfunction of the nigrostriatal dopaminergic pathway is specific
98 for PD. In particular, there is a sharp decrease in the DA release level from the striatal
99 terminals [28]. The brain shell contains the largest number of dopaminergic neurons;
100 papers[25,29] report the start of the pathological process from this section.

101 From the moment of synthesis to the moment of the injection of RP to a patient,
102 some time passes, varying within a certain period. ¹⁸F has a relatively short half-life
103 ($T_{1/2} = 110$ minutes), so each person receives a different number of radioactive fluorine
104 atoms. It follows in the variability of the data. It may affect the diagnostic accuracy of
105 absolute and relative indicators measurement.

106 *SOR* is the most diagnostically valuable indicator. It is associated with the most
107 significant difference in RP accumulation in the shell and the visual cortex. The visual
108 cortex has no dopaminergic neurons, or they are present in insignificant amounts;
109 therefore, the indicator of RP activity in this section is very low. The diagnostic value of
110 *SCR* and *COR* is slightly lower because of the minor difference between the accumulation
111 in the shell and the caudate nucleus (*SCR*), the caudate nucleus, and the visual cortex
112 (*COR*). It makes the relative indicators of great diagnostic value since they eliminate the
113 disadvantages of the absolute figures, which may not be accurate due to the treatment
114 protocol's details.

115 The elastic maps method was used to visualize multidimensional data. Two sepa-
116 rate clusters appear in constructing elastic maps using eight selected relative indicators
117 with the highest diagnostic value (figure 1). The spherical map also approves the absence
118 of merging the clusters into one. Healthy people and ET patients form separate clusters.
119 It may result from the fact that dopaminergic neurons death is not observed in ET; this
120 cluster opposes the cluster of PD patients.

¹ <http://bioinfo-out.curie.fr/projects/vidaexpert/>

121 Eight relative indicators, SOR (PPRave / ORave), SOR (PPLave / OLave), COR
122 (NCRave / ORave), COR (NCLave / OLave), SCR (PPRave / NCRave), SCR (PPLave /
123 NCLave), PAR (PPRave / APLave), PAR (PPLave / APLave), have the highest value for
124 PD diagnosing.

Table 1. Indicators of diagnostic significance based on ROC analysis for certain thresholds; confidence interval is 95 %, q is threshold value.

Index	Se %	Sp %	Acc %	q
SOR (APRave/ORave)	81.25 (64.69 %; 91.11 %)	81.82 (65.61 %; 91.39 %)	81.54 (75.02 %; 84.54 %)	2.56
SOR (APLmax/OLmax)	78.13 (61.25 %; 88.98 %)	81.82 (65.61 %; 91.39 %)	80.00 (73.43 %; 83.22 %)	2.40
SOR (APLave/OLave)	81.25 (64.69 %; 91.11 %)	81.82 (65.61 %; 91.39 %)	81.54 (75.02 %; 84.54 %)	2.55
SOR (PPRmax/ORmax)	84.38 (68.25 %; 93.14 %)	84.85 (69.08 %; 93.35 %)	84.62 (78.22 %; 87.14 %)	2.16
SOR (PPRave/ORave)	84.38 (68.25 %; 93.14 %)	84.85 (69.08 %; 93.35 %)	84.62 (78.22 %; 87.14 %)	2.23
SOR (PPLmax/OLmax)	84.38 (68.25 %; 93.14 %)	84.85 (69.08 %; 93.35 %)	84.62 (78.22 %; 87.14 %)	2.15
SOR (PPLave/OLave)	84.38 (68.25 %; 93.14 %)	84.85 (69.08 %; 93.35 %)	84.62 (78.22 %; 87.14 %)	2.24
SCR (APRmax/NCRmax)	81.25 (64.69 %; 91.11 %)	78.79 (62.25 %; 89.32 %)	80.00 (73.43 %; 83.22 %)	1.021
SCR (APLmax/NCLmax)	78.13 (61.25 %; 88.98 %)	81.82 (65.61 %; 91.39 %)	80.00 (73.43 %; 83.22 %)	1.076
SCR (APLave/NCLave)	81.25 (64.69 %; 91.11 %)	81.82 (65.61 %; 91.39 %)	81.54 (75.02 %; 84.54 %)	1.074
SCR (PPRmax/NCRmax)	84.38 (68.25 %; 93.14 %)	81.82 (65.61 %; 91.39 %)	83.08 (76.61 %; 85.85 %)	0.909
SCR (PPRave/NCRave)	84.38 (68.25 %; 93.14 %)	84.85 (69.08 %; 93.35 %)	84.62 (78.22 %; 87.14 %)	1.024
SCR (PPLmax/NCLmax)	84.38 (68.25 %; 93.14 %)	84.85 (69.08 %; 93.35 %)	84.62 (78.22 %; 87.14 %)	0.973
SCR (PPLave/NCLave)	84.38 (68.25 %; 93.14 %)	84.85 (69.08 %; 93.35 %)	84.62 (78.22 %; 87.14 %)	0.920
PAR (PPLmax/APLmax)	84.38 (68.25 %; 93.14 %)	81.82 (65.61 %; 91.39 %)	83.08 (76.61 %; 85.85 %)	0.915

125 The high cost of investigation and the labor-consuming investigation procedure
126 resulted in a considerably small number of patients and healthy people involved in the
127 study. It follows in smoothing the raw data due to the Parzen–Rozenblatt technique. It
128 is the method of the non-parametric reconstruction of the distribution density with a
129 finite sample [30,31].

130 We have used two methods to define the diagnostic norm: the former is mainly
131 visual. It uses a plot of the distribution density of RP activity in healthy people vs. PD
132 patients (see Fig. 2). The latter is based on ROC analysis. We used the Parsen-Rosenblatt
133 smoothing for the first method implementation. The abscissa x shows the activity of ^{18}F -
134 DOPA \times measured in kBq/ml; the distribution density $f(x)$ is plotted on the ordinate.
135 The intersection point of the curves where the probability of a patient to be healthy is
136 equal to the probability that the patient is sick is stipulated the left border of the norm.
137 The indicators exhibiting the curves of activity of ^{18}F -DOPA for the group of healthy
138 people and the group of PD patients with PD with large intersection areas were excluded
139 from the analysis (see Fig. 3). Thus, we have determined six relative figures with the low
140 intersecting charts of the density distribution, with apparent identification of the norm
141 of RP activity, see Table 2

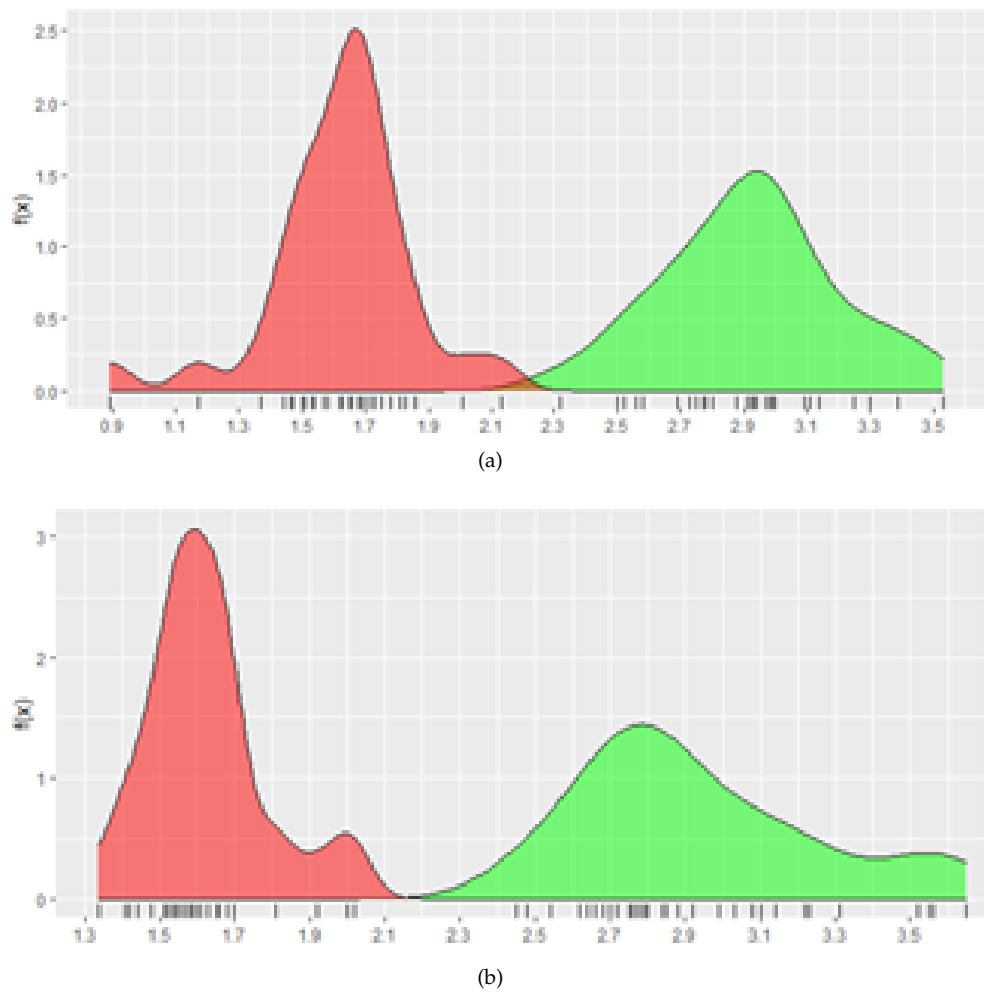


Figure 2. The curves for SOR (PPRave/ORave) (A) and SOR (PPLave/OLave) (B) (healthy people are shown in green, and PD patients are shown in red).

Table 2. The proposed norm values for the indices with good differentiation of healthy people vs. PD patients.

Index	The proposed norm, kBq/ml
SOR (PPRave/ORave)	≥ 2.20
SOR (PPLave/OLave)	≥ 2.15
SCR (PPRave/NCRave)	≥ 1.01
SCR (PPLave/NCLave)	≥ 0.95
PAR (PPRave/APRave)	≥ 0.90
PAR (PPLave/APLave)	≥ 0.92

Another way to determine diagnostic norms is the ROC analysis. This method is implied if two outputs are expected: the former comprises the positive outputs (a patient is sick), and the latter comprises the negative outputs (a patient is healthy). ROC-curve shows the dependence of the number truly classified positive outputs (true positive set) on the number of the false-negative outputs (false positive outputs set) [32,33]. A variation of the threshold yields a separation into two classes, thus providing a researcher with selectivity (Se), specificity (Sp), and accuracy (Acc), see Table 1. The maximal values of sensitivity (Se) and specificity (Sp) of the binary classification are stipulated to be a threshold, thus referred to as the norm. Table 1 shows the norms of indicators distinguishing healthy people from the patients with Parkinson’s disease with an accuracy (Acc) above 80 %.

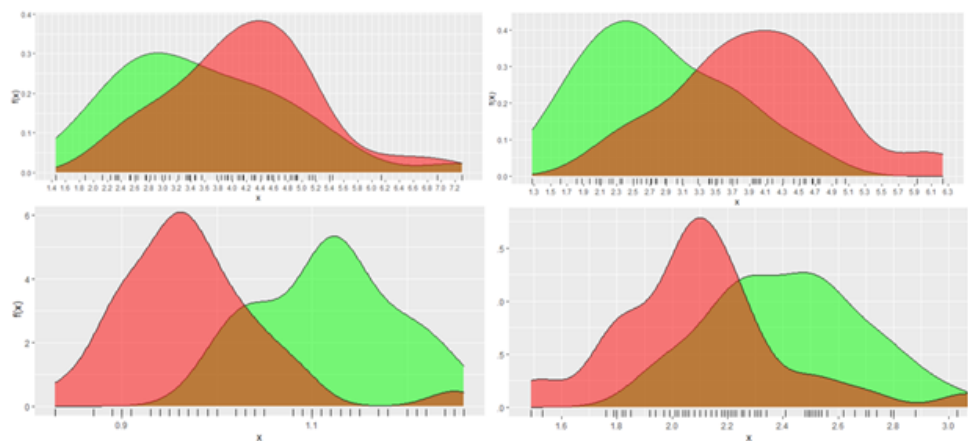


Figure 3. Examples of the curves representing the excluded indices (healthy people are shown in green, and PD patients are shown in red).

Some subjectivity may in the visual determination of the threshold value cause a bias in the determination of the diagnostic norm when the first method is used. The technique implies a smoothing of the curves that also may reduce the accuracy. ROC analysis is free from the above disadvantages since threshold values are determined based on the numerical values of indicators of diagnostic significance (Se, Sp, Acc). The graphical method to determine the threshold values yields the visual assessment of the power of some indicators to differentiate the groups, while the ROC analysis supports this evaluation numerically.

RP activity indicators observed in different brain areas successfully differentiate PD patients from healthy people and ET patients. Statistically significant differences between healthy and PD patients were found in RP activity of the posterior shell, visual cortex, for all relative parameters. ET patients and PD patients groups exhibit significant differences in the anterior and posterior shells of the visual cortex for all relative indicators. The ROC analysis makes it diagnostically valuable to study the activity of ¹⁸F-DOPA in the posterior shell and visual cortex; relative indicators are of the most significant diagnostic value.

Elastic maps identify two separate clusters. Healthy people and patients with ET form the first cluster, and the second cluster consists of patients with PD. Thus, out of 40 analysed indicators, 8 most diagnostically significant were selected, these include: SOR (PPRave / ORave), SOR (PPLave / OLave), COR (NCRave / ORave), COR (NCLave / OLave), SCR (PPRave / NCRave), SCR (PPLave / NCLave), PAR (PPRave / APLave), PAR (PPLave / APLave). The graphs of the distribution density of the RP activity in healthy people and patients with PD were plotted. For the indicators, the graphs with the minimum intersection zones, diagnostic norms were determined.

4. Conclusions

Here we present the reference values of the indices for brain PET investigation, at least for Russia; the reference may differ for various countries. The choice and verification of these indices values are approved with two independent methods: the former is the method of Parzen–Rosenblat smoothing curve implementation, and the latter is ROC analysis. These methods have been used for their advantages: they provide efficient visualisation and support an objective evaluation of the obtained reference values of indices. The methods could be highly applied due to the efficiency in the differential diagnosis of PD vs. ET. That latter disease is not related to dopaminergic shortage. Standard diagnostics techniques fail to differ these nosologies making the proposed methods valuable for the up-to-date routine practice in clinics. Additionally, implementing the indices in relative scale makes the indices universal and indepen-

189 dent on the peculiarities of the medical investigation protocol and hardware used for
190 investigation.

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192 statistical analysis and data retrieval, K.T., A.Kh., T.A. N.M. and M.T.; software, computations and
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