

An Explanation and Understanding of Aerodynamic Lift by Triple-Deck Theory *

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Abstract

An explanation of aerodynamic lift still is under controversial discussion as can be seen, for example, in a recent published article in Scientific American [1]. In contrast to the use of integral conservation laws we here review an approach via the classical Kutta-Condition and its relation to boundary layer theory. Thereby we summarize known results for viscous correction to the lift coefficient for thin aerodynamic profiles and try to remember the work on Triple-Deck Theory (TDT) or higher order Boundary Layer theory. Connection to interactive boundary layer theory, viscous/inviscid coupling as implemented to well-known engineering code Xfoil is discussed. Finally we compare findings from tDT with 2D numerical solutions of full Navier-Stokes equations (CFD)models. As a conclusion, a clearer definition of terms like understanding and explanation applied to the phenomenon of aerodynamic lift will be given.

Keywords: Aerodynamic Lift, Kutta-Joukovsky-Condition, Interactive Boundary Layer Theory, Triple-Deck-Theory

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1 Introduction: Definition of an explanation

Since the emergence of *Quantum Physics*, an understanding of certain phenomena like quantum mechanical superposition is highly non-trivial. Fortunately, Fluid Mechanics is what is termed **Classical Mechanics** and may be related to every-day experiences and is thus much easier to explain than quantum mechanical phenomena.

However, sometimes it seems that a discussion around aerodynamic lift is closer to quantum mechanics than to classical mechanics which may be related to the fact, that the mathematical description is *classical* but in terms of a non-linear field-theory.

Here we take the following point of view:

1. We have a theory (or model) for some phenomena if we have a set of assumptions resulting in equations for quantitative descriptions to be compared with measurements.
2. Pure numerical solutions from the most basic equations are not sufficient as they only produce very specific results.

To remind to the basic concepts of Mechanics we may start by shortly referring to Newton's 2nd law for a point mass:

$$F = \dot{p} . \quad (1)$$

A force (in N) relates to the temporal change of momentum $p = m \cdot v$. A *cause and effect* relationship may be established in **both** directions, meaning that a force is a cause for a change in momentum, or a change in momentum may be the cause for an inertial force.

Fluid mechanics as a continuum theory is formulated in terms of a velocity *field* \mathbf{v} and expresses momentum change (mChange) and mass conservation (mCons):

$$\text{mChange: } \rho \left(\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} \right) = \mathbf{f} - \nabla p + \mu \Delta \mathbf{v} , \quad (2)$$

$$\text{mCons: } \nabla \cdot \mathbf{v} = 0 . \quad (3)$$

Here, and in the following we assume *incompressible* and *sub-sonic* flow. Instead of the quantity *force* it introduces a *static pressure* p and a *volume force density* f (N/m^3). To calculate a force on an extended body (airfoils) one has to integrate pressure (and viscous shear stress) on its surface. However, the relation of the local pressure to the velocity is non-local, as can be seen from the following derivation: By use of Eq. (3) pressure can be eliminated but then the dependency of pressure on velocity becomes *non-local*:

$$\text{From } \Delta p = -\rho \frac{\partial^2 u_i u_j}{\partial x_i \partial x_j} := S(\mathbf{r}) , \quad (4)$$

143 this equation may then be solved by the introduction of *Green's function*:

$$p(\mathbf{r}) = p^{harmonic}(\mathbf{r}) + p(\mathbf{r}) + \frac{\rho}{4\pi} \int_{\mathbb{R}^3} \frac{S(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' , \quad (5)$$

144 where $p^{harmonic}(\mathbf{r})$ is a solution of the homogeneous pressure equation:

$$\Delta p^{harmonic}(\mathbf{r}) = 0 . \quad (6)$$

145 We will come back to this in connection with formulating boundary conditions
146 for the pressure at the TE, see Eq (53). Contrarily to p , the body-force density
147 usually is regarded as given from *outside* and in many cases does not have to be
148 included. (With an exception of the so-called Actuator Disk see [6].)

149 In the rest of the paper we proceed as follows: We review basic models of
150 lift in a more logical manner than they appeared historically, compare them
151 with numerical simulations and conclude with a physical model which in our
152 understanding provides a (long known) explanation of aerodynamic lift. An
153 appendix finally provides more technical details of the Triple-Deck-Theory.

154 We have to remark that our review mainly follows an approach in the spirit
155 of Landau [7] which from the beginning emphasizes the role of a wake emerging
156 down stream of an aerodynamic profile.

157 Therefore it is rather different from that of McLean [5, 8, 9] who emphasizes
158 the non-local pressure field *as a direct result of the lift force* [9] and its *reciprocal*
159 interaction with the velocity field as a key ingredient of a qualitative explanation
160 of lift and not as pessimistic as expressed in an already mentioned article by
161 Regis [1].

162 2 Thin Airfoil Theory

163 2.1 A First Encounter with History of Explanations of 164 Aerodynamic Lift

165 Specific shaped 2D sections exhibit a large force perpendicular to the inflow
166 direction. This force is called lift. It may be defined as the projection of static
167 pressure (inviscid case, direction given by local surface normal) and shear stress
168 (viscous flow, direction tangential to surface).

169 Nowadays, not only airplanes use it but also most of the highly efficient
170 machines, e.g. helicopters, ship propellers and wind turbines. Nevertheless,
171 even today, there is a discussion [5] for an explanation (in the sense of a cause
172 and effect relation mentioned above) as it was in the beginning of the 20th
173 century. Bloor [10] gives an excellent and very readable review of some of the
174 early historical developments from about 1900 to 1930. In short two *schools* used
175 either Newton's corpuscular picture or the newly emerged circulation model.

176 2.2 Inviscid Thin Airfoil theory

177 A first important step in developing a theory of aerodynamic lift, of what one
178 may term even an understanding was the formulation of the so-called Kutta-

179 Joukovsky theorem [11] which states

$$L = -\rho \cdot w \cdot \Gamma . \quad (7)$$

180 Here L is the lift force (per unit span), ρ the density of the fluid, w the inflow
181 velocity (far up-stream) and Γ the circulation, defined as

$$\Gamma := \oint_C v \cdot dr . \quad (8)$$

182 C is an arbitrary closed loop around the airfoil.

183 Therefore, a dynamical quantity (lift) is connected to fully kinematic quan-
184 tities (w, Γ), only. Sign conventions are as follows:

- 185 1. velocity: left to right,
- 186 2. lift: from bottom to top,
- 187 3. circulation: counter-clockwise.

188 This explains the negative sign in Eq. (7).

189 A unique circulation, needed for a unique defined lift, needs a formulation
190 of additional assumptions and this resulted in the so-called Kutta-condition.
191 It may be stated in various forms. If the airfoil tail ($x/c = 1$) is regarded to
192 have a non-smooth change in geometrical slope from the upper to the lower side
193 (known as the trailing edge), it is usually expressed - as a more mathematical
194 statement - demanding that all velocities at the trailing edge should be finite,
195 i.e. $< \infty$. Note that inviscid potential theory does not forbid infinite velocities.

196 For an ellipse there is no sharp TE, therefore a severe logical loop-hole exists
197 at least for these kind of trailing edges. However, Howarth as early as 1935 [12]
198 managed to calculate lift and drag manually for this particular shape, an ellipse,
199 by what is now called interactive boundary layer theory and its expression is
200 found in the well-known code XFOIL [13]. Sears [14] took these ideas further and
201 formulated corresponding conditions for static pressure at the upper and lower
202 edges of the boundary layers in the sense of generalizing the Kutta-Joukovsky
203 condition to viscous (boundary layer) flow.

204 The complete transient procedure on how circulation is generated from rest
205 is still under investigation, see for example [15, 16] for a recent work.

206 Thin airfoil theory (TAT, called that way because any influence of the finite
207 thickness of an airfoil is neglected) [17] gives a remarkable simple expression for
208 the lift-coefficient

$$c_l = 2\pi \cdot (\alpha + \alpha_0) . \quad (9)$$

209 A lift-curve slope of 2π - independent of all geometrical details - therefore is
210 predicted and the angle-of-attack (AOA) appears to be the most important
211 quantity. Nevertheless, one particular geometrical quantity, camber (f), enters
212 Eq 9 via $\alpha_0 = 2f$ shifting zero-lift AOA to negative angles. If flow direction is
213 counted positive as when coming from the left, a positive AOA is given when
214 the airfoil is rotated in clock-wise direction.

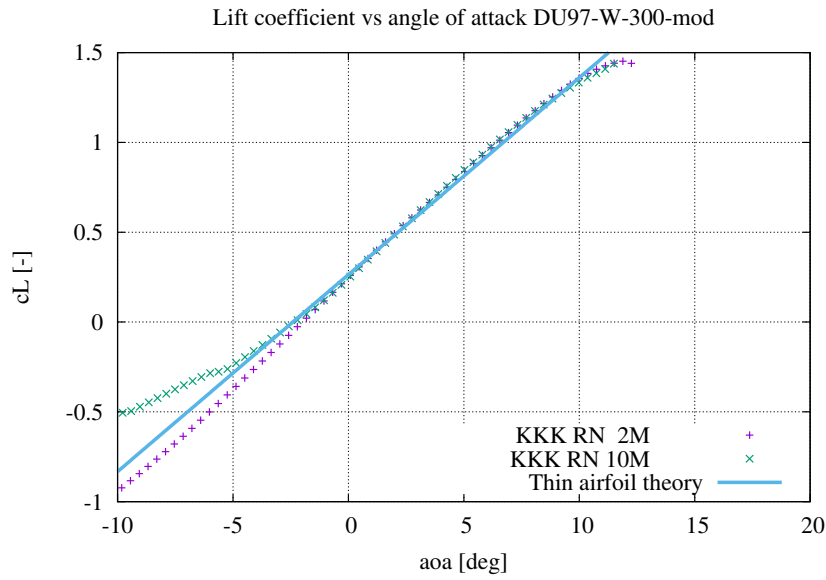


Figure 1: Comparison of measured lift coefficient vs angle-of-attack with thin airfoil theory showing a large region of linear variation until close to c_L^{max} . Results for RN 2 and 10 million are shown. For RN of 10 M separation on the lower (pressure) side occurs much earlier than for RN of 2 M.

Fig 1 compares measurements [18] of a 30% thick airfoil - with 2.1 % camber - dedicated for wind turbine blades with prediction of thin-airfoil-theory. A remarkably large (more than 20 degrees) range of agreement (within the experimental uncertainty) even for this certainly not-thin airfoil exists.

2.3 Viscous Thin Airfoil Theory I: $RN \ll 1$

TAT is based on inviscid models of fluid flows (only density as a material enters) and as a consequence, e.g. circulation is a conserved quantity, i.e. it can neither be created nor destroyed. Therefore, more sophisticated models (and equations) must be included if the emergence of lift is to be explained. It is well known that the Navier-Stokes Equations provide this basis, adding a second material parameter, viscosity. In a series of journal and technical papers Yates [19] (and independently Bryant and Williams [20] and Shen and Crimi [21]) with the help of a Oseen-type approximation (in fact a linerization) were able to use these Navier-Stokes equations to

1. derive and thereby explain the Kutta condition and
2. to give asymptotic corrections to the lift-curve slope in terms of inverse Reynolds number.

This is somewhat surprising as Oseen-Flow, see [11], chapter (4.10), is generally assumed to be valid in low-Re ($RN < 1$, creeping) flow only, whereas in high-Re flow ($RN > 10^5$) boundary layer theory [22] should be more appropriate. As a consequence numerical agreement for changes in the lift-slope (with reference to $2 \cdot \pi$) were not convincing. Liu et al. [23] investigates the influence of viscosity to the generation of lift at small RN ($=200$). Her findings indicate that a non-linear $c_L(\alpha)$ curve should be more appropriate in contrast to a linear one from Yates' model but with modified slope only [24].

2.4 Aerodynamic Profiles with Finite Thickness

In this context, to separate between *thickness* and *viscous* effects a lot of authors including Abbot and von Doenhoeff [25] tried to improve (inviscid) TAT by investigating the influence of thickness on the lift-curve-slope which typically results in equations like: [25],

$$c_L = 2\pi(1 + \tau)\alpha, \quad (10)$$

$$\tau = \frac{\epsilon}{a} = \frac{4\sqrt{3}}{9} \cdot \frac{t}{c}. \quad (11)$$

Yates [26, 24] combined Reynolds number and thickness corrections to

$$c_L = 2\pi(1 + \tau) \cdot \left(1 - \frac{4}{\log(64RN) + \gamma_E}\right) \cdot \alpha \quad (12)$$

$\gamma_E = 0.57722$ being Euler's constant which shows a decrease of more than 10 % at $t/c = 0.3$ and RN around 10^5 from RN effects which - at least - is partly

compensated by the first (thickness) term. McLean [5], chapter 7.4, pp 313/314 gives further details.

Not included in all these discussions is the influence of the flow-state of the boundary layer, whether it is laminar or turbulent. In our discussions we assume that lift (in the linear part) is not influenced as strongly as drag. It is well known that drag can be much higher when most parts of the boundary layer are turbulent.

Another important phenomenon, flow separation, the starting point defined by

$$\tau_W = \mu \cdot \frac{dv_t}{dn} \leq 0 . \quad (13)$$

Separation usually limits c_L (as measured) to values from 1.0 to about 2.0. We will come back to that in section 4.5 as there is a close inter-dependency between separation and some TAT scales .

We implicitly assume that the effect of separation can be approximately described by shifting the trailing edge to the points of separation [12, 14]. This restricts our discussion to small AOA only ($-1^\circ < \alpha < 5^\circ$).

3 Viscous correction to Lift Coefficient by Schmitz

Schmitz [27, 28, 29] calculated finite domain viscous correction and found small deviations (10^{-2} of inviscid circulation) only for an airfoil flow at $Re = 500$ k [20]. As a result a typical reduction in c_L of

$$\Delta c_L \approx -2 \left(\frac{U_e}{U_\infty} \right)^2 \left(\frac{\delta_{TE}}{c} \right)^2 , \quad (14)$$

is predicted. Here, U_e resp. U_∞ is the velocity at the edge of the boundary layer at the trailing edge (TE) resp. the inflow velocity; δ_{TE} is the boundary layer thickness and c the chord of the profile. A simple estimation for $RN \sim 10^6$ shows that the resulting reduction depends on the type of the flow and is comparable small for a pure laminar boundary layer. It is interesting to note that Triple-Deck Theory (see section 4.5) is able to derive a similar (but with more explicit RN -dependency) expression which reads as [30]

$$\frac{3}{8\pi c} (\delta_1 + \theta) RN^{-1/2} \cdot \log(RN) . \quad (15)$$

Here δ_1 and θ is the displacement thickness and momentum thickness at the trailing edge, resp.

4 Viscous Thin Airfoil Theory II: $RN \gg 1$

4.1 Boundary Layer Theory

Boundary layer theory (BLT) was initiated by the seminal paper of Prandtl [31]. As one of the first applications a semi-infinite flat plate located at $x > 0$ and $y =$

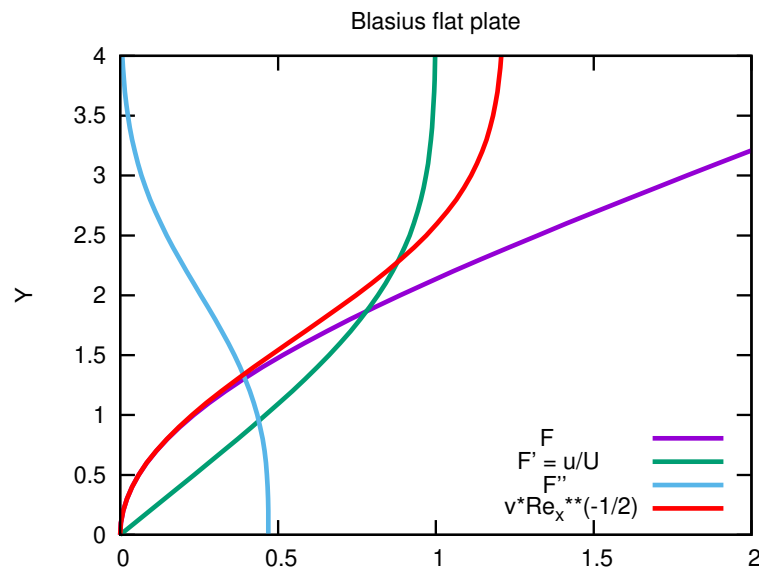


Figure 2: Stream function (F) and velocity profile (F') from numerical Integration of Eq. (16). $F''(y=0)$ correspond to wall shear stress. In addition, it can be seen that the normal velocity v approaches a finite value $\sim \sqrt{RN_x}$ when the boundary layer edge is reached.

0 was investigated by Blasius [32]. Using a similarity transformation he reduced the Navier-Stokes Equations to a still non-linear but much simpler ordinary differential equation for an auxiliary function F with the stream function being $\sqrt{2x}F$:

$$F''' + F \cdot F'' = 0, \quad (16)$$

together with boundary conditions $F(0) = F'(0) = 0$ and $F(s) \rightarrow s$ as $s \rightarrow \infty$. Here $s = Y/\sqrt{2x}$, with x the non-dimensionalized coordinate in flow direction, Y the inner coordinate normal to the plate and scaled with $\delta_0(RN)$ the length scale of the boundary layer.

Blasius was able to represent the solution as a power series (see [33, 34, 35] for mathematical details)

$$F(s) = \frac{1}{2}\lambda s^2 - \frac{1}{240}\lambda^2 s^5 + \frac{11}{161280}\lambda^3 s^8 + \dots \quad (17)$$

$$\text{with: } \lambda = F''(y=0). \quad (18)$$

With $Re_x = u_\infty \cdot x/\nu$ it follows

$$\delta_{99} = 5.0 \cdot Re_x^{-1/2} \text{ at } y \text{ where } u = 0.99 \cdot U_\infty, \quad (19)$$

$$\delta_1 = 1.72 \cdot Re_x^{-1/2} \text{ displacement thickness}. \quad (20)$$

It must be noted, that today Eq. (16) is typically solved numerically to arbitrary accuracy, see Fig 2. The asymptotic behavior $y \rightarrow \infty$ can be studied by assuming

$$F(y) = y - \beta_0 + g_0(y). \quad (21)$$

It follows [2]

$$\beta_0 = 1.21649, \quad (22)$$

$$g_0(y) = \exp\left(-\frac{y^2}{2}\right). \quad (23)$$

Fig. 3 shows the accuracy of both Taylor series around 0 and ∞ .

4.2 Drag, Comparison with Experiment and Higher Order Boundary Layer Theory

As wall shear stress is related to $F''(y \rightarrow 0)$ drag can then be calculated by integration and further compared to measurements. Two findings are important:

1. for $Re_x > 5 \cdot 10^5$ flow state starts changing to a turbulent one,
2. for $Re_x < 10^4$ deviations become larger as expected, see Fig 4.

Improvement is possible if BLT is regarded as an asymptotic expansion in powers of inverse Reynolds number. First order then are terms $\sim RN^{-1/2}$. As one can see from the dashed line in Fig 4, there is significant improvement - even down to $Re_x \sim 10$ - if one takes higher orders into account as will be seen in section 4.5.

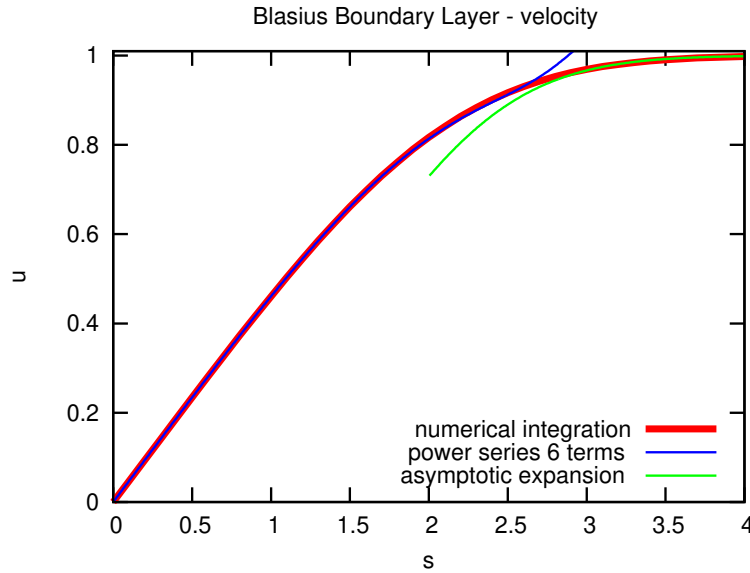


Figure 3: F' (velocity profile) and its representation by a 6-term power series and asymptotic expansion. The rather large (more than 14 digits) integer coefficient were calculated with the help of MATHEMATICA ©.

However, it has to be added, that McLachlan [36] showed that this is mainly due to a fortunate cancellation of terms $\sim RN^{-1}$,

Blasius (solid line):

$$c_D = 2 \cdot 0.665146724 \cdot (Re)^{-1/2} \quad (24)$$

Triple-Deck-Theory (dashed line):

$$c_D = 2 \cdot 0.664 \cdot (Re)^{-1/2} + 2.67 \cdot (Re)^{-7/8} \quad (25)$$

Value for $Re = 10$:

$$c_D = 0.42 + 0.36 = 0.78, \quad (26)$$

Value for $Re = 1000$:

$$c_D = 0.042 + 0.006 = 0.048. \quad (27)$$

Eq. (25) contains a new term $\sim Re^{-7/8}$ (note that the exponent is not **-1** but **-7/8**) which contributes to more than 10 % to the drag and which will be discussed in more detail below.

4.3 Flat plate of finite length

Having described the problem of a semi-infinite plate ($x > 0$) we turn to a flat plate of **finite** length: $-1 < x < 0$, still aligned to the inflow:

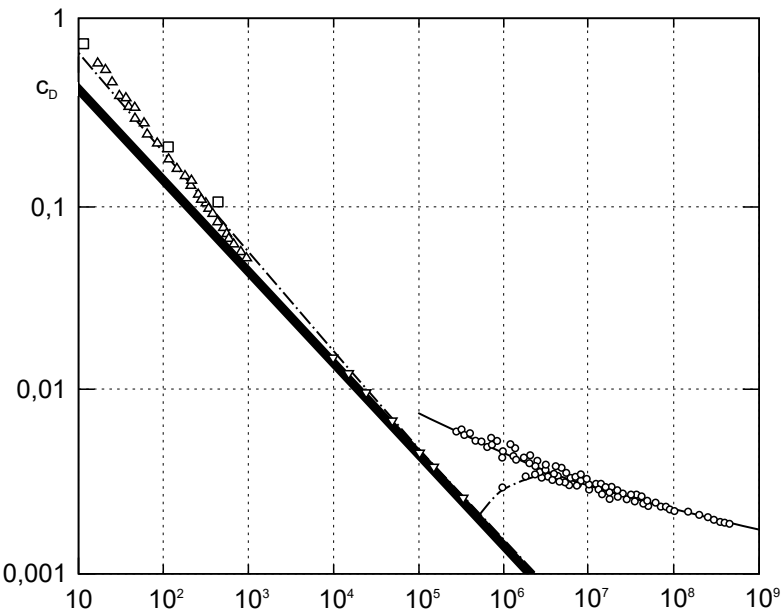


Figure 4: Comparison of drag coefficient from BLT and measurements. Fat line: Blasius, dashed line: higher order (Triple-Deck) and empirical correlation for the turbulent case. x-axis: RN , y-axis: c_D

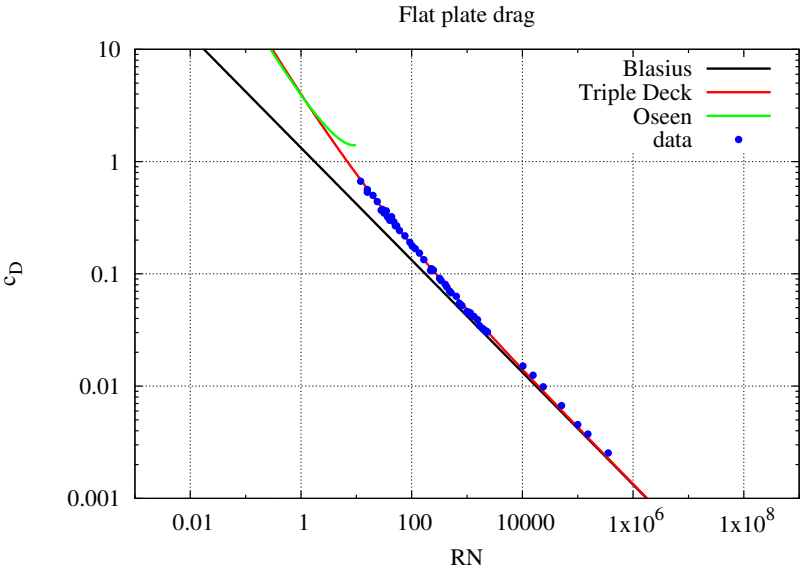


Figure 5: Drag coefficient of a flat plate in the laminar state and from various approaches: Measurements and Theories of Oseen, Blasius and the Triple-Deck-Theory for an extended RN region down to less than RN of 10^{-2} . Is it surprising that Oseen’s low RN approximations even has an overlapping with TAT

4.4 Goldstein's inner and outer wake

Only some years later BLT was extended to a flat plate of finite length $-1 < x < 0, y = 0$ by Goldstein [37]. The situation is as follows: At the plate we have for $y=0$ $u=0$ which is simply the *no-slip condition*. Within the wake ($x > 0$) we will have $u \neq 0$. This different behavior at $y = 0$ for $x < 0$ and $x > 0$ is the reason, that the wake exhibits a two-fold structure, separated by a curve $y \sim x^{-1/3}$, see Fig. 6. Unfortunately, close to $x = 0$ a singularity appears:

$$v(x, 0) \sim x^{-1/3}, x > 0, \quad (28)$$

which is named **Goldstein** singularity and can be calculated from $\Psi \sim x^{2/3}$ and $v \sim -\Psi_x$. It clearly violates the assumptions from BLT that $v \sim RN^{-1/2}$, see Fig. 2.

Although we will proceed with this approach we have to remark that Goldstein's model still is not sufficient for investigations on how the Kutta-Conditions emerges from viscous flows. Only if the flow velocity is at least continuous in all components we may be able to explain lift.

Analogously to Eq. (16) the wake is composed of **two** boundary layers (inner and outer wake) and therefore needs to be described in terms of two functions H_0, H_1 via:

$$3H_0''' + 2H_0H_0'' - H_0'^2 = 0, \quad (29)$$

$$3H_1''' + 2H_0H_1'' - 5H_0'H_1' + 5H_0''H_1 = 0. \quad (30)$$

Using $s = y/x^{1/3}$, it follows:

$$H_0 \sim \lambda_0^2 s + \frac{\lambda_0^4}{33!} s^3 - \frac{2\lambda_0^6}{95!} s^5, \quad (31)$$

$$H_1 \sim \lambda_1 \lambda_0 \left(s - \frac{5}{18} \lambda_0^2 s^3 \right) \text{ leading to} \quad (32)$$

$$u(x, 0) \sim x^{1/3} (\lambda_0^2 + \lambda_0 \lambda_1 x). \quad (33)$$

Numerical integration leads to [2] $\lambda_0 = 0.8789, \lambda_1 = -0.1496$.

Fig. 6 displays the combined flat plate and wake boundary layers together with the governing equations. At the trailing edge $v \sim x^{-1/3}$ as $x \rightarrow 0^-$.

4.5 Triple-Deck Theory

The singularity close to $x = 0$ can only be removed by introducing a new, three fold structure with an extension in $x \sim RN^{-3/8} = RN^{1/8} \cdot RN^{-1/2}$, see Fig. 7. For example, for $RN = 10^5$, this corresponds to about 4 BL-thicknesses.

4.6 Flat plate at zero incidence

A considerable amount of work has to be done to remove the singularity mentioned above. First of all it is easy to show that the region close to the trailing

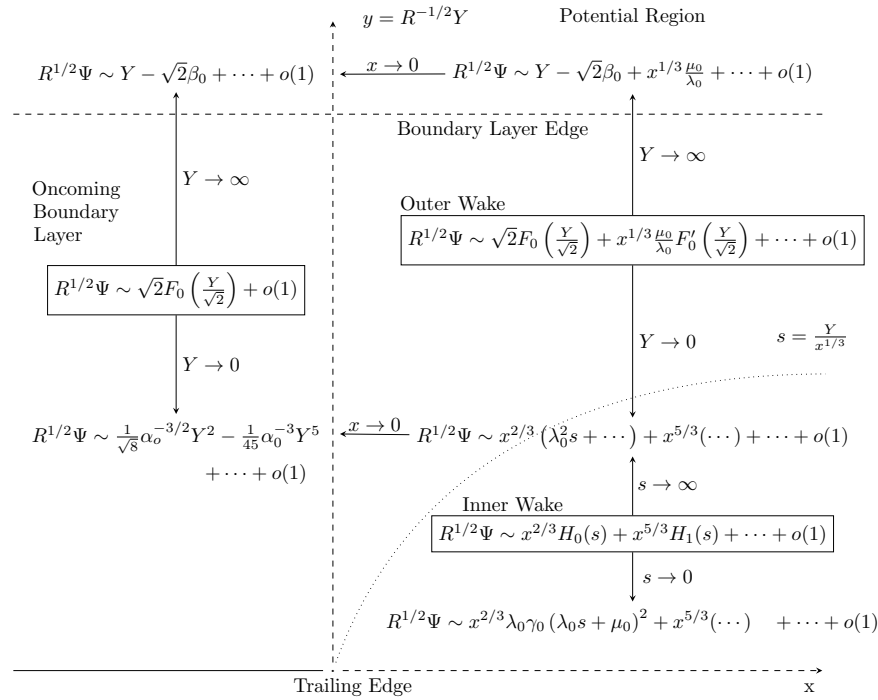


Figure 6: Goldstein's near wake structure. On the left Blasius boundary layer and on the right Goldstein's inner and outer wake. It clearly shows how the change in BoCos at the TE gives rise to the genesis of a new type (inner) wake. Nevertheless, the $x^{2/3}$ dependence (of the stream function) indicates emergence of singularities at the TE. Adapted from [2]

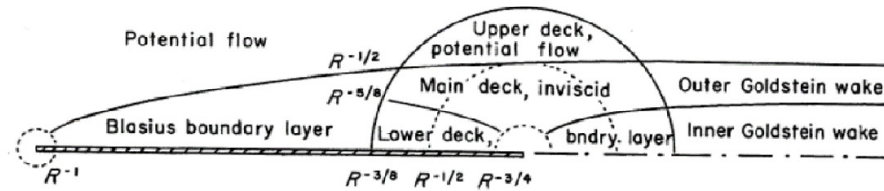


Figure 7: Modified Boundary Layer structure around the trailing edge of a flat plate. A region of extension $RN^{-3/8}$ around the trailing edge is divided into three layers or decks from [3]

edge - where BLT fails - scales according to $|x| \sim Re^{-3/8}$, see Sobey [2] or Sychev et al. [38].

Improving the properties of the analytical solution (in the sense of calculus) is now achieved by introducing the three-fold structure already mentioned above normal to the plate:

- Some kind of a *viscous sub layer*: the LOWER deck,
- A perturbation or interaction for the outer potential flow region, transmitted in form of a displacement function $A_1(X)$: the OUTER deck,
- And in between the MIDDLE (or MAIN) deck, sometimes called *inviscid rotational disturbance* layer.

A sketch of the structure is visualized in Fig. 7.

Triple-Deck equations start with introducing appropriate scaled coordinates:

$$\text{Main deck: } Y = RN^{1/2}y \quad (34)$$

$$\text{Inner deck: } Z = RN^{1/8}Y \quad (35)$$

$$\text{Outer deck: } W = RN^{-1/8}Y \text{ and} \quad (36)$$

$$X = RN^{3/8}x. \quad (37)$$

$A_1(X)$ is defined via

$$\psi_1^m(X, Y) := A_1(X) \cdot U_0(Y) \quad (38)$$

and acts as a kind of a *displacement* function.

A characteristics set of equations can be derived [2]:

$$u_X + v_Z = 0 \text{ (mass conservation)} \quad (39)$$

$$uu_X + vv_Z = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{A_1''(\zeta)}{X - \zeta} d\zeta + u_{ZZ}; \quad (40)$$

together with asymptotics:

$$u \rightarrow \frac{\alpha_0^{-3/2}}{\sqrt{2}} [Z + A_1(X)] \text{ as } Z \rightarrow \infty, \quad (41)$$

$$A_1(X) \rightarrow 0 \text{ as } X \rightarrow -\infty, \quad (42)$$

$$A_1(X) \rightarrow \frac{\mu_0}{\lambda_0} X^{1/3} \text{ as } X \rightarrow \infty \text{ (inner part of the wake)}. \quad (43)$$

In the appendices, see section (10) some more details of the mathematical properties of TDT are given. It has further to be noted that [39] in their appendix IV lists a 2nd-order TDT. This demonstrates that van Dyke's *matched asymptotic expansion* or as Cousteix and Mauss call it in an improved version *successive complementary expansion* can be regarded as a rational and reliable method. In addition, as early as 1996 [40] attempts have been made to formalize this approach by methods from *artificial intelligence*. Unfortunately, so far, no implementation in well-known systems like MATHEMATICA® has been published.

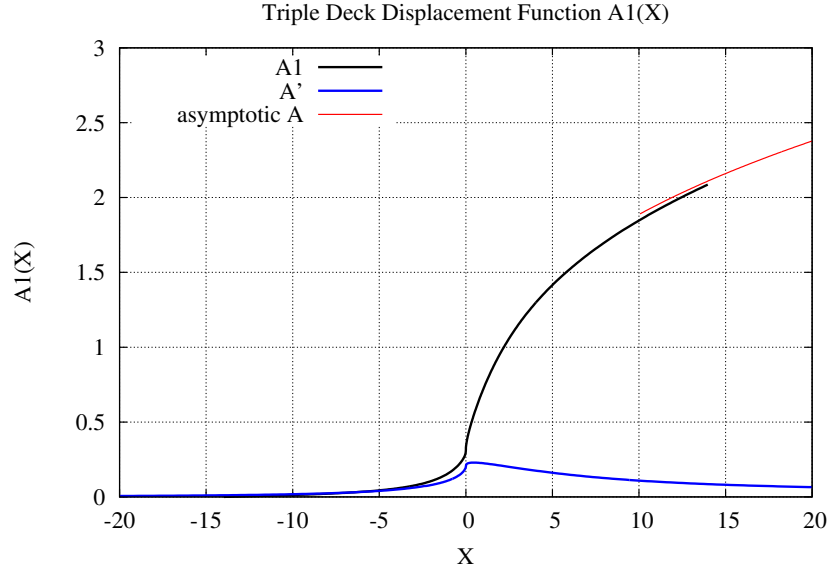


Figure 8: Triple-Deck displacement Function A_1 calculated with help of a FORTRAN code published by [2]. To scale A_1 in SI units it has to be multiplied by $RN^{-3/8}$. If $RN=10^6$ the scale then is $5.6 \cdot 10^{-3}$. A_1 must obey the asymptotic limit $\frac{\mu_0}{\lambda_0} X^{1/3}$ as $X \rightarrow \infty$ (red line). In addition A_1' (which is proportional to the pressure) is included which is $\in \mathcal{C}^0$ (continuous) but $\notin \mathcal{C}^1$ (continuous differentiable).

Sobey [2] provides a set of FORTRAN routines for solving this non-linear set of integro-differential equations. Some sample results are presented in Fig. 8 and Fig. 9. As can be seen from the plots, all functions now are continuous at $x = 0$ (trailing edge) but still are not $\in \mathcal{C}^1$ (of continuous slope). For reasons of comparison we added results from CFD in Fig. 9.

Pressure is shown in Fig. 9 together with two asymptotics $p \sim$

$$-\frac{2}{3\sqrt{3}} \frac{\mu_0}{\lambda_0} RN^{-1/2} |x|^{-2/3} \quad x < 0, \quad (44)$$

$$\frac{1}{3\sqrt{3}} \frac{\mu_0}{\lambda_0} RN^{-1/2} x^{-2/3} \quad x > 0. \quad (45)$$

Singularity $v \rightarrow \infty$ at the trailing edge (see Eq. 28) disappears, because [41]

$$v \sim -A_1'(x), \quad (46)$$

is finite for $X \rightarrow 0^\pm$. Unfortunately, streamlines close to the TE are not $\in \mathcal{C}^1$ (space of functions which are once continuous differentiable). Shifting this dis-continuity to even higher derivatives demands introduction of even more structure in form of more sub-layers [2, 38].

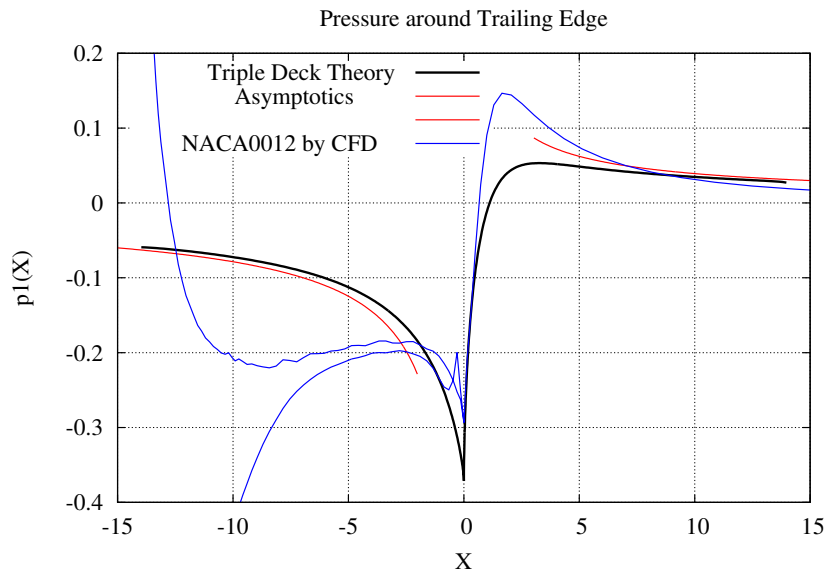


Figure 9: Pressure around trailing edge from Triple-Deck-theory [2] (black), together with asymptotic values from Blasius and Goldstein (red) and CFD for a thin airfoil (blue) see section 6. The two blue line upstream to the trailing edge correspond to the upper and lower side of the airfoil.

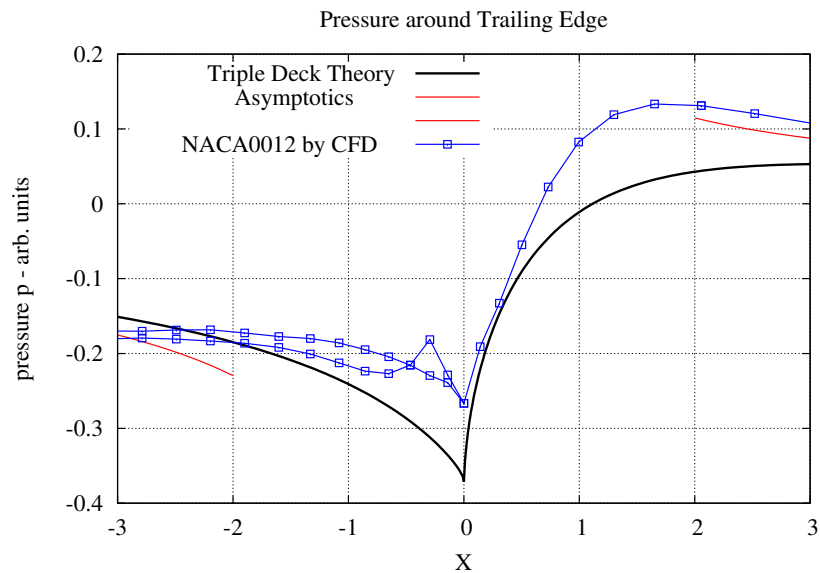


Figure 10: Same as Fig. 9, but enlarged. The apparently out-liner at $X \approx -0.3$ might be due to inaccurate geometric modeling of TE.

Nevertheless, one of the greatest success of TDT is an impressive improvement of prediction of finite length flat-plate drag coefficient. We will present these findings in more detail in section 6.

4.7 Flat Plate at an Incidence and Embedding of the Kutta Condition

The final step now is to apply the findings from section 4.5 to a flat plate of finite length and non-zero angle-of-attack which serves as a simple model of a 2D airfoil. This has been done already 50 years ago by Brown and Stewartson [42]. Summaries of up-dated derivations are given in [43] and [38] chapter 3.3 of. It is important to note that the *inviscid solution* is assumed to be

$$u = 1 - \alpha \frac{x + \Gamma}{(-x)\sqrt{(1+x)}} \cdot \text{sgn}(y), v = 0 \quad (47)$$

$$\text{on the flat plate } y = 0, -1 < x < 0 \quad (48)$$

$$u = 1, v = \alpha \frac{x + \Gamma}{\sqrt{(x \cdot (1+x))}} \quad y = 0, x > 0 \quad (49)$$

in accordance with the most general inviscid flow around a 2D body.

Apart from the *discontinuity* of the viscous boundary layer condition at the edge - a zero tangential velocity on the plate ($x \rightarrow 0^-$) faces a zero **pressure discontinuity** on the wake center-line ($x \rightarrow 0^+$) - the phenomenon of separation determines the essential details of the flow close to the trailing edge.

To summarize, the following sequence of steps is necessary to derive the Kutta-Joukovsky-condition and a viscous correction to the lift coefficient for high Reynolds number flow:

Use outer potential flow consisting of an circulation part, (50)

Introduce triple deck length scale:

$$\epsilon = RN^{-1/8} = (U_\infty \cdot \ell / \nu)^{-1/8}. \quad (51)$$

$$\text{TE separation excluded if AOA, } \alpha^* = \epsilon^{1/2} \lambda^{9/8} \alpha < 0.47. \quad (52)$$

$$\text{Demand unique pressure at } Y=0 : p_T(X) = p_B(X) < \infty, X \geq 0. \quad (53)$$

$$(54)$$

Eq. (53) may be regarded as some kind of a weaker *Kutta-Joukovsky-condition* but bearing in mind what was said in connection to Eq. (4) about the role of the pressure field

In total, this leads to:

$$\text{Lift coefficient: } c_L = 2\pi\alpha \left(1 - \frac{2B}{\ell}\right) \text{ with modified} \quad (55)$$

$$\text{Circulation term: } B = \epsilon^3 \ell \lambda^{-5/4} \cdot a_1 \quad (56)$$

$$(57)$$

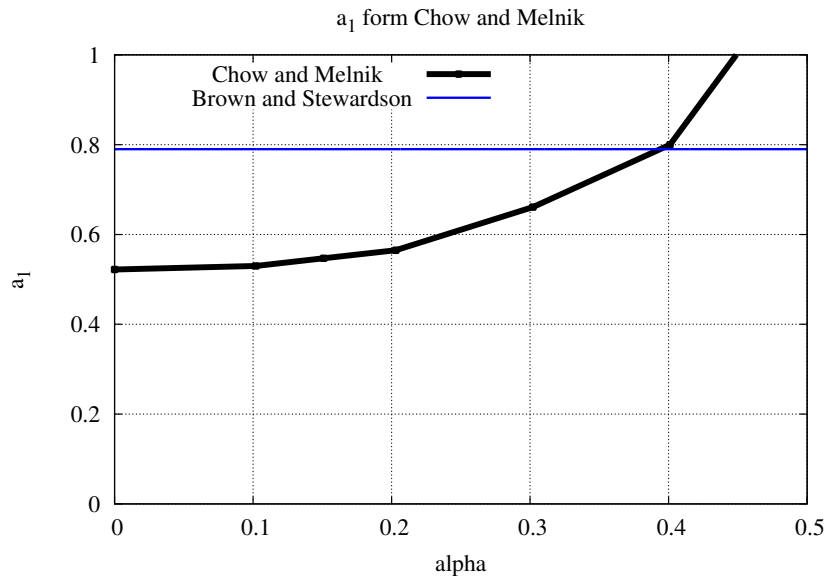


Figure 11: Variation of the parameter a_1 with (reduced) angle-of-attack from [4]. As a_1 approaches 0.47 this number diverges, indicating flow separation.

At the time when [42] appeared no computer codes for solving the set of equations Eqs. (39) to (43) were available. This occurred only in 1976 with the paper [4]. Instead of solving *Hilbert transform* Eq (40) directly Brown and Stewartson used some kind of an ad-hoc assumption concerning the pressure difference from the upper to the lower part around the TE as function of x which leads to the desired simplification and make the problem tractable analytically. The constant a_1 from 57 was analytically estimated to

$$a_1 = 2^{-1/2} \gamma^{-3/4} \cos\left(\frac{\pi}{8}\right) = 0.79 \quad \text{with } \gamma = 3^{2/3}/\Gamma(1/3) = 0.7764. \quad (58)$$

(59)

391 Later Chow and Melnik [4] improved the value for a_1 from a constant value
 392 (0.79) by Brown/Stewartson [42] to one dependent on the AOA, see Fig. 11.
 393 Thereby, separation is predicted for AOAs larger than $\alpha_S > 0.47$, which - in
 394 degrees - corresponds to rather small values of 3.8° for $Re = 10^5$. Quoting
 395 Crighton [44] this approach therefore

396 provides detailed analytical and computational **understanding**,

397 (emphasis by the present author) as it gives a much less singular transition from
 398 the flat plate boundary layer $\sim Re^{-1/2}$ to Goldstein's wake $\sim Re^{-1/2} \cdot x^{1/3}$
 399 already visualized in Fig. 9.

400 4.8 Turbulent Boundary Layers

401 The restriction of laminar boundary layers certainly forbids applications for
 402 $RN > 5 \cdot 10^5$. Therefore it is tempting to try to apply methods from TDT to
 403 turbulent boundary layers. This has been first attempted by Melnik and Chow
 404 [43] and is further discussed in [45, 39]. As a result Cousteix and Mass [39]
 405 conclude that - because no overlapping layer (the famous logarithmic law of
 406 the wall) exist - a chosen turbulence model has to be restricted to those which
 407 *leads us to the desired result*. However, recently Scheichl et al. [46] presented
 408 a *Uniformly Valid Theory for Turbulent Separation* based on the method of
 409 asymptotic analysis and TDT. They applied their approach to flow around a
 410 cylinder at very high $RN(> 10^6)$ and found a location of the separation point
 411 in fair agreement to what is known from measurements. How far these findings
 412 may be applied to the more general airfoil TE problem remains open.

413 5 Xfoil

414 A well known open source *aerodynamic engineering* code calls Xfoil [47, 13]. It
 415 uses what may be called *viscous/in-viscid coupling - interactive boundary layer*
 416 *theory*. It enjoys great popularity, see Oezlam et al. [48] for a recent review of
 417 its use regarding a specific wind turbine blade profile, DU00-W-210. Lift data
 418 from that tool is also included in our own comparison, see Fig. 13.

419 6 Comparison with CFD

420 Accurate numerical integration of the full Navier-Stokes Equations have been
 421 performed independently by [49, 36]. We have prepared computational meshes
 422 for two cases:

- 423 • A flat plate of unit length aligned with the inflow
- 424 • A simple 2D aerodynamic profile of finite thickness (NACA0015)

425 As a solver we use ANSYS-FLUENT V18 and ICEM/CFD for mesh preparation.

426 6.1 Flat Plate of Finite Length

427 Fig. 12 shows the development of the wake at $y = 0$ for $x \geq 0$ from TST and
 428 full CFD. The deviation may be caused by a too small calculational area (10
 429 chords only).

To have a more quantitative comparison of TDT we compared highly sensi-
 tive drag data either calculated from the above mentioned CFD-model or from

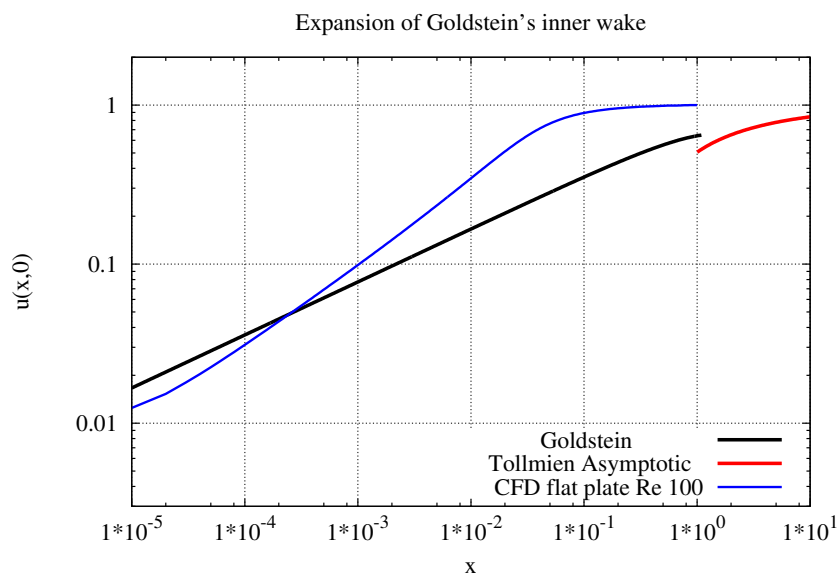


Figure 12: Center line velocity $u(x,y=0)$ from Eq. (33) compared to a CFD model calculation. Note the double-logarithmic scaling of the axes and that the abscissa (scales according to TDT) covers 7 orders of magnitude. CFD profile reaches much earlier the asymptotic value, indicating a two small computational area.

equations derived by TDT by different variants:

$$\text{Imai: } c_D \sim \frac{1.33}{(RN \cdot x)^{1/2}} + \frac{2.32}{RN \cdot x} - \frac{2.20}{(RN \cdot x)^{3/2}} \log \sqrt{RN \cdot x} + \mathcal{O}(RN^{-3/2}), \quad (60)$$

$$\text{Dean: } c_D \sim \frac{1.33}{\sqrt{RN \cdot x}} + \frac{2.32}{RN \cdot x} + \mathcal{O}(RN^{-3/2}). \quad (61)$$

as shown in table 1. Unfortunately, the contribution of the next-to-BL term

Table 1: Comparison of calculated flat plate drag data for various RN compared to CFD

RN	c_D	c_2	c_D Imai	c_D Dean
$1.23 \cdot 10^5$	$3.9 \cdot 10^{-3}$	3.04	$3.81 \cdot 10^{-3}$	$3.82 \cdot 10^{-3}$
$1.0 \cdot 10^4$	$1.43 \cdot 10^{-2}$	3.20	$1.34 \cdot 10^{-2}$	$1.35 \cdot 10^{-2}$
$1.0 \cdot 10^2$	$1.92 \cdot 10^{-1}$	3.31	$1.14 \cdot 10^{-1}$	$1.56 \cdot 10^{-1}$

430 $\sim RN^{-7/8}$, c_2 can only be compared at a 10% level. Even worse several hun-
 431 dreds of thousands of CFD-iterations have to be performed to be able to use
 432 a *Richardson*-type of extrapolation for the drag-coefficient. The meaning of
 433 this huge computational effort is clear: accurate CFD calculations to compare
 434 with accurate analytical theories demand computational resources that exceed
 435 usual turn-around times (in the order of minutes for 2D models) by a factor
 436 of more than 100. An example for $RN=100$: After $N_{iter} = 250$ k iterations
 437 drag force calculated by CFD was 0.1307, but an extrapolation to $N_{iter} \rightarrow \infty$
 438 lowers this value by about 20% to 0.1174. Comparable findings were reported
 439 by McLachlan [36] and Dijkstra and Kuerten [50].
 440

441 6.2 Thin Symmetric NACA Profile

442 As a last example to scrutinize the validity and accuracy of TDT we compare
 443 viscous correction from TDT with measurements for a 9%-thin NACA0009 air-
 444 foil, see Fig. 13. The measurements show some scatter but has been fitted to
 445 a simple three-parameter parabolic shape. As can be seen TDT (Eqs. (62) to
 446 (64)) describe the lowering of the lift-coefficient with some accuracy. It has to
 447 be noted that a somewhat thicker profile (NACA0012) has been investigated by
 448 Cebeci and Cousteix [51].

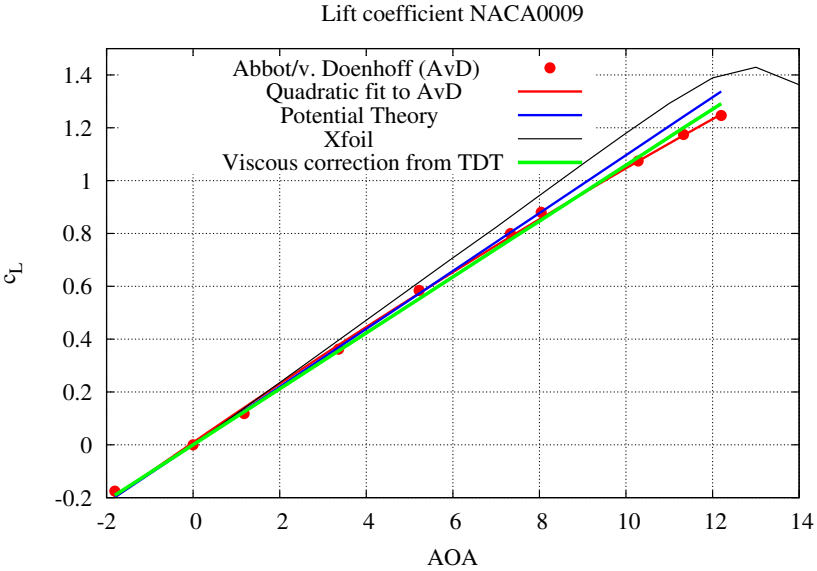


Figure 13: Lift coefficient of NACA0009 as function of angle of attack at $RN = 6 \cdot 10^6$ together with potential theoretic prediction, data generated by Xfoil and viscous correction from TDT. Xfoil seems to predict a somewhat larger lift-slope which may be attributed to - as McLean [5] call it - the *fatness paradox*.

7 Summary and Conclusions

Within the Mathematical framework of matched asymptotic expansion, boundary layer theory can be extended to match Goldstein's wake to Blasius' flat plate boundary layer. With the use of $\epsilon = RN^{-1/8}$ as an expansion parameter Brown and Stewardson [42] were the first who presented a physical picture of the Kutta condition together with a quantitative viscous correction (in terms of a parameter B) for the slope of the lift coefficient:

$$\frac{c_L}{2\pi\alpha} = 1 - B, \quad (62)$$

$$B = a_1 \cdot \lambda^{-5/4} \epsilon^3, \quad (63)$$

$$\text{with } 0.508 \leq a_1 \leq 1 \quad \text{for} \quad 0 \leq \alpha \leq \alpha_S (\approx 4^\circ). \quad (64)$$

Therefore, the wake with its continuous pressure in y -direction enforces an equal continuous pressure for $y = 0$ across the trailing edge in x -direction and induces fixed (and finite) velocities, circulation and lift [38]. This can be formulated more precisely with reference to Fig. 10 discussing the behavior of the pressure around the trailing-edge in more detail:

The upper *near* TE flow outside the BL is higher than the lower one and as a consequence, the pressure above the trailing edge ought to be lower than the pressure immediately below. As a tendency for the flow in the near wake to be pushed upwards results. Introducing a Triple-Deck structure, the pressure behaves very differently: Even though upstream of the trailing edge, the pressure on the upper surface is lower than that on the lower surface, in the wake immediately after the trailing edge, TDT therefore will predict a reverse, that is the pressure in the wake for $y > 0$ will be higher than the pressure in the wake for $y < 0$ and so stabilize and maintain the flow leaving the trailing edge tangentially.

The following list is intended to summarize this logical sequence of arguments succinctly:

- The simplest model of a lift generating surface consists of a flat plate of finite length at a non-zero angle of attack.
- A Kutta-Joukovsky condition fixes circulation and thereby lift.
- Stated mathematically, it demands a finite velocity at the trailing edge.
- Matching Blasius' boundary layer with Goldstein's wake needs an additionally intermediate triple-structured layer of length of $RN^{-5/8}$ to interpolate between both different boundary conditions and avoiding singular behavior of the normal velocity component.
- Worked out, a set of equations results which predicts finite velocities and pressure around the trailing edge but a non-continuous pressure *gradient*.
- A viscous correction to the potential-theoretic lift coefficient slope of 2π can be derived and compared to experimental data.

- Extension to turbulent boundary layers is possible but still relies on the closure models.

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496

9 Abbreviations

Table 2: The following abbreviations have been used in this manuscript

AOA	Angle of attack α
BLT	Boundary layer theory
BoCo	Boundary Condition
CFD	Computational Fluid Dynamics
oDeqs	ordinary Differential Equations
M	Million
Ma	Mach number
pDeqs	partial Differential Equations
RANS	Reynolds Averaged Navier Stokes (Equations)
RN	Reynolds Number
TAT	Thin Airfoil Theory
TDT	Triple-Deck Theory
TE	Trailing Edge
c_L	Lift coefficient
c_D	Drag coefficient

497 10 Appendices

498 10.1 Decks and their Scales

499 The emergence of a two-folded boundary layer within the Goldstein wake (see
500 Fig. 6) downstream of the TE including a singularity at least for the vertical
501 velocity requires that there must be some kind of an additional transitional
502 region around the TE, if one demands a smooth change of **all** variables. Using
503 very different approaches, several papers and books, see, for example [52, 40,
504 39, 22], derive by carefully balancing inertial, viscous and pressure terms a set
505 of algebraic equations for to define a structure which consists of

- 506 • a main part (or deck) of the boundary layer vertical size scales with
507 $RN^{(-5/8)}$,
- 508 • below of that a smaller part (of height $RN^{(1/8)}$) which obeys classical BL
509 type equations but with different BoCos (inner or lower deck) and finally
- 510 • an upper (or outer) part (deck) which carries the pressure distribution of
511 vertical extension $RN^{(1/8)}$.

512 As already mentioned in section 4.5 the longitudinal (horizontal) scale of this
513 triple structured region is $RN^{(-3/8)}$ which is $RN^{(1/8)}$ larger than the BL height
514 as can be seen in Fig. 8.

515 10.2 Solution of the Upper Deck Equations

516 Equipped with these length-scales the expansion within the upper deck reads

$$u = 1 + \epsilon^{1/2} U_1^*, \quad (65)$$

$$v = \epsilon^{1/2} V_1^*, \quad (66)$$

$$p = \epsilon^{1/2} P_1^*. \quad (67)$$

517 Mass conservation then reads (as it must)

$$U_{1,X}^* + V_{1,X}^* = 0 \quad (68)$$

518 Writing down the momentum-equation [53] gives a set of two linear but coupled
519 pDEQs:

$$U_{1,X}^* = -P_{1,X}^* \quad (69)$$

$$V_{1,X}^* = -P_{1,Y}^* \quad (70)$$

As usual they have to be complemented by suitable (matching) BoCos from the *maindeck*:

$$u = F_0(Y)' + \epsilon^{1/4}U_1, \quad (71)$$

$$v = \epsilon^{1/2}V_1, \quad (72)$$

$$w = \epsilon^{1/2}P_1, \quad (73)$$

$$\lim_{Y \rightarrow \infty} V_a(X, Y) = V_1^*(X, 0), \quad (74)$$

$$V_1^*(X, 0) = -A_1' . \quad (75)$$

Here $A_1(X)$ as already introduced in Eq. (38) appears as an *integration constant* of the equations of the *middle deck*:

$$U_{1,X} + V_{1,X} = 0, \quad (76)$$

$$F_0' \cdot U_{1,X} + V_1 \cdot F_0'' . \quad (77)$$

Namely

$$U_1 = A_1(X) \cdot F_0''(Y), \quad (78)$$

$$V_1 = -A_1'(X) \cdot F_0'(Y) . \quad (79)$$

The solution process for Eq. (70) now works as follows [39]:

- Combine Eq. (70) with Eq. (76) to derive a Laplace equation for the pressure.
- Use the 2nd equation together with the last one of Eq. (75)
- Introduce Fourier transform with regard to X

$$P(\omega) = \frac{1}{\sqrt{2\pi}} \int P(X) e^{i\omega X} dX \quad (80)$$

- and finally perform Fourier Inversion to receive at:

$$P(X) = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{A_1'(\zeta)}{X - \zeta} d\zeta , \quad (81)$$

A_1 already introduced in Eq. (40).

It is interesting to note that the above derived equation for the pressure disturbances resembles very much to integral expressions occurring in linearized aerodynamics or thin airfoil theory, see section (2.2).

10.3 Analytical Solution of a linearized Triple-Deck Model for Super-Sonic Flow

The sub-sonic case, described by the sets of equations Eqs. (39 to (43) - in general - can only be solved numerically. To gain more insight into the physics a

more analytical solvable example is highly desirable. This model, valid for super-sonic flow ($Ma > 1$ only) was provided in two papers of Stewartson & Williams [54, 55] and summarized in [2]. A major simplification (replacing the Hilbert transform relating pressure and displacement function) occurs when changing to compressible and hyper-sonic ($Ma_\infty > 1$) flow. Now the TDT equations read as:

$$p_2(X) = -A_1'(X) , \quad (82)$$

$$u_X + v_Y = 0 , \quad (83)$$

$$u \cdot u_X + v \cdot u_Y = A_1''(X) + u_{YY} , \quad (84)$$

$$\text{together with BoCos:} \quad (85)$$

$$u = v = 0 \text{ on } Y = 0 , \quad (86)$$

$$u \rightarrow Y \text{ as } X \rightarrow -\infty , \quad (87)$$

$$u \rightarrow Y + A_1(X) \text{ as } Y \rightarrow \infty . \quad (88)$$

Using an *Ansatz*

$$A_1(X) \sim -a_1 e^{cX} , \quad (89)$$

$$u \sim Y - a_1 e^{cX} f'(Y) , \quad (90)$$

$$v \sim ca_1 e^{cX} f(Y) , \quad (91)$$

an Airy type of DEQ ($f'' + Y \cdot f = 0$) follows with solution:

$$f(Y) = -\frac{c^{5/3}}{Ai'(0)} \int_0^Y \int_0^s Ai(c^{1/3} \cdot t) dt ds \quad (92)$$

$$\text{with } c = \left(\frac{-Ai'(0)}{\int_0^\infty Ai(t) dt} \right)^{3/4} = 0.8272 . \quad (93)$$

[55] found as a solution for the wall shear stress

$$u_Y(X, Y=0) \sim 1. - 1.91 \cdot e^{cX} \quad (94)$$

Fig 14 presents a comparison of the simple analytical solution, Eqs (82) to (88) and a full numerical solution gained with Sobey's code *sw.f* [2]. It shows that results from leading first order are able to show that *self induced separation occurs*, but numerical accuracy is poor. It has to be noted that the original paper [54] used a higher order *Ansatz*

$$u = Y - \sum_{n=1}^{\infty} e^{ncX} f'_n(Y) , \quad (95)$$

$$v = \sum_{n=1}^{\infty} nce^{ncX} f_n(Y) , \quad (96)$$

$$p = \sum_{n=1}^{\infty} a_n e^{ncX} . \quad (97)$$

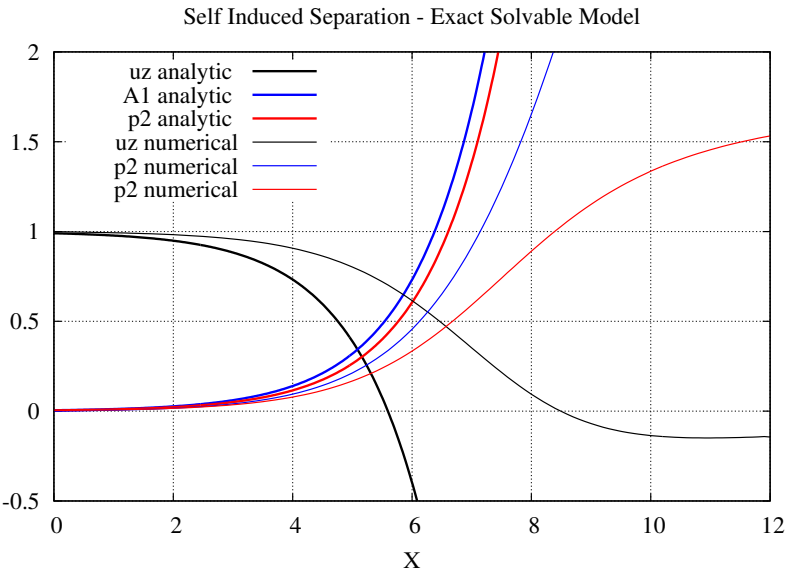


Figure 14: Comparison of wall shear stress, pressure and displacement function from leading order linearized TD approach and full numerical integration of boundary layer equation, Eqs. (82) to (88) with help of the code *sw.f* by [2]

⁵⁵⁴ This resulted in three coupled DEqs, but the authors preferred to use a direct
⁵⁵⁵ numerical integration of Eq. (83).

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