

An Explanation and Understanding of Aerodynamic Lift by Triple-Deck Theory *

3 A.P. Schaffarczyk
Kiel University of Applied Sciences
Alois.Schaffarczyk@FH-Kiel.de

4

5 **Abstract**

An explanation of aerodynamic lift still is under controversial discussion as can be seen, for example, in a recent published article in Scientific American [1]. In contrast to the use of integral conservation laws we here review an approach via the classical Kutta-Condition and its relation to boundary layer theory. Thereby we summarize known results for viscous correction to the lift coefficient for thin aerodynamic profiles and try to remember the work on Triple-Deck Theory (TDT) or higher order Boundary Layer theory. Connection to interactive boundary layer theory, viscous/inviscid coupling as implemented to well-known engineering code Xfoil is discussed. Finally we compare findings from tDT with 2D numerical solutions of full Navier-Stokes equations (CFD)models. As a conclusion, a clearer definition of terms like understanding and explanation applied to the phenomenon of aerodynamic lift will be given.

Keywords: Aerodynamic Lift, Kutta-Joukowsky-Condition, Interactive Boundary Layer Theory, Triple-Deck-Theory

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114 1 Introduction: Definition of an explanation

115 Since the emergence of *Quantum Physics*, an understanding of certain phenomena
 116 like quantum mechanical superposition is highly non-trivial. Fortunately,
 117 Fluid Mechanics is what is termed **Classical Mechanics** and may be related
 118 to every-day experiences and is thus much easier to explain than quantum me-
 119 chanical phenomena.

120 However, sometimes it seems that a discussion around aerodynamic lift is
 121 closer to quantum mechanics than to classical mechanics which may be related
 122 to the fact, that the mathematical description is *classical* but in terms of a
 123 non-linear field-theory.

124 Here we take the following point of view:

- 125 1. We have a theory (or model) for some phenomena if we have a set of as-
 126 sumptions resulting in equations for quantitative descriptions to be com-
 127 pared with measurements.
- 128 2. Pure numerical solutions from the most basic equations are not sufficient
 129 as they only produce very specific results.

130 To remind to the basic concepts of Mechanics we may start by shortly referring
 131 to Newton's 2nd law for a point mass:

$$F = \dot{p} . \quad (1)$$

132 A force (in N) relates to the temporal change of momentum $p = m \cdot v$. A *cause*
 133 and *effect* relationship may be established in **both** directions, meaning that a
 134 force is a cause for a change in momentum, or a change in momentum may be
 135 the cause for an inertial force.

Fluid mechanics as a continuum theory is formulated in terms of a velocity *field* \mathbf{v} and expresses momentum change (mChange) and mass conservation (mCons):

$$\text{mChange: } \rho \left(\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} \right) = \mathbf{f} - \nabla p + \mu \Delta \mathbf{v} , \quad (2)$$

$$\text{mCons: } \nabla \cdot \mathbf{v} = 0 . \quad (3)$$

136 Here, and in the following we assume *incompressible* and *sub-sonic* flow. In-
 137 stead of the quantity *force* it introduces a *static pressure* p and a *volume force*
 138 *density* f (N/m^3). To calculate a force on an extended body (airfoils) one has
 139 to integrate pressure (and viscous shear stress) on its surface. However, the
 140 relation of the local pressure to the velocity is non-local, as can be seen from
 141 the following derivation: By use of Eq. (3) pressure can be eliminated but then
 142 the dependency of pressure on velocity becomes *non-local*:

$$\text{From } \Delta p = -\rho \frac{\partial^2 u_i u_j}{\partial x_i \partial x_j} := S(\mathbf{r}) , \quad (4)$$

¹⁴³ this equation may then be solved by the introduction of *Green's function*:

$$p(\mathbf{r}) = p^{harmonic}(\mathbf{r}) + p(\mathbf{r}) + \frac{\rho}{4\pi} \int_{\mathbb{R}^3} \frac{S(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' , \quad (5)$$

¹⁴⁴ where $p^{harmonic}(\mathbf{r})$ is a solution of the homogeneous pressure equation:

$$\Delta p^{harmonic}(\mathbf{r}) = 0 . \quad (6)$$

¹⁴⁵ We will come back to this in connection with formulating boundary conditions
¹⁴⁶ for the pressure at the TE, see Eq (53) Contrarily to \mathbf{p} \mathbf{f} , the body-force density
¹⁴⁷ usually is regarded as given from *outside* and in many cases does not have to be
¹⁴⁸ included. (With an exception of the so-called Actuator Disk see [6].)

¹⁴⁹ In the rest of the paper we proceed as follows: We review basic models of
¹⁵⁰ lift in a more logical manner than they appeared historically, compare them
¹⁵¹ with numerical simulations and conclude with a physical model which in our
¹⁵² understanding provides a (long known) explanation of aerodynamic lift. An
¹⁵³ appendix finally provides more technical details of the Triple-Deck-Theory.

¹⁵⁴ We have to remark that our review mainly follows an approach in the spirit
¹⁵⁵ of Landau [7] which from the beginning emphasizes the role of a wake emerging
¹⁵⁶ down stream of an aerodynamic profile.

¹⁵⁷ Therefore it is rather different from that of McLean [5, 8, 9] who emphasizes
¹⁵⁸ the non-local pressure field *as a direct result of the lift force* [9] and its *reciprocal*
¹⁵⁹ interaction with the velocity field as a key ingredient of a qualitative explanation
¹⁶⁰ of lift and not as pessimistic as expressed in an already mentioned article by
¹⁶¹ Regis [1].

¹⁶² 2 Thin Airfoil Theory

¹⁶³ 2.1 A First Encounter with History of Explanations of ¹⁶⁴ Aerodynamic Lift

¹⁶⁵ Specific shaped 2D sections exhibit a large force perpendicular to the inflow
¹⁶⁶ direction. This force is called lift. It may be defined as the projection of static
¹⁶⁷ pressure (inviscid case, direction given by local surface normal) and shear stress
¹⁶⁸ (viscous flow, direction tangential to surface).

¹⁶⁹ Nowadays, not only airplanes use it but also most of the highly efficient
¹⁷⁰ machines, e.g. helicopters, ship propellers and wind turbines. Nevertheless,
¹⁷¹ even today, there is a discussion [5] for an explanation (in the sense of a cause
¹⁷² and effect relation mentioned above) as it was in the beginning of the 20th
¹⁷³ century. Bloor [10] gives an excellent and very readable review of some of the
¹⁷⁴ early historical developments from about 1900 to 1930. In short two *schools* used
¹⁷⁵ either Newton's corpuscular picture or the newly emerged circulation model.

¹⁷⁶ 2.2 Inviscid Thin Airfoil theory

¹⁷⁷ A first important step in developing a theory of aerodynamic lift, of what one
¹⁷⁸ may term even an understanding was the formulation of the so-called Kutta-

179 Joukovsky theorem [11] which states

$$L = -\rho \cdot w \cdot \Gamma . \quad (7)$$

180 Here L is the lift force (per unit span), ρ the density of the fluid, w the inflow
181 velocity (far up-stream) and Γ the circulation, defined as

$$\Gamma := \oint_C v \cdot dr . \quad (8)$$

182 C is an arbitrary closed loop around the airfoil.

183 Therefore, a dynamical quantity (lift) is connected to fully kinematic quantities
184 (w, Γ), only. Sign conventions are as follows:

- 185 1. velocity: left to right,
- 186 2. lift: from bottom to top,
- 187 3. circulation: counter-clockwise.

188 This explains the negative sign in Eq. (7).

189 A unique circulation, needed for a unique defined lift, needs a formulation
190 of additional assumptions and this resulted in the so-called Kutta-condition.
191 It may be stated in various forms. If the airfoil tail ($x/c = 1$) is regarded to
192 have a non-smooth change in geometrical slope from the upper to the lower side
193 (known as the trailing edge), it is usually expressed - as a more mathematical
194 statement - demanding that all velocities at the trailing edge should be finite,
195 i.e. $< \infty$. Note that inviscid potential theory does not forbid infinite velocities.

196 For an ellipse there is no sharp TE, therefore a severe logical loop-hole exists
197 at least for these kind of trailing edges. However, Howarth as early as 1935 [12]
198 managed to calculate lift and drag manually for this particular shape, an ellipse,
199 by what is now called interactive boundary layer theory and its expression is
200 found in the well-known code XFOIL [13]. Sears [14] took these ideas further and
201 formulated corresponding conditions for static pressure at the upper and lower
202 edges of the boundary layers in the sense of generalizing the Kutta-Joukovsky
203 condition to viscous (boundary layer) flow.

204 The complete transient procedure on how circulation is generated from rest
205 is still under investigation, see for example [15, 16] for a recent work.

206 Thin airfoil theory (TAT, called that way because any influence of the finite
207 thickness of an airfoil is neglected) [17] gives a remarkable simple expression for
208 the lift-coefficient

$$c_l = 2\pi \cdot (\alpha + \alpha_0) . \quad (9)$$

209 A lift-curve slope of 2π - independent of all geometrical details - therefore is
210 predicted and the angle-of-attack (AOA) appears to be the most important
211 quantity. Nevertheless, one particular geometrical quantity, camber (f), enters
212 Eq 9 via $\alpha_0 = 2f$ shifting zero-lift AOA to negative angles. If flow direction is
213 counted positive as when coming from the left, a positive AOA is given when
214 the airfoil is rotated in clock-wise direction.

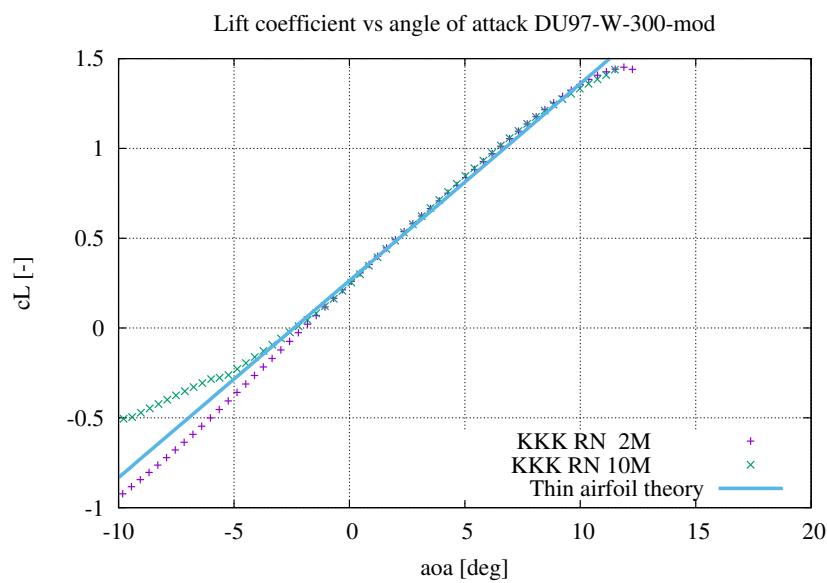


Figure 1: Comparison of measured lift coefficient vs angle-of-attack with thin airfoil theory showing a large region of linear variation until close to c_L^{max} . Results for RN 2 and 10 million are shown. For RN of 10 M separation on the lower (pressure) side occurs much earlier than for RN of 2 M.

215 Fig 1 compares measurements [18] of a 30% thick airfoil - with 2.1 % cam-
 216 ber - dedicated for wind turbine blades with prediction of thin-airfoil-theory. A
 217 remarkably large (more than 20 degrees) range of agreement (within the exper-
 218 imental uncertainty) even for this certainly not-thin airfoil exists.

219 2.3 Viscous Thin Airfoil Theory I: $RN \ll 1$

220 TAT is based on inviscid models of fluid flows (only density as a material enters)
 221 and as a consequence, e.g. circulation is a conserved quantity, i.e. it can neither
 222 be created nor destroyed. Therefore, more sophisticated models (and equations)
 223 must be included if the emergence of lift is to be explained. It is well known
 224 that the Navier-Stokes Equations provide this basis, adding a second material
 225 parameter, viscosity. In a series of journal and technical papers Yates [19] (and
 226 independently Bryant and Williams [20] and Shen and Crimi [21]) with the help
 227 of a Oseen-type approximation (in fact a linerization) were able to use these
 228 Navier-Stokes equations to

- 229 1. derive and thereby explain the Kutta condition and
- 230 2. to give asymptotic corrections to the lift-curve slope in terms of inverse
 231 Reynolds number.

232 This is somewhat surprising as Oseen-Flow, see [11], chapter (4.10), is generally
 233 assumed to be valid in low-Re (RN < 1, creeping) flow only, whereas in high-Re
 234 flow ($RN > 10^5$) boundary layer theory [22] should be more appropriate. As a
 235 consequence numerical agreement for changes in the lift-slope (with reference to
 236 $2 \cdot \pi$) were not convincing. Liu et al. [23] investigates the influence of viscosity
 237 to the generation of lift at small RN (=200). Her findings indicate that a non-
 238 linear $c_L(\alpha)$ curve should be more appropriate in contrast to a linear one from
 239 Yates' model but with modified slope only [24].

240 2.4 Aerodynamic Profiles with Finite Thickness

241 In this context, to separate between *thickness* and *viscous* effects a lot of authors
 242 including Abbot and von Doenhoeff [25] tried to improve (inviscid) TAT by
 243 investigating the influence of thickness on the lift-curve-slope which typically
 244 results in equations like: [25],

$$c_L = 2\pi(1 + \tau)\alpha, \quad (10)$$

$$\tau = \frac{\epsilon}{a} = \frac{4\sqrt{3}}{9} \cdot \frac{t}{c}. \quad (11)$$

245 Yates [26, 24] combined Reynolds number and thickness corrections to

$$c_L = 2\pi(1 + \tau) \cdot \left(1 - \frac{4}{\log(64RN) + \gamma_E}\right) \cdot \alpha \quad (12)$$

246 $\gamma_E = 0.57722$ being Euler's constant which shows a decrease of more than 10
 247 % at $t/c = 0.3$ and RN around 10^5 from RN effects which - at least - is partly

248 compensated by the first (thickness) term. McLean [5], chapter 7.4, pp 313/314
 249 gives further details.

250 Not included in all these discussions is the influence of the flow-state of
 251 the boundary layer, whether it is laminar or turbulent. In our discussions we
 252 assume that lift (in the linear part) is not influenced as strongly as drag. It
 253 is well known that drag can be much higher when most parts of the boundary
 254 layer are turbulent.

255 Another important phenomenon, flow separation, the starting point defined
 256 by

$$\tau_W = \mu \cdot \frac{dv_t}{dn} \leq 0. \quad (13)$$

257 Separation usually limits c_L (as measured) to values from 1.0 to about 2.0. We
 258 will come back to that in section 4.5 as there is a close inter-dependency between
 259 separation and some TAT scales .

260 We implicitly assume that the effect of separation can be approximately
 261 described by shifting the trailing edge to the points of separation [12, 14]. This
 262 restricts our discussion to small AOA only ($-1^\circ < \alpha < 5^\circ$).

263 3 Viscous correction to Lift Coefficient by Schmitz

264 Schmitz [27, 28, 29] calculated finite domain viscous correction and found small
 265 deviations (10^{-2} of inviscid circulation) only for an airfoil flow at $Re = 500 k$
 266 [20]. As a result a typical reduction in c_L of

$$\Delta c_L = \sim -2 \left(\frac{U_e}{U_\infty} \right)^2 \left(\frac{\delta_{TE}}{c} \right)^2, \quad (14)$$

267 is predicted. Here, U_e resp. U_∞ is the velocity at the edge of the boundary layer
 268 at the trailing edge (TE) resp. the inflow velocity; δ_{TE} is the boundary layer
 269 thickness and c the chord of the profile. A simple estimation for $RN \sim 10^6$ shows
 270 that the resulting reduction depends on the type of the flow and is comparable
 271 small for a pure laminar boundary layer. It is interesting to note that Triple-
 272 Deck Theory (see section 4.5) is able to derive a similar (but with more explicit
 273 RN-dependency) expression which reads as [30]

$$\frac{3}{8\pi c} (\delta_1 + \theta) RN^{-1/2} \cdot \log (RN). \quad (15)$$

274 Here δ_1 and θ is the displacement thickness and momentum thickness at the
 275 trailing edge, resp.

276 4 Viscous Thin Airfoil Theory II: $RN \gg 1$

277 4.1 Boundary Layer Theory

278 Boundary layer theory (BLT) was initiated by the seminal paper of Prandtl [31].
 279 As one of the first applications a semi-infinite flat plate located at $x > 0$ and $y =$

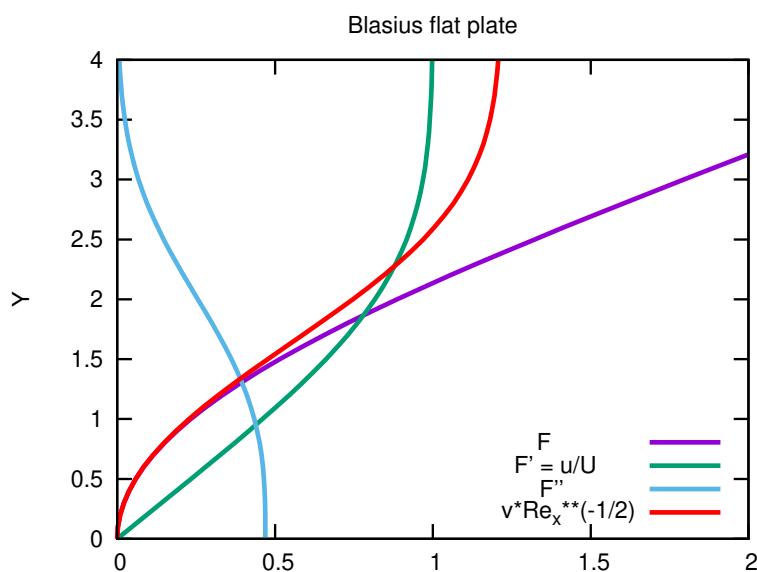


Figure 2: Stream function (F) and velocity profile (F') from numerical Integration of Eq. (16). $F''(y=0)$ correspond to wall shear stress. In addition, it can be seen that the normal velocity v approaches a finite value $\sim \sqrt{RN_x}$ when the boundary layer edge is reached.

0 was investigated by Blasius [32]. Using a similarity transformation he reduced the Navier-Stokes Equations to a still non-linear but much simpler ordinary differential equation for an auxiliary function F with the stream function being $\sqrt{2x}F$:

$$F''' + F \cdot F'' = 0, \quad (16)$$

together with boundary conditions $F(0) = F'(0) = 0$ and $F(s) \rightarrow s$ as $s \rightarrow \infty$. Here $s = Y/\sqrt{2x}$, with x the non-dimensionalized coordinate in flow direction, Y the inner coordinate normal to the plate and scaled with $\delta_0(RN)$ the length scale of the boundary layer.

Blasius was able to represent the solution as a power series (see [33, 34, 35] for mathematical details)

$$F(s) = \frac{1}{2}\lambda s^2 - \frac{1}{240}\lambda^2 s^5 + \frac{11}{161280}\lambda^3 s^8 + \dots \quad (17)$$

$$\text{with: } \lambda = F''(y = 0). \quad (18)$$

With $Re_x = u_\infty \cdot x/\nu$ it follows

$$\delta_{99} = 5.0 \cdot Re_x^{-1/2} \text{ at } y \text{ where } u = 0.99 \cdot U_\infty, \quad (19)$$

$$\delta_1 = 1.72 \cdot Re_x^{-1/2} \text{ displacement thickness}. \quad (20)$$

It must be noted, that today Eq. (16) is typically solved numerically to arbitrary accuracy, see Fig 2. The asymptotic behavior $y \rightarrow \infty$ can be studied by assuming

$$F(y) = y - \beta_0 + g_o(y). \quad (21)$$

It follows [2]

$$\beta_0 = 1.21649, \quad (22)$$

$$g_o(y) = \exp\left(-\frac{y^2}{2}\right). \quad (23)$$

Fig. 3 shows the accuracy of both Taylor series around 0 and ∞ .

4.2 Drag, Comparison with Experiment and Higher Order Boundary Layer Theory

As wall shear stress is related to $F''(y \rightarrow 0)$ drag can then be calculated by integration and further compared to measurements. Two findings are important:

- 300 1. for $Re_x > 5 \cdot 10^5$ flow state starts changing to a turbulent one,
- 301 2. for $Re_x < 10^4$ deviations become larger as expected, see Fig 4.

Improvement is possible if BLT is regarded as an asymptotic expansion in powers of inverse Reynolds number. First order then are terms $\sim RN^{-1/2}$. As one can see from the dashed line in Fig 4, there is significant improvement - even down to $Re_x \sim 10$ - if one takes higher orders into account as will be seen in section 4.5.

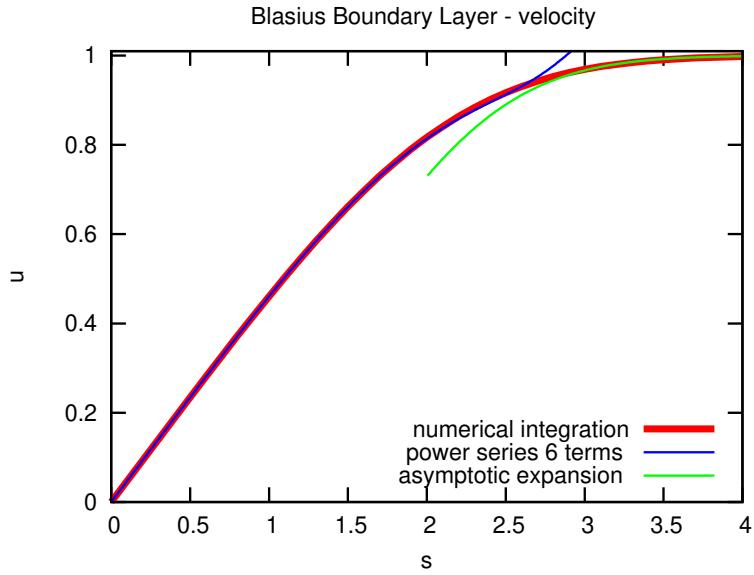


Figure 3: F' (velocity profile) and its representation by a 6-term power series and asymptotic expansion. The rather large (more than 14 digits) integer coefficient were calculated with the help of MATHEMATICA [©].

However, it has to be added, that McLachlan [36] showed that this is mainly due to a fortunate cancellation of terms $\sim RN^{-1}$,

Blasius (solid line):

$$c_D = 2 \cdot 0.665146724 \cdot (Re)^{-1/2} \quad (24)$$

Triple-Deck-Theory (dashed line):

$$c_D = 2 \cdot 0.664 \cdot (Re)^{-1/2} + 2.67 \cdot (Re)^{-7/8} \quad (25)$$

Value for $Re = 10$:

$$c_D = 0.42 + 0.36 = 0.78, \quad (26)$$

Value for $Re = 1000$:

$$c_D = 0.042 + 0.006 = 0.048. \quad (27)$$

³⁰² Eq. (25) contains a new term $\sim Re^{-7/8}$ (note that the exponent is not **-1**
³⁰³ but **-7/8**) which contributes to more than 10 % to the drag and which will be
³⁰⁴ discussed in more detail below.

³⁰⁵ 4.3 Flat plate of finite length

³⁰⁶ Having described the problem of a semi-infinite plate ($x > 0$) we turn to a flat
³⁰⁷ plate of **finite** length: $-1 < x < 0$, still aligned to the inflow:

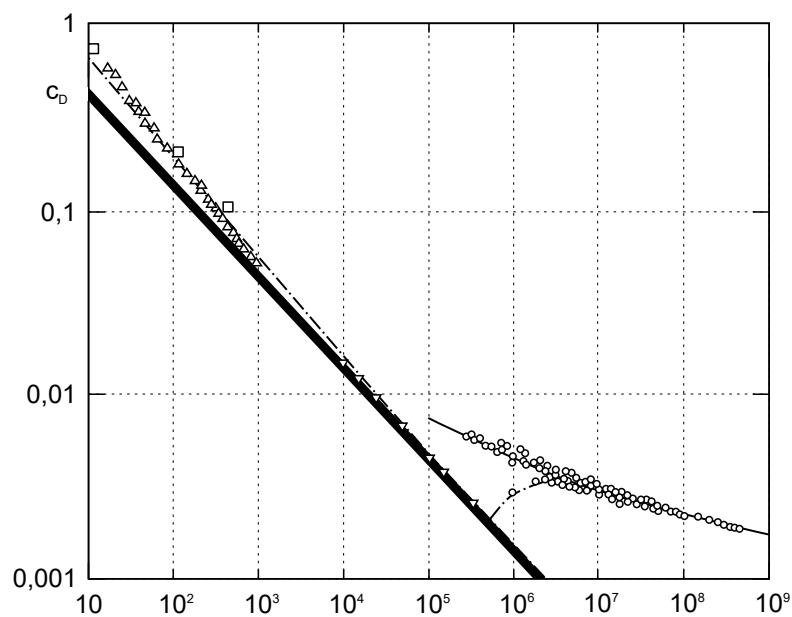


Figure 4: Comparison of drag coefficient from BLT and measurements. Fat line: Blasius, dashed line: higher order (Triple-Deck) and empirical correlation for the turbulent case. x-axis: RN, y-axis: c_D

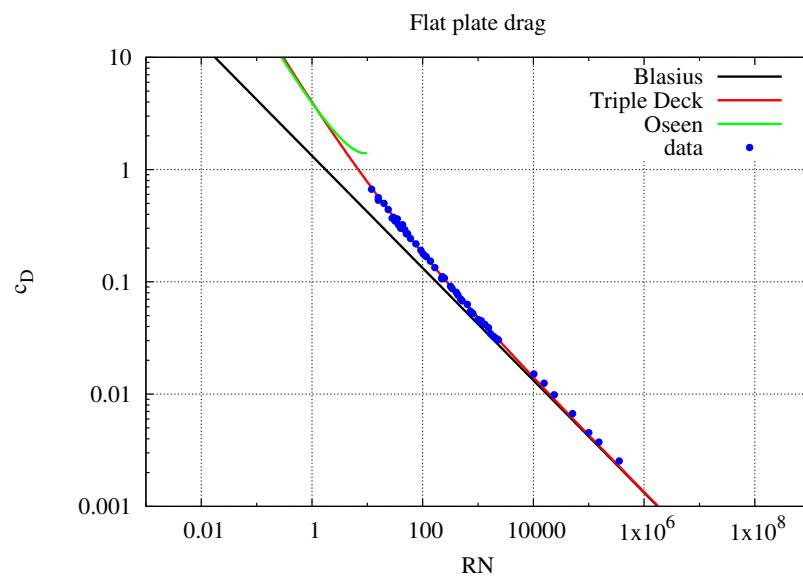


Figure 5: Drag coefficient of a flat plate in the laminar state and from various approaches: Measurements and Theories of Oseen, Blasius and the Triple-Deck-Theory for an extended RN region down to less than RN of 10^{-2} . Is it surprising that Oseen's low RN approximations even has an overlapping with TAT

308 4.4 Goldstein's inner and outer wake

309 Only some years later BLT was extended to a flat plate of finite length $-1 < 310 x < 0, y = 0$ by Goldstein [37]. The situation is as follows: At the plate we have 311 for $y=0$ $u=0$ which is simply the *no-slip condition*. Within the wake ($x > 0$) 312 we will have $u \neq 0$. This different behavior at $y = 0$ for $x < 0$ and $x > 0$ is 313 the reason, that the wake exhibits a two-fold structure, separated by a curve 314 $y \sim x^{-1/3}$, see Fig. 6. Unfortunately, close to $x = 0$ a singularity appears:

$$v(x, 0) \sim x^{-1/3}, x > 0, \quad (28)$$

315 which is named **Goldstein** singularity and can be calculated from $\Psi \sim x^{2/3}$ 316 and $v \sim -\Psi_x$. It clearly violates the assumptions from BLT that $v \sim RN^{-1/2}$, 317 see Fig. 2.

318 Although we will proceed with this approach we have to remark that Gold- 319 stein's model still is not sufficient for investigations on how the Kutta-Conditions 320 emerges from viscous flows. Only if the flow velocity is at least continuous in 321 all components we may be able to explain lift.

322 Analogously to Eq. (16) the wake is composed of **two** boundary layers (inner 323 and outer wake) and therefore needs to be described in terms of two functions 324 H_0, H_1 via:

$$3H_0''' + 2H_0H_0'' - H_0'^2 = 0, \quad (29)$$

$$3H_1''' + 2H_0H_1'' - 5H_0'H_1' + 5H_0''H_1 = 0. \quad (30)$$

Using $s = y/x^{1/3}$, it follows:

$$H_0 \sim \lambda_0^2 s + \frac{\lambda_0^4}{33!} s^3 - \frac{2\lambda_0^6}{95!} s^5, \quad (31)$$

$$H_1 \sim \lambda_1 \lambda_0 \left(s - -\frac{5}{18} \lambda_0^2 s^3 \right) \text{ leading to} \quad (32)$$

$$u(x, 0) \sim x^{1/3} (\lambda_0^2 + \lambda_0 \lambda_1 x). \quad (33)$$

325 Numerical integration leads to [2] $\lambda_0 = 0.8789, \lambda_1 = -0.1496$.

326 Fig. 6 displays the combined flat plate and wake boundary layers together 327 with the governing equations. At the trailing edge $v \sim x^{-1/3}$ as $x \rightarrow 0^-$.

328 4.5 Triple-Deck Theory

329 The singularity close to $x = 0$ can only be removed by introducing a new, three 330 fold structure with an extension in $x \sim RN^{-3/8} = RN^{1/8} \cdot RN^{-1/2}$, see Fig. 7. 331 For example, for $RN = 10^5$, this corresponds to about 4 BL-thicknesses.

332 4.6 Flat plate at zero incidence

333 A considerable amount of work has to be done to remove the singularity men- 334 tioned above. First of all it is easy to show that the region close to the trailing

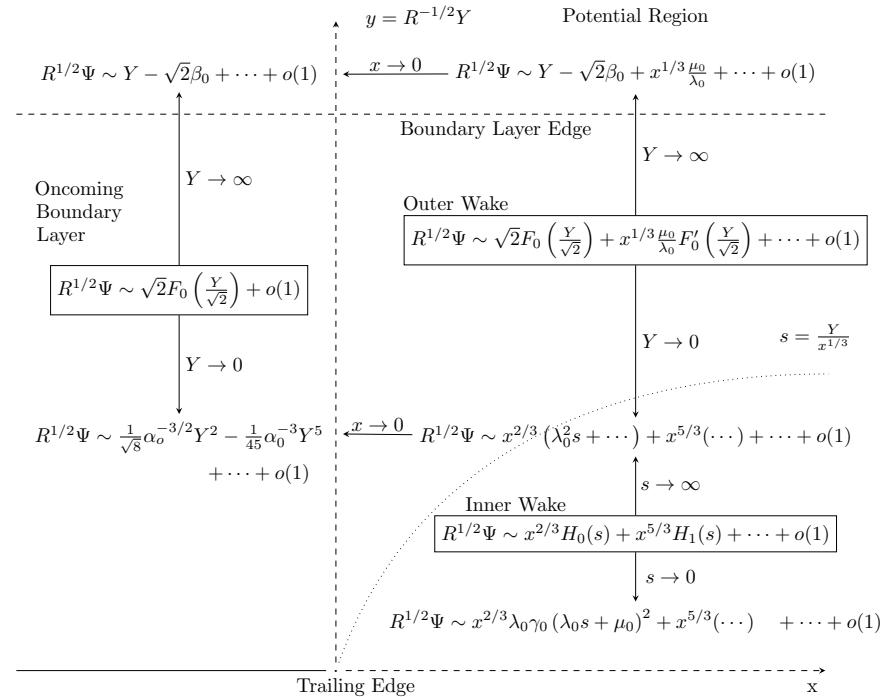


Figure 6: Goldstein's near wake structure. On the left Blasius boundary layer and on the right Goldstein's inner and outer wake. It clearly shows how the change in BoCos at the TE gives rise to the genesis of a new type (inner) wake. Nevertheless, the $x^{2/3}$ dependence (of the stream function) indicates emergence of singularities at the TE. Adapted from [2]

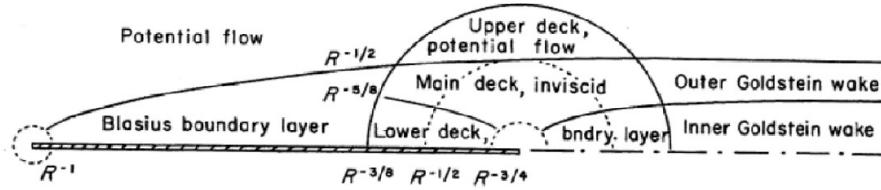


Figure 7: Modified Boundary Layer structure around the trailing edge of a flat plate. A region of extension $RN^{-3/8}$ around the trailing edge is divided into three layers or decks from [3]

335 edge - where BLT fails - scales according to $|x| \sim Re^{-3/8}$, see Sobey [2] or
 336 Sychev et al. [38].

337 Improving the properties of the analytical solution (in the sense of calculus)
 338 is now achieved by introducing the three-fold structure already mentioned above
 339 normal to the plate:

- 340 • Some kind of a *viscous sub layer*: the LOWER deck,
- 341 • A perturbation or interaction for the outer potential flow region, transmitted
 342 in form of a displacement function $A_1(X)$: the OUTER deck,
- 343 • And in between the MIDDLE (or MAIN) deck, sometimes called *inviscid
 344 rotational disturbance* layer.

345 A sketch of the structure is visualized in Fig. 7.

346 Triple-Deck equations start with introducing appropriate scaled coordinates:

$$\text{Main deck: } Y = RN^{1/2}y \quad (34)$$

$$\text{Inner deck: } Z = RN^{1/8}Y \quad (35)$$

$$\text{Outer deck: } W = RN^{-1/8}Y \text{ and} \quad (36)$$

$$X = RN^{3/8}x. \quad (37)$$

347 $A_1(X)$ is defined via

$$\psi_1^m(X, Y) := A_1(X) \cdot U_0(Y) \quad (38)$$

348 and acts as a kind of a *displacement* function.

349 A characteristics set of equations can be derived [2]:

$$u_X + v_Z = 0 \text{ (mass conservation)} \quad (39)$$

$$uu_X + vu_Z = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{A_1''(\zeta)}{X - \zeta} d\zeta + u_{ZZ}; \quad (40)$$

together with asymptotics:

$$u \rightarrow \frac{\alpha_0^{-3/2}}{\sqrt{2}} [Z + A_1(X)] \text{ as } Z \rightarrow \infty, \quad (41)$$

$$A_1(X) \rightarrow 0 \text{ as } X \rightarrow -\infty, \quad (42)$$

$$A_1(X) \rightarrow \frac{\mu_0}{\lambda_0} X^{1/3} \text{ as } X \rightarrow \infty \text{ (inner part of the wake).} \quad (43)$$

350 In the appendices, see section (10) some more details of the mathematical prop-
 351 erties of TDT are given. It has further to be noted that [39] in their appendix IV
 352 lists a 2nd-order TDT. This demonstrates that van Dyke's *matched asymptotic*
 353 *expansion* or as Cousteix and Mauss call it in an improved version *successive
 354 complementary expansion* can be regarded as a rational and reliable method.
 355 In addition, as early as 1996 [40] attempts have been made to formalize this ap-
 356 proach by methods from *artificial intelligence*. Unfortunately, so far, no imple-
 357 mentation in well-known systems like MATHEMATICA® has been published.

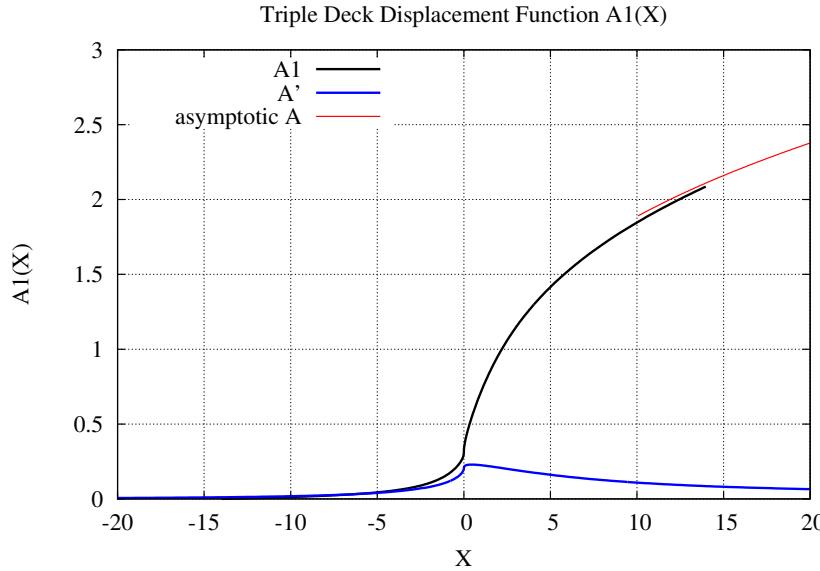


Figure 8: Triple-Deck displacement Function A_1 calculated with help of a FORTRAN code published by [2]. To scale A_1 in SI units it has to be multiplied by $RN^{-3/8}$. If $RN=10^6$ the scale then is $5.6 \cdot 10^{-3}$. A_1 must obey the asymptotic limit $\frac{\mu_0}{\lambda_0} X^{1/3}$ as $X \rightarrow \infty$ (red line). In addition A_1' (which is proportional to the pressure) is included which is $\in \mathcal{C}^0$ (continuous) but $\notin \mathcal{C}^1$ (continuous differentiable).

358 Sobey [2] provides a set of FORTRAN routines for solving this non-linear set
 359 of integro-differential equations. Some sample results are presented in Fig. 8
 360 and Fig. 9. As can be seen from the plots, all functions now are continuous at
 361 $x = 0$ (trailing edge) but still are not $\in \mathcal{C}^1$ (of continuous slope). For reasons of
 362 comparison we added results from CFD in Fig. 9.

363 Pressure is shown in Fig. 9 together with two asymptotics $p \sim$

$$-\frac{2}{3\sqrt{3}} \frac{\mu_0}{\lambda_0} RN^{-1/2} |x|^{-2/3} \quad x < 0, \quad (44)$$

$$\frac{1}{3\sqrt{3}} \frac{\mu_0}{\lambda_0} RN^{-1/2} x^{-2/3} \quad x > 0. \quad (45)$$

364 Singularity $v \rightarrow \infty$ at the trailing edge (see Eq. 28) disappears, because [41]

$$365 \quad v \sim -A_1'(x), \quad (46)$$

366 is finite for $X \rightarrow 0^\pm$. Unfortunately, streamlines close to the TE are not \in
 367 \mathcal{C}^1 (space of functions which are once continuous differentiable). Shifting this
 368 dis-continuity to even higher derivatives demands introduction of even more
 369 structure in form of more sub-layers [2, 38].

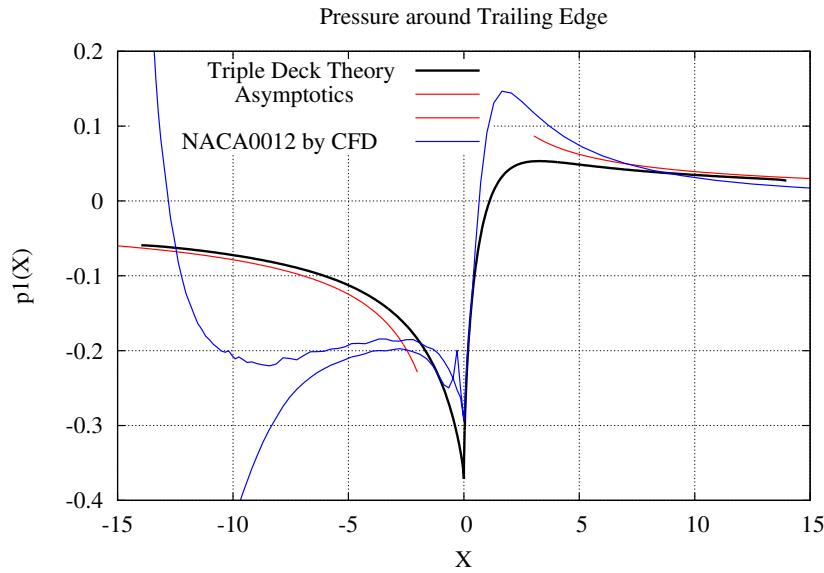


Figure 9: Pressure around trailing edge from Triple-Deck-theory [2] (black), together with asymptotic values from Blasius and Goldstein (red) and CFD for a thin airfoil (blue) see section 6. The two blue line upstream to the trailing edge correspond to the upper and lower side of the airfoil.

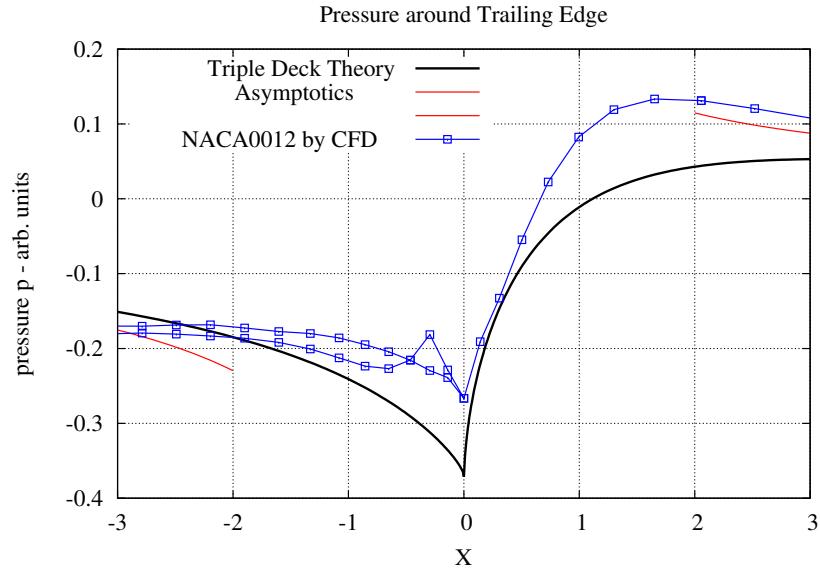


Figure 10: Same as Fig. 9, but enlarged. The apparently out-liner at $X \approx -0.3$ might be due to inaccurate geometric modeling of TE.

370 Nevertheless, one of the greatest success of TDT is an impressive improvement
 371 of prediction of finite length flat-plate drag coefficient. We will present
 372 these findings in more detail in section 6.

373 **4.7 Flat Plate at an Incidence and Embedding of the Kutta
 374 Condition**

375 The final step now is to apply the findings from section 4.5 to a flat plate of
 376 finite length and non-zero angle-of-attack which severs as a simple model of a
 377 2D airfoil. This has been done already 50 years ago by Brown and Stewartson
 378 [42]. Summaries of up-dated derivations are given in [43] and [38] chapter 3.3
 379 of. It is important to note that the *inviscid solution* is assumed to be

$$u = 1 - \alpha \frac{x + \Gamma}{(-x)\sqrt{(1+x)}} \cdot \text{sgn}(y), v = 0 \quad (47)$$

$$\text{on the flat plate } y = 0, -1 < x < 0 \quad (48)$$

$$u = 1, v = \alpha \frac{x + \Gamma}{\sqrt{(x \cdot (1+x))}} \quad y = 0, x > 0 \quad (49)$$

380 in accordance with the most general inviscid flow around a 2D body.

381 Apart from the *discontinuity* of the viscous boundary layer condition at the
 382 edge - a zero tangential velocity on the plate ($x \rightarrow 0^-$) faces a zero **pressure**
 383 **discontinuity** on the wake center-line ($x \rightarrow 0^+$) - the phenomenon of sepa-
 384 ration determines the essential details of the flow close to the trailing edge.

385 To summarize, the following sequence of steps is necessary to derive the
 386 Kutta-Joukovsky-condition and a viscous correction to the lift coefficient for
 387 high Reynolds number flow:

Use outer potential flow consisting of an circulation part, (50)

Introduce triple deck length scale:

$$\epsilon = RN^{-1/8} = (U_\infty \cdot \ell / \nu)^{-1/8}. \quad (51)$$

$$\text{TE separation excluded if AOA, } \alpha^* = \epsilon^{1/2} \lambda^{9/8} \alpha < 0.47. \quad (52)$$

$$\text{Demand unique pressure at } Y=0 : p_T(X) = p_B(X) < \infty, X \geq 0. \quad (53)$$

$$(54)$$

388 Eq. (53) may be regarded as some kind of a weaker *Kutta-Joukovsky-condition*
 389 but bearing in mind what was said in connection to Eq. (4) about the role of
 390 the pressure field

In total, this leads to:

$$\text{Lift coefficient: } c_L = 2\pi\alpha \left(1 - \frac{2B}{\ell}\right) \text{ with modified} \quad (55)$$

$$\text{Circulation term: } B = \epsilon^3 \ell \lambda^{-5/4} \cdot a_1 \quad (56)$$

$$(57)$$

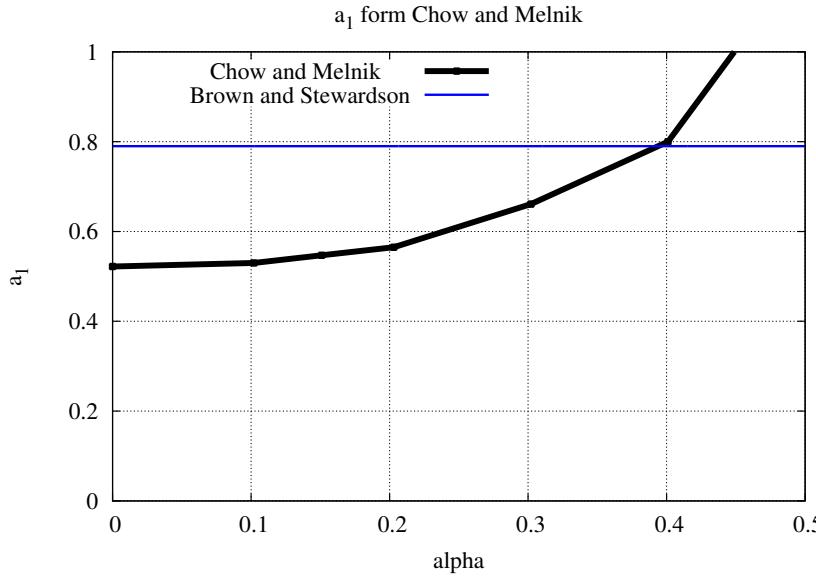


Figure 11: Variation of the parameter a_1 with (reduced) angle-of-attack from [4]. As a_1 approaches 0.47 this number diverges, indicating flow separation.

At the time when [42] appeared no computer codes for solving the set of equations Eqs. (39) to (43) were available. This occurred only in 1976 with the paper [4]. Instead of solving *Hilbert transform* Eq (40) directly Brown and Stewartson used some kind of an ad-hoc assumption concerning the pressure difference from the upper to the lower part around the TE as function of x which leads to the desired simplification and make the problem tractable analytically. The constant a_1 from 57 was analytically estimated to

$$a_1 = 2^{-1/2} \gamma^{-3/4} \cos\left(\frac{\pi}{8}\right) = 0.79 \quad \text{with } \gamma = 3^{2/3} / \Gamma(1/3) = 0.7764 . \quad (58)$$

(59)

391 Later Chow and Melnik [4] improved the value for a_1 from a constant value
 392 (0.79) by Brown/Stewartson [42] to one dependent on the AOA, see Fig. 11.
 393 Thereby, separation is predicted for AOAs larger than $\alpha_S > 0.47$, which - in
 394 degrees - corresponds to rather small values of 3.8° for $RN = 10^5$. Quoting
 395 Crighton [44] this approach therefore

396 provides detailed analytical and computational **understanding**,
 397 (emphasis by the present author) as it gives a much less singular transition from
 398 the flat plate boundary layer $\sim RN^{-1/2}$ to Goldstein's wake $\sim RN^{-1/2} \cdot x^{1/3}$
 399 already visualized in Fig. 9.

400 4.8 Turbulent Boundary Layers

401 The restriction of laminar boundary layers certainly forbids applications for
 402 $RN > 5 \cdot 10^5$. Therefore it is tempting to try to apply methods from TDT to
 403 turbulent boundary layers. This has been first attempted by Melnik and Chow
 404 [43] and is further discussed in [45, 39]. As a result Cousteix and Mass [39]
 405 conclude that - because no overlapping layer (the famous logarithmic law of
 406 the wall) exist - a chosen turbulence model has to be restricted to those which
 407 *leads us to the desired result*. However, recently Scheichl et al. [46] presented
 408 a *Uniformly Valid Theory for Turbulent Separation* based on the method of
 409 asymptotic analysis and TDT. They applied their approach to flow around a
 410 cylinder at very high RN($> 10^6$) and found a location of the separation point
 411 in fair agreement to what is known from measurements. How far these findings
 412 may be applied to the more general airfoil TE problem remains open.

413 5 Xfoil

414 A well known open source aerodynamic engineering code calls Xfoil [47, 13]. It
 415 uses what may be called *viscous/in-viscid coupling - interactive boundary layer*
 416 *theory*. It enjoys great popularity, see Oezlam et al. [48] for a recent review of
 417 its use regarding a specific wind turbine blade profile, DU00-W-210. Lift data
 418 from that tool is also included in our own comparison, see Fig. 13.

419 6 Comparison with CFD

420 Accurate numerical integration of the full Navier-Stokes Equations have been
 421 performed independently by [49, 36]. We have prepared computational meshes
 422 for two cases:

- 423 • A flat plate of unit length aligned with the inflow
- 424 • A simple 2D aerodynamic profile of finite thickness (NACA0015)

425 As a solver we use ANSYS-FLUENT V18 and ICEM/CFD for mesh preparation.

426 6.1 Flat Plate of Finite Length

427 Fig. 12 shows the development of the wake at $y = 0$ for $x \geq 0$ from TST and
 428 full CFD. The deviation may be caused by a too small calculational area (10
 429 chords only).

427 To have a more quantitative comparison of TDT we compared highly sensitive
 428 drag data either calculated from the above mentioned CFD-model or from

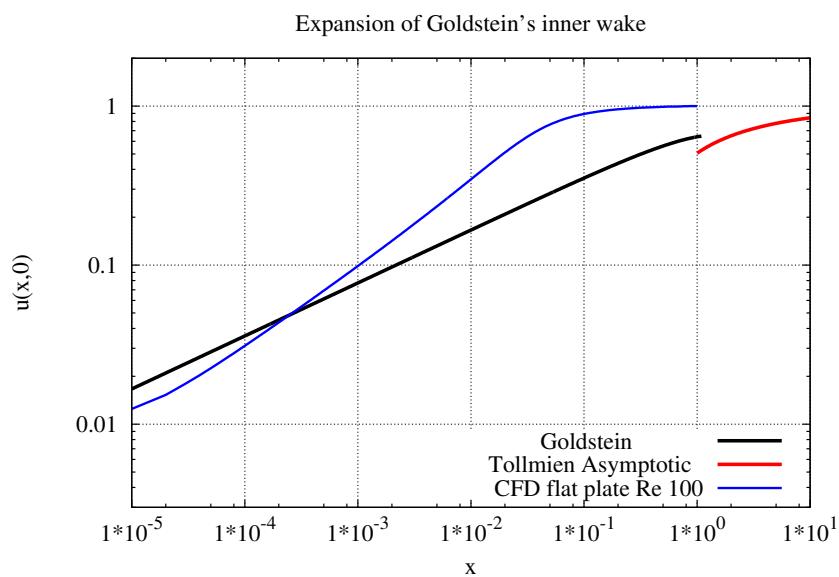


Figure 12: Center line velocity $u(x,y=0)$ from Eq. (33) compared to a CFD model calculation. Note the double-logarithmic scaling of the axes and that the abscissa (scales according to TDT) covers 7 orders of magnitude. CFD profile reaches much earlier the asymptotic value, indicating a two small computational area.

equations derived by TDT by different variants:

$$\text{Imai: } c_D \sim \frac{1.33}{(RN \cdot x)^{1/2}} + \frac{2.32}{RN \cdot x} - \frac{2.20}{(RN \cdot x)^{3/2}} \log \sqrt{RN \cdot x} + \mathcal{O}(RN^{-3/2}), \quad (60)$$

$$\text{Dean: } c_D \sim \frac{1.33}{\sqrt{RN \cdot x}} + \frac{2.32}{RN \cdot x} + \mathcal{O}(RN^{-3/2}). \quad (61)$$

as shown in table 1. Unfortunately, the contribution of the next-to-BL term

Table 1: Comparison of calculated flat plate drag data for various RN compared to CFD

RN	c_D	c_2	c_D Imai	c_D Dean
$1.23 \cdot 10^5$	$3.9 \cdot 10^{-3}$	3.04	$3.81 \cdot 10^{-3}$	$3.82 \cdot 10^{-3}$
$1.0 \cdot 10^4$	$1.43 \cdot 10^{-2}$	3.20	$1.34 \cdot 10^{-2}$	$1.35 \cdot 10^{-2}$
$1.0 \cdot 10^2$	$1.92 \cdot 10^{-1}$	3.31	$1.14 \cdot 10^{-1}$	$1.56 \cdot 10^{-1}$

430
431 $\sim RN^{-7/8}$, c_2 can only be compared at a 10% level. Even worse several hundred
432s of thousands of CFD-iterations have to be performed to be able to use
433 a Richardson-type of extrapolation for the drag-coefficient. The meaning of
434 this huge computational effort is clear: accurate CFD calculations to compare
435 with accurate analytical theories demand computational resources that exceed
436 usual turn-around times (in the order of minutes for 2D models) by a factor
437 of more than 100. An example for RN=100: After $N_{iter} = 250$ k iterations
438 drag force calculated by CFD was 0.1307, but an extrapolation to $N_{iter} \rightarrow \infty$
439 lowers this value by about 20% to 0.1174. Comparable findings were reported
440 by McLachlan [36] and Dijkstra and Kuerten [50].

441 6.2 Thin Symmetric NACA Profile

442 As a last example to scrutinize the validity and accuracy of TDT we compare
443 viscous correction from TDT with measurements for a 9%-thin NACA0009 air-
444 foil, see Fig. 13. The measurements show some scatter but has been fitted to
445 a simple three-parameter parabolic shape. As can be seen TDT (Eqs. (62) to
446 (64)) describe the lowering of the lift-coefficient with some accuracy. It has to
447 be noted that a somewhat thicker profile (NACA0012) has been investigated by
448 Cebeci and Cousteix [51].

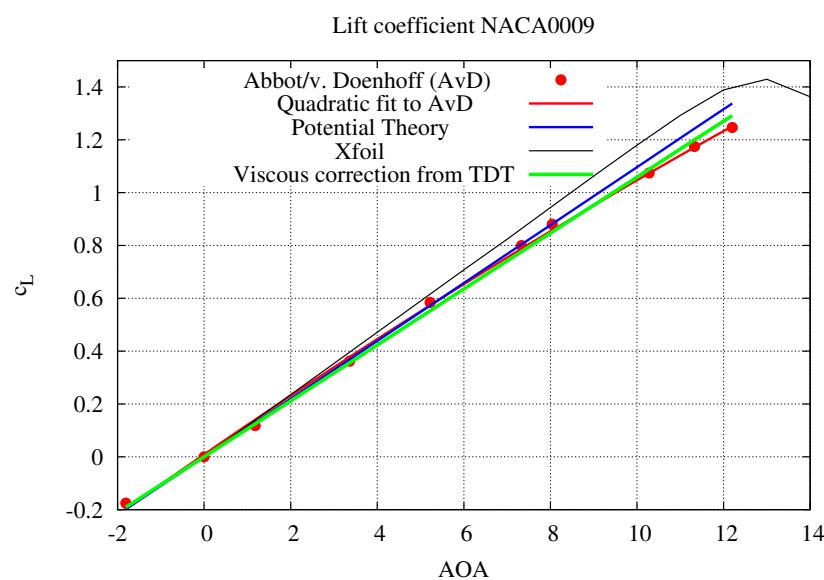


Figure 13: Lift coefficient of NACA0009 as function of angle of attack at $RN = 6 \cdot 10^6$ together with potential theoretic prediction, data generated by Xfoil and viscous correction from TDT. Xfoil seems to predict a somewhat larger lift-slope which may be attributed to - as McLean [5] call it - the *fatness paradox*.

449 7 Summary and Conclusions

450 Within the Mathematical framework of matched asymptotic expansion, bound-
 451 ary layer theory can be extended to match Goldstein's wake to Blasius' flat
 452 plate boundary layer. With the use of $\epsilon = RN^{-1/8}$ as an expansion parameter
 453 Brown and Stewardson [42] were the first who presented a physical picture of
 454 the Kutta condition together with a quantitative viscous correction (in terms of
 455 a parameter B) for the slope of the lift coefficient:

$$\frac{c_L}{2\pi\alpha} = 1 - B, \quad (62)$$

$$B = a_1 \cdot \lambda^{-5/4} \epsilon^3, \quad (63)$$

$$\text{with } 0.508 \leq a_1 \leq 1 \quad \text{for } 0 \leq \alpha \leq \alpha_S (\approx 4^\circ). \quad (64)$$

456 Therefore, the wake with its continuous pressure in y-direction enforces an equal
 457 continuous pressure for $y = 0$ across the trailing edge in x-direction and induces
 458 fixed (and finite) velocities, circulation and lift [38]. This can be formulated
 459 more precisely with reference to Fig. 10 discussing the behavior of the pressure
 460 around the trailing-edge in more detail:

461 The upper near TE flow outside the BL is higher than the lower one and
 462 as a consequence, the pressure above the trailing edge ought to be lower than
 463 the pressure immediately below. As a tendency for the flow in the near wake to
 464 be pushed upwards results. Introducing a Triple-Deck structure, the pressure
 465 behaves very differently: Even though upstream of the trailing edge, the pres-
 466 sure on the upper surface is lower than that on the lower surface, in the wake
 467 immediately after the trailing edge, TDT therefore will predict a reverse, that
 468 is the pressure in the wake for $y > 0$ will be higher than the pressure in the
 469 wake for $y < 0$ and so stabilize and maintain the flow leaving the trailing edge
 470 tangentially.

471 The following list is intended to summarize this logical sequence of arguments
 472 succinctly:

- 473 • The simplest model of a lift generating surface consists of a flat plate of
 474 finite length at a non-zero angle of attack.
- 475 • A Kutta-Joukovsky condition fixes circulation and thereby lift.
- 476 • Stated mathematically, it demands a finite velocity at the trailing edge.
- 477 • Matching Blasius' boundary layer with Goldstein's wake needs an addi-
 478 tionally intermediate triple-structured layer of length of $RN^{-5/8}$ to inter-
 479 polate between both different boundary conditions and avoiding singular
 480 behavior of the normal velocity component.
- 481 • Worked out, a set of equations results which predicts finite velocities and
 482 pressure around the trailing edge but a non-continuous pressure *gradient*.
- 483 • A viscous correction to the potential-theoretic lift coefficient slope of 2π
 484 can be derived and compared to experimental data.

485 • Extension to turbulent boundary layers is possible but still relies on the
486 closure models.

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495 well for their comments

496 9 Abbreviations

Table 2: The following abbreviations have been used in this manuscript

AOA	Angle of attack α
BLT	Boundary layer theory
BoCo	Boundary Condition
CFD	Computational Fluid Dynamics
oDeqs	ordinary Differential Equations
M	Million
Ma	Mach number
pDeqs	partial Differential Equations
RANS	Reynolds Averaged Navier Stokes (Equations)
RN	Reynolds Number
TAT	Thin Airfoil Theory
TDT	Triple-Deck Theory
TE	Trailing Edge
c_L	Lift coefficient
c_D	Drag coefficient

497 10 Appendices

498 10.1 Decks and their Scales

499 The emergence of a two-folded boundary layer within the Goldstein wake (see
 500 Fig. 6) downstream of the TE including a singularity at least for the vertical
 501 velocity requires that there must be some kind of an additional transitional
 502 region around the TE, if one demands a smooth change of all variables. Using
 503 very different approaches, several papers and books, see, for example [52, 40,
 504 39, 22], derive by carefully balancing inertial, viscous and pressure terms a set
 505 of algebraic equations for to define a structure which consists of

- 506 • a main part (or deck) of the boundary layer vertical size scales with
 507 $RN^{(-5/8)}$,
- 508 • below of that a smaller part (of height $RN^{(1/8)}$) which obeys classical BL
 509 type equations but with different BoCos (inner or lower deck) and finally
- 510 • an upper (or outer) part (deck) which carries the pressure distribution of
 511 vertical extension $RN^{(1/8)}$.

512 As already mentioned in section 4.5 the longitudinal (horizontal) scale of this
 513 triple structured region is $RN^{(-3/8)}$ which is $RN^{(1/8)}$ larger than the BL height
 514 as can be seen in Fig. 8.

515 10.2 Solution of the Upper Deck Equations

516 Equipped with these length-scales the expansion within the upper deck reads

$$u = 1 + \epsilon^{1/2} U_1^*, \quad (65)$$

$$v = \epsilon^{1/2} V_1^*, \quad (66)$$

$$v = \epsilon^{1/2} P_1^*. \quad (67)$$

517 Mass conservation then reads (as it must)

$$U_{1,X}^* + V_{1,X}^* = 0 \quad (68)$$

518 Writing down the momentum-equation [53] gives a set of two linear but coupled
 519 pDEQs:

$$U_{1,X}^* = -P_{1,X}^* \quad (69)$$

$$V_{1,X}^* = -P_{1,Y}^* \quad (70)$$

520 As usual they have to be complemented by suitable (matching) BoCos from the
 521 *maindeck*:

$$u = F_0(Y)' + \epsilon^{1/4} U_1, \quad (71)$$

$$v = \epsilon^{1/2} V_1, \quad (72)$$

$$v = \epsilon^{1/2} P_1, \quad (73)$$

$$\lim_{Y \rightarrow \infty} V_a(X, Y) = V_1^*(X, 0), \quad (74)$$

$$V_1^*(X, 0) = -A_1'. \quad (75)$$

522 Here $A_1(X)$ as already introduced in Eq. (38) appears as an *integration constant*
 523 of the equations of the *middle deck*:

$$U_{1,X} + V_{1,X} = 0, \quad (76)$$

$$F_0' \cdot U_{1,X} + V_1 \cdot F_0'' = 0. \quad (77)$$

524 Namely

$$U_1 = A_1(X) \cdot F_0''(Y), \quad (78)$$

$$V_1 = -A_1'(X) \cdot F_0'(Y). \quad (79)$$

525 The solution process for Eq. (70) now works as follows [39]:

526 • Combine Eq. (70) with Eq. (76) to derive a Laplace equation for the
 527 pressure.

528 • Use the 2nd equation together with the last one of Eq. (75)

529 • Introduce Fourier transform with regard to X

$$P(\omega) = \frac{1}{\sqrt{2\pi}} \int P(X) e^{i\omega X} dX \quad (80)$$

530 • and finally perform Fourier Inversion to receive at:

$$P(X) = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{A_1'(\zeta)}{X - \zeta} d\zeta, \quad (81)$$

532 A_1 already introduced in Eq. (40).

533 It is interesting to note that the above derived equation for the pressure dis-
 534 turbances resembles very much to integral expressions occurring in linearized
 535 aerodynamics or thin airfoil theory, see section (2.2).

536 10.3 Analytical Solution of a linearized Triple-Deck Model 537 for Super-Sonic Flow

538 The sub-sonic case, described by the sets of equations Eqs. (39 to (43) - in
 539 general - can only be solved numerically. To gain more insight into the physics a

540 more analytical solvable example is highly desirable. This model, valid for super-
 541 sonic flow ($Ma > 1$ only) was provided in two papers of Stewartson & Williams
 542 [54, 55] and summarized in [2]. A major simplification (replacing the Hilbert
 543 transform relating pressure and displacement function) occurs when changing
 544 to compressible and hyper-sonic ($Ma_\infty > 1$) flow. Now the TDT equations read
 545 as:

$$p_2(X) = -A'_1(X), \quad (82)$$

$$u_X + v_Y = 0, \quad (83)$$

$$u \cdot u_X + v \cdot u_Y = A_1''(X) + u_{YY}, \quad (84)$$

together with BoCos: (85)

$$u = v = 0 \text{ on } Y = 0, \quad (86)$$

$$u \rightarrow Y \text{ as } X \rightarrow -\infty, \quad (87)$$

$$u \rightarrow Y + A_1(X) \text{ as } Y \rightarrow \infty. \quad (88)$$

546 Using an Ansatz

$$A_1(X) \sim -a_1 e^{cX}, \quad (89)$$

$$u \sim Y - a_1 e^{cX} f'(Y), \quad (90)$$

$$v \sim c a_1 e^{cX} f(Y), \quad (91)$$

547 an Airy type of DEQ ($f'' + Y \cdot f = 0$) follows with solution:

$$f(Y) = -\frac{c^{5/3}}{Ai'(0)} \int_0^Y \int_0^s Ai(c^{1/3} \cdot t) dt ds \quad (92)$$

$$\text{with } c = \left(\frac{-Ai'(0)}{\int_0^\infty Ai(t) dt} \right)^{3/4} = 0.8272. \quad (93)$$

548 [55] found as a solution for the wall shear stress

$$u_Y(X, Y = 0) \sim 1. - 1.91 \cdot e^{cX} \quad (94)$$

549 Fig 14 presents a comparison of the simple analytical solution, Eqs (82) to
 550 (88) and a full numerical solution gained with Sobey's code *sw.f* [2]. It shows
 551 that results from leading first order are able to show that *self induced separation*
 552 occurs, but numerical accuracy is poor. It has to be noted that the original paper
 553 [54] used a higher order Ansatz

$$u = Y - \sum_{n=1}^{\infty} e^{ncX} f'_n(Y), \quad (95)$$

$$v = \sum_{n=1}^{\infty} nce^{ncX} f_n(Y), \quad (96)$$

$$p = \sum_{n=1}^{\infty} a_n e^{ncX}. \quad (97)$$

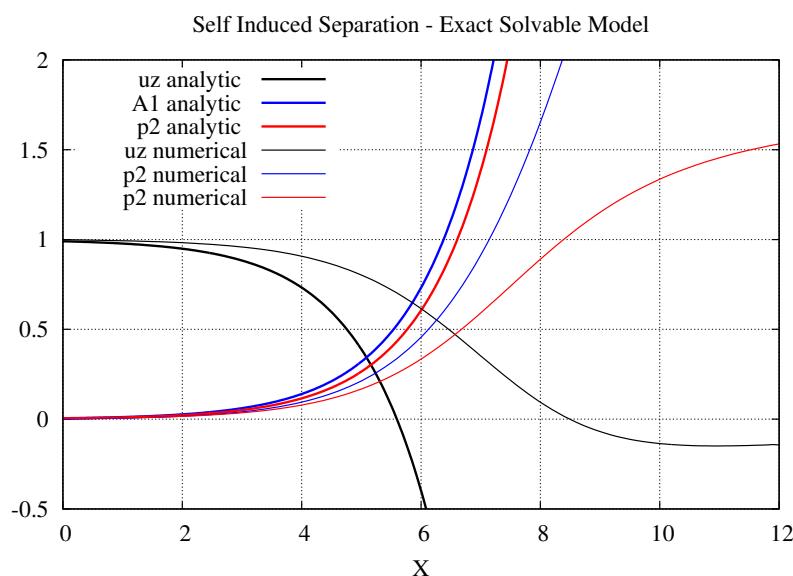


Figure 14: Comparison of wall shear stress, pressure and displacement function from leading order linearized TD approach and full numerical integration of boundary layer equation, Eqs. (82) to (88) with help of the code *sw.f* by [2]

554 This resulted in three coupled DEqs, but the authors preferred to use a direct
555 numerical integration of Eq. (83).

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