

Article

Not peer-reviewed version

# Genetic Predisposition to Hippocampal Atrophy and Risk of Amnestic Mild Cognitive Impairment and Alzheimer's Dementia

[Ioannis Liampas](#) , [Vasileios Siokas](#) , [Niki Mourtzi](#) , Sokratis Charisis , [Stefanos N. Sampatakakis](#) , [Ioannis Foukarakis](#) , Alex Hatzimanolis , [Alfredo Ramirez](#) , Jean-Charles Lambert , [Mary Yannakoulia](#) , [Mary H. Kosmidis](#) , [Efthimios Dardiotis](#) , Georgios Hadjigeorgiou , [Paraskevi Sakka](#) , [Konstantinos Rouskas](#) , [Nikolaos Scarmeas](#) \*

Posted Date: 22 October 2024

doi: 10.20944/preprints202410.1707.v1

Keywords: hippocampal atrophy; Alzheimer's disease; cognitive decline; polygenic risk score



Preprints.org is a free multidisciplinary platform providing preprint service that is dedicated to making early versions of research outputs permanently available and citable. Preprints posted at Preprints.org appear in Web of Science, Crossref, Google Scholar, Scilit, Europe PMC.

Copyright: This open access article is published under a Creative Commons CC BY 4.0 license, which permit the free download, distribution, and reuse, provided that the author and preprint are cited in any reuse.

## Article

# Genetic Predisposition to Hippocampal Atrophy and Risk of Amnestic Mild Cognitive Impairment and Alzheimer's Dementia

Ioannis Liampas <sup>1</sup>, Vasileios Siokas <sup>1</sup>, Niki Mourtzi <sup>2</sup>, Sokratis Charisis <sup>2,3</sup>, Stefanos N. Sampatakakis <sup>2</sup>, Ioannis Foukarakis <sup>2</sup>, Alex Hatzimanolis <sup>4</sup>, Alfredo Ramirez <sup>5,6,7,8,9</sup>, Jean-Charles Lambert <sup>10</sup>, Mary Yannakoulia <sup>11</sup>, Mary H. Kosmidis <sup>12</sup>, Efthimios Dardiotis <sup>1</sup>, Georgios M. Hadjigeorgiou <sup>13</sup>, Paraskevi Sakka <sup>14</sup>, Konstantinos Rouskas <sup>15</sup> and Nikolaos Scarmeas <sup>2,16,\*</sup>

<sup>1</sup> Department of Neurology, University Hospital of Larissa, School of Medicine, University of Thessaly, Larissa, Greece

<sup>2</sup> 1st Department of Neurology, National and Kapodistrian University of Athens Medical School, Aiginition Hospital, Athens, Greece

<sup>3</sup> Department of Neurology, UT Health San Antonio, San Antonio, TX, USA

<sup>4</sup> Department of Psychiatry, Aiginition Hospital, National and Kapodistrian University of Athens Medical School, Athens, Greece

<sup>5</sup> Division of Neurogenetics and Molecular Psychiatry, Department of Psychiatry and Psychotherapy, Medical Faculty, University of Cologne, Cologne, Germany

<sup>6</sup> Department of Neurodegenerative Diseases and Geriatric Psychiatry, University Hospital Bonn, Bonn, Germany

<sup>7</sup> German Center for Neurodegenerative Diseases (DZNE Bonn), Bonn, Germany

<sup>8</sup> Department of Psychiatry, Glenn Biggs Institute for Alzheimer's and Neurodegenerative Diseases, San Antonio, Texas, USA

<sup>9</sup> Excellence Cluster on Cellular Stress Responses in Aging-Associated Diseases (CECAD), University of Cologne, Cologne, Germany

<sup>10</sup> Univ. Lille, Inserm, CHU Lille, Institut Pasteur de Lille, U1167-RID-AGE facteurs de risque et déterminants moléculaires des maladies liés au vieillissement, Lille, France

<sup>11</sup> Department of Nutrition and Dietetics, Harokopio University, Athens, Greece

<sup>12</sup> Lab of Cognitive Neuroscience, School of Psychology, Aristotle University of Thessaloniki, Thessaloniki, Greece

<sup>13</sup> Department of Neurology, Medical School, University of Cyprus, Nicosia, Cyprus

<sup>14</sup> Athens Association of Alzheimer's Disease and Related Disorders, Maroussi, Athens, Greece

<sup>15</sup> Institute of Applied Biosciences, Centre for Research & Technology Hellas, 54124 Thessaloniki, Greece.

<sup>16</sup> Taub Institute for Research in Alzheimer's Disease and the Aging Brain, The Gertrude H. Sergievsky Center, Department of Neurology, Columbia University, New York, USA

\* Correspondence: ns257@cumc.columbia.edu; Tel.: +30 2107259310

**Abstract: Background:** There is paucity of evidence on the association of genetic propensity for hippocampal atrophy with cognitive outcomes. Therefore, we examined the relationship of a Polygenic Risk Score for hippocampal atrophy (PRShp) with the incidence of amnestic mild cognitive impairment (aMCI) and Alzheimer's disease (AD) as well as the rates of cognitive decline. **Methods:** Participants were drawn from the population based HELIAD cohort. Comprehensive neuropsychological assessments were performed at baseline and follow-up. PRShp was derived from the summary statistics of a large GWAS for hippocampal volume. Cox proportional hazards models as well as generalized estimating equations (GEEs) were used to evaluate the association of PRShp with the combined incidence of aMCI/AD and cognitive changes over time, respectively. All models were adjusted for age, sex, education, and Apolipoprotein E (APOE) genotype. **Results:** Our analysis included 618 older adults, among whom 73 developed aMCI/AD after an average follow-up of 2.96±0.8 years. Each additional SD of PRShp elevated the relative hazard for incident aMCI/AD by 46%. Participants at the top quartile of PRShp had almost three times higher risk of converting

to aMCI/AD compared to the lowest quartile group. Higher PRShp scores were also linked to steeper global cognitive and memory decline. The impact of PRShp was greater among women and younger adults. **Conclusions:** Our findings support the association of PRShp with aMCI/AD incidence and with global cognitive and memory decline over time. PRS association was sex and age dependent, suggesting that these factors should be considered in genetic modelling for AD.

**Keywords:** hippocampal atrophy; Alzheimer's disease; cognitive decline; polygenic risk score

---

## 1. Introduction

The Amyloid Tau Neurodegeneration (ATN) framework reflects the pathological hallmarks of Alzheimer's disease (AD): A $\beta$  amyloid deposition (A), tau aggregation (T) and neurodegeneration (N). These markers differentiate AD pathology from other neurocognitive disorders[1]. Unfortunately, the evaluation of ATN markers is limited by the costs or invasive nature of associated procedures.

Of note, novel findings from genetic association studies suggest that AD-related pathology is strongly linked to genetics, leading to a deeper understanding of how genetics contribute to AD-related neurodegeneration [2,3]. Although genetic measures (such as polygenic risk scores -PRS) fail to encapsulate the full and changing landscape of neurodegeneration, they present important advantages in that they capture the time-invariant risk-conferring constituent of AD-related pathology (regardless of the age and time of assessment) and by providing better large-scale applicability. There is, however, paucity of published evidence on the direct association between genetics and clinical outcomes, as the majority of studies have focused on endophenotypes rather than clinical manifestations [4,5].

Hippocampal volume is a well-established structural marker of AD-related neurodegeneration [6]. Numerous studies have already related hippocampal atrophy to more precipitous trajectories of cognitive decline and greater risk of incident AD [7,8]. Of note, hippocampal volumes are highly heritable with genetic factors accounting for 50-70% of the variability in hippocampal size- [4,9]. In a genome-wide association study (GWAS), genes linked to neuronal differentiation, locomotive and exploratory behavior, AD and schizophrenia were associated with hippocampal subfields volumes and the reported SNP-based heritability estimates ranged between 0.1 to 0.3 [10]. However, to our knowledge, only one study has found an association between genetic propensity for higher hippocampal volumes with better executive function and slower verbal fluency decline [4].

Therefore, the aim of the current study was to explore the longitudinal association of genetic predisposition towards smaller hippocampal volumes (hippocampal atrophy) with a) the incidence of Alzheimer's disease dementia (AD) or amnesic mild cognitive impairment (aMCI) and b) rates of cognitive decline. For this purpose, we capitalized on data from the population-based HELIAD (Hellenic Longitudinal Investigation of Aging and Diet) cohort, a randomly selected sample of community-dwelling older adults. PRS for hippocampal volume (PRShp) derived from the summary statistics of a large GWAS [10]. Potential dependence of genetic risk for hippocampal atrophy on age and sex was examined, as well.

## 2. Materials and Methods

### 2.1. Settings and Participants

Our sample was drawn from the prospective HELIAD study, a multidisciplinary population-based cohort that primarily explores the epidemiology of dementia and other neuropsychiatric disorders in the older Greek population [11]. Study procedures were approved by the Institutional Ethics Review Boards of the National and Kapodistrian University of Athens and the University of Thessaly and conducted, in accordance with principles of Good Clinical Practice and the Helsinki

Declaration or later amendments. All participants or surrogates provided informed consent, prior to participation.

Older participants (>64 years) were randomly selected from the rosters of two Greek municipalities, one in the rural area of Larissa (province of Thessaly) and one in the urban area of Marousi (suburb of Athens). Collaborative assessments were conducted by certified neurologists, trained neuropsychologists and dietitians. Relevant information was collected either from participants themselves or from participants' carers (first-degree relatives, spouses, etc.), whenever deemed necessary. Participants underwent baseline and follow-up evaluations after approximately 3-year intervals. Extensive details about the design and key features of the HELIAD study have been described previously [12].

## *2.2. Neuropsychological Evaluation*

Cognition was evaluated by trained neuropsychologists according to a designated approach involving the comprehensive assessment of all major cognitive domains [13]. Episodic memory was assessed through the Greek verbal learning test (GVLT; verbal memory) and the Medical College of Georgia Complex Figure Test (MCG; non-verbal memory); language on the semantic and phonological fluency tasks and subtests of the Greek version of the Boston Diagnostic Aphasia Examination short form (BDAE; the Boston Naming Test-short form, and selected items from the Complex Ideational Material Subtest, to assess verbal comprehension and repetition of words and phrases); visuospatial ability on the Judgment of Line Orientation abbreviated form, the MCG copy condition and the clock drawing test; attention and processing speed on the Trail Making Test - Part A (TMT-A); executive functioning on the Trail Making Test - Part B (TMT-B), Anomalous Sentence Repetition (created for the present investigation), Graphical Sequence Test and Motor Programming. Individual test raw scores were converted into z-scores using mean and standard deviation (SD) values of the participants who were cognitively normal (without MCI or dementia) at baseline [14]. Higher scores were consistent with better cognitive performance.

## *2.3. Diagnostic Approach*

All participants underwent a standard physical and neurological examination. Particular focus was placed on identifying potential comorbidities that could interfere with cognition, through screening the participants for depression, anxiety, essential tremor, behavioural symptoms, Parkinson disease, dementia with Lewy bodies (DLB), as well as personal history of cerebrovascular disease accounting for the onset or deterioration of cognitive decline. Information was also gathered on comorbidities, regular medication intake, sleep and dietary habits, mental and physical activity, as well as laboratory tests (imaging studies and blood examinations) when available.

The diagnostic classification of the participants according to their cognitive status was established during expert consensus meetings involving senior neurologists and neuropsychologists. Dementia and AD were diagnosed according to the Diagnostic and Statistical Manual of Mental Disorders -IV-text revision criteria [15] and the National Institute of Neurological and Communicative Disorders and Stroke/Alzheimer Disease and Related Disorders Association criteria [16], respectively. The diagnosis of vascular dementia was based on a history or clinical evidence of stroke, the presence of a clear temporal relation between stroke and the onset of dementia and the Hachinski Ischemia Scale score [17]. Lewy body and frontotemporal dementias were diagnosed based on the respective criteria [18,19]. MCI and its subtypes were diagnosed according to the Petersen criteria [20]. MCI was categorized as amnesic in cases of isolated memory impairment or multi-domain impairment involving memory, and as non-amnesic in cases of isolated or combined language, attention, executive, or visuo-perceptual impairment (not involving memory).

## *2.4. Genotyping and Imputation*

Genome-wide genotyping was performed at Life & Brain facilities (Germany) using the Illumina Infinium Global Screening Array and calling was generated by the "centre national de recherche en



généétique humaine" (France) using the data generated by the centres involved in genotyping (Life & Brain, CNRGH and Amsterdam). Details has been described elsewhere (Supplementary Material S1.1) [21].

### 2.5. Polygenic Risk Score Calculation

PRS for hippocampal volume was derived from the summary statistics of a large GWAS on the genetic architecture of hippocampal volumes. The data for this study was derived from 21,297 participants across 16 different cohorts who had available T1-weighted brain scans [10]. In the GWAS summary statistics, each SNP is associated with hippocampal volume levels at a certain p-value threshold.

Details on the polygenic risk calculation are in Supplementary material S1.2. For each subject, we computed 10 different genome-wide PRS for hippocampal volumes based on a priori set of 10 P-value thresholds ( $P_T$ ). To calculate each PRS, we consecutively used reduced sets of genetic variants, summing over all SNPs meeting each of the following significance thresholds:  $p < 0.0001$ ,  $p < 0.001$ ,  $p < 0.01$ ,  $p < 0.05$ ,  $p < 0.1$ ,  $p < 0.2$ ,  $p < 0.3$ ,  $p < 0.4$ ,  $p < 0.5$  and  $p < 1.0$ . Given that hippocampal volumes are inversely related with the risk of incident AD, PRS for hippocampal volumes were multiplied by -1 to align a higher risk score with smaller hippocampal volumes. To facilitate interpretation, PRS were standardized to have a mean zero and SD of 1.

Since each PRS threshold consists of a different set of SNPs, we constructed a set of logistic regression models with the presence of AD-aMCI as the outcome, and the different PRS thresholds as the main predictors. Then, Receiver Operator Characteristic (ROC) curves were constructed for each model. Area under the curve (AUC) and p-values were estimated. The PRS threshold of the model with the best classification accuracy, estimated by calculating the area under the ROC curve, was considered the one that can predict better hippocampal volumes and as such it was used as the measure of genetic predisposition for smaller hippocampal volumes (Table S1).

### 2.6. Statistical Analyses

Analyses were performed using SPSS 26 (SPSS, Chicago, Ill., USA). Baseline characteristics between groups were compared via independent sample t-test for continuous variables and Pearson's chi-squared test for categorical variables. The significance level was set at  $\alpha \leq 0.05$ .

PRS were normally distributed according to the Kolmogorov Smirnov and Shapiro Wilk tests for normality, so we initially treated standardized PRS as scale variable. In turn, to evaluate potential threshold effects we trichotomized our cohort according to PRS quartiles into low (1<sup>st</sup> quartile) vs. intermediate (2<sup>nd</sup> and 3<sup>rd</sup> quartiles) vs. high (4<sup>th</sup> quartile) PRS. Adjusted models included age and education (in years of formal schooling) as covariates in a continuous scale together with sex (female vs male as reference) and *ApoE* genotype (*ApoE4* carriers vs *ApoE4* non carriers as reference) treated as categorical variables. To correct for cryptic relatedness between subjects or unexpected genotyping batch-related errors [22], we included in the models as covariates the first two principal components (PCs) of the whole sample, similarly to previous studies [5].

#### 2.6.1. Cox Proportional Hazard Models

In Cox models, the combined incidence of clinical aMCI (among all MCI types) and AD (among all dementia types) was used as dichotomous outcome. We used this composite event due to the substantially small number of incident AD cases that would considerably undermine the power our analyses. We selected aMCI and no other MCI types because aMCI has been defined as a prodromal stage of AD, since a considerably higher rate of aMCI cases will be converted to AD compared to other types of MCI. Participants with baseline diagnosis of dementia or aMCI were removed from the analysis. Participants that converted to other dementia entities at follow-up were excluded as well, due to the competing nature of different dementia diagnoses. Those who did not develop aMCI or dementia were censored at follow-up. The interval between the baseline evaluation and follow-up was inserted as the time-to-event variable.

First, we examined the association of PRS for hippocampal atrophy with risk of aMCI or AD over time through Cox models adjusted for age, sex, educational attainment, *ApoE* genotype, PC1 and PC2. The proportionality of hazards assumption was confirmed using Cox regression analyses with time-dependent covariates. In particular, for each PRS an extended Cox model including the term PRS\*time along with the PRS was analysed. To verify that the proportionality of hazards assumption was not violated, the coefficient of the time interaction product had to be statistically insignificant. Afterwards, the proportionality of hazards for different PRS strata (quartile approach) over time was confirmed via the same approach.

Next, we performed subgroup analyses, to examine for potential sex and age cohort effects [5]: 1) men vs. women, 2) according to age, participants were divided into older vs. younger, using the median of our sample as cut-off (72.67 years).

2.6.2. Generalized Estimating Equations

The effect of PRS for hippocampal atrophy on rates of cognitive decline was explored using generalised estimating equations (GEE) analyses. GEE accounts for the potential correlation of repeated measurements in the same individual. We treated each participants’ baseline and follow-up evaluations as a cluster. Both autoregressive and exchangeable covariance matrices were used as working correlation structures with comparable results. Six consecutive GEE models were explored using the composite and individual domain cognitive measurements (memory, language, executive function, visuospatial perception, attention) as the dependent scale variables. GEE analyses featured the main effects of PRS (first as scale and followingly as trichotomous variable) and time from baseline as well as PRS by time interaction terms. Models were again controlled for age, educational attainment, sex, *ApoE* genotype, PC1 and PC2.

Finally, to explore for potential disparities regarding the impact of PRS on different sex and age, we performed subgroup analyses based on the same approach described above.

3. Results

3.1. Participant Characteristics and Missing Data Analysis

There were 1017 unrelated, older adults, with available genetic data and without aMCI or dementia at baseline. Follow-up information were not available for 378 participants, 52 converted to aMCI, 21 progressed to AD, 4 developed other dementias and 16 had missing follow-up values on MCI-dementia. Thus, the present analysis involved a total of 619 participants at baseline, 73 of whom developed aMCI or AD at follow-up. Compared to those included (n=619), those without available follow-up information (n=378) were older (74.7 ±5.3 vs. 73.4 ±5.0 years, p<0.001) and less educated (6.5 ±4.1 vs. 7.3 ±4.7 years, p=0.002). Sex (p= 0.612) and *ApoE*<sub>4</sub> (p=0.659) distributions were similar between the two groups. No differences were found with respect to PRS.

The average follow-up of included participants was 2.96 ±0.80 years (between 1.28 and 6.93 years). The demographic and genetic characteristics of the total sample, based on the incidence of aMCI or AD, are presented in Table 1. Those who developed aMCI or AD at follow-up were older, less educated and had higher PRS for hippocampal atrophy.

**Table 1.** Participants’ baseline clinical and genetic characteristics by incidence of aMCI/AD.

Parameters	Total sample	Non aMCI <sup>1</sup> /AD <sup>2</sup> at follow-up	aMCI <sup>1</sup> /AD <sup>2</sup> at follow-up	
	N = 619	N = 546	N = 73	p-value
Age (years), mean ± SD	73.4 ± 5.0	73.1 ± 4.9	75.1 ± 5.4	<b>0.001</b>
Sex, female (%)	361 (58.3%)	321 (58.8%)	40 (54.8%)	0.515
Education (years), mean ± SD	7.3 ± 4.5	7.5 ± 4.5	5.8 ± 4.3	<b>0.002</b>
<i>ApoE</i> ε4 carrier, n (%)	98 (15.8%)	86 (15.8%)	12 (16.4%)	0.880

PRShp <sup>3</sup> , mean ± SD	-0.01 ± 0.98	-0.05 ± 0.98	0.33 ± 0.96	<b>0.002</b>
PRS strata using quartiles				
High	154 (24.9%)	128 (23.4%)	26 (35.6%)	<b>0.018</b>
Intermediate	311 (50.2%)	274 (50.2%)	37 (50.7%)	
Low	154 (24.9%)	144 (26.4%)	10 (13.7%)	

<sup>1</sup> Amnestic Mild Cognitive Impairment, <sup>2</sup> Alzheimer’s Disease, <sup>3</sup> Polygenic Risk Score Scale variables are presented in mean ±SD; categorical variables are presented as absolute numbers (percentages); p-values refer to differences between the non-aMCI-AD and aMCI-AD groups. **Bold** denotes statistical significance.

3.2. Polygenic Risk Score for Hippocampal Atrophy and Incident Amnestic MCI or AD

The proportionality of hazards assumption was confirmed for both scale and trichotomous PRS. First, we tested the unadjusted relationship between the ten PRS constructed at different PT and the risk of aMCI or AD (Supplementary Table 1). Results showed a significant unadjusted association between the PRS calculated at PT=0.01 (8475 SNPs included) and aMCI-AD status at follow-up. Higher PRS values were associated with a significantly higher hazard for progressing to aMCI or AD; one SD increased the relative risk by 39% (p=0.005). When participants were trichotomized according to PRS quartiles, higher PRS strata were again related to significantly higher aMCI-AD risk at the 0.01 PT (p for trend=0.036). Results showed that those who belong to the highest quartile of PRS for hippocampal atrophy had ~2.57 higher risk of developing aMCI or AD (p=0.011) compared to individuals belonging to the bottom quartile of PRS.

Subsequently, adjusted Cox models were tested using PRS calculated at PT=0.01 (Table 2). Adjusted associations were even more prominent, with one SD elevating the relative hazard for incident aMCI or AD by 46% (p=0.002). At the same time, the top quartile of PRS exhibited almost three (~2.81, p=0.006) times higher risk of progressing to aMCI or AD compared to the lowest quartile (Figure 1).

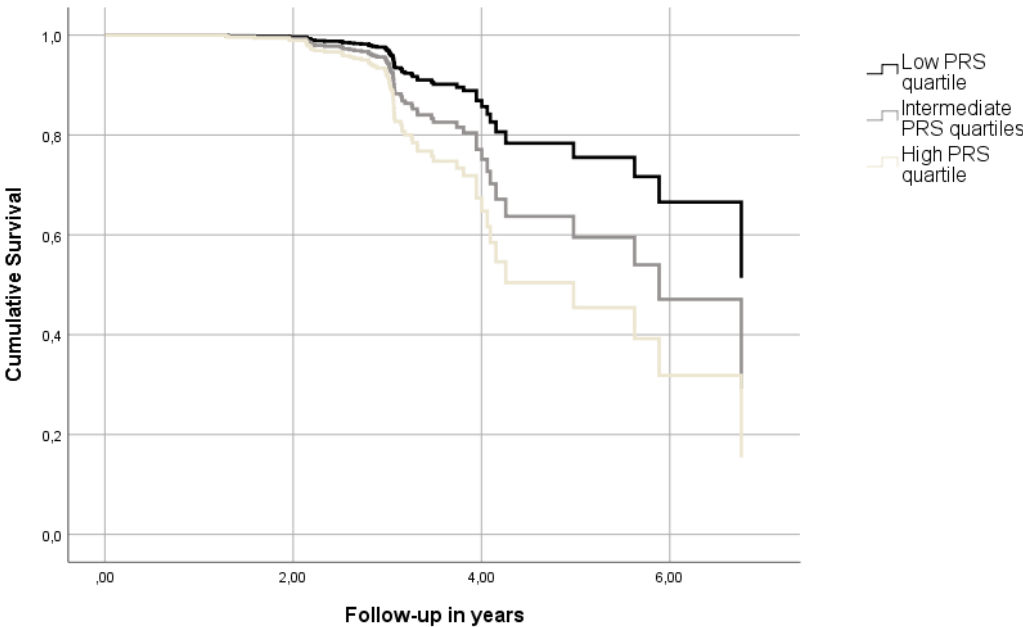
In subgroup analyses (Table 2), it was revealed that the association of PRS for hippocampal atrophy with incident aMCI-AD was mostly driven by women, with one SD of PRS enhancing the relative hazard by 60% in women. In parallel, the upper PRS quartile had almost thrice (~2.89, p=0.033) the risk of developing aMCI or AD at follow-up compared to the lowest quartile. By contrast, results were not significant in men. Regarding age, genetic propensity for hippocampal atrophy was found to affect younger individuals more than older ones. In specific, one additional SD of PRS increased the risk of future aMCI-AD by almost two (~1.87) times in those younger than 72.67 years while associations did not achieve statistical significance in the older age group.

**Table 2.** Cox regression models for aMCI-AD incidence using PRS as scale and trichotomous variable. Models were adjusted for age, sex, and years of education, PC1, PC2 and ApoE ε4 genotype. Subgroup analyses abide by the same approach.

Total Sample		
PRShp <sup>1</sup>	HR <sup>2</sup> (95% CI <sup>3</sup> )	P-value
PRS (scale)	1.46 (1.14 – 1.86)	0.002
PRS strata using quartiles		0.021
High	2.81 (1.34 – 5.90)	0.006
Intermediate	1.85 (0.91 – 3.77)	0.091
Low	Reference	
	Men (N = 258)	Women (N = 361)
PRShp <sup>1</sup>	HR <sup>2</sup> (95% CI <sup>3</sup> ), P-value	HR <sup>2</sup> (95% CI <sup>3</sup> ), P-value
PRS (scale)	1.18 (0.78 – 1.78), .442	1.60 (1.17 – 2.19), 0.003
PRS strata using quartiles	0.216	0.103
High	2.38 (0.72 – 7.84), 0.154	2.89 (1.09 – 7.64), 0.033

Intermediate	1.28 (0.42 – 3.91), 0.664	2.13 (0.82 – 5.50), 0.119
Low	Reference	Reference
<b>Younger than 72.67 years</b> (N = 310)		
<b>Older than 72.67 years</b> (N = 309)		
<b>PRShp<sup>1</sup></b>	<b>HR<sup>2</sup> (95% CI <sup>3</sup>), P-value</b>	<b>HR<sup>2</sup> (95% CI <sup>3</sup>), P-value</b>
PRS (scale)	<b>1.87 (1.21 – 2.90), 0.005</b>	1.31 (0.96 – 1.78), 0.089
PRS strata using quartiles	0.087	0.162
High	3.71 (1.09 – 12.68), 0.037	2.52 (0.97 – 6.54), 0.058
Intermediate	1.88 (0.59 – 6.02), 0.289	1.86 (0.74 – 4.70), 0.187
Low	Reference	Reference

<sup>1</sup> Polygenic Risk Score for hippocampal atrophy <sup>2</sup> Hazard Ratio, <sup>3</sup> Confidence Interval. **Bold** denotes statistical significance. For each subgroup the number of (events/subgroup size) is provided.



**Figure 1.** Cumulative survival curves depicting the combined aMCI/AD risk of participants with different levels of PRShp (p for trend= 0.021). The figure was derived from a model adjusted for age, sex, education, PC1, PC2 and APOE ε4 genotype.

3.3. Polygenic Risk Score for Hippocampal Atrophy and Rates of Cognitive Decline

First, we tested adjusted GEE models using PRS as scale variable (Table 3). Results suggested that for each extra SD of PRS the rates of yearly global cognitive decline increased by 1.3% of a SD. Although comparable associations were captured for memory (1.5%) executive function (1.2%) and language (1.2%), these estimates were less precise and did not reach statistical significance. Subsequently, we tested adjusted GEE models using PRS as trichotomous variable (Table 3). It was found that the top PRS quartile experienced more precipitous memory (by 5.1% per year) and global cognitive decline (by 3.8% annually) compared to the low quartile.

In subgroup analyses, it was revealed once again that the relationship between genetic predisposition to hippocampal atrophy and cognitive decline was mostly driven by women (Supplementary Table 1). In specific, higher PRS was related to steeper global cognitive, executive, memory, language, as well as visuoperceptual decline in older female but not male adults. The association between PRS and cognitive decline was differentiated only with respect to executive



function between younger and older adults: executive function diminished in a steeper fashion among those younger than 72.67 years (Supplementary Table 2).

**Table 3.** GEE (generalized estimating equations) -predicted rates of cognitive decline in cognitively unimpaired older adults. For high and intermediate PRS by time interactions the reference group was the low PRS by time product. Models were adjusted for age, sex and years of education, PC1, PC2, ApoE4 genotype and incidence of aMCI at follow-up.

Parameter	PRShp <sup>1</sup> (scale) by time interaction (β <sup>2</sup> , 95% CI <sup>3</sup> , p-value)	High PRShp <sup>1</sup> by time interaction (β <sup>2</sup> , 95% CI <sup>3</sup> , p-value)	Intermediate PRShp <sup>1</sup> by time interaction (β <sup>2</sup> , 95% CI <sup>3</sup> , p-value)
Global cognition	-0.013 (-0.025, -0.000), <b>0.043</b>	-0.038 (-0.075, -0.002), <b>0.038</b>	-0.017 (-0.045, 0.011), 0.236
Memory	-0.015 (-0.032, 0.001), 0.069	<b>-0.051 (-0.096, 0.006), 0.025</b>	-0.011 (-0.052, 0.030), 0.606
Visuospatial	-0.003 (-0.028, 0.021), 0.791	-0.024 (-0.091, 0.043), 0.486	-0.015 (-0.070, 0.040), 0.586
Executive	-0.012 (-0.025, 0.001), 0.079	-0.033 (-0.069, 0.002), 0.067	-0.031 (-0.063, 0.002), 0.066
Language	-0.012 (-0.025, 0.001), 0.076	-0.022 (-0.062, 0.017), 0.269	-0.010 (-0.046, 0.026), 0.588
Attention	-0.007 (-0.032, 0.017), 0.556	-0.010 (-0.082, 0.061), 0.780	-0.026 (-0.088, 0.036), 0.414

<sup>1</sup> Polygenic Risk Score for hippocampal atrophy, <sup>2</sup> Regression Coefficient <sup>3</sup> Confidence Interval. **Bold** denotes statistical significance.

4. Discussion

In the present study, we found that PRS for hippocampal atrophy was related to greater risk of incident aMCI or AD, as well as to steeper global cognitive and memory decline. It was revealed that these associations were more prominent in women compared to men and in younger versus older adults. Of note, in the female subgroup, apart from memory, higher PRS was associated with steeper global cognitive, executive function, language, and visuooperceptual skills decline.

Hippocampus is the central hub of a complex brain network supporting episodic memory [23]. Episodic memory impairment is the neuropsychological correlate of typical AD, while aMCI (involving episodic memory impairment) is the MCI precursor of AD [24,25]. Initial pathological alterations in AD take place in the medial temporal lobes including the hippocampal formations [26]. Subsequently, hippocampal volume loss is an early structural change in CU individuals at high risk of developing AD and is considered a specific marker of AD-related neurodegeneration [27]. As AD-related pathology accumulates, brain reserve -a term that encompasses the structural characteristics of the brain which enable individuals to better cope with neuropathological alterations- assumes a crucial role in the individual susceptibility to disease [28]. In this general framework, genetic predisposition towards hippocampal atrophy could not only reflect increased propensity for AD-related neurodegeneration but also decreased resilience leading to unsuccessful adaptation to these changes.

Amyloid-β deposition has been longitudinally related to hippocampal volume loss spreading from selective subfields across the hippocampus and concurring memory decline [29]. Recent evidence suggests that large hippocampal volumes may represent a measure of brain reserve that allows older adults to maintain normal cognition in spite of amyloid accumulation [30]. According to this scenario, in the presence of comparable pathological changes, individuals with larger hippocampal volumes have greater brain resilience, will remain asymptomatic for longer periods of

time and progress towards aMCI/AD in a slower manner. However, until additional evidence is acquired, the concept that hippocampal volumes constitute a measure of brain reserve will remain purely theoretical.

Irrespective of the neurobiological underpinnings, hippocampal atrophy is an early structural change in CU individuals at high risk of developing AD and is considered a specific marker of AD-related neurodegeneration [27]. Structural magnetic resonance imaging (MRI) is the standard modality to quantify hippocampal volumes. The routine utilization of structural MRI, especially in cases of CU older adults (for research purposes) is hindered by a number of limitations. PRS for hippocampal atrophy admittedly outweigh the standard modality in terms of procedure-related limitations (e.g., tolerability or applicability in cases of metal implants), re-evaluation requirements (PRS values are interchangeable regardless of the age and timing of assessment) and large-scale applicability. Hippocampal volumes constitute a highly heritable trait [4,9] and our findings suggest that a PRS for hippocampal atrophy could be useful in a research setting as a potential alternative to structural MRI in studies conducted in large cohorts with available genetic data such as UKBiobank [31].

Of note, the impact of PRS was found to be sex-dependent and more pertinent to women. The neurobiology of cognition differs between men and women, with sex differences likely being influenced by a great number of factors including psychosocial, cultural, behavioural and most notably biological parameters (e.g., sex hormones). Previous research examining sex interactions with AD-related pathology has demonstrated that the long-standing female advantage in episodic memory and verbal fluency (which is heavily based on executive skills) is moderated or even vanished in the presence of biomarkers of AD-related pathology in non-demented adults (e.g., positive amyloid positron emission tomography, high amyloid level in the cerebrospinal fluid, lower temporal lobe glucose metabolic rates or smaller hippocampal volumes) [32–34]. Notably, a published survey has specifically found that the female supremacy in episodic memory is diminished with smaller hippocampal volumes among individuals with aMCI [35]. -In line with these findings and using PRS for hippocampal atrophy as proxy for smaller hippocampal volumes we -found that greater hippocampal atrophy is related to steeper cognitive changes in female compared to male older adults. Further studies should examine if this association could in time moderate or eliminate the long-standing female advantage in cognition.

As for age, although previous research has shown that hippocampal volumes are longitudinally related to cognitive -especially episodic memory- changes over time in older adults above 65 years of age, potential differences between younger versus older individuals in this age group have not been explored [36]. Therefore, the reproducibility of our findings cannot be tested.

### *Strengths and Limitations*

The present article has several strengths. To our knowledge this is the first study examining the association between PRS for hippocampal atrophy and incidence of aMCI and AD. Subgroup analyses were performed to identify the potential exaggerated (or deflated) influence of genetic predisposition to hippocampal atrophy in different demographic subgroups. Another strength is the longitudinal design of our study that enabled us to eliminate any life-long genetic effects on our subgroup of older individuals and focus on the contemporary impact of genetics, during the follow-up period of the study. To address the potential impact of advanced undergoing neurodegenerative processes on the rates of cognitive decline we analyzed only participants with normal cognition throughout the follow-up. An expert-consensus clinically established diagnosis of dementia based on standard criteria and supported by a comprehensive neuropsychological evaluation augmented the accuracy of the diagnostic categorization of the participants.

However, the current survey has several limitations, as well. First, volumetric measurements from MRI scans were not available and thus information on the intermediate phenotype was lacking. Second, we did not have access to ATN biomarkers to corroborate the clinically-established neuropsychiatric diagnoses; such biomarkers are considered to increase the precision in the identification and differential diagnosis of dementia. Therefore, misclassification bias may yet be

present. Third, non-response and attrition biases may have influenced our findings since follow-up assessments were not conducted for a non-trivial proportion of the original sample. Additionally, the moderate follow-up period of the present study led to the documentation of a small number of events and may have underpowered our analyses. Finally, HELIAD participants are of Greek ancestry and results may not be applied to other ethnic groups. Further studies should be performed in other ethnic groups to confirm these findings.

**Supplementary Materials:** The following supporting information can be downloaded at the website of this paper posted on Preprints.org

**Author Contributions:** Conceptualization, I.L.; methodology, I.L. and V.S.; investigation, I.L., formal analysis, I.L. and S.N.S.; writing—original draft preparation, I.L., writing—review and editing, N.M., S.C., S.N.S., I.F., A.H., A.R., J.C.L., M.Y., M.H.K., E.D., G.M.H., P.S., K.R. and N.S.; supervision, N.S.; project administration, N.S.; funding acquisition, N.S. and E.D. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the following grants: IIRG-09-133014 from the Alzheimer's Association; 189 10276/8/9/2011 from the ESPA-EU program Excellence Grant (ARISTEIA), which is co-funded by the European Social Fund and Greek National resources, and DY2b/oik.51657/14.4.2009 from the Ministry for Health and Social Solidarity (Greece). The funders had no role in the design, analysis or writing of this article.

**Institutional Review Board Statement:** The study was conducted in accordance with the Declaration of Helsinki and approved by the Institutional Review Boards of the National and Kapodistrian University of Athens (Approval Code: 256) and the University of Thessaly (Approval Code: 138).

**Informed Consent Statement:** Informed consent was obtained from all subjects involved in the study.

**Data Availability Statement:** The data that support the findings of this study are available from the corresponding author [N.S.], upon reasonable request.

**Conflicts of Interest:** The authors declare no conflicts of interest.

## References

1. Koychev I, Jansen K, Dette A, Shi L, Holling H. Blood-Based ATN Biomarkers of Alzheimer's Disease: A Meta-Analysis. *J Alzheimers Dis.* 2021;79(1):177–95.
2. Kim HR, Jung SH, Kim J, Jang H, Kang SH, Hwangbo S; et al. Identifying novel genetic variants for brain amyloid deposition: A genome-wide association study in the Korean population. *Alzheimer's Research & Therapy.* 2021 Jun 21;13(1):117.
3. Seo J, Byun MS, Yi D, Lee JH, Jeon SY, Shin SA; et al. Genetic associations of in vivo pathology influence Alzheimer's disease susceptibility. *Alzheimer's Research & Therapy.* 2020 Nov 19;12(1):156.
4. Armstrong NM, Dumitrescu L, Huang CW, An Y, Tanaka T, Hernandez D; et al. Association of Hippocampal Volume Polygenic Predictor Score with Baseline and Change in Brain Volumes and Cognition Among Cognitively Healthy Older Adults. *Neurobiol Aging.* 2020 Oct;94:81–8.
5. Mourtzi N, Charisis S, Tsapanou A, Ntanasi E, Hatzimanolis A, Ramirez A; et al. Genetic propensity for cerebral amyloidosis and risk of mild cognitive impairment and Alzheimer's disease within a cognitive reserve framework. *Alzheimers Dement.* 2023 Mar 9;.
6. Jack CR, Bennett DA, Blennow K, Carrillo MC, Dunn B, Haeberlein SB; et al. NIA-AA Research Framework: Toward a biological definition of Alzheimer's disease. *Alzheimers Dement.* 2018 Apr;14(4):535–62.
7. Gorbach T, Pudas S, Bartrés-Faz D, Brandmaier AM, Düzel S, Henson RN; et al. Longitudinal association between hippocampus atrophy and episodic-memory decline in non-demented APOE  $\epsilon$ 4 carriers. *Alzheimers Dement (Amst).* 2020;12:e12110.
8. Li JQ, Tan L, Wang HF, Tan MS, Tan L, Xu W; et al. Risk factors for predicting progression from mild cognitive impairment to Alzheimer's disease: A systematic review and meta-analysis of cohort studies. *J Neurol Neurosurg Psychiatry.* 2016 Dec;87:476–84.
9. den Braber A, Bohlken MM, Brouwer RM, van 't Ent D, Kanai R, Kahn RS; et al. Heritability of subcortical brain measures: A perspective for future genome-wide association studies. *Neuroimage.* 2013 Dec;83:98–102.
10. van der Meer D, Rokicki J, Kaufmann T, Córdova-Palomera A, Moberget T, Alnæs D; et al. Brain scans from 21,297 individuals reveal the genetic architecture of hippocampal subfield volumes. *Mol Psychiatry.* 2020;25(11):3053–65.
11. Liampas I, Siokas V, Kyrozis A, Sakoutis G, Yannakoulia M, Kosmidis MH; et al. Prevalence and Determinants of Restless Legs Syndrome (Willis-Ekbom Disease) in an Older Greek Population. *Behav Sleep Med.* 2022 Aug 22;1–13.

12. Liampas I, Hatzimanolis A, Siokas V, Yannakoulia M, Kosmidis MH, Sakka P; et al. Antihypertensive Medication Class and the Risk of Dementia and Cognitive Decline in Older Adults: A Secondary Analysis of the Prospective HELIAD Cohort. *J Alzheimers Dis.* 2022;89:709–19.
13. Bougea A, Maraki MI, Yannakoulia M, Stamelou M, Xiromerisiou G, Kosmidis MH; et al. Higher probability of prodromal Parkinson disease is related to lower cognitive performance. *Neurology.* 2019 May 7;92(19):e2261–72.
14. Liampas I, Siokas V, Ntanasi E, Kosmidis MH, Yannakoulia M, Sakka P; et al. Cognitive trajectories preluding the imminent onset of Alzheimer's disease dementia in individuals with normal cognition: Results from the HELIAD cohort. *Aging Clin Exp Res.* 2023 Jan;35:41–51.
15. American Psychiatric Association. *Diagnostic and Statistical Manual of Mental Disorders.* Fourth Edition. Washington, DC; 2000.
16. McKhann G, Drachman D, Folstein M, Katzman R, Price D, Stadlan EM. Clinical diagnosis of Alzheimer's disease: Report of the NINCDS-ADRDA Work Group\* under the auspices of Department of Health and Human Services Task Force on Alzheimer's Disease. *Neurology.* 1984 Jul 1;34:939–939.
17. Hachinski VC, Iliff LD, Zilhka E, Du Boulay GH, McAllister VL, Marshall J; et al. Cerebral Blood Flow in Dementia. *Archives of Neurology.* 1975 Sep 1;32:632–7.
18. McKeith IG, Galasko D, Kosaka K, Perry EK, Dickson DW, Hansen LA; et al. Consensus guidelines for the clinical and pathologic diagnosis of dementia with Lewy bodies (DLB): Report of the consortium on DLB international workshop. *Neurology.* 1996 Nov 1;47:1113–24.
19. Neary D, Snowden JS, Gustafson L, Passant U, Stuss D, Black S; et al. Frontotemporal lobar degeneration: A consensus on clinical diagnostic criteria. *Neurology.* 1998 Dec 1;51:1546–54.
20. Petersen RC. Mild cognitive impairment as a diagnostic entity. *J Intern Med.* 2004 Sep;256:183–94.
21. Bellenguez C, Küçükali F, Jansen I, Andrade V, Moreno-Grau S, Amin N; et al. New insights on the genetic etiology of Alzheimer's and related dementia. *medRxiv.* 2020 Dec 14;2020.10.01.20200659.
22. Collister JA, Liu X, Clifton L. Calculating Polygenic Risk Scores (PRS) in UK Biobank: A Practical Guide for Epidemiologists. *Front Genet.* 2022;13:818574.
23. Tulving E, Markowitsch HJ. Episodic and declarative memory: Role of the hippocampus. *Hippocampus.* 1998;8:198–204.
24. Economou A, Routsis C, Papageorgiou SG. Episodic Memory in Alzheimer Disease, Frontotemporal Dementia, and Dementia With Lewy Bodies/Parkinson Disease Dementia: Disentangling Retrieval From Consolidation. *Alzheimer Disease & Associated Disorders.* 2016 Jan;30:47–52.
25. Petersen RC, Stevens JC, Ganguli M, Tangalos EG, Cummings JL, DeKosky ST. Practice parameter: Early detection of dementia: Mild cognitive impairment (an evidence-based review). Report of the Quality Standards Subcommittee of the American Academy of Neurology. *Neurology.* 2001 Dec 8;56:1133–42.
26. Braak H, Braak E. Neuropathological staging of Alzheimer-related changes. *Acta Neuropathol.* 1991;82:239–59.
27. Schröder J, Pantel J. Neuroimaging of hippocampal atrophy in early recognition of Alzheimer's disease – a critical appraisal after two decades of research. *Psychiatry Research: Neuroimaging.* 2016 Jan 30;247:71–8.
28. Whitepaper: Defining and investigating cognitive reserve, brain reserve and brain maintenance. *Alzheimers Dement.* 2020 Sep;16:1305–11.
29. Zhang L, Mak E, Reilhac A, Shim HY, Ng KK, Ong MQW; et al. Longitudinal trajectory of Amyloid-related hippocampal subfield atrophy in nondemented elderly. *Human Brain Mapping.* 2020;41:2037–47.
30. Yildirim Z, Delen F, Berron D, Baumeister H, Ziegler G, Schütze H; et al. Brain reserve contributes to distinguishing preclinical Alzheimer's stages 1 and 2. *Alzheimer's Research & Therapy.* 2023 Feb 28;15:43.
31. Bycroft C, Freeman C, Petkova D, Band G, Elliott LT, Sharp K; et al. The UK Biobank resource with deep phenotyping and genomic data. *Nature.* 2018 Oct;562(7726):203–9.
32. Caldwell JZK, Berg JL, Cummings JL, Banks SJ, Alzheimer's Disease Neuroimaging Initiative. Moderating effects of sex on the impact of diagnosis and amyloid positivity on verbal memory and hippocampal volume. *Alzheimers Res Ther.* 2017 Sep 12;9:72.
33. Koran MEI, Wagener M, Hohman TJ. Sex Differences in the Association between AD Biomarkers and Cognitive Decline. *Brain Imaging Behav.* 2017 Feb;11:205–13.
34. Sundermann EE, Maki PM, Rubin LH, Lipton RB, Landau S, Biegon A. Female advantage in verbal memory. *Neurology.* 2016 Nov 1;87(18):1916–24.
35. Sundermann E, Biegon A, Rubin L, Lipton R, Landau S, Maki P. Sex Differences in the Relationship between Hippocampal Volume and Verbal Memory Performance (P6.177). *Neurology [Internet].* 2015 Apr 6 [cited 2023 Mar 26];84(14 Supplement). Available from: [https://n.neurology.org/content/84/14\\_Supplement/P6.177](https://n.neurology.org/content/84/14_Supplement/P6.177).
36. Gorbach T, Pudas S, Lundquist A, Orädd G, Josefsson M, Salami A; et al. Longitudinal association between hippocampus atrophy and episodic-memory decline. *Neurobiology of Aging.* 2017 Mar 1;51:167–76.

37. Grove ML, Yu B, Cochran BJ, Haritunians T, Bis JC, Taylor KD; et al. Best Practices and Joint Calling of the HumanExome BeadChip: The CHARGE Consortium. *PLoS ONE*. 2013;8:e68095.
38. Abraham G, Qiu Y, Inouye M. FlashPCA2: Principal component analysis of Biobank-scale genotype datasets. *Bioinformatics*. 2017 Sep 1;33(17):2776–8.
39. McCarthy S, Das S, Kretzschmar W, Delaneau O, Wood AR, Teumer A; et al. A reference panel of 64,976 haplotypes for genotype imputation. *Nat Genet*. 2016 Oct;48(10):1279–83.
40. Karczewski KJ, Francioli LC, Tiao G, Cummings BB, Alföldi J, Wang Q; et al. The mutational constraint spectrum quantified from variation in 141,456 humans. *Nature*. 2020 May;581(7809):434–43.
41. Das S, Forer L, Schönherr S, Sidore C, Locke AE, Kwong A; et al. Next-generation genotype imputation service and methods. *Nat Genet*. 2016 Oct;48(10):1284–7.
42. Loh PR, Danecek P, Palamara PF, Fuchsberger C, A Reshef Y, K Finucane H; et al. Reference-based phasing using the Haplotype Reference Consortium panel. *Nat Genet*. 2016 Nov;48(11):1443–8.
43. Choi SW, O'Reilly PF. PRSice-2: Polygenic Risk Score software for biobank-scale data. *Gigascience*. 2019 Jul 1;8:giz082.
44. International Schizophrenia Consortium, Purcell SM, Wray NR, Stone JL, Visscher PM, O'Donovan MC; et al. Common polygenic variation contributes to risk of schizophrenia and bipolar disorder. *Nature*. 2009 Aug 6;460(7256):748–52.

**Disclaimer/Publisher's Note:** The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.