

Communication

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# Breast Cancer Molecular Subtyping in Practice: A Real-World Study of the APIS Breast Cancer Subtyping Assay in a Consecutive Series of Breast Core Biopsies

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Communication

# Breast Cancer Molecular Subtyping in Practice: A Real-World Study of the APIS Breast Cancer Subtyping Assay in a Consecutive Series of Breast Core Biopsies

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Abstract: The APIS Breast Cancer Subtyping Kit is an mRNA-based assessment of the three biomarkers routinely assessed in all newly diagnosed breast cancers (BC), estrogen receptor (ER), progesterone receptor (PR), and HER2 as well as an additional four genes that create a novel proliferation signature, MKI67, PCNA, CCNA2, KIF23. Taken together the data is used to produce a molecular sub-type for every sample. The kit was evaluated against the current standard protocol of immunohistochemistry (IHC) and/or in situ hybridization (ISH) in breast cancer patients. The data was presented at the weekly breast Multidisciplinary Team (MDT) meeting. 98 consecutive cases of pre-operative breast cancer core biopsies and two core biopsy of nodal metastases yielding 100 cases were assessed. IHC and APIS Results were available for 100 and 99 cases. ER was concordant in 97% cases, PR in 89% and HER2 results were concordant with IHC/ISH in 100% of the cases. Ki-67 IHC was discordant in 3% cases when compared with MK167 alone but discordant in 24% when compared with the four gene proliferation signature. In conclusion, our study, indicates that the APIS Breast Cancer Subtyping Kit is highly concordant when compared to the results produced for ER/PR/HER2 by IHC and/or ISH. The assay could play a role in the routine assessment of newly diagnoses Breast Cancer (BC) specimens.

Keywords: Breast Cancer; Immunohistochemistry; ER; PR; HER-2; Ki-67; APIS mRNA molecular assessment

# 1. Introduction

Currently, the use of IHC and/or ISH is the standard of care for routine assessment of biomarkers in breast cancers. This assessment allows subdivision of BC into several groupings; hormone receptor (ER/PR) positive/negative, HER2 positive equivocal and negative and triple negative breast cancers (TNBC).

Studies by Perou et. al. [1] reported that BC can also be classified into several molecular subgroups based on gene expression profiles; luminal, HER2, basal-like and normal breast-like cancers. Subsequently these groups have been shown to have distinct clinical behaviours and responses to chemotherapy [2]. As most histology laboratories have not had direct access to molecular testing, IHC tests have been used as surrogate of gene expression analysis to reproduce these gene expression profiles [3].

However, it is accepted that BC gene expression profiles are more complex than this and this has led to the development of RNA expression profile tests such as OncotypeDX, Endopredict and Prosigna (based on PAM50). These tests are all now included in the NHSE Cancer Test Directory

(NHS England Website) on surgically resected BC tissue in a particular cohort of patients. They utilise the expression data from between 12-50 genes, depending on which profiling test is being run. Unsurprisingly, there are significant discordances between sub-typing with these molecular tests and IHC-based testing [5].

In an attempt to further refine IHC sub-typing, the proliferation marker Ki-67 was introduced. However, there are well-known limitations in the use of this marker; these include inter-laboratory variability even among expert breast pathologists and a lack of consensus regarding the optimal cut-off point between positivity and negativity [6].

There is still some controversy over the most appropriate method to define ER and PR status and the reproducibility for the new diagnostic category of 'HER-2 low' BC is poor even among expert breast pathologists [7]. These obstacles have generated a search for novel avenues for BC sub-typing. To overcome the IHC/ISH and cut-off issues, the proposal to use mRNA-based assay has been suggested as a possible alternative in routine practice. The use of RT-qPCR, particularly in the form of the Xpert BC STRAT4 assay, has been successfully validated. This assay has been used in the Europe-wide EQA study and has shown correlation and reproducibility with IHC/ISH in several European laboratories, however, the number of cases assessed so far has been limited [8].

The recent development of the APIS Breast Cancer Subtyping Kit presents a realistic molecular alternative to IHC/ISH. It is an RNA-based diagnostic assay that assesses mRNA expression of the standard IHC biomarkers HER2, ER & PR. In addition, Ki67 plus another three genes provide further data used to generate a proliferation signature for every sample. This assessment can be performed on pre-operative core needle biopsy (CNB) or resected formalin-fixed paraffin-embedded (FFPE) breast tumour tissue. Laboratory personnel can perform the assessment of cancer samples using this kit; there is no requirement for the involvement of specialist Histopathologist.

We present the first clinical study of 100 consecutive, prospective, pre-operative BC core biopsies analysed using the APIS mRNA assay. Samples were assessed in parallel with the routine IHC/ISH workflows thus enabling patient discussion of all the data during the routine breast MDT meetings.

## 2. Material and methods

#### 2.1. Samples

98 samples of FFPE pre-operative breast core biopsies and 2 core biopsies of lymph nodes were assessed histologically and the presence and grade of cancer confirmed. Current routine processes assessed all the samples by IHC/ISH and they were simultaneously assessed using the APIS mRNA-based assay.

The mRNA data generated by the APIS assay was included in the patient pathological report and clinical discussion of the data occurred. It is important to note that national guidelines were followed and only the IHC/ISH results were employed in relation to patient management.

# 2.2. Immunohistochemistry and In situ Hybridisation

Immunohistochemistry for HER2, ER, PR and Mib-1 (Ki67) was performed using an automated staining module as per standard protocols for routine assessment of these samples. Nuclear staining for ER and PR was scored using the Allred scoring system for BC based on assessment of intensity of nuclear staining and proportion of immuno-stained cells [8].

ER IHC was divided into negative (0/8) and strongly positive (7-8/8) groups; no cases of weak or intermediate nuclear staining were recorded. PR IHC was divided into three groups based on the staining seen; negative (0/8), weak-moderate (2-6/8) and strong (7-8/8).

Ki67 IHC was divided in two groups low (<20%) and high (>20%). The threshold for positivity of >1% was adopted as described previously ASCO/CAP ER/PR [9].

For HER-2, the standard ASCO/CAP scoring system was applied, 0, 1+, 2+, 3+.

ISH was used to further assess BCs exhibiting Her2 2+ IHC.

All IHC slides from each BC case underwent histological evaluation by an experienced BC pathologist

### 2.3. RNA Extraction

Total RNA was isolated from FFPE tissue sections measuring 10  $\mu m$  in thickness, ensuring an area of the specimen with tumour content of  $\geq$ 20% was analysed. The automated extraction of RNA was performed using Maxwell48 RSC RNA FFPE Kit following the manufacturer's recommended protocol. Subsequently, the RNA content within each eluate was quantified and normalized to a concentration of 2.5 ng/ $\mu$ L. Samples were stored at -80°C until required.

# 2.4. Gene Expression by RT-qPCR

The quantification of mRNA expression levels was performed using the APIS Breast Cancer Subtyping Kit. This analysis uses RT-PCR for the measurement of *ESR1*, *PGR*, *ERBB2*, *MKI67*, as well as three additional targets found within the proliferation signature (*CCNA2*, *KIF23*, and *PCNA*), along with two reference genes (*IPO8* and *PUM1*).

The assay was set-up as per the manufacturer's protocol and the RT-qPCR reaction was analysed using the QuantStudio™ 5 Dx real-time PCR system (QS5™Dx; Thermo Fisher Scientific).

The protocol requires each specimen to undergo duplicate analysis for each gene assay. A maximum of 10 patient samples and a positive and negative control could be analysed at any one time.

Proprietary software was used to determine levels of gene expression for each sample utilising the reference genes to generate a  $\Delta$ Ct. Briefly, this is achieved through the subtraction of the average cycle threshold (Ct) value of the duplicate measurements of the target of interest from the mean Ct value obtained from duplicate measurements of the reference genes. Binary target calls (positive/negative) were reported based on previously established  $\Delta$ Ct cut-off values specific to each target gene.

A logistic model using  $\Delta$ Ct values *for MKI67, CCNA2, PCNA,* and *KIF23* was employed to calculate a proliferation score within the range of 0 to 1.

A score below 0.5 indicates low proliferation, while a score above 0.5 indicates high proliferation. The molecular subtype classification was determined by considering the combined statuses of ESR1, PGR, ERBB2 and MKI67.

Target	Positive/negative Cut off values
ESR1	-1.98
PGR	-0.63
ERBB2	2.00
MKI67	-0.64

**Table 1.**  $\Delta$ Ct cut off values for the APIS BC Subtyping Kit targets.

# 3. Results

# 3.1. APIS Molecular characteristics

The run validity of RNA results were available for 99 cases tested. One sample failed to produce sufficient RNA for analysis.

Using the BC Subtyping Kit Analysis software developed by APIS Assay Technologies the results calling produced: 76 samples ER positive (above -1.98 cutoff value), 65 were PR positive (above -0.63), 14 samples were Her2 positive (above 2.00). The proliferation signature (MKI67, PCNA, CCNA2, KIF23) produced a 'Low' category in 21 cases versus a High category in 78 cases. See Table 1.

Molecular classification according to this software produced the following subtypes: 39 samples Luminal A, 45 Luminal B (11 Her-2 +ve and 34 Her-2-ve), 11 triple negative (TNBC) and 4 HER2 enriched.

# 3.2. Pathological and IHC characteristics

Results are shown in Table 2.

	Immun	chei	mistry	APIS Breast Cancer Subtyping Kit Analysis							
Serial No	Type/Met	E R	P R	HER-2	MIB1 IHC	ESR 1	PGR	ERB B2	MK167	Proliferati on	Molecula Subtype
1	IDC G2	8/ 8	8/ 8	Low 2+ ISH -neg	Low	+ve	+ve	-ve	Low	Low	Luminal A
2	IDC G2	8/	8/	Low 2+ ISH -ve	Low	+ve	+ve	-ve	high	high	Luminal B Her- 2 -ve
3	IDC G3	8/ 8	8/ 8	Low 1+	high	+ve	+ve	-ve	high	high	Luminal B Her2 -ve
4	IDC G3	0/ 8	6/ 8	-ve	high	-ve	-ve	-ve	high	high	TNBC
5	IDC G3	8/ 8	8/ 8	-ve	high	+ve	+ve	-ve	high	high	Luminal B her- 2 -ve
6	IDC G3	7/ 8	7/ 8	-ve	high	-ve	-ve	-ve	high	high	TNBC
7	IDC G2	8/ 8	8/ 8	Low 1+	high	+ve	+ve	-ve	high	high	Luminal B her- 2 -ve
8	IDC G3	8/	8/ 8	Low 1+	high	+ve	+ve	-ve	High	High	Luminal B her- 2 -ve
9	IDC G2	8/ 8	0/ 8	-ve	low	+ve	-ve	-ve	low	high	Luminal A
10	IDC G2	8/ 8	0/ 8	Low 1+	low	+ve	-ve	-ve	low	Low	Luminal A
11	IDC G3	0/ 8	0/ 8	+ve 3+	low	-ve	-ve	+ve	Low	High	HER-2 Enriched
12	IDC G2	8/ 8	0/ 8	-ve	low	+ve	-ve	-ve	Low	High	Luminal A
13	IDC G2	8/ 8	0/ 8	-ve	low	NA	NA	NA	NA	NA	NA
14	IDC G2	8/ 8	4/ 8	-ve	high	+ve	-ve	-ve	high	High	Luminal B her- 2 -ve
15	IDC G1	7/ 8	8/ 8	-ve	low	+ve	+ve	-ve	low	Low	Luminal A
16	IDC G2	8/ 8	8/ 8	-ve	low	+ve	+ve	-ve	low	low	Luminal A
17	IDC G3	0/ 8	0/ 8	-ve	high	-ve	-ve	-ve	high	high	Triple negative
18	IDC G3	8/ 8	7/ 8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her- 2 -ve
19	IDC G2	8/ 8	8/ 8	-ve	high	+ve	+ve	-ve	High	high	Luminal B
20	IDC G3	8/ 8	8/ 8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her 2 -ve
21	IDC G2	8/ 8	8/ 8	-ve	low	+ve	+ve	-ve	low	low	Luminal A

22	IDC G2	8/	0/ 8	-ve	low	+ve	-ve	-ve	low	High	Luminal A
23	IDC G2	8/ 8	8/ 8	-ve	low	+ve	+ve	-ve	low	High	Luminal A
24	IDC G3	8/ 8	4/ 8	-ve	high	+ve	-ve	-ve	High	High	Luminal B Her- 2 -ve
25	IDC G2	8/ 8	6/ 8	Low 1+	low	-ve	+ve	-ve	low	High	Luminal A
26	IDC G2	8/ 8	8/ 8	-ve	low	+ve	+ve	-ve	Low	High	Luminal A
27	IDC G3	8/ 8	8/ 8	-ve	low	+ve	+ve	-ve	low	low	Luminal A
28	IDC G2	8/ 8	8/ 8	-ve	low	+ve	+ve	-ve	low	High	Luminal A
29	IDC G3	0/ 8	0/ 8	+ve 3+	low	-ve	-ve	-ve	low	High	HER-2 Enriched
30	IDC G2	8/ 8	7/ 8	-ve	low	+ve	+ve	-ve	low	low	Luminal A
31	IDC G3	8/ 8	8/ 8	+ve 3+	high	+ve	+ve	+ve	High	high	Luminal B her- 2 +ve
32	IDC G3	0/ 8	2/ 8	-ve	high	-ve	-ve	-ve	low	high	TNBC
33	IDC G2	8/ 8	8/ 8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her- 2 -ve
34	IDC G2	8/ 8	8/ 8	Low 2+ ISH -ve	high	+ve	+ve	-ve	High	High	Luminal B Her 2 -ve
35	IDC G2	8/ 8	0/ 8	-ve	low	+ve	-ve	-ve	low	High	Luminal A
36	IDC G3	8/ 8	8/ 8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her- 2 -ve
37	IDC G2	0/ 8	0/ 8	-ve	low	-ve	-ve	-ve	low	low	Triple negative
38	IDC G2	7/ 8	8/ 8	Low 1+	low	+ve	+ve	neg	low	low	Luminal A
39	IDC G3	7/ 8	8/ 8	Low 1+	high	positi ve	positi ve	neg	high	high	luminal B her- 2 -ve
40	IDC G3	0/8	0/8	+ve 3+	high	-ve		+ve	High	High	luminal B Her2 +ve
41	IDC G2	8/8	8/8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her2 - ve
42	IDC G3		8/8	+ve 3+	high	+ve	+ve	+ve	High	High	luminal B Her-2 +ve
43	IDC G3	0/8	3/8	Low 1+	high	-ve	-ve	-ve	High	High	TNBC
44	IDC G2	8/8	6/8	2+ISH -ve	low	+ve	-ve	-ve	low	low	Luminal A
45	ILC G2		8/8	-ve	low	+ve	+ve	negativ e	low	high	luminal A
46	ILC G2	8/8	8/8	-ve	low	+ve	+ve	-ve	low	High	Luminal A
47	IDC G2	8/8	8/8	Low +1	high	+ve	+ve	-ve	High	High	Luminal B Her-2 - ve

40		0.10	2.10	•		-		•			TO UD C
48	IDC G3		3/8	-ve	high	-ve	-ve	-ve	High	High	TNBC
49	ILC G2	8/8	8/8	Low 1+	low	+ve	+ve	-ve	low	low	Luminal A
50	IDC G3	8/8	8/8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her 2 - ve
51	IDC G3	8/8	6/8	+ve 3+	high	+ve	-ve	+ve	High	High	Luminal B Her-2 +ve
52	IDC G3	8/8	8/8	Low 1+	high	+ve	+ve	-ve	High	High	Luminal B Her 2 - ve
53	IDC G3	0/8	2/8	+ve 3+	high	-ve	+ve	+ve	High	High	Luminal B Her-2
54	IDC G2	8/8	8/8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her-2 - ve
55	IDC G3	8/8	8/8	Low 1+	high	+ve	+ve	-ve	High	High	Luminal B Her-2 - ve
56	IDC G2	8/8	8/8	-ve	high	+ve	+ve	-ve	High	High	Luminal B Her-2 - ve
57	IDC G2	0/8	3/8	+ve 3+	high	-ve	+ve	+ve	High	High	Luminal B Her 2
58	IDC G3	8/8	8/8	+ve 3+	high	+ve	+ve	+ve	High	High	Lum B Her-2 +ve
59	mucinous ca G2	8/8		Low 1+	low	+ve	+ve	-ve	low	High	Luminal A
60	IDC G2		8/8	low 2+, ISH - ve	low	-ve	-ve	-ve	low	High	Triple negative
61	IDC G2	8/8	8/8	+ve 3+	low	+ve	+ve	+ve	low	High	Lum B Her-2 +ve
62	IDC G2	8/8	8/8	Low 1+	low	+ve	+ve	-ve	low	low	Luminal A
63	IDC G2	8/8	8/8	-ve	low	+ve	+ve	-ve	low	High	Luminal A
64	IDC G3	8/8		-ve	high	+ve	+ve	-ve	high	high	luminal B
65	IDC G2	8/8	2/8	-ve	low	+ve	-ve	-ve	low	High	Luminal A
66	IDC G1	8/8		-ve	low	+ve	+ve	-ve	low	low	Luminal A
67	IDC G3	8/8		-ve	high	+ve	+ve	-ve	High	High	Luminal B her-2
68	IDC G2	8/8	8/8	Low 1+	high	+ve	+ve	-ve	High	High	Luminal B Her-2 - ve
69	IDC G3	0/8	0/8	-ve	High	-ve	-ve	-ve	High	High	TNBC
70	ILC G2	8/8	-	Low 1+	low	+ve	+ve	-ve	low	low	Luminal A
71	IDC G3	7/8		-ve	high	-ve	-ve	-ve	High	High	TNBC
72	ILC G2	-	8/8	-ve	low	+ve	+ve	-ve	low	High	Luminal A
73	ILC G2		8/8	-ve	low	+ve	+ve	-ve	low	high	luminal B her-2 - ve
74	Nodal met IDC G2	8/8	8/8	-ve	high	+ve	+ve	-ve	High	High	Luminal B her-2 - ve
75	IDC G3	8/8	5/8	Low 1+	high	+ve	+ve	-ve	High	High	Luminal B Her2 - ve
76	IDC G3	8/8	3/8	-ve	high	+ve	-ve	-ve	High	High	Luminal B Her2 - ve
77	IDC G2	8/8	8/8	-ve	low	+ve	+ve	-ve	low	High	Luminal A
78	ILC G2		7/8	-ve	low	+ve	+ve	-ve	low	high	Luminal A
79	IDC G2	8/8		-ve	low	+ve	+ve	-ve	low	low	Luminal A
80	IDC G2		3/8	-ve	low	+ve	+ve	-ve	low	low	Luminal A
81	ILC G2		3/8	-ve	low	+ve	-ve	-ve	low	high	Luminal A
82	IDC G3		7/8	-ve	high	+ve	+ve	+ve	High	High	Luminal B Her-2 - ve

•	83	IDC G3	8/8	8/8	+ve 3+	High	+ve	+ve	+ve	High	High	Luminal B Her-2 +ve
	84	IDC G2	8/8	0/8	-ve	low	+ve	-ve	-ve	low	low	Luminal A
	85	IDC G2	8/8	8/8	Low 1+	low	-ve	+ve	-ve	low	high	Luminal A
	86	IDC G3	8/8	8/8	-ve	Low	+ve	+ve	-ve	low	High	Luminal A
	87	IDC N met	0/8	0/8	2+ISH -ve	High	-ve	-ve	-ve	High	High	TNBC
	88	IDC G1	8/8	4/8	-ve	Low	+ve	+ve	-ve	low	low	Luminal A
	89	IDC G3	8/8	5/8	+ve 3+	high	+ve	-ve	+ve	High	high	Luminal B Her-2 +ve
	90	IDC G2	8/8	8/8	-ve	high	+ve	+ve	-ve	High	High	luminal B her-2 -
	91	Muc G2	8/8	8/8	-ve	Low	+ve	+ve	-ve	low	low	luminal A
	92	IDC G2	8/8	8/8	-ve	High	+ve	+ve	-ve	High	High	Luminal B her-2 - ve
	93	Pleom ILC	8/8	3/8	+ve 3+	low	-ve	-ve	+ve	low	High	HER-2 Enriched
	94	IDC G3	7/8	6/8	low 1+	high	+ve	+ve	-ve	high	high	luminal B her-2 - ve
	95	IDC G3	7/8	8/8	low 1+	high	+ve	+ve	-ve	high	High	Luminal B her-2 - ve
	96	IDC G2	8/8	4/8	-ve	low	+ve	-ve	-ve	high	High	luminal B her-2 - ve
	97	IDC G2 8/8		8/8	-ve	high	+ve	+ve	-ve	igh hig	High	Lum B Her-2 -ve
	98	IDC G2	8/8	8/8	Low 1+	low	+ve	+ve	-ve	low	high	luminal A
	99	IDC G3	0/8	3/8	-ve	high	-ve	-ve	+ve	high	high	HER-2 enreihed
	100	ILC G2	8/8	8/8	-ve	low	+ve	+ve	neg	low	low	luminal A

In this consecutive series of 100 cases 3 BCs were Grade 1, 57 were Grade 2 and 38 were Grade 3. Two were nodal metastases. The large majority (89) were invasive carcinoma of no special type (formerly known as Invasive ductal carcinomas (IDC)), 9 were invasive lobular carcinoma (ILC) and 2 were mucinous carcinoma.

The large majority of BCs were ER positive (Allred score 7/8 in 8 cases and 8/8 in 78 cases), 14 cases were ER-negative. PR values were as follows: 0/8 in 14 cases, low (from 2-6/8) in 21 and high (from 7-8/8) in 75 cases.

HER-2 IHC was negative (score 0) in 59 cases, 1+ in 20 cases, 2+/ISH negative in 5 cases and 15 cases were scored positive (3+).

IHC Ki67-proliferation was high (>20%) in 48 cases and low (<20%) in 52 cases.

# 3.3. Comparison of APIS mRNA scores for ER, PR, HER-2, Ki-67 and IHC based results

Each biomarker was recorded as either concordant or discordant when IHC and mRNA results were compared (See table 2).

Briefly, there was 96% overall concordance between IHC and APIS across ER/PR & HER2 assays; 97% ER, 89% PR and 100% HER2.

<u>Discordant Cases</u>: 3/100 cases had results that were discordant between ER-IHC and ER-APIS. One sample was scored by IHC as luminal (ER score 7/8) but was ER-APIS negative in both of two subsequent biopsies (case 6 and 71). This sample was also discordant for both biopsies in the PR assays. A further sample was also scored ER-IHC positive (score 8/8) and ER-APIS negative; case 60, a recurrent IDC in which most of the specimen was scar tissue with a very small amount of cancer cells. This sample was also discordant for PR (IHC-PR positive, PR-APIS negative). One sample (case 93, ILC with mixed features; both classical-ER+/HER-2 negative and pleomorphic ER-/HER-2 +) was ER-IHC positive but ER-APIS negative.

There were an additional 9 cases that were discordant PR-IHC versus PR-APIS. 3% cases were discordant between Ki67 and APIS results when compared with MKI67 alone, but this rose to 24% discordance when the four-gene RNA proliferation signature was compared to Ki67-IHC

#### 4. Discussion

The pandemic resulted in a huge backlog of patients awaiting surgery and subsequently a large number of specimens requiring histological evaluation; this has put an enormous strain on histopathology laboratories across the UK and unfortunately, a consequence being that some cancers were taking longer to be diagnosed.

The APIS Breast Cancer Subtyping Kit provides data for all the biomarkers routinely used in breast cancer staging allowing patient management based on robust and reproducible results. So far those results have been provided through IHC and microscopic examination by highly trained Histopathologist. The use of an RNA-based assay reduces the need for the involvement of this cohort of specialists, thereby releasing their time for other essential work.

Moreover eliminating the subjective interpretation of IHC test produces molecular results that can be used by healthcare professionals to tailor each breast cancer patient according to their molecular signature.

The data reported here indicates that there is an extremely high level of concordance in the results produced by two different methodologies but it also identified a small number of clinically relevant discordances.

In the evaluation of our discordant cases, we categorised them into clinically relevant discordances or discordances requiring improvements/refinement.

# 4.1. Clinically Relevant Discordances

Case 1 was assessed by histology as grade 3 IDC with basal-like features being noted, it was recorded by IHC as luminal subtype (ER score 7/8) with high proliferation. However this sample was reported as a triple negative (basal-like) molecular sub-type by the APIS RNA assessment on two biopsies from the same patient (cases 6 and 71). Figure 1

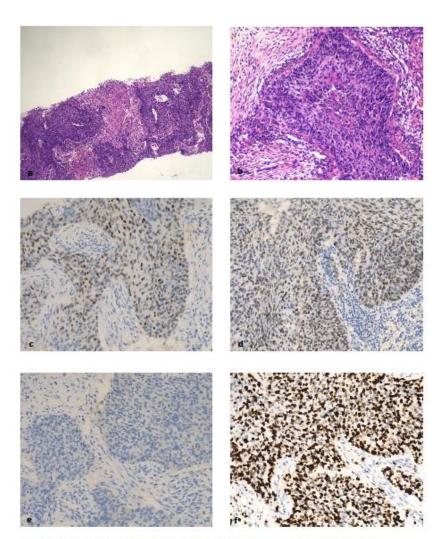


Figure 1. Case 1 discordant case between IHC (luminal ER and PR positive) and APIS TNBC a Breast core biopsy showing an invasive carcinoma grade 3 with basaloid architecture, b Tumour cells show palisaded architecture at the periphery of tumour islands, c Estrogen receptors detects nuclear staining in >75% with moderate nuclear intensity of staining, d Progesteron receptor >75% of cells show positive but moderate staining, e There is no HER-2 protein overexpression (HER-2 negative), f Ki-67 is noted in almost 100% of nuclei of tumour cells.

It is important to note that histologically Luminal BCs classified as basal-like by expression analysis have been demonstrated to be those more responsive to chemotherapy, as shown by the GIADA neoadjuvant study [12]. This case is similar to one previously reported by Kim et al [5] in which clinical follow-up showed worse overall survival than would be expected for a luminal subtype BC.

Case 2 was ER-IHC positive but ER-APIS negative. The patient had a previous hormone receptor positive HER-2 negative IDC with a recurrence occurring in the scar tissue. Review of the slide showed most of the specimen was scar tissue with limited amount of IDC, hence the possible cause of discordant results was due to the low tumour cellularity of the sample. Figure 2

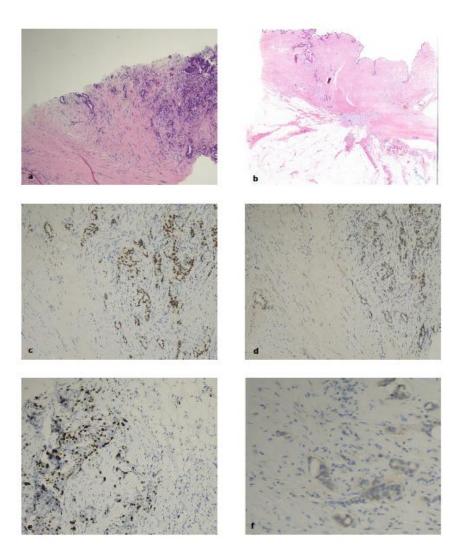


Figure 2 -Case 2 discordant IHC ER & PR +ve /APIS ER/PR negative

Core biopsy of recurrent breast carcinoma. a Prominent scar tissue on the left, tumour tissue on the right. b Recurrence is seen in the cutaneous scar and subcutaneous fat-surgical specimen. c estrogen receptor positive, d progesterone positive, e Ki67 up to 20% hotspot areas, f HER-2 protein low weak cytoplasmic membrane staining (score 1+)

Case 3 was an ILC with mixed features: a small proportion of classical-ER+/HER-2 negative ILC cells and larger proportion of pleomorphic, ER negative/HER-2 positive cells. The APIS assay scored this ER and PR negative/Her-2 positive. Given that the latter component was more prevalent in the sample, the APIS negative result it is not surprising i.e. heterogeneity of the sample has to be taken into consideration when using assessing samples with the APIS assay. Figure 3.

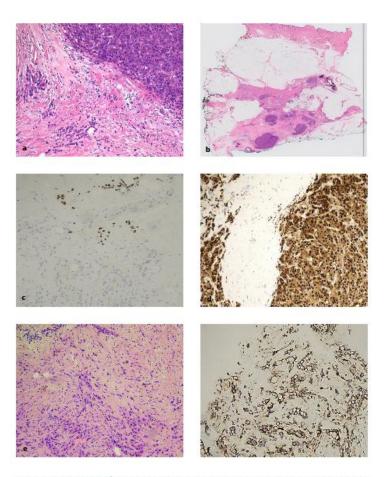


Figure 3 –Discordant APIS/IHC case for oestrogen receptor. a Core biopsy of pleomorphic invasive lobular carcinoma. Solid and diffuse tumour architecture with apocrine features. b subsequent surgical specimen confirms nodular and diffuse architecture c IHC for oestrogen receptor with no (bottom) and strong (top) nuclear staining, d Androgen receptor, e Discrete infiltration of tumour cells, f HER-2 protein strong and complete circumferential cytoplasmic membrane staining (score 3+).

# 4.2. Discordances requiring improvements/refinement.

When discussing those areas of discordance that we defined "discordances requiring improvements/refinement" this mainly involved the APIS PR results compared to IHC and Ki-67 IHC analysis compared to the results of the proliferation signature; these represent the majority of discordant cases in this study (10/100, 24/100 respectively).

Of the 9 PR discordant cases, 7 had weak and moderate nuclear staining producing a score between 2 to 6 on IHC, but were all scored negative by the APIS assay. It has been widely noted that the behavior of BCs with low levels of hormone receptors is more consistent with features of triple negative breast carcinomas rather than with Luminal carcinomas. Even the ASCO/CAP recommendations suggest to include a specific comments when encountering low levels of hormone receptors [10].

Currently the discrepancy between the final 2 cases is unexplained although one had very few tumour cells in the samples and this may contribute to the negative PR result in the APIS assay.

The limitations of measuring proliferation markers Ki-67 are well known. They include interlaboratory variability even among expert breast pathologists and there is a lack of consensus (?) around Ki67 values which represent optimal cut off points for positivity and negativity [6].

The assessment of the proliferation signature (MK67, PCNA, CCNA2 & KIF23) is most likely the reason for the larger number of discrepant results as these markers take into account genes involved in aspects of the cell cycle other than that of Ki-67 i.e. PCNA [14], Kinesin 23 (KIF23) [15] and Cyclin A2 (CCNA2) [16].

It is accepted that a gene signature better reflects the proliferative nature of a sample than just a single marker (KI67) [17].

Our study considered the challenges of the implementation of the APIS assay into clinical practice, taking into account, particularly, the time-effectiveness of the assay; the assay could produce data in a similar time-frame and similar costs to that produced by IHC. The introduction of the APIS BC Subtyping Kit into routine analysis in place of IHC, could potentially save pathologists time and alleviate pressure on IHC pathology laboratory which has been significantly impacted by post-COVID backlog cases and led to delays in patient management. The application on core biopsies has also potential implications on the use of this assay in the context of the best selection of neoadjuvant treatment in Luminal and TNB carcinomas.

Nevertheless, the assay does have limitations particularly around morphological heterogeneity and tumour cellularity of samples. There are clinical implications such as a patient might be treated as having non-luminal BC and therefore deprived of the benefit of hormone therapy if a heterogeneous tumour was assessed incorrectly as in Case 2 in our study. In situ analysis are still superior to in vitro nucleic acid based analysis in this respect, i.e. when the specimen is scarce in cellularity or morphologically heterogeneous.

It is possible that IHC would still have to be used in cases where the histology indicates a heterogeneous sample or one with low tumour burden.

Moreover, the cohort of BCs analysed in this study is mostly grade 2 and 3 BC, hence the impact of this mRNA assessment in wider cohort of BC remains to be determined.

The assay currently only provides a binary (positive-negative) mRNA result. It has been recommended to the manufacturers that they design a report where the level of ER, PR and HER-2 is graded in a way that mirrors the IHC based reports. In other words, where ER & PR are reported with a score from 2 to 8, HER-2 scores of low (1+, 2+/ISH) and positive 2+ISH+ & 3+, thereby truly offering a like-for-like replacement to IHC/ISH assessment.

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Ethic approval: Not requested as laboratory improvement project.

Patient consent: Not requested as part of diagnostic reporting.

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Conflicts of Interest: None.

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