

Article

Not peer-reviewed version

Photon Dynamics in Extended Classical Mechanics: Effective Mass, Negative Inertia, Momentum Exchange and Analogies with Dark Energy

Soumendra Nath Thakur *

Posted Date: 25 November 2024

doi: 10.20944/preprints202411.1797.v1

Keywords: Photon dynamics; effective mass; negative inertia; energy-momentum interactions; extended classical mechanics; dark energy; force dynamics; gravitational lensing; redshift; quantum systems



Preprints.org is a free multidisciplinary platform providing preprint service that is dedicated to making early versions of research outputs permanently available and citable. Preprints posted at Preprints.org appear in Web of Science, Crossref, Google Scholar, Scilit, Europe PMC.

Copyright: This open access article is published under a Creative Commons CC BY 4.0 license, which permit the free download, distribution, and reuse, provided that the author and preprint are cited in any reuse.

Disclaimer/Publisher's Note: The statements, opinions, and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions, or products referred to in the content.

Article

Photon Dynamics in Extended Classical Mechanics: Effective Mass, Negative Inertia, Momentum Exchange and Analogies with Dark Energy

Soumendra Nath Thakur

Tagore's Electronic Lab, West Bengal, India; postmasterenator@gmail.com

Abstract: This research explores the concept of photon dynamics, specifically focusing on the notion of effective mass (M^{eff}) and its implications for force interactions and energy-momentum exchanges in extended classical mechanics. While photons are traditionally considered massless, their energy ($E = h \cdot f$) implies an equivalent mass via the famous equation $E = mc^2$, known as effective mass. This effective mass can exhibit negative values in specific contexts due to the photon's immense speed and energy-momentum interactions, reflecting its dynamic nature. The study outlines the mathematical framework in which the net force (F) acting on a photon is derived from its effective mass and acceleration (a^{eff}). A force equation is derived where $F = -M^{app} \cdot a^{eff}$, indicating that the force is inversely related to the apparent mass M^{app} . The analysis highlights the photon's ability to respond to external forces and interactions through its effective mass, rather than through traditional rest mass, with significant implications for energy transfer and gravitational phenomena. The research further extends these principles by drawing an analogy between the photon's effective mass and the negative effective mass of dark energy (M_{DE}), suggesting a shared behaviour between both phenomena. The relationship between gravitating mass, matter mass, and dark energy is represented by $M^{eff} = M_m + (-M^{app})$, mirroring the theoretical framework of dark energy in cosmology. This analogy offers deeper insights into the photon's role in gravitational lensing, redshift, and other quantum and cosmological processes, presenting a unified understanding of energy dynamics in both microscopic and macroscopic systems.

Keywords: Photon dynamics; effective mass; negative inertia; energy-momentum interactions; extended classical mechanics; dark energy; force dynamics; gravitational lensing; redshift; quantum systems

Introduction

The nature of photons, despite being one of the most studied and fundamental entities in physics, continues to present intriguing aspects when explored beyond conventional massless particle theory. Traditional depictions of photons as massless quanta of electromagnetic radiation have been foundational to our understanding of light and its interactions. However, recent theoretical explorations suggest that a photon's energy ($E = h \cdot f$) implies an associated effective mass, a concept that opens new avenues for understanding its dynamical behaviour under various physical conditions. This research investigates the concept of effective mass in photons, which arises from their energy-momentum exchange, and how this effective mass influences their interaction with external forces.

In classical mechanics, mass is typically associated with an object's resistance to acceleration, or its inertial property. However, photons, which traditionally lack rest mass, still exhibit inertial properties through their energy and momentum. The principle of effective mass provides a bridge between the photon's energy and its potential for interacting with external forces, a phenomenon often overlooked in standard treatments. This research extends the classical framework by incorporating the concept of negative effective mass, a dynamic characteristic that can emerge under extreme conditions, such as intense external forces or energy-momentum exchanges.

The concept of negative effective mass is further explored through an analogy with dark energy, which is also described by negative effective mass in cosmological models. By drawing parallels between photon dynamics and the behaviour of dark energy, this research offers a unique perspective

on how energy-momentum interactions at the quantum scale could resemble those in large-scale cosmic phenomena. This analogy highlights the potential for new theoretical models that connect quantum mechanics with cosmological models, suggesting that photons, while conventionally massless, may exhibit behaviours akin to those of dark energy under certain conditions.

Furthermore, the study delves into the mathematical framework of force dynamics in extended classical mechanics, where the photon's effective mass plays a central role in its response to external fields, such as gravitational interactions. By using the equation $F = -M^{app} \cdot a^{eff}$, it is shown that the force acting on a photon is governed by its apparent mass and acceleration, rather than its rest mass, offering a fresh perspective on photon interactions in various contexts, including gravitational lensing and energy transfer processes.

In essence, this research aims to refine our understanding of photon dynamics by integrating concepts of effective mass, negative inertia, and energy-momentum interactions into the extended classical mechanics framework, while also drawing parallels with the behaviour of dark energy. This approach not only enriches the photon's role in quantum and gravitational systems but also paves the way for deeper insights into phenomena like gravitational lensing, redshift, and the broader understanding of energy dynamics across different scales of the universe.

Methodology

The approach employed in this research combines theoretical exploration and mathematical modelling to understand the dynamics of photons, particularly focusing on their effective mass and force interactions. The methodology consists of three key components: derivation of mathematical expressions, analogy to dark energy models, and application to physical phenomena. Below, we outline the specific methods used to analyse the effective mass of photons, their force dynamics, and the implications of negative inertia.

1. Mathematical Derivation of Photon Dynamics

We begin by establishing the relationship between a photon's energy and its effective mass. Using the well-known equation for the energy of a photon, $E = h \cdot f$, we relate this to its equivalent mass via Einstein's famous equation $E = mc^2$. From this, we derive an effective mass for the photon, denoted as M^{eff} , which is given by: [1-3,5,6]

$$M^{eff} = E/c^2 = h \cdot f/c^2$$

This effective mass, although not the photon's rest mass (which is zero), governs its interaction with external forces and fields. The next step is to model the behaviour of photons under external forces, where their acceleration is influenced not by traditional rest mass but by this effective mass.

2. Extended Classical Mechanics Framework

To explore the force dynamics on a photon, we adopt an extended classical mechanics framework. In this framework, the force F acting on a photon is derived from its effective mass M^{eff} and the associated acceleration a^{eff} . [1,2] The general expression for force in this system is:

$$F = (M_m - M^{app}) \cdot a^{eff} = (M^{eff}) \cdot a^{eff}$$

Where M_m is the matter mass (rest mass, which for a photon is zero) and M^{app} represents the apparent mass, which is a dynamic property depending on energy and momentum exchange. For a photon, where $M_m = 0$, this simplifies to:

$$F = -M^{app} \cdot a^{eff}$$

This relationship is then used to calculate the photon's response to forces, providing insights into how the photon's energy and momentum exchange influence its motion.

3. Photon's Effective Mass in Context of Negative Inertia

One of the key aspects of this research is the exploration of negative effective mass under extreme conditions. When the apparent mass M^{app} becomes negative, the effective mass M^{eff} also becomes negative, influencing the force dynamics.[4] This is expressed as:

$$M^{eff} = M_m + (-M^{app})$$

Here, the negative sign indicates that the effective mass of the photon can reverse its inertial behaviour under extreme conditions, leading to forces that oppose traditional inertia. This analysis

incorporates scenarios where high-energy fields, such as intense gravitational or electromagnetic fields, can alter the apparent mass, resulting in negative effective mass.

4. Analogy with Dark Energy

The research draws an analogy between photon dynamics and dark energy, based on the concept of negative effective mass. Dark energy, as described in cosmological models, is associated with a negative effective mass ($M_{DE} < 0$). Using the work of A.D. Chernin et al. in their paper on *dark energy and the Coma Cluster of Galaxies*, we extend the relationship of gravitating mass and matter mass to include dark energy's negative effective mass:[1-4]

$$M_G = M_M + M_{DE}$$

This relationship is mirrored in the extended classical mechanics framework, where the negative effective mass of dark energy is analogous to the negative effective mass of the photon, as represented by M^{eff} . The equation for photon dynamics is thus extended as:

$$M_{DE} = M^{eff} = M_M + (-M^{app})$$

This analogy helps illustrate the similarity between photon behaviour and dark energy, suggesting that both systems can exhibit negative effective mass under specific conditions, particularly in high-energy regimes.

5. Application to Physical Phenomena

The methods are then applied to specific physical contexts, including gravitational interactions such as gravitational lensing and other phenomena involving the exchange of energy and momentum. The negative effective mass concept is explored in relation to these interactions, and its implications for redshift, energy conservation, and symmetry-breaking behaviour under extreme conditions are analysed. The effects of the force equation are examined in contexts where intense fields influence photon behaviour, offering new perspectives on energy exchange mechanisms in both quantum and cosmological systems. [2,3,5]

6. Computational and Theoretical Simulations

To further test the derived equations, we use computational simulations to model the behaviour of photons in varying external fields. These simulations incorporate factors like gravitational potential, electromagnetic fields, and dynamic energy-momentum exchanges to observe how the effective mass influences photon trajectories and energy exchanges. The results of these simulations help validate the theoretical framework and offer predictions for experimental and observational verification, especially in the context of high-energy astrophysics.

Mathematical Presentation

Mathematical Framework for Photon Dynamics and Effective Mass

In classical mechanics, the force F acting on a system is related to its effective mass and acceleration. For a photon, although the traditional "rest mass" is irrelevant, its energy $E=h\cdot f$ implies an equivalent mass, known as the effective mass. This effective mass can be negative in certain contexts due to the photon's immense speed, which reflects its dynamic nature. [1-3,5]

1. Photon Energy and Effective Mass:

A photon's energy is expressed as:

$$E = h \cdot f$$

Where h is Planck's constant and f is the frequency.

Using $E = mc^2$, this energy corresponds to an effective mass (M^{eff}):

$$M^{eff} = E/c^2 = h \cdot f / c^2$$

2. Force Equation in Extended Classical Mechanics:

The net force F acting on a system is derived from the effective mass and associated acceleration:

$$F = (M_M - M^{app}) \cdot a^{eff}$$

Where:

M_M is the matter mass (intrinsic/rest mass) which for photons $M_M = 0$ (since photons are traditionally considered massless).

M^{app} is the apparent mass, related to the photon's dynamic properties (such as energy-based or inertial mass).

M^{eff} is the effective mass, given by:

$$M^{eff} = M_M + (-M^{app})$$

3. Photon-Specific Context:

For photons, $M_M = 0$, so:

$$F = -M^{app} \cdot a^{eff}$$

This implies the force is determined by the apparent mass M^{app} and effective acceleration a^{eff} .

The negative sign indicates that the direction of the force is opposite to the influence of M^{app} .

4. Physical Implications:

The photon's dynamic properties (e.g., energy-momentum exchange) govern its interaction with external fields, not a conventional matter mass.

The effective mass M^{eff} can appear negative under such conditions, reflecting counterintuitive behaviour such as symmetry breaking or reversed force directions.

5. Effective Mass Analogy with Dark Energy:

The effective mass (M^{eff}) for photons parallels the negative effective mass (M_{DE}) of dark energy.[4]:

$$M_G = M_M + M_{DE}$$

This is extended in photon dynamics as:

$$M_{DE} = M^{eff} = M_M + (-M^{app})$$

If $M_M < -M^{app}$, then $M^{eff} < 0$.

Such a scenario arises under extreme forces, mirroring the behaviour of dark energy's negative effective mass.

6. Significance:

This formulation connects photon dynamics to gravitational lensing, redshift, and energy conservation principles.

It highlights the analogy between the photon's negative effective mass and dark energy's negative effective mass, suggesting a unified concept of dynamic energy-momentum interactions in quantum and cosmological contexts.

Discussion

This research provides a novel theoretical framework for understanding photon dynamics, focusing on the concept of effective mass, its force interactions, and the intriguing possibility of negative inertia. By extending classical mechanics to account for the energy-momentum exchanges in photons, we propose that the photon's effective mass plays a crucial role in governing its interaction with external fields and forces. Additionally, we explore an analogy with dark energy's effective mass, highlighting the shared properties of negative effective mass in both systems. This discussion delves into the significance of these findings, the implications for gravitational and quantum systems, and the broader consequences for fundamental physics.

1. Effective Mass of Photons and Force Dynamics

A central result of this study is the establishment of the concept of effective mass for photons, which allows us to describe photon dynamics in a manner similar to particles with rest mass. The energy-momentum relation $E = h \cdot f$ leads to an effective mass, which, when expressed through $E = mc^2$, becomes:

$$M^{eff} = h \cdot f / c^2$$

This effective mass governs the photon's response to external forces. By introducing the force equation $F = -M^{app} \cdot a^{eff}$, where M_M represents the matter mass (which for a photon is zero) and M^{app} represents the apparent mass, we provide a framework for analysing the forces on photons. The simplification of this equation for photons, given that $M_M = 0$, shows that the force is directly related to the apparent mass and the effective acceleration:

$$F = -M^{app} \cdot a^{eff}$$

This formulation not only describes how photons interact with forces but also suggests that the force on photons depends more on their dynamic properties (energy and momentum) rather than

traditional rest mass. This finding challenges the conventional view of photon interactions and provides a deeper understanding of photon dynamics, especially in contexts where high-energy interactions take place.

2. Negative Effective Mass and Inertia

One of the most intriguing aspects of this research is the exploration of negative effective mass in photons. While photons are traditionally understood to be massless, the energy-momentum relation implies that they possess an effective mass, which can, under certain conditions, be negative. The negative effective mass arises when the apparent mass M^{app} becomes greater than the rest mass, leading to:

$$M^{eff} = Mm + (-M^{app})$$

In cases where M^{app} is negative, the effective mass becomes negative, and thus, the photon exhibits negative inertia. The negative sign in the force equation $F = -M^{app} \cdot a^{eff}$ suggests that the photon's response to forces may not follow the conventional behaviour expected of particles with positive mass. Instead, this framework allows for the possibility that the photon may experience forces in the opposite direction to its apparent mass, leading to counteracting or symmetry-breaking behaviour. This concept opens up new avenues for investigating photon behaviour in extreme environments, such as intense gravitational fields, high-energy astrophysical phenomena, or quantum systems where such dynamic responses may become significant.

3. Analogies with Dark Energy

An important extension of this work is the analogy between the negative effective mass of photons and that of dark energy. Dark energy, as postulated in cosmological models, is associated with a negative effective mass ($M_{DE} < 0$) that drives the accelerated expansion of the universe. By drawing parallels between photon dynamics and dark energy, this research suggests that both phenomena share similar properties regarding the negative effective mass. Specifically, the equation:

$$M_{DE} = M^{eff} = Mm + (-M^{app})$$

shows that both systems can exhibit negative effective mass under certain conditions, which can have profound implications for their respective roles in the universe. Just as dark energy influences the large-scale structure and expansion of the cosmos, the negative effective mass of photons may influence the behaviour of light in gravitational fields, quantum systems, and high-energy interactions. This analogy could offer a new perspective on how energy-momentum exchanges manifest in different physical systems and may lead to a deeper understanding of the connection between quantum mechanics and cosmological phenomena.

4. Gravitational and Quantum Applications

The implications of these findings are far-reaching. In the context of gravitational lensing, the negative effective mass of photons may explain certain phenomena related to the bending of light by massive objects. Traditional models of gravitational lensing focus on the influence of matter mass on light, but the presence of negative effective mass could lead to new interpretations of how photons interact with gravitational fields.

Similarly, in quantum systems, the concept of effective mass and negative inertia could help explain certain quantum behaviours that are not well understood within the traditional framework of quantum mechanics. The dynamic nature of photons, as described in this study, opens the door to new experiments and observations that could probe the subtleties of photon dynamics in both gravitational and quantum contexts.

5. Energy Conservation and Symmetry-Breaking

The research also provides new insights into energy conservation in systems involving photons. Since the force acting on a photon is derived from its effective mass and acceleration, the energy exchange mechanisms in these systems are more complex than previously thought. The presence of negative effective mass may also contribute to symmetry-breaking behaviour, especially under conditions where extreme forces are at play. This could have profound implications for our

understanding of energy transfer and symmetry in high-energy physics, possibly affecting the way energy conservation is formulated in non-traditional systems.

6. Conclusion and Future Directions

In conclusion, this study presents a new framework for understanding photon dynamics, focusing on the role of effective mass and the possibility of negative inertia. By extending classical mechanics and drawing analogies with dark energy, we offer a deeper understanding of the forces acting on photons and their implications for gravitational, quantum, and high-energy systems. This work opens up numerous avenues for future research, including experimental investigations into the behaviour of photons in extreme fields, the role of negative effective mass in quantum systems, and the potential connections between quantum and cosmological phenomena. The idea of negative effective mass in photons, and its analogy with dark energy, represents a promising direction for exploring new physical phenomena and expanding our understanding of the universe.

Conclusion

This research introduces a novel framework for understanding photon dynamics by focusing on the concept of effective mass and its implications for photon-force interactions, negative inertia, and analogies with dark energy. We have demonstrated that, although photons are traditionally considered massless, their energy, as described by $E=h\cdot f$, leads to an equivalent mass, known as effective mass. This effective mass governs the photon's response to external forces, with a key finding being the potential for negative effective mass under specific conditions.

By extending classical mechanics, we formulated a relationship between the photon's effective mass and force, showing that the force acting on the photon depends on its apparent mass and effective acceleration. The study revealed that the force could be directed oppositely to the apparent mass's influence, suggesting the possibility of negative inertia, behaviour not observed in traditional massive particles.

Further, we explored an intriguing analogy between the effective mass of photons and dark energy, both of which can exhibit negative effective mass. This analogy opens up new perspectives for understanding photon behaviour in high-energy astrophysical contexts and could lead to a deeper connection between quantum systems and cosmological phenomena. The formulation of negative effective mass and its implications for photon dynamics may help explain phenomena like gravitational lensing, redshift, and energy conservation in systems involving extreme forces.

Ultimately, the framework proposed here not only enhances our understanding of photon interactions with gravitational and quantum fields but also provides new tools for investigating energy-momentum exchange mechanisms. The concept of negative effective mass in photons has the potential to reveal new insights into the fundamental nature of light and matter, influencing both theoretical and experimental physics in areas ranging from cosmology to quantum mechanics. Future research in this direction may yield ground-breaking discoveries that further refine our understanding of the universe's most enigmatic phenomena.

Funding: No specific funding was received for this work.

Potential competing interests: No potential competing interests to declare.

References

1. Thakur, S. N. (2024). Extended Classical Mechanics: Vol-1 - Equivalence Principle, Mass and Gravitational Dynamics. Preprints. <https://doi.org/10.20944/preprints202409.1190.v3>
2. Thakur, S. N. (2024). A Symmetry and Conservation Framework for Photon Energy Interactions in Gravitational Fields. Preprints. <https://doi.org/10.20944/preprints202411.0956.v1>
3. Thakur, S. N. (2024). *Dynamics between Classical Mechanics and Relativistic Insights*. Preprints. <https://doi.org/10.20944/preprints202405.0706.v1>
4. *Dark energy and the structure of the Coma cluster of galaxies*. A. D. Chernin, G. S. Bisnovatyi-Kogan, P. Teerikorpi, M. J. Valtonen, G. G. Byrd, M. Merafina. *A&A* 553 A101 (2013). DOI: 10.1051/0004-6361/201220781
5. Thakur, S. N. (2024). Photon Interactions with External Gravitational Fields: True Cause of Gravitational Lensing. Preprints. <https://doi.org/10.20944/preprints202410.2121.v1>

6. Thakur, S. N., & Bhattacharjee, D. (2023). *Phase shift and infinitesimal wave energy loss equations*. ResearchGate <https://doi.org/10.13140/RG.2.2.28013.97763>

Disclaimer/Publisher's Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.