Disclaimer/Publisher's Note: The statements, opinions, and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions, or products referred to in the content.

Remiero

Immune Microenvironment and PD-L1 Expression in Breast Cancer: A Review of Current Evidence and Prognostic Implications from Pathologist's Perspective

Giuseppe Angelico 1°, Giuseppe Broggi 1, Giordana Tinnirello1, Lidia Puzzo1, Giada Maria Vecchio1, Lucia Salvatorelli1, Lorenzo Memeo2, Angela Santoro3, Gaetano Magro1 and Rosario Caltabiano1

- Department of Medical, Surgical Sciences and Advanced Technologies G.F. Ingrassia, Anatomic Pathology, University of Catania, I-95123 Catania, Italy
- Department of Experimental Oncology, Mediterranean Institute of Oncology, Viagrande, 95029 Catania, Italy
- ³ Pathology Unit, Fondazione Policlinico Universitario Agostino Gemelli IRCCS, Rome, Italy
- * Correspondence: Giuseppe Angelico; giuangel86otmail.it; Tel.: 0953782030

Simple Summary: The aim of our study is to provide a wide perspective on the available literature data of immune landscape of breast cancers, focusing on TILs and PD-L1 expression across different breast cancer subtypes. Moreover, treatment options such as immunotherapy, chemotherapy in adjuvant and neoadjuvant setting are discussed also providing the most relevant cut-offs and scores for TILs and PD-L1 pathological assessment.

Abstract: With the rise of novel immunotherapies able to stimulate the antitumor immune response, increasing literature data concerning the immunogenicity of breast cancer have been published in recent years.

Numerous clinical studies have been conducted in order to identify novel biomarkers that could reflect the immunogenicity of BC and predict response to immunotherapy. In this regard, TILs have emerged as an important immunological biomarker related to the antitumor immune response in BC. TILs are more frequently observed in triple-negative breast cancer and HER2+ subtypes where increased TIL levels have been linked to better response to neoadjuvant chemotherapy and with improved survival.

PD-L1 is a type 1 transmembrane protein ligand expressed on T lymphocytes, B lymphocytes and antigen-presenting cells and is considered a key inhibitory checkpoint involved in cancer immune regulation. PD-L1 immunohistochemical expression in breast cancer is observed in about 10–30% of cases and is extremely variable based on tumor stage and molecular subtypes . In detail, TNBC shows the highest percentages of PD-L1 positivity, followed by HER2+ tumors. On the other hand, PD-L1 is rarely expressed (0-10% of cases) in hormone-receptor positive BC.

The prognostic role of PD-L1 expression in BC is still controversial since different immunohistochemistry (IHC) clones, cut-off points and scoring systems have been utilized across published studies.

In the present paper an extensive review on the current knowledge of immune landscape of BC is provided. In detail TILS and PD-L1 expression across different BC subtypes is discussed also providing a guide for their pathological assessment and reporting.

Keywords: breast cancer; TILs; PD-L1; immunotherapy; chemotherapy, triple negative breast cancer; HER2; luminal breast cancer; CPS

1. Introduction

Breast cancer (BC) exhibits a wide morphological and molecular spectrum of neoplasms with different clinical behaviour, prognosis and response to treatments [1].

With the rise of novel immunotherapies able to stimulate the antitumor immune response, increasing literature data concerning the immunogenicity of BC have been published in recent years [2-5]. Recent RNA sequencing studies demonstrated the existence of three transcriptome-based subtypes of BC corresponding to different immune categories: immune high, medium and low [6].

Immune high tumors are characterized by highest expression of tumor infiltrating lymphocytes (TILS) as well as PDL1[6]. Triple negative breast cancer (TNBC) and HER2+ tumors are frequently included in the immune high category and represent potential responders to immunotherapies [6]. On the other hand, immune medium and immune low tumors show little to absent immune cell infiltration and are unresponsive to immunotherapies [6]. Interestingly, estrogen receptor (ER) and progesterone receptor (PR) positive tumors usually fall in the immune medium and low groups [7]. In recent years, numerous clinical studies have been conducted in order to identify novel biomarkers that could reflect the immunogenicity of BC and predict response to immunotherapy [8]. In this regard, TILs have emerged as an important immunological biomarker related to the antitumor immune response in BC [2,3]. TILs are more frequently observed in triple-negative breast cancer and HER2+ subtypes where increased TIL levels have been linked to better response to neoadjuvant chemotherapy and with improved survival [2,3]. PD-L1 is a type 1 transmembrane protein ligand expressed on T lymphocytes, B lymphocytes and antigen-presenting cells and is considered a key inhibitory checkpoint involved in cancer immune regulation [4]. PD-L1 immunohistochemical expression in breast cancer is observed in about 10-30% of cases and is extremely variable based on tumor stage and molecular subtypes [9]. In detail, TNBC shows the highest percentages of PD-L1 positivity, followed by HER2+ tumors [9]. On the other hand, PD-L1 is rarely expressed in hormonereceptor positive BC [9,10].

The prognostic role of PD-L1 expression in BC is still controversial since different immunohistochemistry (IHC) clones, cut-off points and scoring systems have been utilized across published studies [10,11].

The prognostic role of PD-L1 expression in BC was demonstrated for the first time by Muenst et al. in 2015 [12]. In this study, positive staining (both membranous and cytoplasmic) for PD-L1 was observed in 152 out of 650 BC patients, and a significant correlation was observed between PDL-1 positivity and several clinicopathological parameters (large tumor size, lymph node involvement, tumor grade, ER negativity, HER2-positive tumors, and high Ki67 index) [12].

Despite this association with worse clinico-pathologic features, several studies highlighted that PD-L1 expression could predict better response to chemotherapy and better prognosis, mainly in TNBC subtype [9,11]. Moreover, a significant correlation between PD-L1 positivity and TIL scores has been documented [9,11].

In the present paper an extensive review on the current knowledge of immune landscape of BC is provided. In detail, TILS and PD-L1 expression across different BC subtypes is discussed also providing a guide for their pathological assessment and reporting.

2. Tumor-infiltrating lymphocytes in breast cancer

Increasing scientific evidences suggest the prognostic and predictive role of immune microenvironment in breast cancer [13,14]. In this regard, the presence of TILs within a tumor is strictly related to the anti-tumor host immune response [13,14].

TILs are constituted by all mononuclear cells (lymphocytes and plasma cells) dispersed in the tumor stroma (stromal-TILs) or located within the tumor (intratumoral TILs) [13-15].

Based on their phenotype TILs can be classified as CD8+ T cells, CD8+ tissue-resident memory T cells, CD4+ T helper cells, CD4+ regulatory T cells, CD4+ follicular helper T cells, and tumor-infiltrating B cells. However, the specific role and clinical significance of each TILs subpopulations is still uncertain [13-16].

According to the recommendations for assessment of TILs in breast cancer proposed by the "International Working Group for TILs in Breast Cancer", the pathological assessment of TILs should include only stromal-TILs [17]. TILs evaluation is performed on haematoxylin and eosin (H&E) stained sections by evaluating the ratio between the intratumoral stromal area containing lymphocytes and plasma cells and the total intratumoral stromal area [17]. According to the percentages of stromal-TILs, three different groups can be identified: low TILs (0–10% immune cells in stromal tissue within the tumor), intermediate TILs (11–40%), and high TILs (>40%). [17]

Following the above-mentioned recommendations, the prognostic and predictive role of TILs has been investigated by several studies and clinical trials mainly in Triple-negative and HER-2 positive breast cancer patients (Table 1) [15,16,18].

2.1. TILs in Triple Negative Breast Cancer

TNBC is a breast cancer subtype lacking expression of ERs, HER2 and PRs and characterized by a poor prognosis [19]. TNBC shows higher levels of TILs, higher tumor mutation burden (TMB) and high PD-L1 expression [19,20]. Increasing literature data indicate that a high levels of TILs in TNBC are significantly related to a better response to chemotherapy as well as to better prognosis [18].

Concerning the prognostic role of TILs in early TNBC, two studies including 2148 patients treated with adjuvant chemotherapy and 906 women treated with neoadjuvant chemotherapy, respectively, demonstrated the clinical utility of TILs evaluation [21,22].

In detail, high TILs have been demonstrated to predict responses to adjuvant and neoadjuvant chemotherapy with anthracycline; moreover, each 10% increment in TILs was significantly related with longer disease-free survival and overall survival [21,22]. On the other hand, low-TILs are more frequently detected in patients with older age, larger tumor size and lymph node metastases [21,22].

Based on these findings, the World Health Organization (WHO) classification of tumors (5th edition) strongly suggests TIL assessment in TNBC and HER2+ subtypes as a prognostic biomarker [23].

Several scientific data concerning the predictive role of TILs for immune therapy or combined immune therapy/chemotherapy in TNBC are emerging [17,19]. Results of previous studies in early-stage TNBC suggest that high TILs predict response to neoadjuvant immune therapy alone or in combination with chemotherapy [17,19].

Similar predictive role of TILs for immune check point inhibitors therapy have also emerged in advanced/metastatic TNBC [24].

2.2. TILs in HER2+ Breast Cancer

HER2-positive breast cancer accounts for approximately 15–20% of all breast carcinomas and is considered a biologically aggressive subtype [25,26]. Due to their low mutational burden, HER2-positive BCs are generally considered "cold" tumors [26]. However, recent studies have started to explore immunotherapeutic approaches to target HER2-positive tumors both in neoadjuvant and adjuvant setting [27-29]. Regarding the latter, the results of the FIN-HER study and ShortHER trial showed that high TILs levels were related to longer overall survival and improved response to trastuzumab compared to low TILs [28,29]. However, in contrast to these data, Perez et al. reported that breast tumors with high TILs, showed a worse response when combining trastuzumab with chemotherapy than those treated with chemotherapy alone [30]. Moreover, the analysis from the N9831 trial, demonstrated that high TILs predicted a lack of response to trastuzumab [31]. Therefore, given the conflicting results concerning the role of TILs in predicting response to adjuvant chemotherapy further studies on larger cohorts are still needed to understand TILs biological role in HER-2 breast tumors.

TILs evaluation in post-NAD setting has also been associated with breast cancer prognosis [32-34]. In detail, according to the results of three recent meta-analyses, high TILs are significantly related to a better response to neoadjuvant chemotherapy plus trastuzumab regardless of the type of neoadjuvant regimen [22,32,33]. Moreover, a statistically significant correlation between high TILs and improved prognosis has also emerged [22,32-33].

Concerning advanced/metastatic HER-2 positive breast tumors, the prognostic and predictive role of TILs is still controversial. According to a retrospective analysis of the patients enrolled in the CLEOPATRA trial, high TILs were associated with increased OS; on the contrary, TILs count showed no significant prognostic or predictive value in the analysis of the MA.31 phase 3 trial [34,35].

Lastly, controversial data from the metastatic setting have also emerged when evaluating the prognostic and predictive role of TILs in patients receiving immunotherapy [36,37]. Therefore, further studies are needed to investigate the interactions between immune system and tumor cells in HER2+ breast cancer.

2.3. TILs in hormone-receptor+/HER2- Breast Cancer

The prognostic and predictive role of TILs in hormone-receptor positive (HR+) and HER-2 negative breast cancer subtypes (luminal A and luminal B tumors) is still poorly established.

In this regard, HR+/HER2- BC is associated with a low TIL count and a lower TMB [13]. Moreover, ER expression has been related to decreased MHC class II expression on lymphocytes, suppression of interferon- γ (IFN- γ) signalling and decreased activity of CD8+T-cells [13,38]. However, given the wide morphological and biological heterogeneity of luminal A and luminal B tumors, several studies have tried to identify "immunogenic" subgroups. In detail, it has been shown that TIL positivity is more frequently detected in luminal B subtypes [13,20].

Concerning the predictive and prognostic role of TILs in early HR+/HER2-BC, conflicting results have been highlighted: a significant association between high TILs and a worse prognosis has emerged in some studies, while other authors failed to demonstrate the prognostic significance of TILs [20,38]. Moreover, TILs have been associated with a poor response to aromatase inhibitors therapy in HR+/HER2-BC [20,38].

Regarding TIL subpopulations, limited literature data are still available, however, a recent retrospective analysis of 563 patients with early HR+/HER2- BC documented that high CD8+ sTILs were more frequently detected in patients with *PIK3CA*-mutated tumors and that they were related to a higher risk of recurrence [39].

Based on these preliminary findings, routine assessment of TILs in HR+/HER2- BC is still not recommended and cannot considered as prognostic or predictive biomarker.

ВС	TILS	PROGNOSTIC	PREDICTIVE ROLE	PATHOLOGICAL	
subtypes	levels	ROLE		ASSESSMENT	
			Yes to adjuvant and	Recommended	
TNBC	High	Yes	neoadjuvant	Recommended	
			chemotherapy		
			Yes to neoadjuvant		
HER2+	High	Yes	chemotherapy+	Recommended	
			immunotherapy		
				Not	
HR+	Low	Yes	Not fully established	recommended	

Table 1. Prognostic and predictive roles of TILs in different breast cancer subtypes.

3. PD-L1 pathway, general considerations

PD-L1 is a type 1 transmembrane protein ligand generally expressed on T lymphocytes, B lymphocytes and antigen-presenting cells; it is involved in the immune regulation of several physiological and pathological conditions including pregnancy, antigen presentation to T lymphocytes, tissue and organ transplants, infectious diseases, and cancer [4].

In detail, following the binding of PD-L1 with the PD-1 or B7.1 (CD80) receptors, a suppressive signal is transmitted to T lymphocytes leading to a decrease in the immune response [4,9,10].

Moreover, the intracellular signals transmitted by PD-L1 promote neoplastic cell proliferation and inhibit pro-apoptotic signals mediated by interferons [4,9,10].

In the last years, several immunotherapeutic molecules capable of inhibiting the PD-1/PD-L1 axis have been shown to improve the immunological response by inducing T cells to recognize and suppress cancer cells [40].

Based on these findings, several monoclonal antibodies targeting PD-1 receptor and PD-L1, so-called immune checkpoint inhibitors, have been successfully utilized in clinical practice; these include: Pembrolizumab and Nivolumab (targeting PD-1 receptor), Atezolizumab, Avelumab and Durvalumab (inhibiting PD-L1 ligand) [40].

In clinical practice, the immunohistochemical evaluation of PD-L1 expression in neoplastic tissues is the gold standard method for selecting patients eligible to immune checkpoint inhibitor therapy. In this regard, several immunohistochemical assays have been developed for PD-L1 evaluation. The most common PD-L1 assays used in clinical trials, include SP142, 28-8, 22C3, and SP263, each one has been validated with specific platforms [10,40,41].

Accordingly, different immunohistochemical scoring systems have been proposed for quantifying PD-L1 expression in different neoplastic tissues: i) tumor proportion score (TPS), which evaluates the percentage of positive PD-L1 neoplastic cells among all viable tumor cells, ii) the combined proportion score (CPS), namely the ratio between all PD-L1 positive neoplastic cells and inflammatory cells and the total number of viable tumor cells multiplied per 100; iii) the immune cell score (IC) which takes into account the percentage of the area occupied by PD-L1-positive immune cells relative to the whole tumor area (Table 2) [10,40,41].

ICI	PD-L1 assay	PD-L1 score	Setting	Therapy
			Unresectable / metastatic	Pembrolizumab plus chemotherapy
		CPS≥10	TNBC	
				Pembrolizumab plus chemotherapy
Pembrolizumab	22C3 (pharmDx)	CPS≥1/regardles	high-risk early-stage	as neoadjuvant treatment, and then
		s of PDL1 status	(NAD / AD)	continued as a single agent as adjuvant
				therapy
		IC score ≥1	Unresectable / metastatic	Atezolizumab plus nab-paclitaxel
Atezolizumab	SP142 (Ventana)		TNBC	
		Regardless of IC	NAD	Atezolizumab

Table 2. Approved PD-L1 assays in clinical practice.

3.1. Temporal and spatial heterogeneity of TILs and PD-L1 expression during metastatic progression

Recent studies have demonstrated extensive discrepancies of TILs count and PD-L1 expression among primary tumours and their paired metastases [42]. Biomarker analyses based on the clinical trial IMPassion130 documented a highly heterogeneous PD-L1 expression between primary tumors and their paired metastasis [43]. Higher PD-L1 concordance has been documented in synchronous tumors samples compared to metachronous ones moreover, a greater clinical benefit with immunotherapy was observed when PD-L1 status was evaluated in metastatic sites [44,45].

The temporal evolution of the immune microenvironment and TILS has been reported by several studies which documented lower TILs counts at metastatic biopsies compared to primary tumors [44,45].

A recent meta-analysis on this topic demonstrated a significant decrease in PD-L1 expression at metastatic sites [45]. This reported discordance of PD-L1 expression was bi-directional since 50% of patients with PD-L1 positive primary tumors showed PD-L1 negative metastatic biopsies; conversely,

about 30% of patients with PD-L1 negative primary tumors were PD-L1 positive in their metastatic biopsies [45].

However, to date, little is known about the optimal metastatic site for PD-L1 evaluation since the few available studies demonstrated a significant difference in PD-L1 expression between different metastatic sites from the same patient [43-45]. Further studies on this topic are needed to clarify this reported discordance; however, based on the current literature evidence, PD-L1 assessment in metastatic bioptic samples should be assessed when possible, to achieve a more accurate treatment strategy.

3.2. PD-L1 and Immunotherapy in Breast Cancer subtypes

3.2.1. Hormone-Receptor Positive/HER2 Negative Breast Cancer

In the HR-positive and HER2-negative BC subgroup, PD-L1 immunoreactivity is documented in up to 9% of luminal A and 42% of luminal B subtypes [46]. A significant decrease in PD-L1 expression is observed in metastatic tumors, among which 0–1% and 10–12% positive rates are reported in luminal A and luminal B patients, respectively [46]. Given the wide heterogeneity of PD-L1 and TILs in this BC subgroup, only few studies have considered an immunotherapeutic approach in patients with PD-L1+/ER+/HER2- BC [47]. Promising data have been highlighted by the KEYNOTE-028 and I-SPY2 trials in which improved pathological complete response was observed in PD-L1 positive tumors [47,48]. However, results are still preliminary and significant clinical benefits of immunotherapy have not been reported yet.

3.2.2. HER2 Positive Breast Cancer

In early stage HER2+ BC tumors PD-L1 immunoreactivity is documented in around 30% of cases whereas a significant decrease in PD-L1 expression (9–10%) is observed in metastatic tumors [49,50].

However, the prognostic significance of PD-L1 in HER2+ BC is still controversial since some studies documented poor outcomes in metastatic HER2+/PD-L1+tumors whereas, other author documented improved survival in patients with high levels of PD-1/PD-L1 expression [40,50].

Some studies are currently investigating the clinical benefits of immunotherapy with anti-PD-1/PD-L1 antibodies combined with trastuzumab. In this regard, preliminary results from the phase II randomized KATE2 trial indicate an improved OS in PD-L1-positive patients affected by locally advanced or metastatic BC treated with the combination of atezolizumab and ado-trastuzumab emtansine (T-DM1) [51]. Moreover, the phase Ib/II PANACEA trial documented a 15% response rate in HER2+ advanced BC patients treated with the combination of pembrolizumab and trastuzumab [37].

3.2.3. Triple-Negative Breast Cancer

TNBC encompasses a wide morphological and molecular spectrum of neoplasms, as recent RNA sequencing studies demonstrated the existence of several transcriptome-based subtypes of TNBC (luminal androgen receptor, immunomodulatory, basal-like immune-suppressed, and mesenchymal-like) [6]. In this regard, only the immunomodulatory subtype would benefit from immunotherapy.

In this scenario, PD-L1 expression in tumor and immune cells, evaluated by immunohistochemistry, has become a crucial step for selecting potential responders to immunotherapy (Table 3) [10].

In early-stage TNBC tumors PD-L1 immunoreactivity is documented in around 45 to 55% of cases; metastatic patients show higher PD-L1 staining percentages (around 35% of cases) compared to other BC subtypes [10]. Moreover, a significant increase in PD-L1 expression following immunotherapy has been documented in the PCD4989g trial [52].

As far as prognosis is concerned, several studies demonstrated better overall survival in PD-L1-positive patients; moreover, *BRCA1* gene mutations and expression of cytotoxic T-lymphocyte antigen 4 (CTLA-4) are more frequently detected in PD-L1+ TNBC [53].

PD-L1 status	PD-L1 score	Therapy	
	CPS<10		
	(22C3)		
PD-L1-negative	IC<1	No Immunotherapy	
	(SP142)		
	CPS<10		
PD-L1-positive	IC score ≥1%	Atezolizumab plus Nab-paclitaxel	
		Pembrolizumab / Atezolizumab	
PD-L1-positive	CPS≥10	plus chemotherapy	
	IC score ≥1%	(Nab.paclitaxel or Carbo/Gem or	
		paclitaxel)	
	CPS≥10	Pembrolizumab plus	
PD-L1-positive	IC score <1%	chemotherapy	
		(Nab.paclitaxel or Carbo/Gem or	
		paclitaxel)	

Table 3. Therapeutic approach in advanced TNBC based on PD-L1 IHC.

3.3. PD-L1 assays and immunohistochemical scores: results from clinical trials

Several PD-L1 IHC assays are currently approved for predicting the response to anti-PD1 and anti-PD-L1 immunotherapy [10,40,41]. Validated PD-L1 clones for TNBC include SP142, 28-8, SP263 and 22C3, each one linked to different therapies [10,40,41].

In TNBC, clinical trials proposed several PD-L1 IHC clones, staining platforms, scoring systems, and cut offs [10,40,41]. Based on the results of Keynote-355 trial, the PD-1 inhibitor Pembrolizumab, in combination with chemotherapy has been approved by the FDA for patients with unresectable/metastatic TNBC showing a PD-L1 CPS \geq 10 [54]. Following the Keynote-522 trial results, neoadjuvant pembrolizumab in combination with chemotherapy has been approved for high-risk early-stage TNBC in as treatment; following surgery, monotherapy with pembrolizumab is approved as adjuvant therapy [55]. In the Keynote-522 trial tumor samples were considered PD-L1–positive based on a CPS \geq 1; however, better pathological complete response in patients treated with pembrolizumab was observed regardless of the PD-L1 immunohistochemical expression [55].

Based on the results of the IMpassion130 trial, Ventana PD-L1 SP142 test (IC score ≥1%) has been approved by the FDA for the selection of advanced TNBC or mTNBC suitable for combination therapy with Atezolizumab plus nab-paclitaxel [43]. Based on the same cohort, a post hoc harmonization study attempted to evaluate the inter-assay variability of three PD-L1 assays, namely the Ventana SP142 and SP263 and the Dako 22C3 [56]. IC score (≥ 1%) was utilized for SP142 and SP263 assays, while CPS score (≥ 1) was evaluated for the 22C3 assay [56]. Interestingly, 22C3 and SP263 clones individuated a higher rate of PD-L1 positive patients (81% and 75%, respectively) compared to SP142 clone (46%) [56]. Despite the lower number of PD-L1 positive tumors detected, patients that were only positive for SP-142 assay showed higher progression free survival (4.2 months), compared to patients that were only positive with 22C3 assay or SP-263 clones [56].

In early TNBC, the Impassion031 trial demonstrated that the clinical benefits of neoadjuvant atezolizumab were independent from the PD-L1 IC (SP142) status [57].

3.4. PD-L1 immunohistochemistry workflow

Specimen preparation

- Biopsies or surgical samples should be fixed in 10% neutral-buffered formalin for at least 6 hours and for a maximum of 72 hours.
- Sections should be cut approximately at 4-µm of thickness and mounted on positively charged glass slides.
- Slides should be stained shortly before IHC or stored no more than a few weeks since antigenicity of tissue sections may diminish over time.
- Tonsil tissue should be included in the analysis as external positive control of both epithelial cells and immune cells.
- A negative control is also recommended.

Immunohistochemistry

- The antibody clone used for IHC and staining platform should be specified in the pathology report.
- When evaluating the haematoxylin and eosin (H&E)-stained slide, a field containing at least 50 vital tumor cells and tumor-associated stroma should be present.
- Tumor cells are considered PD-L1-positive if a partial or complete membranous staining, irrespective of staining intensity, is seen.
- Immune cells (lymphocytes, dendritic cells, macrophages, and granulocytes) are considered PD-L1-positive, if either a granular cytoplasmic or membranous staining, is observed.
- Necrosis and intravascular immune cells should be excluded from the evaluation.
- The staining procedure should be repeated if positive and negative controls do not stain correctly.

3.5. Relevant PD-L1 scoring methods.

In TNBC, IC and CPS have been specifically validated for the selection of patients eligible for atezolizumab and pembrolizumab therapies, respectively [10,40,41].

Immune Cell Score.

The IC is specifically developed for VENTANA PD-L1 (SP142) assay. It takes into account all PD-L1-positive immune cells (lymphocytes, macrophages, granulocytes, dendritic cells, plasma cells) located intratumorally or in a small peritumoral stromal rim [10,40,41]. In detail, immune cells are scored as the percentage of the area occupied by all PD-L1-positive immune cells relative to the whole tumor area [10,40,41]. PD-L1 IC score of \geq 1% is considered adequate for Atezolizumab therapy [10,40,41].

Combined Positive Score.

CPS is specifically developed for 22C3 (pharmDx) assay [10,40,41]. CPS is the number of PD-L1 positive tumor cells and intratumoral immune cells divided by the total number of viable tumor cells, multiplied by 100 [10,40,41]. PD-L1 CPS score ≥10 is considered adequate for pembrolizumab combination therapy in inoperable/ metastatic TNBC patients [10,40,41].

4. Conclusions

Although immune checkpoint inhibitors have undoubtedly represented a major step forward in the therapy of many malignancies including breast cancer, it is widely accepted that not all patients may undergo immunotherapy; accordingly, the accurate selection of breast tumors that may benefit from these relatively novel therapeutic approaches is one of the most debated topics of BC oncological research. In this regard, the study of the tumor immune microenvironment and the

immunohistochemical evaluation of PD-L1 immunoreactivity among different BC subtypes are actually considered the most reliable markers capable of predicting response to immunotherapy. Although it has been shown that PD-L1-positive BCs frequently exhibit high levels of TILs and lack of expression of ER, PR and HER2, and that patients affected by TNBC are the ones who may benefit the most from immunotherapy, promising results have been obtained in some trials also for patients with HR+ and/or HER+ BC; accordingly, further studies are needed to validate these preliminary data but it seems likely that in the future the use of immunotherapy could also be extended to the other subtypes of BC.

Author Contributions: "Conceptualization, G.A. and R.C.; methodology, G.T.; software, G.B.; validation, L.P., GM.V. and L.S.; formal analysis, L.M.; investigation, A.S.; resources, G.M.; data curation, R.C.; writing—original draft preparation, G.A.; writing—review and editing, G.T and G.B..; visualization, L.P.; supervision, G.M.; project administration, GM.V.; funding acquisition, L.M. All authors have read and agreed to the published version of the manuscript."

Funding: "This research received no external funding"

Institutional Review Board Statement: Not applicable

Informed Consent Statement: Not applicable.

Data Availability Statement: No new data were created or analyzed in this study. Data sharing is not applicable to this article.

Conflicts of Interest: The authors declare no conflict of interest.

References

- 1. Guo L, Kong D, Liu J, Zhan L, Luo L, Zheng W, Zheng Q, Chen C, Sun S. Breast cancer heterogeneity and its implication in personalized precision therapy. *Exp Hematol Oncol*. 2023;12:3.
- 2. Denkert, C.; Von Minckwitz, G.; Darb-Esfahani, S.; Lederer, B.; Heppner, B.I.; Weber, K.E.; Budczies, J.; Huober, J.; Klauschen, F.; Furlanetto, J.; et al. Tumour-infiltrating lymphocytes and prognosis in different subtypes of breast cancer: A pooled analysis of 3771 patients treated with neoadjuvant therapy. *Lancet Oncol.* 2018, 19, 40–50.
- **3.** Gao, Z.-H.; Li, C.-X.; Liu, M.; Jiang, J.-Y. Predictive and prognostic role of tumour-infiltrating lymphocytes in breast cancer patients with different molecular subtypes: A meta-analysis. *BMC Cancer* 2020, 20, 1150.
- **4.** Simon S., Labarriere N. PD-1 expression on tumor-specific T cells: Friend or foe for immunotherapy? *Oncoimmunology*. 2017;7:e1364828.
- **5.** Vranic S, Cyprian FS, Gatalica Z, Palazzo J. PD-L1 status in breast cancer: Current view and perspectives. *Semin Cancer Biol.* 2021 Jul;72:146-154.
- **6.** He Y, Jiang Z, Chen C, Wang X. Classification of triple-negative breast cancers based on Immunogenomic profiling. *J Exp Clin Cancer Res.* 2018;37:327.
- 7. Nagarajan D, McArdle SEB. Immune Landscape of Breast Cancers. Biomedicines. 2018;6:20.
- **8.** Debien V, De Caluwé A, Wang X, Piccart-Gebhart M, Tuohy VK, Romano E, Buisseret L. Immunotherapy in breast cancer: an overview of current strategies and perspectives. *NPJ Breast Cancer*. 2023;9:7.
- 9. Dill EA, Gru AA, Atkins KA, Friedman LA, Moore ME, Bullock TN, Cross JV, Dillon PM, Mills AM. PD-L1 Expression and Intratumoral Heterogeneity Across Breast Cancer Subtypes and Stages: An Assessment of 245 Primary and 40 Metastatic Tumors. *Am J Surg Pathol*. 2017;41:334-342.
- 10. Marletta S, Fusco N, Munari E, Luchini C, Cimadamore A, Brunelli M, Querzoli G, Martini M, Vigliar E, Colombari R, Girolami I, Pagni F, Eccher A. Atlas of PD-L1 for Pathologists: Indications, Scores, Diagnostic Platforms and Reporting Systems. *J Pers Med.* 2022;12:1073.
- **11.** Erber R, Hartmann A. Understanding PD-L1 Testing in Breast Cancer: A Practical Approach. Breast *Care (Basel)*. 2020;15:481-490.
- **12.** Muenst S, Soysal SD, Tzankov A, Hoeller S. The PD-1/PD-L1 pathway: biological background and clinical relevance of an emerging treatment target in immunotherapy. *Expert Opin Ther Targets*. 2015;19:201-11.
- **13.** El Bairi K, Haynes HR, Blackley E, Fineberg S, Shear J, Turner S, et al. The tale of TILs in breast cancer: A report from The International Immuno-Oncology Biomarker Working Group. *NPJ Breast Cancer*. 2021;7:150.

- **14.** Lam BM, Verrill C. Clinical Significance of Tumour-Infiltrating B Lymphocytes (TIL-Bs) in Breast Cancer: A Systematic Literature Review. *Cancers (Basel)*. 2023;15:1164.
- **15.** Denkert, C.; VonMinckwitz, G.; Brase, J.C.; Sinn, B.V.; Gade, S.; Kronenwett, R.; Pfitzner, B.M.; Salat, C.; Loi, S.; Schmitt, W.; et al. Tumor-infiltrating lymphocytes and response to neoadjuvant chemotherapy with or without carboplatin in human epidermal growth factor receptor 2-positive and triple-negative primary breast cancers. *J. Clin. Oncol.* 2015, 33, 983–991.
- **16.** Salgado, R.; Denkert, C.; Campbell, C.; Savas, P.; Nuciforo, P.; Aura, C.; de Azambuja, E.; Eidtmann, H.; Ellis, C.E.; Baselga, J.; et al. Tumor-infiltrating lymphocytes and associations with pathological complete response and event-free survival in HER2-positive early-stage breast cancer treated with lapatinib and trastuzumab: A secondary analysis of the NeoALTTO trial. *JAMA Oncol.* 2015, 1, 448–454.
- 17. Salgado, R.; Denkert, C.; Demaria, S.; Sirtaine, N.; Klauschen, F.; Pruneri, G.; Wienert, S.; Eynden, G.V.D.; Baehner, F.L.; Penault-Llorca, F.; et al. The evaluation of tumor-infiltrating lymphocytes (TILs) in breast cancer: Recommendations by an International TILs Working Group 2014. *Ann. Oncol.* 2015, 26, 259–271.
- **18.** Loi, S.; Michiels, S.; Salgado, R.; Sirtaine, N.; Jose, V.; Fumagalli, D.; Kellokumpu-Lehtinen, P.-L.; Bono, P.; Kataja, V.; Desmedt, C.; et al. Tumor infiltrating lymphocytes are prognostic in triple negative breast cancer and predictive for trastuzumab benefit in early breast cancer: Results from the FinHER trial. *Ann. Oncol.* 2014, 25, 1544–1550.
- **19.** Bianchini, G.; De Angelis, C.; Licata, L.; Gianni, L. Treatment Landscape of Triple-Negative Breast Cancer—Expanded Options, Evolving Needs. Nat. Rev. *Clin. Oncol.* 2021, 19, 91–113.
- **20.** Stanton, S.E.; Adams, S.; Disis, M.L. Variation in the Incidence and Magnitude of Tumor-Infiltrating Lymphocytes in Breast Cancer Subtypes. *JAMA Oncol.* 2016, 2, 1354.
- **21.** Denkert, C.; von Minckwitz, G.; Darb-Esfahani, S.; Lederer, B.; Heppner, B.I.; Weber, K.E.; Budczies, J.; Huober, J.; Klauschen, F.; Furlanetto, J.; et al. Tumour-Infiltrating Lymphocytes and Prognosis in Different Subtypes of Breast Cancer: A Pooled Analysis of 3771 Patients Treated with Neoadjuvant Therapy. *Lancet Oncol.* 2018, 19, 40–50.
- **22.** Loi, S.; Drubay, D.; Adams, S.; Pruneri, G.; Francis, P.A.; Lacroix-Triki, M.; Joensuu, H.; Dieci, M.V.; Badve, S.; Demaria, S.; et al. Tumor-Infiltrating Lymphocytes and Prognosis: A Pooled Individual Patient Analysis of Early-Stage Triple-Negative Breast Cancers. *J. Clin. Oncol.* 2019, 37, 559–569.
- **23.** Wen HY, Desmedt C, Reis- Filho JS, Schmit F. Epithelial tumors of the breast. In: Lokuhetty D, editor. WHO classification of breast tumours. 5th ed. Lyon: International Agency for Research on Cancer; 2019. p. 100–101.
- **24.** Nanda R, Chow LQ, Dees EC, Berger R, Gupta S, Geva R, Pusztai L, Pathiraja K, Aktan G, Cheng JD, Karantza V, Buisseret L. Pembrolizumab in Patients With Advanced Triple-Negative Breast Cancer: Phase Ib KEYNOTE-012 Study. *J Clin Oncol*. 2016;34:2460-7.
- **25.** Callahan R, Hurvitz S. Human epidermal growth factor receptor-2-positive breast cancer: Current management of early, advanced, and recurrent disease. *Curr Opin Obstet Gynecol*. 2011;23:37-43.
- **26.** Retecki K, Seweryn M, Graczyk-Jarzynka A, Bajor M. The Immune Landscape of Breast Cancer: Strategies for Overcoming Immunotherapy Resistance. *Cancers (Basel)*. 2021;13:6012.
- 27. Nuciforo, P.; Prat, A.; Llombart, A.; Fasani, R.; Paré, L.; Pascual, T.; Oliveira, M.; Martínez Jañez, N.; Bermejo De Las Heras, B.; Vidal, M.; et al. Tumor-Infiltrating Lymphocytes (TILs) in HER2-Positive (HER2+) Early Breast Cancer Treated with Neoadjuvant Lapatinib and Trastuzumab without Chemotherapy in the PAMELA Trial. *Ann. Oncol.* 2017, 28, v46.
- 28. Loi, S.; Michiels, S.; Salgado, R.; Sirtaine, N.; Jose, V.; Fumagalli, D.; Kellokumpu-Lehtinen, P.-L.; Bono, P.; Kataja, V.; Desmedt, C.; et al. Tumor Infiltrating Lymphocytes Are Prognostic in Triple Negative Breast Cancer and Predictive for Trastuzumab Benefit in Early Breast Cancer: Results from the FinHER Trial. Ann. Oncol. 2014, 25, 1544–1550.
- **29.** Dieci, M.V.; Conte, P.; Bisagni, G.; Brandes, A.A.; Frassoldati, A.; Cavanna, L.; Musolino, A.; Giotta, F.; Rimanti, A.; Garrone, O.; et al. Association of Tumor-Infiltrating Lymphocytes with Distant Disease-Free Survival in the ShortHER Randomized Adjuvant Trial for Patients with Early HER2+ Breast Cancer. Ann. Oncol. 2019, 30, 418–423.
- **30.** Perez, E.A.; Ballman, K.V.; Tenner, K.S.; Thompson, E.A.; Badve, S.; Bailey, H.D.; Baehner, F.L. Association of Stromal Tumor-Infiltrating Lymphocytes with Recurrence-Free Survival in the N9831 Adjuvant Trial in Patients With Early-Stage HER2-Positive Breast Cancer. *JAMA Oncol.* 2016, *2*, 56–64.
- **31.** Romond EH, Perez EA, Bryant J, Suman VJ, Geyer CE Jr, Davidson NE, Tan-Chiu E, Martino S, Paik S, Kaufman PA, Swain SM, Pisansky TM, Fehrenbacher L, Kutteh LA, Vogel VG, Visscher DW, Yothers G,

- Jenkins RB, Brown AM, Dakhil SR, Mamounas EP, Lingle WL, Klein PM, Ingle JN, Wolmark N. Trastuzumab plus adjuvant chemotherapy for operable HER2-positive breast cancer. *N Engl J Med*. 2005;353:1673-84.
- **32.** Gao, Z.; Li, C.; Liu, M.; Jiang, J. Predictive and Prognostic Role of Tumour-Infiltrating Lymphocytes in Breast Cancer Patients with Different Molecular Subtypes: A Meta-Analysis. *BMC Cancer* 2020, 20, 1150.
- 33. Solinas, C.; Ceppi, M.; Lambertini, M.; Scartozzi, M.; Buisseret, L.; Garaud, S.; Fumagalli, D.; de Azambuja, E.; Salgado, R.; Sotiriou, C.; et al. Tumor-Infiltrating Lymphocytes in Patients with HER2-Positive Breast Cancer Treated with Neoadjuvant Chemotherapy plus Trastuzumab, Lapatinib or Their Combination: A Meta-Analysis of Randomized Controlled Trials. Cancer Treat. Rev. 2017, 57, 8–15.
- **34.** Luen, S.J.; Salgado, R.; Fox, S.; Savas, P.; Eng-Wong, J.; Clark, E.; Kiermaier, A.; Swain, S.M.; Baselga, J.; Michiels, S.; et al. Tumour-Infiltrating Lymphocytes in Advanced HER2-Positive Breast Cancer Treated with Pertuzumab or Placebo in Addition to Trastuzumab and Docetaxel: A Retrospective Analysis of the CLEOPATRA Study. *Lancet Oncol.* 2017, 18, 52–62.
- **35.** Liu, S.; Chen, B.; Burugu, S.; Leung, S.; Gao, D.; Virk, S.; Kos, Z.; Parulekar, W.R.; Shepherd, L.; Gelmon, K.A.; et al. Role of Cytotoxic Tumor-Infiltrating Lymphocytes in Predicting Outcomes in Metastatic HER2-Positive Breast Cancer. *JAMA Oncol.* 2017, 3, e172085.
- **36.** Dirix, L.Y.; Takacs, I.; Jerusalem, G.; Nikolinakos, P.; Arkenau, H.-T.; Forero-Torres, A.; Boccia, R.; Lippman, M.E.; Somer, R.; Smakal, M.; et al. Avelumab, an Anti-PD-L1 Antibody, in Patients with Locally Advanced or Metastatic Breast Cancer: A Phase 1b JAVELIN Solid Tumor Study. *Breast Cancer Res. Treat.* 2018, 167, 671–686.
- 37. Loi, S.; Giobbie-Hurder, A.; Gombos, A.; Bachelot, T.; Hui, R.; Curigliano, G.; Campone, M.; Biganzoli, L.; Bonnefoi, H.; Jerusalem, G.; et al. Pembrolizumab plus Trastuzumab in Trastuzumab-Resistant, Advanced, HER2-Positive Breast Cancer (PANACEA): A Single-Arm, Multicentre, Phase 1b–2 Trial. *Lancet Oncol*. 2019, 20, 371–382.
- **38.** Valenza C, Taurelli Salimbeni B, Santoro C, Trapani D, Antonarelli G, Curigliano G. Tumor Infiltrating Lymphocytes across Breast Cancer Subtypes: Current Issues for Biomarker Assessment. *Cancers (Basel)*. 2023;15:767.
- **39.** Sobral-Leite, M.; Salomon, I.; Opdam, M.; Kruger, D.T.; Beelen, K.J.; van der Noort, V.; van Vlierberghe, R.L.P.; Blok, E.J.; Giardiello, D.; Sanders, J.; et al. Cancer-Immune Interactions in ER-Positive Breast Cancers: PI3K Pathway Alterations and Tumor-Infiltrating Lymphocytes. *Breast Cancer Res.* 2019, 21, 90.
- **40.** Erber R, Hartmann A. Understanding PD-L1 Testing in Breast Cancer: A Practical Approach. *Breast Care* (*Basel*). 2020;15:481-490.
- **41.** Huang X, Ding Q, Guo H, Gong Y, Zhao J, Zhao M, Sui D, Wu Y, Chen H, Liu H, Zhang J, Resetkova E, Moulder SL, Wang WL, Huo L. Comparison of three FDA-approved diagnostic immunohistochemistry assays of PD-L1 in triple-negative breast carcinoma. *Hum Pathol.* 2021;108:42-50.
- **42.** Zhou KI, Peterson B, Serritella A, Thomas J, Reizine N, Moya S, Tan C, Wang Y, Catenacci DVT. Spatial and Temporal Heterogeneity of PD-L1 Expression and Tumor Mutational Burden in Gastroesophageal Adenocarcinoma at Baseline Diagnosis and after Chemotherapy. *Clin Cancer Res.* 2020;26:6453-6463.
- **43.** Emens LA, Adams S, Barrios CH, Diéras V, Iwata H, Loi S, et al. First-line atezolizumab plus nab-paclitaxel for unresectable, locally advanced, or metastatic triple-negative breast cancer: IMpassion130 final overall survival analysis. Ann Oncol. 2021;32:983-993.
- **44.** Dill EA, Gru AA, Atkins KA, Friedman LA, Moore ME, Bullock TN, Cross JV, Dillon PM, Mills AM. PD-L1 Expression and Intratumoral Heterogeneity Across Breast Cancer Subtypes and Stages: An Assessment of 245 Primary and 40 Metastatic Tumors. Am J Surg Pathol. 2017;41:334-342.
- **45.** Manson QF, Schrijver WAME, Ter Hoeve ND, Moelans CB, van Diest PJ. Frequent discordance in PD-1 and PD-L1 expression between primary breast tumors and their matched distant metastases. *Clin Exp Metastasis*. 2019;36:29-37.
- **46.** Dieci MV, Guarneri V, Tosi A, Bisagni G, Musolino A, Spazzapan S, et al. Neoadjuvant Chemotherapy and Immunotherapy in Luminal B-like Breast Cancer: Results of the Phase II GIADA *Trial. Clin Cancer Res.* 2022;28:308-317.
- **47.** Frenel JS, Le Tourneau C, O'Neil B, Ott PA, Piha-Paul SA, Gomez-Roca C, vet al. Safety and Efficacy of Pembrolizumab in Advanced, Programmed Death Ligand 1-Positive Cervical Cancer: Results From the Phase Ib KEYNOTE-028 Trial. *J Clin Oncol*. 2017;35:4035-4041.
- **48.** Wang H, Yee D. I-SPY 2: a Neoadjuvant Adaptive Clinical Trial Designed to Improve Outcomes in High-Risk Breast Cancer. *Curr Breast Cancer Rep.* 2019;11:303-310.

- **49.** Padmanabhan R, Kheraldine HS, Meskin N, Vranic S, Al Moustafa AE. Crosstalk between HER2 and PD-1/PD-L1 in Breast Cancer: From Clinical Applications to Mathematical Models. *Cancers (Basel)*. 2020;12:636.
- **50.** Kurozumi S, Inoue K, Matsumoto H, Fujii T, Horiguchi J, Oyama T, Kurosumi M, Shirabe K. Clinicopathological values of PD-L1 expression in HER2-positive breast cancer. *Sci Rep.* 2019 13;9:16662.
- **51.** Emens LA, Esteva FJ, Beresford M, Saura C, De Laurentiis M, Kim SB, et al. Trastuzumab emtansine plus atezolizumab versus trastuzumab emtansine plus placebo in previously treated, HER2-positive advanced breast cancer (KATE2): a phase 2, multicentre, randomised, double-blind trial. *Lancet Oncol.* 2020;21:1283-1295.
- **52.** McDermott DF, Sosman JA, Sznol M, Massard C, Gordon MS, Hamid O, et al. Atezolizumab, an Anti-Programmed Death-Ligand 1 Antibody, in Metastatic Renal Cell Carcinoma: Long-Term Safety, Clinical Activity, and Immune Correlates From a Phase Ia Study. *J Clin Oncol*. 2016;34:833-42.
- **53.** Huang X, Wang X, Qian H, Jin X, Jiang G. Expression of PD-L1 and BRCA1 in Triple-Negative Breast Cancer Patients and Relationship with Clinicopathological Characteristics. Evid Based Complement *Alternat Med.* 2021;2021:5314016.
- **54.** Cortes J, Rugo HS, Cescon DW, Im SA, Yusof MM, Gallardo C, Lipatov O, Barrios CH, Perez-Garcia J, Iwata H, Masuda N, Torregroza Otero M, Gokmen E, Loi S, Guo Z, Zhou X, Karantza V, Pan W, Schmid P; KEYNOTE-355 Investigators. Pembrolizumab plus Chemotherapy in Advanced Triple-Negative Breast Cancer. *N Engl J Med*. 2022;387:217-226.
- **55.** Downs-Canner S, Mittendorf EA. Preoperative Immunotherapy Combined with Chemotherapy for Triple-Negative Breast Cancer: Perspective on the KEYNOTE-522 Study. *Ann Surg Oncol.* 2023;30:3166-3169.
- **56.** Noske A, Steiger K, Ballke S, Kiechle M, Oettler D, Roth W, Weichert W. Comparison of assessment of programmed death-ligand 1 (PD-L1) status in triple-negative breast cancer biopsies and surgical specimens. *J Clin Pathol*. 2023 Jan 20:jcp-2022-208637.
- 57. Mittendorf EA, Zhang H, Barrios CH, Saji S, Jung KH, Hegg R, et al. Neoadjuvant atezolizumab in combination with sequential nab-paclitaxel and anthracycline-based chemotherapy versus placebo and chemotherapy in patients with early-stage triple-negative breast cancer (IMpassion031): a randomised, double-blind, phase 3 trial. *Lancet*. 2020;396(10257):1090-1100.