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Article

Toward Smart SCADA Systems in the Hydropower Plants through Integrating Data Mining-Based Knowledge Discovery Modules

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Abstract: The increasing importance of hydropower generation has led to the development of new smart technologies and the need for reliable and efficient equipment in this field. As long as hydropower power plants are more complex to build up than other power plants, the operation regimes and maintenance activities become essential for the hydropower companies to optimize their performance, such that including the data-driven approaches in the decision-making process represents a challenge. In the paper, a comprehensive and multi-task framework integrated into a Knowledge Discovery module based on Data Mining to support the decisions of the operators from the control rooms and facilitate the transition from the classical to smart Supervisory Control and Data Acquisition (SCADA) system in hydropower plants has been designed, developed, and tested. It integrates tasks related to detecting the outliers through advanced statistical procedures, identifying the operating regimes through the patterns associated with typical operating profiles, and developing strategies for loading the generation units that consider the number of operating hours and minimize the water amount used to satisfy the power required by the system. The proposed framework has been tested using the SCADA system's database of a hydropower plant belonging to the Romanian HydroPower Company. The framework can offer the operators from the control room comparative information for a time horizon longer than one year. The tests demonstrated the utility of a Knowledge Discovery module to ensure the transition toward smart SCADA systems that will help the decision-makers improve the management of the hydropower plants.

Keywords: smart SCADA; Knowledge Discovery; Data Mining; Clustering; Hydropower plants

1. Introduction

Hydropower is one of the most important sources of electricity globally, along with wind, solar, and biomass. It helps cut down on greenhouse gas emissions, which are a major issue of global warming. The electrical power sector is playing its part in mitigating the effects of climate change by utilizing clean and renewable energy sources [1]. Hydropower plants currently account for over 75% of the world's renewable energy sources. Despite their widespread use, they have a huge potential to expand globally. [5]. A hydropower reservoir's power generation is affected by various factors, such as the water quantity yearly discharge, and load rate. Efficient planning and computational enhancements can increase the energy output by using the available water [1].

The optimal operation of a hydroelectric power plant involves the gathering and processing of vast amounts of input data. Unfortunately, the techniques used in the design and implementation of the plant's operations are not always able to extract the most value from the data. This paper proposes a clustering-based method that can help the Decision-Makers (DEMA) identify the optimal hourly load patterns of the generators. The daily generation scheduling method is an integral part of the

decision-making process in a power plant. It helps reduce the time it takes to make critical decisions by implementing effective maintenance plans and energy production techniques [2].

The increasing importance of hydropower generation has led to the development of new technologies and the need for reliable and efficient equipment. It has become a vital factor in the operations and maintenance of these machines. Hydropower plants are typically more expensive to set up than other energy sources. Nonetheless, they have a longer lifespan than other power plants, and their average maintenance and operations costs are typically around 2% of the investment. Although routine maintenance is carried out on the equipment, predictive maintenance can improve their efficiency [3], helping to maximize the life of a plant's resources and assets. It can also prevent costly repairs by identifying potential issues early. One of the most significant decisions to implement this approach is to monitor continuously the equipment's condition through a smart SCADA system where the Knowledge Discovery modules are to be integrated. The core step used in the Knowledge Discovery modules is Data Mining. It involves extracting information and transforming it into a more understandable format (containing the operation patterns) by the DEMA from the operators in the control room of the hydropower plant. The information provided through such Knowledge Discovery modules can help improve the lifetime of the power units by reducing their downtime and enhancing their production. It can also minimize the costs of operations and maintenance [4]. The challenge is associated with the following two questions:

1. How can a deeper analysis of the data from various processes and equipment within the hydropower plant be performed?
2. Which is the best approach to perform the analysis?

This process must be carried out efficiently to maximize the information from the SCADA database. The literature presents different Knowledge Discovery applications in the hydropower industry. Parvez et al. [1] proposed a linear regression procedure used to determine the energy production relationship between upstream and downstream hydro plants. A cluster analysis has been performed to find the typical generation curves. The goal of this project is to develop a class-based extreme learning machine that can determine the optimal operation rule for a hydropower reservoir. Through a k-means clustering algorithm, the cluster analysis is performed to split the influence factors into several sub-regions. The extreme learning machine is then optimized by particle swarm analysis to identify the complex relationship between the cluster's input and output. Feng et al. developed in [6] a class-based extreme learning machine that can determine the optimal operation rule for a hydropower reservoir. Through a k-means clustering algorithm, the cluster analysis is performed to split the influence factors into several sub-regions. The extreme learning machine is then optimized by particle swarm analysis to identify the complex relationship between the cluster's input and output. Zhang et al. propose in [7] an approach that can improve the quality of the monitoring data collected from hydropower units by implementing a clustering algorithm. This approach can be used to solve various problems related to the condition monitoring. A standard system to classify the huge amount of information that is collected and stored has been proposed by researchers in the study performed [8]. The system can meet the needs of the DEAM and provide them with the necessary services. Ahmed et al. [9] used three approaches, Local Outlier Factor as a density-based method, Feature Bagging for Outlier Detection as an ensemble method, and Subspace Outlier Degree, to analyze the anomalous data collected from a hydropower plant and compare their performance. The outliers were then verified by the expert utilizing a feature selection process and a decision tree to identify the critical variables that could be associated with the anomalies. Valencia et al. presented in [10] a procedure that uses Knowledge Discovery to analyze a data set and extract structured information related to a hydroelectric power plant. This method can be utilized to train systems focused on identifying faults. Zhang et al. proposed in [11] a decision tree-based clustering scheme that can be used to determine the various operating regimes in hydropower plants. The method uses k-means++ clustering to classify the data. The decision tree is then constructed using the group labels and other features. The decision tree is then analyzed and pruned according to the classification accuracy and complexity requirements. The reference [12] introduced the data mining concept integrated into a SCADA system to help the hydropower plant's operators make informed

decisions. The data collected by the data mining process can determine the typical loading profiles of each generation unit. Sahin and Karakus presented in [13] a study on the energy generation forecasting of a hydroelectric plant based on Machine Learning and a hybrid Genetic Grey Wolf Optimizer-based Convolutional Neural Network/Recurrent Neural Network-Long Short-Term Memory regression approach. The findings can help improve the efficiency of resource management and energy generation.

Two aspects draw attention concerning the current applications of the KD in hydropower plants:

1. The vast majority of applications aim for a single task implemented at the level of data analysis regarding the energy production relationship between upstream and downstream hydro plants, energy production forecasting, identifying the operating regimes, improving the data quality, outliers' detection, identifying faults, or determining the typical operating profiles.
2. The analysis time horizon corresponds with a day, season, or year.

Using as a starting point these two remarks, the main contribution of the paper is associated with designing, developing, and testing an original clustering-based data mining framework integrated into a Knowledge Discovery module from the SCADA software of a hydropower plant which fulfil more tasks regarding:

- Performing an advanced statistical analysis and outliers' detection,
- Identifying the operating regimes and hourly typical operating profiles,
- Developing the strategies for loading the generation units that consider the number of hours of operation and the minimization of the amount of water used to satisfy the power required by the system.

The framework can offer comparative information for a time horizon longer than one year, allowing the quick identification of key performance indicators that characterize the operation of the hydropower plant.

The remainder of the paper includes four sections. Section 2 presents the theoretical aspects regarding the integration of the Knowledge Discovery and Data Mining in the smart SCADA, Section 3 integrates the details on the multi-task framework integrated into the Data Mining-based Knowledge Discovery, Section 4 covers the case study where the proposed framework has been tested using the SCADA system's database of a hydropower plant belonging to the Romanian HydroPower Company, and Section 5 highlights the conclusions and future work.

2. Knowledge Discovery and Data Mining in Smart SCADA

2.1. Knowledge Discovery vs. Data Mining

Knowledge discovery (KD) and Data Mining (DM) have transformed the power engineering research. To carry out effective and meaningful research, a deep understanding of various aspects of data mining and knowledge discovery is necessary [14]. It includes the expertise of specialists who work in all components associated with the energy generation, transmission, and distribution of the chain representing the power system.

There are some misunderstandings about the terms data mining and knowledge discovery defined in databases. Although many specialists and researchers use DM as a synonym for knowledge discovery, DM is not the entire knowledge discovery process. In addition to being defined as data mining, it comes with other names, such as information discovery or knowledge extraction. The KD is a process that aims to identify relationships and patterns in large datasets. It is defined typically as a non-trivial process that involves identifying novel, useful, and understandable patterns. In a narrow sense, KD refers to extracting information from a data source. While it can be done through various methods, the term refers to obtaining knowledge from textual or database data. The combined process is referred to as the KD process.

Figure 1 shows the steps of a KD process, integrating the Data Mining and the details are introduced in the following [12,14,15].

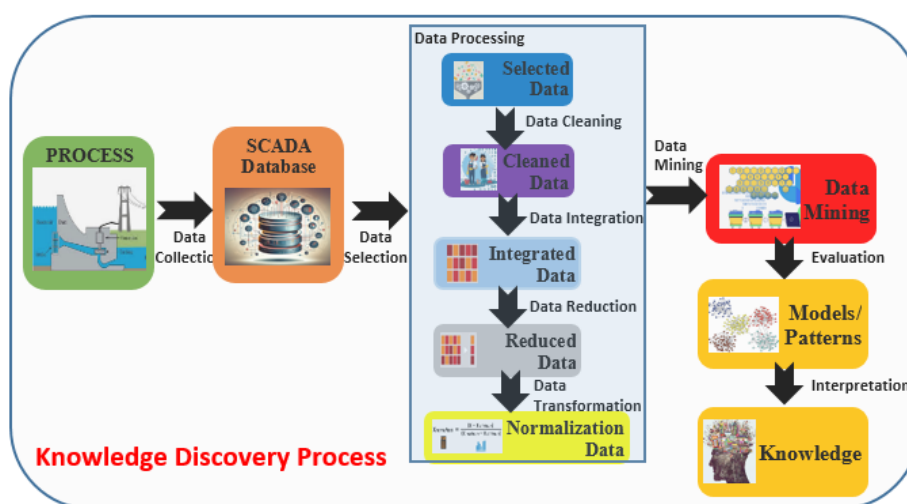


Figure 1. The steps of a KD process.

Data Selection. KD's initial step is data selection, which involves gathering information from the SCADA database. This process is carried out to create a raw dataset.

Data Cleaning (Preprocessing). Ensuring that the data collected is of good quality is done through preprocessing. This process involves handling noise, missing values, and inconsistencies.

Data Transformation. After cleaning the data, it's usually necessary to transform it into something suitable for mining. This can be done through various methods such as feature engineering and scaling. To make it easier for the machine learning tools, the employed label encoding to convert categorical data into a more readable format can be done.

Data Mining. The DM step involves uncovering patterns, anomalies, or relationships between the data. The DM process is composed of numerous steps, each of which is related to a specific discovery task. The extraction of knowledge involves the process of gathering and storing information. It also involves analyzing and visualizing the data, designing models for machine and human interaction, and learning how to use efficient methods. One of the most frequently used techniques is clustering, which enables us to group the data into distinct groups based on similarity.

Interpretation. After Data Mining, the next step is to interpret the results. This involves understanding the clusters (patterns) and their features.

2.2. Data Mining Techniques

The Data Mining techniques are divide in two main categories: descriptive and predictive, see Figure 2 [16–18].

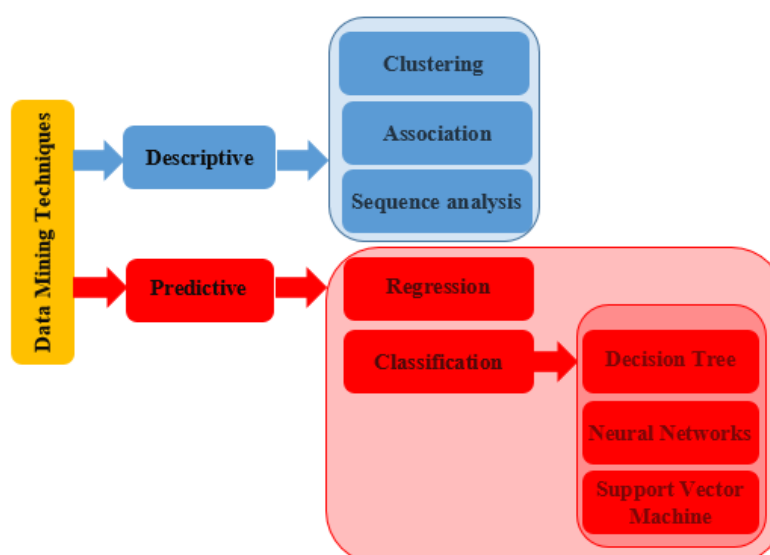


Figure 2. Data Mining techniques.

Cross-tabulation, correlation, and frequency are some of the characteristics used in the production of descriptive data mining. This process is utilized to identify the similarities between the data and the existing patterns. Another characteristic used in this type of analysis is associated with developing captivating subgroups. This is done by analyzing the data and transforming it into meaningful information. Descriptive data mining involves techniques, such as clustering, association rule mining, and sequence discovery analysis.

Clustering is commonly used in data mining to organize information by grouping related data points. It helps the DEMA identify patterns and similarities between different sets of information. It can be used to classify and extract patterns in the data, identify anomalies, or analyze spatial data.

Association Rules. The goal is to find the correlations in the data sets from the database. Even if the data sets come from different sources, these correlations can identify patterns that can help reveal process trends or explain the operation characteristics of the equipment/installations.

Sequence discovery analysis. The goal of sequence discovery analysis is to find interesting data sets that contain sequential patterns. This process usually involves identifying frequent patterns about a certain frequency support measure.

The second category aims to predict the future results of a given variable with a high degree of accuracy. This data mining is carried out using supervised learning techniques. There are three categories of methods that are used in this type of mining: regression, classification, and time-series analysis. The latter two are utilized in predictive analysis to model the data.

Regression It is similar to the clustering technique in that it focuses on the relationship between a target and an independent variable. The target variables can be influenced by different predictors or independent factors, which is why a regression analysis is utilized. It predicts the outcomes based on the input fields relevant to the target.

Classification. It is carried out by identifying the various features of the data. This process helps to identify patterns and extract meaningful insights. It also helps in improving the quality of the data. The appropriate features are selected to classify it after the data has been collected and analyzed. A suitable algorithm is then chosen to implement.

- **Support Vector Machine.** This algorithm creates a boundary between the different classes/patterns. It identifies the features that are most important to the classification process.
- **Decision Tree.** The classification process is carried out using a tree-based structure. This algorithm uses a set of conditions to categorize the data. The root nodes of the structure are set for the test conditions, while the leaf nodes are for the outcome.
- **Neural Network.** A neural network model is a computational resource that can recognize the relationships between various data sets. These units, which act like neurons, are formed by

connecting the inputs and outputs. The model considers the connection strength and outputs the information in a hidden layer. The neural network is similar to the human brain in that it requires training to be effective. Although it can be hard to interpret, the models are reliable and can even classify past training procedures.

The Smart SCADA can improve the efficiency of the hydropower plant operation by combining Knowledge Discovery and statistical analysis. Figure 3 displays the interdependencies between the Knowledge Discovery and Smart SCADA (adapted after [19]).

TASKS	KNOWLEDGE DISCOVERY	SMART SCADA
DATA PREPARATION	Cleans and structures raw data	Accesses and visualized clean, organized data
PATTERN DISCOVERY	Uncovering hidden patterns and their understanding	Creates reports, dashboards, and visualization
ROOT CAUSE ANALYSIS	Identifies the causes behind specific operating regimes	Presenting possible measures to be applied
CONTINUOUS IMPROVEMENT	Supports ongoing improving of models	Monitors modifications of the operating regimes and tracks the impact
DECISION-MAKING	Provides base for deeper analysis	Establishing the strategies to improve the operating regimes
STRATEGIC PLANNING	Assists in medium and long-time horizon strategies formulation	Providing the key performance indexes

Figure 3. Interdependencies between the Knowledge Discovery and Smart SCADA.

3. Multi-task Framework Integrated into the Knowledge Discovery Module

The main steps in the multi-task framework related to developing the Knowledge Discovery module based on Data Mining to facilitate the transition from the classical to smart SCADA system in the hydropower plants are discussed in this section.

Figure 4 presents the basic structure of an automation architecture, including the SCADA system implemented in a power plant.

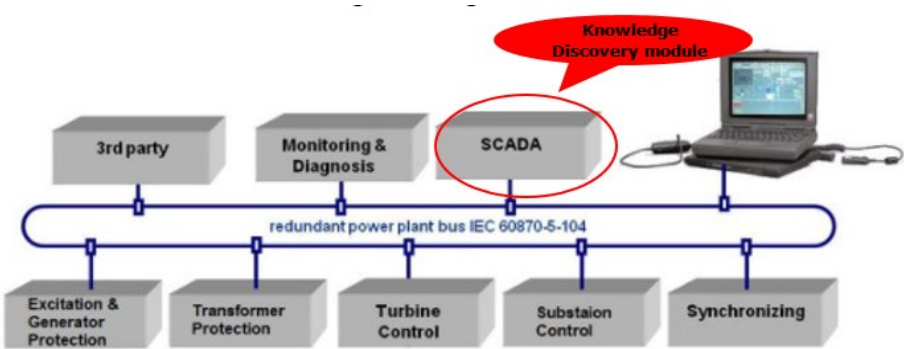


Figure 4. The basic structure of an automation architecture including the SCADA system.

The data acquisition is performed on main hydro components (turbine, generator, power transformer, substation level), recorded in the SCADA system and made available to the operator from the control room through the Human-Machine Interface. The Data Mining-based Knowledge Discovery module will be implemented at the SCADA level to ensure data-driven decision-making, ensuring the transition toward smart SCADA.

Figure 5 shows the flow chart of the multi-task framework integrated into the Knowledge Discovery module. Details regarding each task are provided above.

The SCADA system collects the water flows in the pipes (WF_{pipe}), in $[m^3]$, that supply the turbines and the requested power of the system to the hydropower plant (P_{sysreq}), in $[MW]$. It also contains information about the various technical parameters of the generation units, such as the active and reactive powers ($P_{HPP^{GU}}$ and $Q_{HPP^{GU}}$), in $[MW]$ and $[MVar]$, the stator voltage and current ($V_{HPP^{S, GU}}$ and $I_{HPP^{S, GU}}$), in $[kV]$ and $[kA]$, the excitation voltage or current of each generation unit ($V_{HPP^{ex, GU}}$ and $I_{HPP^{ex, GU}}$), in $[kV]$ and $[kA]$, frequency (f_{HPP}), in $[Hz]$, water levels of the reservoir upstream ($WL_{HPP^{rd, u}}$ and downstream and $WL_{HPP^{rd, d}}$). The information is recorded in the database with the time details in the format *year-month-day-hour*, as seen in Figure 6.

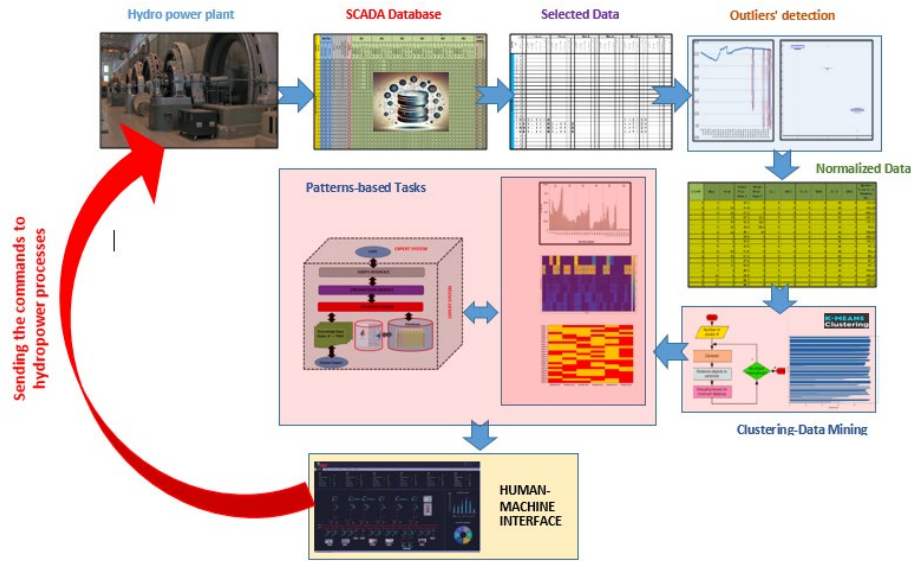


Figure 5. The multi-task framework integrated in the Knowledge Discovery module.

Data	Water Type				Generation Unit no. 1				Generation Unit no. 2				Generation Unit no. 3				Generation Unit no. 4				Generation Unit no. 5				Water level																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
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1DH	Unit 1DI	Unit 1DJ	Unit 1DK	Unit 1DL	Unit 1DM	Unit 1DN	Unit 1DO	Unit 1DP	Unit 1DQ	Unit 1DR	Unit 1DS	Unit 1DT	Unit 1DU	Unit 1DV	Unit 1DW	Unit 1DX	Unit 1DY	Unit 1DZ	Unit 1EA	Unit 1EB	Unit 1EC	Unit 1ED	Unit 1EE	Unit 1EF	Unit 1EG	Unit 1EH	Unit 1EI	Unit 1EJ	Unit 1EK	Unit 1EL	Unit 1EM	Unit 1EN	Unit 1EO	Unit 1EP	Unit 1EQ	Unit 1ER	Unit 1ES	Unit 1ET	Unit 1EU	Unit 1EV	Unit 1EW	Unit 1EX	Unit 1EY	Unit 1EZ	Unit 1FA	Unit 1FB	Unit 1FC	Unit 1FD	Unit 1FE	Unit 1FF	Unit 1FG	Unit 1FH	Unit 1FI	Unit 1FJ	Unit 1FK	Unit 1FL	Unit 1FM	Unit 1FN	Unit 1FO	Unit 1FP	Unit 1FQ	Unit 1FR	Unit 1FS	Unit 1FT	Unit 1FU	Unit 1FV	Unit 1FW	Unit 1FX	Unit 1FY	Unit 1FZ	Unit 1GA	Unit 1GB	Unit 1GC	Unit 1GD	Unit 1GE	Unit 1GF	Unit 1GG	Unit 1GH	Unit 1GI	Unit 1GJ	Unit 1GK	Unit 1GL	Unit 1GM	Unit 1GN	Unit 1GO	Unit 1GP	Unit 1GQ	Unit 1GR	Unit 1GS	Unit 1GT	Unit 1GU	Unit 1GV	Unit 1GW	Unit 1GX	Unit 1GY	Unit 1GZ	Unit 1HA	Unit 1HB	Unit 1HC	Unit 1HD	Unit 1HE	Unit 1HF	Unit 1HG	Unit 1HH	Unit 1HI	Unit 1HJ	Unit 1HK	Unit 1HL	Unit 1HM	Unit 1HN	Unit 1HO	Unit 1HP	Unit 1HQ	Unit 1HR	Unit 1HS	Unit 1HT	Unit 1HU	Unit 1HV	Unit 1HW	Unit 1HX	Unit 1HY	Unit 1HZ	Unit 1IA	Unit 1IB	Unit 1IC	Unit 1ID	Unit 1IE	Unit 1IF	Unit 1IG	Unit 1IH	Unit 1II	Unit 1IJ	Unit 1IK	Unit 1IL	Unit 1IM	Unit 1IN	Unit 1IO	Unit 1IP	Unit 1IQ	Unit 1IR	Unit 1IS	Unit 1IT	Unit 1IU	Unit 1IV	Unit 1IW	Unit 1IX	Unit 1IY	Unit 1IZ	Unit 1JA	Unit 1JB	Unit 1JC	Unit 1JD	Unit 1JE	Unit 1JF	Unit 1JG	Unit 1JH	Unit 1JI	Unit 1JJ	Unit 1JK	Unit 1JL	Unit 1JM	Unit 1JN	Unit 1JO	Unit 1JP	Unit 1JQ	Unit 1JR	Unit 1JS	Unit 1JT	Unit 1JU	Unit 1JV	Unit 1JW	Unit 1JX	Unit 1JY	Unit 1JZ	Unit 1KA	Unit 1KB	Unit 1KC	Unit 1KD	Unit 1KE	Unit 1KF	Unit 1KG	Unit 1KH	Unit 1KI	Unit 1KJ	Unit 1KK	Unit 1KL	Unit 1KM	Unit 1KN	Unit 1KO	Unit 1KP	Unit 1KQ	Unit 1KR	Unit 1KS	Unit 1KT	Unit 1KU	Unit 1KV	Unit 1KW	Unit 1KX	Unit 1KY	Unit 1KZ	Unit 1LA	Unit 1LB	Unit 1LC	Unit 1LD	Unit 1LE	Unit 1LF	Unit 1LG	Unit 1LH	Unit 1LI	Unit 1LJ	Unit 1LK	Unit 1LL	Unit 1LM	Unit 1LN	Unit 1LO	Unit 1LP	Unit 1LQ	Unit 1LR	Unit 1LS	Unit 1LT	Unit 1LU	Unit 1LV	Unit 1LW	Unit 1LX	Unit 1LY	Unit 1LZ	Unit 1MA	Unit 1MB	Unit 1MC	Unit 1MD	Unit 1ME	Unit 1MF	Unit 1MG	Unit 1MH	Unit 1MI	Unit 1MJ	Unit 1MK	Unit 1ML	Unit 1MM	Unit 1MN	Unit 1MO	Unit 1MP	Unit 1MQ	Unit 1MR	Unit 1MS	Unit 1MT	Unit 1MU	Unit 1MV	Unit 1MW	Unit 1MX	Unit 1MY	Unit 1MZ	Unit 1NA	Unit 1NB	Unit 1NC	Unit 1ND	Unit 1NE	Unit 1NF	Unit 1NG	Unit 1NH	Unit 1NI	Unit 1NJ	Unit 1NK	Unit 1NL	Unit 1NM	Unit 1NN	Unit 1NO	Unit 1NP	Unit 1NQ	Unit 1NR	Unit 1NS	Unit 1NT	Unit 1NU	Unit 1NV	Unit 1NW	Unit 1NX	Unit 1NY	Unit 1NZ	Unit 1OA	Unit 1OB	Unit 1OC	Unit 1OD	Unit 1OE	Unit 1OF	Unit 1OG	Unit 1OH	Unit 1OI	Unit 1OJ	Unit 1OK	Unit 1OL	Unit 1OM	Unit 1ON	Unit 1OO	Unit 1OP	Unit 1OQ	Unit 1OR	Unit 1OS	Unit 1OT	Unit 1OU	Unit 1OV	Unit 1OW	Unit 1OX	Unit 1OY	Unit 1OZ	Unit 1PA	Unit 1PB	Unit 1PC	Unit 1PD	Unit 1PE	Unit 1PF	Unit 1PG	Unit 1PH	Unit 1PI	Unit 1PJ	Unit 1PK	Unit 1PL	Unit 1PM	Unit 1PN	Unit 1PO	Unit 1PP	Unit 1PQ	Unit 1PR	Unit 1PS	Unit 1PT	Unit 1PU	Unit 1PV	Unit 1PW	Unit 1PX	Unit 1PY	Unit 1PZ	Unit 1QA	Unit 1QB	Unit 1QC	Unit 1QD	Unit 1QE	Unit 1QF	Unit 1QG	Unit 1QH	Unit 1QI	Unit 1QJ	Unit 1QK	Unit 1QL	Unit 1QM	Unit 1QN	Unit 1QO	Unit 1QP	Unit 1QQ	Unit 1QR	Unit 1QS	Unit 1QT	Unit 1QU	Unit 1QV	Unit 1QW	Unit 1QX	Unit 1QY	Unit 1QZ	Unit 1RA	Unit 1RB	Unit 1RC	Unit 1RD	Unit 1RE	Unit 1RF	Unit 1RG	Unit 1RH	Unit 1RI	Unit 1RJ	Unit 1RK	Unit 1RL	Unit 1RM	Unit 1RN	Unit 1RO	Unit 1RP	Unit 1RQ	Unit 1RR	Unit 1RS	Unit 1RT	Unit 1RU	Unit 1RV	Unit 1RW	Unit 1RX	Unit 1RY	Unit 1RZ	Unit 1SA	Unit 1SB	Unit 1SC	Unit 1SD	Unit 1SE	Unit 1SF	Unit 1SG	Unit 1SH	Unit 1SI	Unit 1SJ	Unit 1SK	Unit 1SL	Unit 1SM	Unit 1SN	Unit 1SO	Unit 1SP	Unit 1SQ	Unit 1SR	Unit 1SS	Unit 1ST	Unit 1SU	Unit 1SV	Unit 1SW	Unit 1SX	Unit 1SY	Unit 1SZ	Unit 1TA	Unit 1TB	Unit 1TC	Unit 1TD	Unit 1TE	Unit 1TF	Unit 1TG	Unit 1TH	Unit 1TI	Unit 1TJ	Unit 1TK	Unit 1TL	Unit 1TM	Unit 1TN	Unit 1TO	Unit 1TP	Unit 1TQ	Unit 1TR	Unit 1TS	Unit 1TT	Unit 1TU	Unit 1TV	Unit 1TW	Unit 1TX	Unit 1TY	Unit 1TZ	Unit 1UA	Unit 1UB	Unit 1UC	Unit 1UD	Unit 1UE	Unit 1UF	Unit 1UG	Unit 1UH	Unit 1UI	Unit 1UJ	Unit 1UK	Unit 1UL	Unit 1UM	Unit 1UN	Unit 1UO	Unit 1UP	Unit 1UQ	Unit 1UR	Unit 1US	Unit 1UT	Unit 1UU	Unit 1UV	Unit 1UW	Unit 1UX	Unit 1UY	Unit 1UZ	Unit 1VA	Unit 1VB	Unit 1VC	Unit 1VD	Unit 1VE	Unit 1VF	Unit 1VG	Unit 1VH	Unit 1VI	Unit 1VJ	Unit 1VK	Unit 1VL	Unit 1VM	Unit 1VN	Unit 1VO	Unit 1VP	Unit 1VQ	Unit 1VR	Unit 1VS	Unit 1VT	Unit 1VU	Unit 1VV	Unit 1VW	Unit 1VX	Unit 1VY	Unit 1VZ	Unit 1WA	Unit 1WB	Unit 1WC	Unit 1WD	Unit 1WE	Unit 1WF	Unit 1WG	Unit 1WH	Unit 1WI	Unit 1WJ	Unit 1WK	Unit 1WL	Unit 1WM	Unit 1WN	Unit 1WO	Unit 1WP	Unit 1WQ	Unit 1WR	Unit 1WS	Unit 1WT	Unit 1WU	Unit 1WV	Unit 1WW	Unit 1WX	Unit 1WY	Unit 1WZ	Unit 1XA	Unit 1XB	Unit 1XC	Unit 1XD	Unit 1XE	Unit 1XF	Unit 1XG	Unit 1XH	Unit 1XI	Unit 1XJ	Unit 1XK	Unit 1XL	Unit 1XM	Unit 1XN	Unit 1XO	Unit 1XP	Unit 1XQ	Unit 1XR	Unit 1XS	Unit 1XT	Unit 1XU	Unit 1XV	Unit 1XW	Unit 1XX	Unit 1XY	Unit 1XZ	Unit 1YA	Unit 1YB	Unit 1YC	Unit 1YD	Unit 1YE	Unit 1YF	Unit 1YG	Unit 1YH	Unit 1YI	Unit 1YJ	Unit 1YK	Unit 1YL	Unit 1YM	Unit 1YN	Unit 1YO	Unit 1YP	Unit 1YQ	Unit 1YR	Unit 1YS	Unit 1YT	Unit 1YU	Unit 1YV	Unit 1YW	Unit 1YX	Unit 1YY	Unit 1YZ	Unit 1ZA	Unit 1ZB	Unit 1ZC	Unit 1ZD	Unit 1ZE	Unit 1ZF	Unit 1ZG	Unit 1ZH	Unit 1ZI	Unit 1ZJ	Unit 1ZK	Unit 1ZL	Unit 1ZM	Unit 1ZN	Unit 1ZO	Unit 1ZP	Unit 1ZQ	Unit 1ZR	Unit 1ZS	Unit 1ZT	Unit 1ZU	Unit 1ZV	Unit 1ZW	Unit 1ZX	Unit 1ZY	Unit 1ZZ	Unit 1AAA	Unit 1AAB	Unit 1AAC	Unit 1AAD	Unit 1AAE	Unit 1AAF	Unit 1AAG	Unit 1AAH	Unit 1AAI	Unit 1AAJ	Unit 1AAK	Unit 1AAL	Unit 1AAM	Unit 1AAN	Unit 1AAO	Unit 1AAP	Unit 1AAQ	Unit 1AAR	Unit 1AAS	Unit 1AAT	Unit 1AAU	Unit 1AAV	Unit 1AAW	Unit 1AAX	Unit 1AAY	Unit 1AAZ	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB	Unit 1AAB

Figure 6. The fields of the SCADA database.

Task 1 – Statistical analysis and outliers' detection.

The statistical analysis is associated with exploring and presenting large amounts of data on the technical parameters based on the parameters such as mean, standard deviation, confidence degree, quintiles - Q0 (minimum value), Q1 (25th), Q2 (50th), Q3 (75th), and Q4 (maximum value). Also, the boxplot is used to show the spread and skewness of the variables from the database through their quintiles. It can also include lines known as whiskers, which extend from the box to indicate variability outside the lower and upper limits of the dataset. Outliers with significant differences from the rest of the data can be plotted on the box-and-whisker diagram [20]. Thus, the DEMA (represented by the operator from the control room) can select the fields containing the values of the monitoring parameters. Regarding the outliers' detection, a rules-based algorithm based on the values of the quintiles has been integrated [21,22]. These two rules refer to Q0 and Q4:

- (1) If $X^h < Q0_x$ then X^h is outlier
- (2) If $X^h > Q4_x$ then X^h is outlier

where: X^h is hourly value of the analysed technical parameter and x refers to the name of the analysed technical parameter (identically with the name of the field).

However, there are cases when the outliers identified for certain technical parameters can be associated with the different operating regimes compared to most regimes but which do not lead to the violation of the allowable limits. In these cases, an attention message will alert the DEMA, who will verify only those regimes. For all other cases, when the outliers are identified, the least squares

method is applied to estimate the “true” value. The approach is based on a regression model determined for that parameter.

A comparison between more years can be done by choosing the analysis period.

Task 2 – Determining the operating regimes.

This task is based on the clustering-based data mining process. The input data are associated with a matrix structure built with the values of technical parameters selected by the DEMA. The regimes are identified through the typical operating profiles divided into the intervals associated with the generating units (GU1, ..., GUn) or hourly loading patterns, including the hourly average power of each generation unit. The DEMA can choose any algorithm from the hierarchical clustering category (complete-linkage clustering, single-linkage clustering, average linkage clustering, centroid linkage clustering, median linkage clustering, or Ward linkage clustering) or K-means clustering algorithm. In hierarchical clustering, a similarity measure between the sets of observations is necessary to determine which patterns should be grouped or separated. Usually, in hierarchical clustering, the set's similarity is determined by using a distance between the observations. It is done through a linkage criterion that specifies the set's similarity.

When the DEMA requests characterization of the operating regimes through the typical operating profiles, then the matrix structure of the input data corresponding to the clustering process is identified with the fields of the active power produced by each generation unit in a certain time horizon (usually a year to cover all operating regimes).

The matrix size is $H_{\text{year}} \times (N_{\text{HPP}}^{\text{GU}} \times 24 + 2)$, where H_{year} represents the number of hours when at least one generation unit worked and $N_{\text{HPP}}^{\text{GU}}$ – the number of the generation units from the hydropower plant. The additional columns correspond to the water levels of the reservoir - upstream and downstream.

Each obtained pattern is associated with a typical operating profile which characterizes the operating regime of the hydropower plant in certain periods (days inside a year). The DEMA can identify the operating regimes of the hydropower plant through an evolution along the time axis of the degree of hourly loading of all generating units and the water volume used in each operating regime. He can establish an operating strategy of the plant for the next day depending on the forecasting of the requested powers by the system.

The input data matrix is different when the DEMA's requests are as the operating regimes to be characterized through hourly loading patterns. The structure is identified with the fields of the active power produced by each generation unit in a certain time horizon (usually a year to cover all operating regimes). The size of the matrix is $H_{\text{year}} \times (N_{\text{HPP}}^{\text{GU}} + 2)$, where H_{year} and $N_{\text{HPP}}^{\text{GU}}$ have the same signification as above. The last two columns correspond to the water levels of the reservoir.

Task 3 – Developing the strategies to load the generation units.

The task is associated with an expert system which uses the operating regimes to be characterized by hourly loading patterns determined above and the number of hours of operation. This last information is recorded in a database and considered in the decision-making process to avoid overloading the generation units over a long period, which leads to minimizing the number of maintenance operations. Using a water amount to satisfy the power required by the system represents the main objective. The main components of the expert system are presented synthetically in the following [23,24].

- The knowledge base is composed of two main elements: the rules base (which contains the knowledge required to solve problems) and the facts base (the patterns obtained in the clustering-based data mining are recorded in this base).
- The inference engine can determine the mode in which knowledge derived from the rules base is utilized to interpret the data from the information base. It can perform various tasks, such as confirming or rejecting a hypothesis or the solution of a problem.
- The editor of knowledge base provides the DEMA with the ability to update and inspect the information base's content, particularly its rules base's content.
- The explanation system can provide explanations for the stages in the Expert System's reasoning.

4. Case Study

The proposed framework has been tested using the SCADA system's database of a hydropower plant belonging to the Romanian HydroPower Company.

The plant, identified through the red circle in Figure 7, is the first from a hydro arrangement located on an important river in eastern Romania. The plant has six Francis-type units. The first water pipe supplies the last two units, and the second piper the first four units. The first four units have a total installed power of 27.5 MW, while units five and six have 50 MW.

The SCADA database includes fields completed at every hour with the following technical parameters: the individual water flows of each pipe, the total water flow of the two pipes, the total produced power of the first four-generation units (GU1 – GU4), the produced power by the GU5, the produced power by the GU6, the total produced active and reactive power by the plant, the frequency, the stator voltage, the stator current, the produced active and reactive powers, the excitation voltage, the excitation current of each generation unit and water levels of the reservoir (upstream and downstream).

The SCADA file associated with a day from the database containing the fields highlighted above is shown in Figure 8. The signification of the blank cells corresponds with the case of the non-loading of the generation unit. The obtained results at the year level for each task integrated into the proposed framework are presented in the following.

The DEMA can see after data processing the summary information on the operation of the plant regarding the number of hours and the total energy produced by each generation unit (GU1 – GU2), see Figure 9.

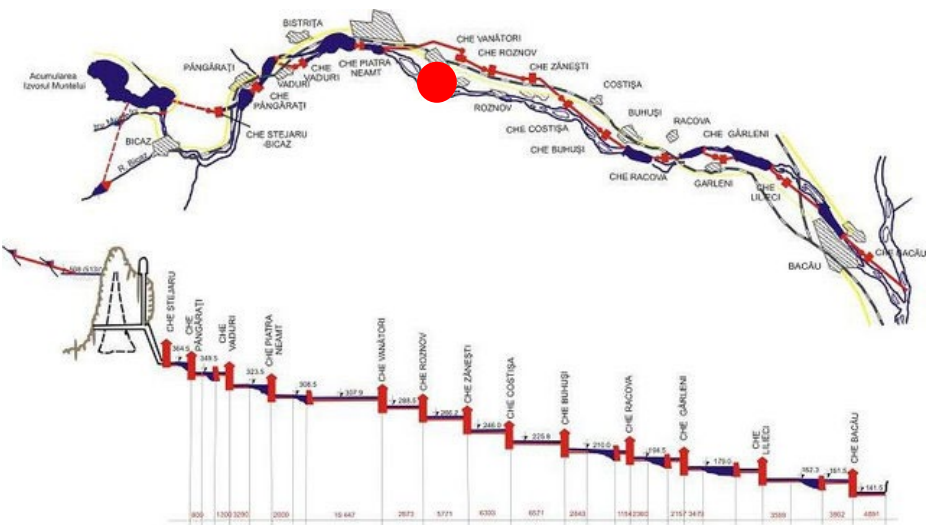


Figure 7. The hydro arrangement of which the analyzed plant is a part.

Data	Water flow				GU1				GU2				GU3				GU4				GU5				GU6				Water level	
	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Flow	Power	Upstream	Downstream				
G.U. 2017	1	65.3	311	34.4	39	32	330	82	43	26.03	0.5	0.80	13	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.81	363.42				
	2	32.5	385	710	20	32	0	71	3	43.30	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.80	363.34				
	3	37.6	331	16.7	36	38	0	76	3	30.01	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.84	363.31				
	4	37.4	332	76.5	20	38	0	76	3	50.00	0.5	1.05	13	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.83	363.28				
	5	33.1	16.3	43.4	16	34	0	50	2	50.01	0.5	0.30	16	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.82	363.19				
	6	37.4	16.3	16.3	16	38	0	37	2	50.00	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.82	363.14				
	7	0.0	0.0	0.0	0	0	0	0	0	43.30	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.82	363.05				
	8	0.0	0.0	0.0	0	0	0	0	0	43.30	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.82	363.05				
	9	37.1	0.0	37.1	0	38	0	38	1	50.00	0.5	1.00	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.82	363.04				
	10	37.4	0.0	37.4	0	38	0	38	1	43.30	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.82	363.03				
	11	37.4	0.0	37.4	0	38	0	38	1	50.01	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.81	363.00				
	12	37.4	19.2	16.3	16	38	0	37	2	50.01	0.5	1.00	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.81	363.00				
	13	43.2	56.1	127.3	25	25	32	123	5	43.37	0.5	1.00	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.80	363.01				
	14	43.3	43.0	102	40	31	31	100	5	43.36	0.5	0.30	16	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.79	363.00				
	15	43.3	43.0	102	40	31	31	100	5	43.37	0.5	0.30	16	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.79	363.00				
	16	43.3	43.0	102	40	31	31	100	5	50.00	0.5	0.30	16	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.79	363.00				
	17	43.3	43.0	102	40	31	31	100	5	43.35	0.5	0.30	16	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.79	363.00				
	18	0.0	16.3	16.3	16	0	0	0	0	43.35	0.5	0.30	16	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.79	363.00				
	19	35.5	16.1	51.6	0	36	0	36	1	43.30	0.5	0.30	16	1	80	280	432.80	363.00	1	1	80	280	432.80	363.00	432.79	363.00				
	20	34.4	16.1	41.5	0	32	0	32	1	43.33	0.5	1.00	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.79	363.00				
	21	31.3	16.1	47.4	0	32	0	32	1	43.33	0.5	1.00	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.79	363.00				
	22	30.7	16.0	46.1	0	30	0	30	1	43.35	0.5	1.00	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.79	363.00				
	23	36.1	23.3	103.4	20	33	33	104	4	50.01	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.79	363.00				
	24	36.1	23.3	103.4	20	33	33	104	4	50.01	0.5	1.05	13	1	30	280	432.80	363.00	1	1	30	280	432.80	363.00	432.79	363.00				

Figure 8. SCADA file associated with a day from the database.

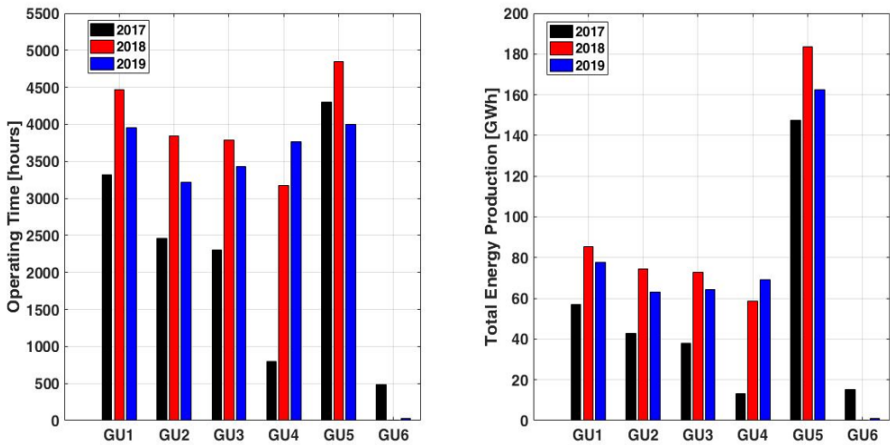


Figure 9. The summary information regarding the operating of the plant regarding the number of hours and the total energy produced by each generation unit.

Table 1 presents the extracted results from the advanced statistical analysis containing the mean (m), standard deviation (σ), and quintiles (Q0, Q1, Q2, Q3, and Q4) for each technical parameter from the database. The values have been calculated only for the hours when at least one unit was in operation. Also, the DEMA has available boxplots from which outliers are identified quickly.

Table 1. The extracted results from the statistical analysis.

Statistical parameters		m	σ	Q0	Q1	Q2	Q3	Q4
Water flow	First Pipe [m3/s]	36.42	9.75	18.70	31.50	34.50	36.30	74.40
	Second Pipe [m3/s]	29.92	14.02	13.40	17.30	30.80	36.60	78.20
	Total [m3/s]	56.14	21.35	10.50	44.50	54.10	70.80	133.20
Active and Reactive Powers	GU1-GU4 [MW]	29.60	13.54	0.90	18.00	30.00	37.00	78.00
	GU5 [MW]	34.33	3.09	29.00	31.00	35.00	37.00	40.00
	GU6 [MW]	31.51	2.01	1.00	30.00	31.00	33.00	40.00
	Total [MW]	56.34	20.39	13.00	45.00	56.00	72.00	126.00
	Total [MVar]	6.39	5.90	1.00	2.00	3.00	10.00	30.00
Frequency	[Hz]	49.99	0.02	49.10	49.98	50.00	50.01	50.40
GU 1	U stator [kV]	10.43	0.71	1.40	10.40	10.40	10.50	50.00
	I stator [kA]	0.96	0.11	0.08	0.90	0.95	1.00	1.90
	P [MW]	17.20	1.89	0.90	15.00	17.00	18.00	22.00
	Q[Mvar]	2.60	1.99	1.00	1.00	1.00	5.00	11.00
	U ex. [V]	88.28	8.80	8.00	80.00	90.00	95.00	110.00
	I ex. [A]	287.91	17.29	100.00	280.00	290.00	300.00	360.00
GU 2	U stator [kV]	10.48	0.38	1.10	10.50	10.50	10.50	10.70
	I stator [kA]	0.96	0.10	0.70	0.90	0.95	1.05	1.20
	P [MW]	17.35	1.86	14.00	16.00	17.00	19.00	21.00
	Q[Mvar]	2.58	1.99	1.00	1.00	1.00	5.00	21.00
	U ex. [V]	89.84	7.80	70.00	85.00	90.00	95.00	110.00
	I ex. [A]	289.65	15.01	245.00	280.00	290.00	300.00	320.00
GU 3	U stator [kV]	10.41	0.42	1.60	10.40	10.50	10.50	10.70
	I stator [kA]	0.91	0.08	0.70	0.85	0.90	0.95	1.15
	P [MW]	16.48	1.41	13.00	15.00	16.00	17.00	20.00
	Q[Mvar]	2.76	2.00	0.50	1.00	1.00	5.00	10.00
	U ex. [V]	89.60	40.80	65.00	80.00	90.00	95.00	870.00
	I ex. [A]	289.21	58.37	115.00	280.00	290.00	300.00	2980.00
GU 4	U stator [kV]	10.45	0.09	10.10	10.40	10.50	10.50	10.60
	I stator [kA]	0.92	0.08	0.75	0.85	0.90	1.00	1.10

	P [MW]	16.59	1.36	14.00	15.00	17.00	18.00	20.00
	Q[Mvar]	2.78	1.99	1.00	1.00	1.00	5.00	5.00
	U ex. [V]	87.38	8.87	60.00	80.00	90.00	95.00	105.00
	I ex. [A]	290.13	15.75	260.00	280.00	290.00	300.00	330.00
GU 5	U stator [kV]	10.41	0.52	1.40	10.40	10.50	10.50	10.70
	I stator [kA]	1.89	0.17	0.85	1.70	1.90	2.05	2.70
	P [MW]	34.33	3.09	29.00	31.00	35.00	37.00	40.00
	Q[Mvar]	2.56	1.96	1.00	1.00	1.00	5.00	10.00
	U ex. [V]	104.78	17.89	10.00	100.00	100.00	110.00	1110.00
	I ex. [A]	332.53	108.88	235.00	315.00	330.00	340.00	3210.00
GU 6	U stator [kV]	10.49	0.07	10.30	10.50	10.50	10.50	10.60
	I stator [kA]	1.70	0.07	1.50	1.65	1.70	1.75	1.85
	P [MW]	31.52	1.33	29.00	30.00	31.00	33.00	33.00
	Q[Mvar]	2.07	1.77	1.00	1.00	1.00	5.00	5.00
	U ex. [V]	94.47	6.15	80.00	90.00	95.00	100.00	110.00
	I ex. [A]	303.34	10.77	280.00	300.00	300.00	310.00	340.00
Water Level	Upstream [mdMB]	492.66	5.86	479.28	489.80	493.40	497.99	500.80
	Downstream [mdMB]	368.21	5.90	356.43	368.90	369.06	369.20	497.48

Two situations are presented in the following. The first refers to the loading of the generation units when the outliers identified associated with the different operating regimes compared to most regimes did not lead to the violation of the allowable limits, see Figure 10. In these cases, an attention message is launched to the DEMA, who must verify the regimes and establish if any disturbance appeared.

The second situation belongs to the downstream level of the water reservoir recorded, where more outliers have been identified exceeding the upper limit. For these values, the least squares method has been applied to estimate the “true” value.

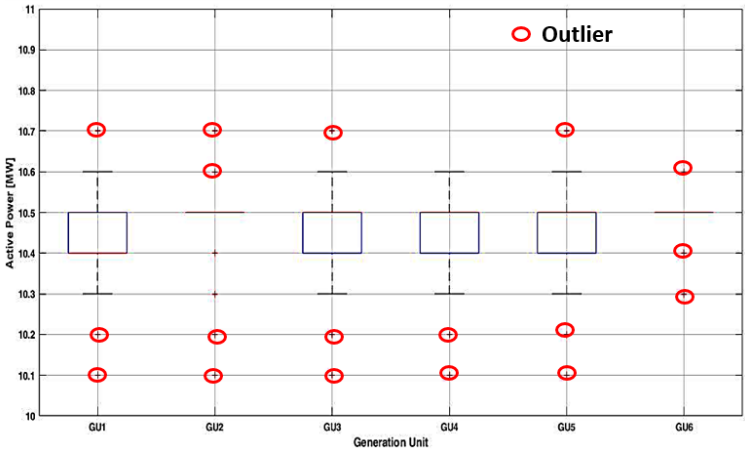


Figure 10. The boxplots corresponding to the loading of the generation units over a period of one year.

In the case of the outliers below Q0, these depend on the upstream level of the water reservoir, which did not lead to the violation of the allowable limits. Figures 11 and 12 present the results obtained in these cases (with and without outliers over the maximum limit).

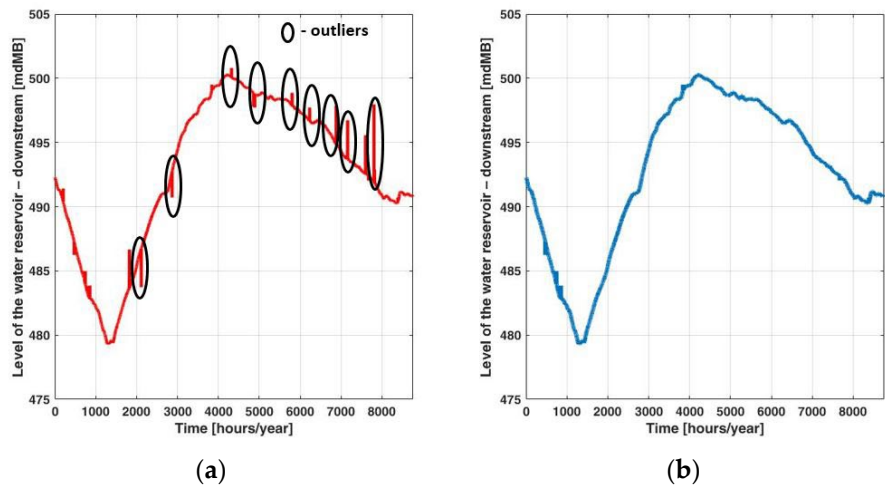


Figure 11. The values corresponding to the level of the water reservoir – downstream (a - taken from the database containing outliers; b – after data processing, without outliers).

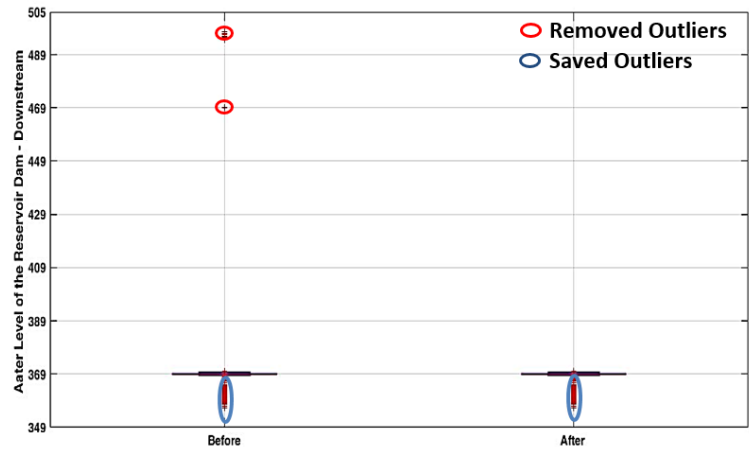


Figure 12. The boxplots corresponding to the level of the water reservoir – downstream.

The second task refers to determining the operating regimes identified through the typical operating profiles divided into the intervals associated with the generating units (GU1, ..., GU6) and hourly loading patterns, including the hourly average power of each generation unit. This task is based on the clustering-based data mining process.

The input data have been associated with a matrix structure built with the values of hourly active powers of all six generation units selected from the database from three successive years (2017 – 2019). The K-means clustering algorithm has been used to obtain the typical operating profiles presented in Figures 13 - 16.

Annex A includes in Tables A1 – A4 the hourly values of each operating regime (identified through the Patterns P1 – P4) containing the typical operating profiles divided into the intervals associated with the generating units (GU1, ..., GU6).

The input data used to obtain hourly loading patterns contains the following fields: water flows on the two pipes, the loading of each generator unit, and the upstream and downstream water level of the reservoir.

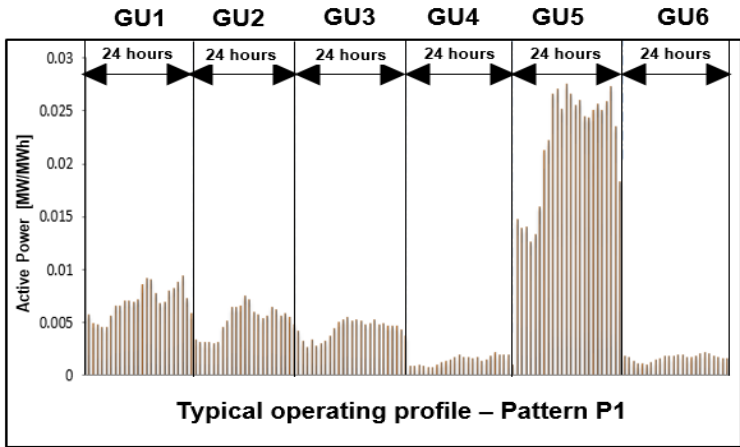


Figure 13. The typical operating profile of the hydropower plant assigned to pattern P1.

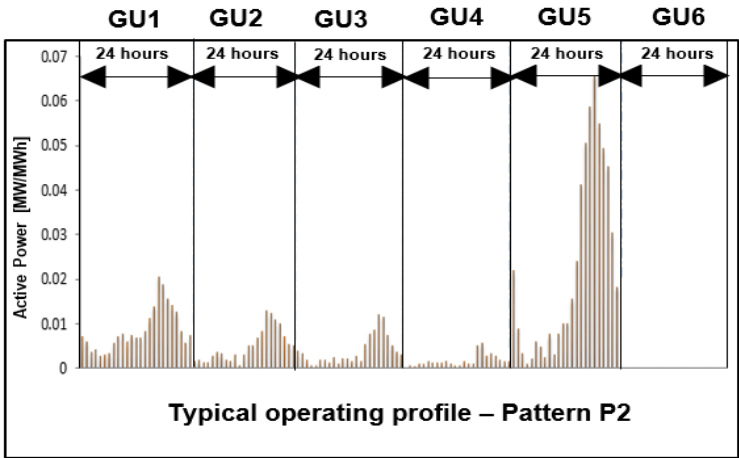


Figure 14. The typical operating profile of the hydropower plant assigned to pattern P2.

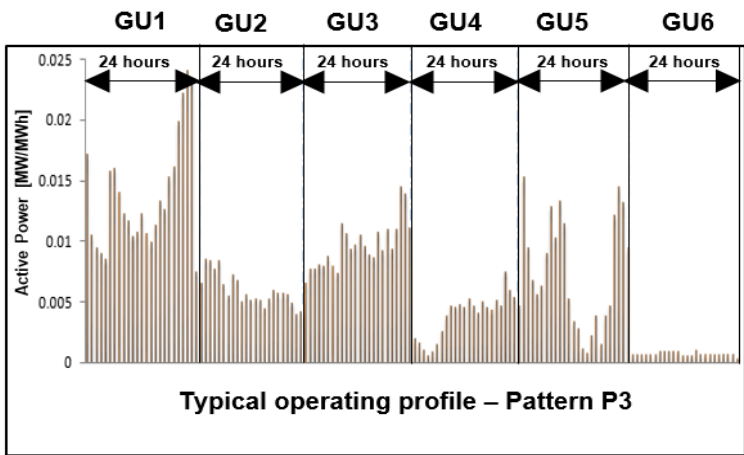


Figure 15. The typical operating profile of the hydropower plant assigned to pattern P3.

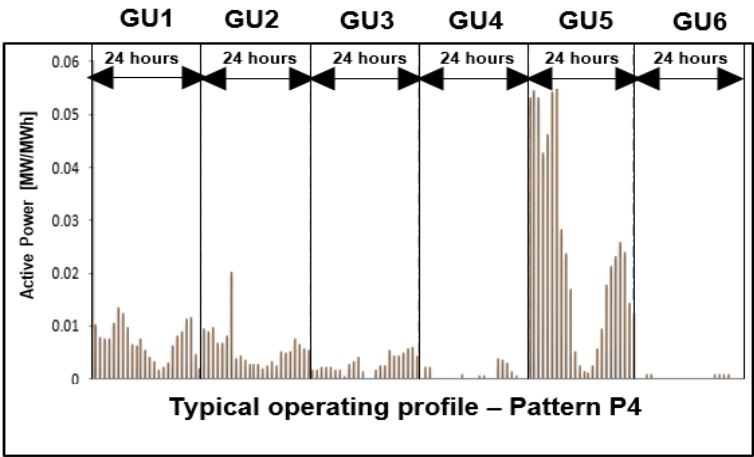


Figure 16. The typical operating profile of the hydropower plant assigned to pattern P4.

The K-means clustering algorithm has been used to extract the patterns, and the optimal number has been 25. Figures 17 - 19 show how the hydropower plant has been operated based on the obtained patterns of hourly loading of the generation units in three consecutive years, 2017 – 2019. Annex A includes in Tables A5 – A6 details of the patterns regarding the hourly loading of the generation units GU1 – GU6 and their features regarding the operating conditions in an analysed three-year period.

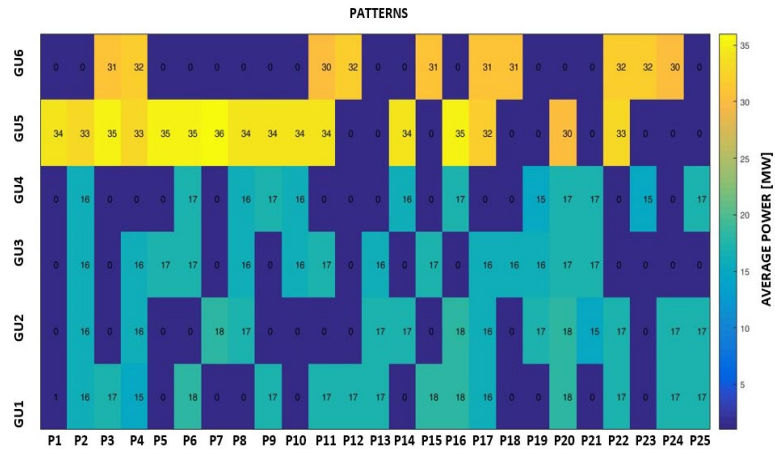


Figure 17. The patterns obtained for the hourly loading of the generation units in 2017.

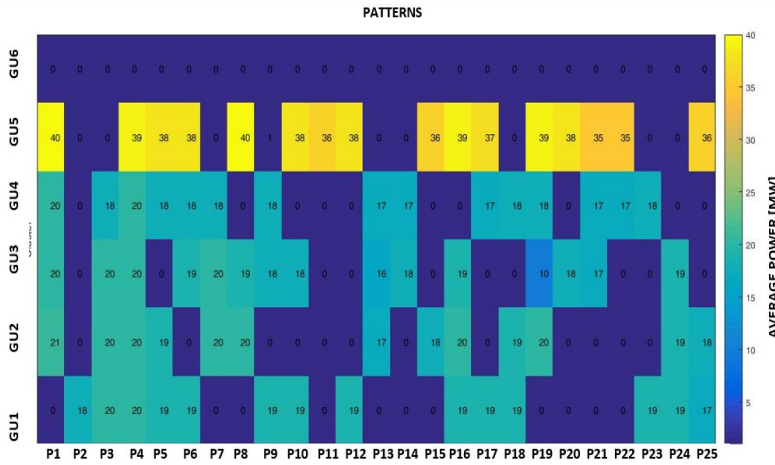


Figure 18. The patterns obtained for the hourly loading of the generation units in 2018.

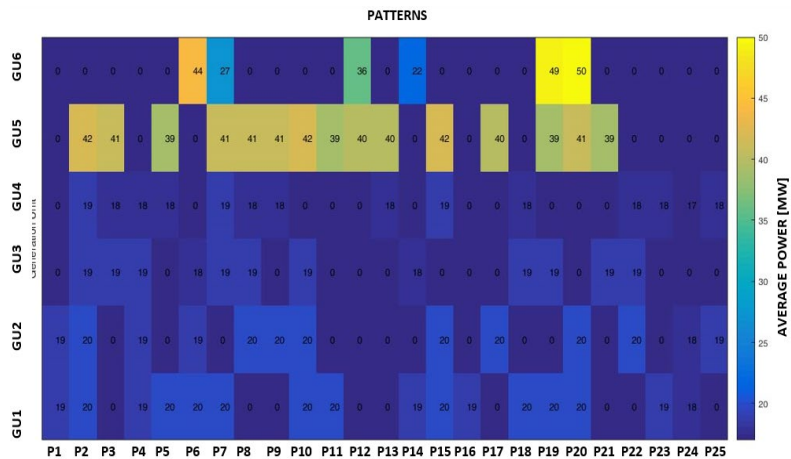


Figure 19. The patterns obtained for the hourly loading of the generation units in 2019.

This task can help improve the quality of the solutions that are obtained and the decision-making processes that are involved in the loading of the generation units.

The third task is based on an expert system involved inside the module that analyses the hourly patterns and operating conditions of the units to determine the optimal loading solution depending on the number of operating hours and the power requested by the system. Figures 20 – 22 presents the obtained results for a representative day.

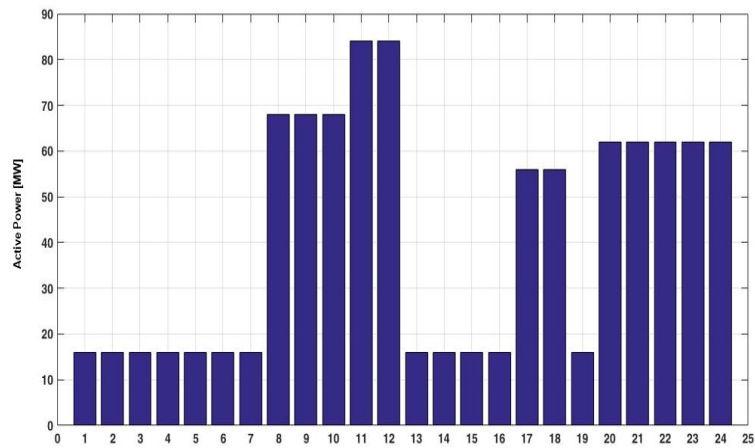


Figure 20. The active power requested by the system.

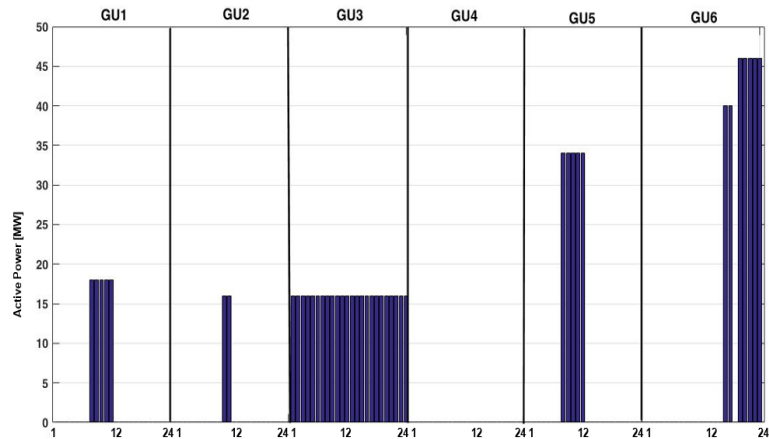


Figure 21. The active power distributed among the six GUs – the strategy adopted by the DM without the Knowledge Discovery module.

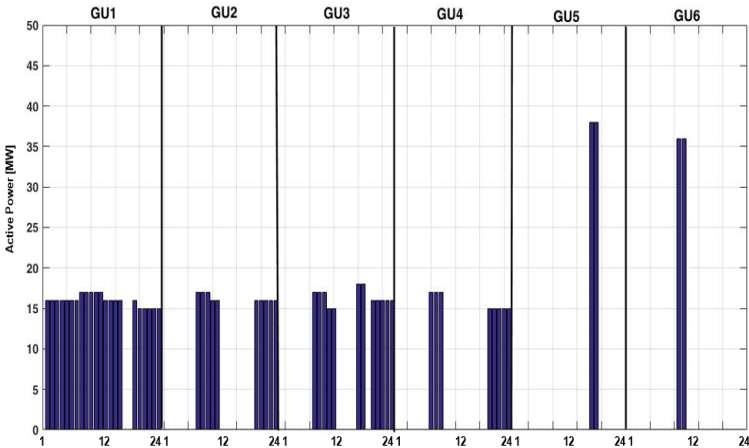


Figure 22. The active power distributed among the six GUs – the strategy adopted by the DM based on the Knowledge Discovery module.

It can be observed that the loading of the six GUs is different in the developed strategy compared with the experience-based strategy adopted by DEMA. Table 2 presents the differences between the experience-based strategy and the expert system-based developed strategy. The signification of the colors is the following:

- red color is associated with the generation units which have been loaded in the experience-based strategy but not considered in the case of the expert system-based strategy (the sign is “-”);
- blue color is associated with the generation units which have been loaded in the expert-based strategy but not considered in the case of the experience-based strategy (only with the sign “+”);
- green color is associated with the generation units loaded in the expert-based strategy, having the same (value “0”) or having another loading in the expert-based strategy (with signs “+” or “-”);
- yellow color is associated with the generation units which have not been loaded in either strategy.

The proposed strategy adopted a loading of the first four GUs relatively uniform (between 60 and 72% of the rated power) with loading of GU5 and GU6 only for powers required by the system with higher values. These GUs will be available for ancillary services.

Table 2. The differences between the experience-based strategy and system expert-based strategy.

Hour	GU1	GU2	GU3	GU4	GU5	GU6
1	+16	0	-16	0	0	0
2	+16	0	-16	0	0	0
3	+16	0	-16	0	0	0
4	+16	0	-16	0	0	0
5	+16	0	-16	0	0	0
6	+16	0	-16	0	0	0
7	+16	0	-16	0	0	0
8	-1	+17	+1	+17	-34	0
9	-1	+17	+1	+17	-34	0
10	-1	+17	+1	+17	-34	0
11	-1	0	-1	0	-34	+36
12	-1	0	-1	0	-34	+36
13	+16	0	-16	0	0	0

14	+16	0	-16	0	0	0
15	+16	0	-16	0	0	0
16	16	0	-16	0	0	0
17	0	0	+2	0	+38	-40
18	0	0	+2	0	+38	-40
19	+16	0	-16	0	0	0
20	+15	+16	0	+15	0	-46
21	+15	+16	0	+15	0	-46
22	+15	+16	0	+15	0	-46
23	+15	+16	0	+15	0	-46
24	+15	+16	0	+15	0	-46

5. Conclusions

As the world moves toward a more sustainable energy future, the need for more reliable and dispatchable sources of electricity is increasing. An important factor that can help improve the optimal operation of hydropower plants is associated with quick data processing and extracting hidden patterns. Knowledge discovery can represent an efficient tool for addressing various challenges, among which is the optimal operation of hydropower plants.

This paper proposes a framework that combines data mining and knowledge discovery to help the transition from a traditional SCADA system to a smart one in hydropower plants. It will allow the DEMA (operators from the control room) to identify the outliers and implement effective strategies to minimize water consumption and maximize power generation. Based on the advanced statistical tools, the framework will also help them identify the optimal operating conditions for the plant. The performance has been tested in a Romanian hydropower plant using the SCADA database. It allowed the control room operators to obtain comparative information on the plant's performance over a longer time horizon (in our case study, three years). The results of the tests revealed the utility of a knowledge discovery module in helping the control room operators improve the efficiency of their operations by transitioning toward smart SCADA systems.

Now, the authors work on uncertainty modelling associated with the hourly powers requested by the system and the upstream level in the water reservoir. If the first variable depends very much on the forecasting method used, rainfall, temperature, and the operating regime of the hydro cascade of which the plant is a part represent the factors which can influence the second variable. This task is essential for the operators to determine quickly the best strategy to load the generation units.

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Appendix A

Table A1. The operating regime of Pattern P1 identified through the typical operating profiles divided into the intervals associated with the generating units (GU1, ..., GU6), in [MW/MWh].

Hour	GU1	GU2	GU3	GU4	GU5	GU6
1	0.00575	0.00334	0.00319	0.00091	0.01470	0.00181
2	0.00494	0.00315	0.00259	0.00092	0.01385	0.00169
3	0.00479	0.00311	0.00332	0.00093	0.01399	0.00134

4	0.00458	0.00310	0.00282	0.00091	0.01260	0.00108
5	0.00451	0.00294	0.00295	0.00073	0.01334	0.00107
6	0.00562	0.00317	0.00323	0.00071	0.01596	0.00104
7	0.00656	0.00455	0.00367	0.00097	0.02124	0.00122
8	0.00651	0.00510	0.00448	0.00119	0.02218	0.00145
9	0.00698	0.00638	0.00507	0.00131	0.02658	0.00157
10	0.00697	0.00640	0.00523	0.00142	0.02702	0.00176
11	0.00690	0.00660	0.00548	0.00169	0.02510	0.00176
12	0.00719	0.00751	0.00519	0.00188	0.02749	0.00177
13	0.00854	0.00720	0.00524	0.00174	0.02658	0.00194
14	0.00910	0.00592	0.00516	0.00166	0.02548	0.00192
15	0.00909	0.00573	0.00482	0.00163	0.02595	0.00168
16	0.00778	0.00536	0.00486	0.00171	0.02440	0.00169
17	0.00683	0.00566	0.00527	0.00138	0.02433	0.00182
18	0.00693	0.00648	0.00480	0.00151	0.02508	0.00202
19	0.00792	0.00619	0.00485	0.00186	0.02556	0.00212
20	0.00825	0.00558	0.00470	0.00215	0.02507	0.00200
21	0.00879	0.00586	0.00469	0.00192	0.02590	0.00185
22	0.00936	0.00549	0.00470	0.00193	0.02723	0.00174
23	0.00729	0.00483	0.00428	0.00190	0.02345	0.00160
24	0.00580	0.00418	0.00366	0.00102	0.01833	0.00161

Table A2. The operating regime of Pattern P2 identified through the typical operating profiles divided into the intervals associated with the generating units (GU1, ..., GU6), in [MW/MWh].

Hour	GU1	GU2	GU3	GU4	GU5	GU6
1	0.00714	0.00723	0.00385	0.00000	0.02189	0.00000
2	0.00595	0.00156	0.00325	0.00058	0.00867	0.00000
3	0.00353	0.00180	0.00179	0.00051	0.00342	0.00000
4	0.00401	0.00123	0.00056	0.00099	0.00091	0.00000
5	0.00263	0.00123	0.00056	0.00091	0.00202	0.00000
6	0.00287	0.00274	0.00177	0.00148	0.00597	0.00000
7	0.00334	0.00352	0.00177	0.00119	0.00477	0.00000
8	0.00547	0.00317	0.00116	0.00115	0.00240	0.00000
9	0.00720	0.00184	0.00243	0.00115	0.00764	0.00000
10	0.00775	0.00155	0.00109	0.00167	0.00311	0.00000
11	0.00583	0.00298	0.00225	0.00109	0.00772	0.00000
12	0.00741	0.00053	0.00211	0.00058	0.00998	0.00000
13	0.00683	0.00294	0.00141	0.00058	0.01011	0.00000
14	0.00674	0.00498	0.00262	0.00148	0.01545	0.00000
15	0.00816	0.00514	0.00148	0.00106	0.02402	0.00000
16	0.01109	0.00683	0.00524	0.00102	0.04126	0.00000
17	0.01380	0.00830	0.00751	0.00510	0.05050	0.00000
18	0.02052	0.01275	0.00860	0.00575	0.05867	0.00000
19	0.01872	0.01230	0.01201	0.00280	0.06564	0.00000
20	0.01555	0.01080	0.01157	0.00328	0.05483	0.00000
21	0.01391	0.00992	0.00739	0.00281	0.04916	0.00000
22	0.01258	0.00719	0.00516	0.00181	0.04508	0.00000
23	0.00832	0.00541	0.00366	0.00146	0.03031	0.00000
24	0.00575	0.00512	0.00299	0.00146	0.01821	0.00000

Table A3. The operating regime of Pattern P3 identified through the typical operating profiles divided into the intervals associated with the generating units (GU1, ..., GU6), in [MW/MWh].

Hour	GU1	GU2	GU3	GU4	GU5	GU6
1	0.01713	0.00741	0.00655	0.00192	0.01527	0.00062
2	0.01045	0.00646	0.00763	0.00154	0.00945	0.00062
3	0.00950	0.00852	0.00764	0.00099	0.00676	0.00062
4	0.00903	0.00841	0.00805	0.00058	0.00552	0.00062
5	0.00850	0.00765	0.00798	0.00084	0.00623	0.00062
6	0.01580	0.00840	0.00871	0.00144	0.00901	0.00062
7	0.01595	0.00643	0.00792	0.00255	0.01280	0.00095
8	0.01405	0.00548	0.00728	0.00382	0.01031	0.00095
9	0.01227	0.00727	0.01146	0.00465	0.01336	0.00095
10	0.01166	0.00675	0.01059	0.00456	0.01138	0.00095
11	0.01041	0.00494	0.00936	0.00475	0.00521	0.00095
12	0.01072	0.00556	0.00967	0.00458	0.00330	0.00057
13	0.01224	0.00515	0.01046	0.00525	0.00272	0.00058
14	0.01063	0.00528	0.00961	0.00463	0.00108	0.00058
15	0.00989	0.00507	0.00890	0.00402	0.00073	0.00096
16	0.01135	0.00445	0.00857	0.00504	0.00217	0.00066
17	0.01331	0.00520	0.01076	0.00451	0.00385	0.00066
18	0.01266	0.00598	0.00919	0.00431	0.00145	0.00066
19	0.01525	0.00567	0.01098	0.00511	0.00382	0.00064
20	0.01608	0.00567	0.00932	0.00467	0.00467	0.00064
21	0.01984	0.00560	0.01101	0.00747	0.01211	0.00068
22	0.02218	0.00490	0.01453	0.00588	0.01447	0.00068
23	0.02410	0.00390	0.01394	0.00540	0.01314	0.00068
24	0.02336	0.00414	0.01110	0.00468	0.00939	0.00033

Table A4. The operating regime of Pattern P3 identified through the typical operating profiles divided into the intervals associated with the generating units (GU1, ..., GU6), in [MW/MWh].

Hour	GU1	GU2	GU3	GU4	GU5	GU6
1	0.01024	0.00938	0.00177	0.00000	0.05302	0.00000
2	0.00779	0.00898	0.00170	0.00226	0.05455	0.00000
3	0.00762	0.00958	0.00215	0.00226	0.05302	0.00083
4	0.00750	0.00668	0.00215	0.00000	0.04260	0.00083
5	0.01061	0.00667	0.00215	0.00000	0.04609	0.00000
6	0.01355	0.00796	0.00170	0.00000	0.05410	0.00000
7	0.01236	0.02001	0.00177	0.00000	0.06093	0.00000
8	0.00967	0.00388	0.00045	0.00000	0.02815	0.00000
9	0.00640	0.00420	0.00263	0.00000	0.02356	0.00000
10	0.00629	0.00347	0.00319	0.00080	0.01695	0.00000
11	0.00747	0.00262	0.00416	0.00000	0.00504	0.00000
12	0.00540	0.00275	0.00133	0.00000	0.00239	0.00000
13	0.00413	0.00272	0.00000	0.00000	0.00143	0.00000
14	0.00335	0.00181	0.00000	0.00063	0.00120	0.00000
15	0.00171	0.00235	0.00167	0.00063	0.00251	0.00000
16	0.00221	0.00313	0.00250	0.00000	0.00566	0.00000
17	0.00300	0.00236	0.00246	0.00000	0.00937	0.00000
18	0.00631	0.00512	0.00550	0.00372	0.01772	0.00083
19	0.00807	0.00492	0.00445	0.00362	0.02117	0.00083
20	0.00883	0.00515	0.00445	0.00301	0.02311	0.00083
21	0.01128	0.00748	0.00488	0.00131	0.02587	0.00083
22	0.01156	0.00647	0.00575	0.00060	0.02382	0.00000
23	0.00453	0.00568	0.00595	0.00000	0.01412	0.00000

24	0.00193	0.00529	0.00431	0.00000	0.01227	0.00000
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Table A5. The patterns regarding the hourly loading of the generation units GU1 – GU6 in analysed three years period.

Pattern	2017						2018						2019					
	GU1	GU2	GU3	GU4	GU5	GU6	GU1	GU2	GU3	GU4	GU5	GU6	GU1	GU2	GU3	GU4	GU5	GU6
P1	0	0	0	0	34	0	0	21	20	20	40	0	20	0	0	0	39	0
P2	16	16	16	16	33	0	18	0	0	0	0	0	19	19	19	18	0	0
P3	17	0	0	0	35	31	20	20	20	18	0	0	20	19	18	0	0	44
P4	15	16	16	0	33	32	20	20	20	20	39	0	0	20	19	18	42	0
P5	0	0	17	0	35	0	19	19	0	18	38	0	20	0	19	0	39	49
P6	18	0	17	17	35	0	19	0	19	18	38	0	19	0	0	18	0	0
P7	0	18	0	0	36	0	0	20	20	18	0	0	20	20	0	19	42	0
P8	0	17	16	16	34	0	0	20	19	0	40	0	0	0	19	18	41	0
P9	17	0	0	17	34	0	19	0	18	18	0	0	18	18	0	17	0	0
P10	0	0	16	16	34	0	19	0	18	0	38	0	20	20	0	0	41	50
P11	17	0	17	0	34	30	0	0	0	0	36	0	20	20	19	19	42	0
P12	17	0	0	0	0	32	19	0	0	0	38	0	0	0	19	0	39	0
P13	17	17	16	0	0	0	0	17	16	17	0	0	0	20	0	18	40	0
P14	0	17	0	16	34	0	0	0	18	17	0	0	20	20	19	0	42	0
P15	18	0	17	0	0	31	0	18	0	0	36	0	20	0	19	19	41	27
P16	18	18	0	17	35	0	19	20	19	0	39	0	19	19	0	0	0	0
P17	16	16	16	0	32	31	19	0	17	17	37	0	0	19	0	18	0	0
P18	0	0	16	0	0	31	19	19	0	18	0	0	20	0	0	18	39	0
P19	0	17	16	15	0	0	0	20	10	18	39	0	0	0	0	0	40	36
P20	18	18	17	17	0	0	0	0	18	0	38	0	19	0	19	18	0	22
P21	0	15	17	17	0	0	0	0	17	17	35	0	0	20	19	18	0	0
P22	17	17	0	0	33	32	0	0	0	17	35	0	0	0	18	18	0	0
P23	0	0	0	15	0	32	19	0	0	18	0	0	19	0	0	0	0	0
P24	17	17	0	0	0	30	19	19	19	0	0	0	0	20	19	0	41	0
P25	17	17	0	17	0	0	17	18	0	0	36	0	0	0	0	18	40	0

Table A6. Comparison between the features of the patterns in analysed three years period.

Patterns	Operating Time									Powers required by the system [MW]								
	Hours			Days			Hours/Day			Minimum value			Average value			Maximum value		
	2017	2018	2019	2017	2018	2019	2017	2018	2019	2017	2018	2019	2017	2018	2019	2017	2018	2019
P1	432	471	323	128	35	49	3	13	7	30	75	42	34	102	63	40	107	59
P2	360	235	539	54	81	55	7	3	10	71	15	60	82	18	79	110	22	76
P3	810	908	276	152	66	42	5	14	7	44	64	47	53	78	108	88	85	58
P4	165	424	467	23	36	45	7	12	10	60	90	89	86	119	100	108	129	99
P5	267	166	276	60	27	29	4	6	10	45	75	67	52	94	129	60	105	79
P6	116	288	47	30	40	16	4	7	3	42	75	34	65	95	40	101	107	37
P7	488	137	372	99	11	34	5	12	11	43	45	88	54	58	103	60	64	101
P8	235	313	429	52	40	52	5	8	8	57	60	63	69	78	80	100	84	78
P9	115	165	209	29	45	27	4	4	8	60	30	48	67	46	59	81	64	54
P10	95	397	129	16	59	30	6	7	4	60	60	73	66	75	132	73	84	82
P11	452	425	516	101	112	38	4	4	14	58	30	103	69	36	122	100	44	120
P12	287	806	101	103	118	16	3	7	6	13	45	47	18	57	62	51	64	58
P13	151	119	131	30	46	19	5	3	7	35	0	55	49	19	81	59	39	71
P14	156	91	149	25	33	21	6	3	7	45	15	92	56	23	102	76	42	100
P15	183	418	243	49	67	22	4	6	11	26	45	86	36	55	128	68	64	99
P16	485	159	186	91	34	42	5	5	4	58	78	28	72	96	42	97	106	38
P17	92	216	131	15	39	42	6	6	3	62	60	15	89	73	40	126	84	23

P18	71	198	355	30	48	40	2	4	9	14	30	58	19	45	82	50	61	77
P19	102	71	280	44	17	64	2	4	4	15	60	30	18	76	90	34	90	40
P20	119	201	191	15	41	32	8	5	6	50	45	33	68	56	93	94	63	49
P21	23	88	117	11	16	20	2	6	6	28	60	35	35	69	58	47	84	56
P22	161	101	58	20	31	21	8	3	3	46	45	15	82	53	38	106	63	27
P23	55	52	121	25	16	48	2	3	3	0	30	15	10	37	21	33	41	19
P24	92	172	34	40	33	8	2	5	4	30	39	75	36	55	82	62	65	80
P25	76	293	179	22	58	44	3	5	4	30	60	46	40	71	61	58	85	58

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