

1 Article

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# Genetic Variation of Nitrogen Use Traits Using Maize

## 3 Expired Plant Variety Protection Germplasm

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10 **Abstract:** Nitrogen use efficiency (NUE) in maize (*Zea mays* L.) is an important trait to maximize  
11 yield with minimal input of nitrogen (N) fertilizer. Expired Plant Variety Protection (ex-PVP)  
12 Act-certified germplasm may be an important genetic resource for public breeding sectors. The  
13 objectives of this research were to evaluate the genetic variation of N-use traits and to characterize  
14 maize ex-PVP inbreds adapted to the U.S. Corn Belt for NUE performance. Eighty-nine ex-PVP  
15 inbreds [36 stiff stalk synthetic (SSS), and 53 non-stiff stalk synthetic (NSSS)] were genotyped using  
16 26,769 single-nucleotide polymorphisms, then 263 single-cross maize hybrids derived from these  
17 inbreds were grown in eight environments from 2011 to 2015 at two N fertilizer rates (0 and 252 kg N  
18 ha<sup>-1</sup>) and three replications. Genetic utilization and the yield response to N fertilizer were stable  
19 across environments and were highly correlated with yield under low and high N conditions,  
20 respectively. Cluster analysis identified inbreds with desirable NUE performance. However, only  
21 one inbred (PHK56) was ranked in the top 10% for yield under both N-stress and high N conditions.  
22 Broad-sense heritability across 12 different N-use traits ranged from 0.11 to 0.77, but was not  
23 associated with breeding value accuracy. Nitrogen-stress tolerance was negatively correlated with  
24 the yield increase from N fertilizer.

25  
26 **Keywords:** Expired Plant Variety Protection (ex-PVP); maize; nitrogen stress; Nitrogen Use  
27 Efficiency (NUE); U.S. Corn Belt Germplasm  
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### 29 1. Introduction

30 World-wide, producers used approximately 109 million tons of nitrogen (N) fertilizer in 2014  
31 [1]. Of that amount, more than 5 million tons are used for Maize (*Zea mays* L.) production in the U.S.  
32 [2]. Nitrogen is the macronutrient required in the greatest amount by the maize crop with uptake  
33 values measured at 280 kg N ha<sup>-1</sup> for a crop producing 14.4 Mg ha<sup>-1</sup> of grain [3]. Although  
34 supplemental N fertilizer is often necessary to increase maize grain yield, N fertilizer consumption  
35 has remained constant in the U.S. for the last 20 years [1]. The maize yield increases observed,  
36 despite the constant N fertilizer consumption in the United States during the last two decades, were  
37 a result of both genetic improvement and better agronomic practices [4]. In contrast, in some regions,  
38 such as sub-Saharan Africa, limited N fertilizer use and soil availability prevent achieving yields  
39 similar to the United States [5]. The world population growth will require increased grain  
40 production and therefore more N fertilizer efficiency will be necessary to meet the world's demand  
41 [6]. Innovative agricultural technologies such as new N fertilizer sources, precision agriculture, and  
42 crop genetic improvement will be important to increase nitrogen use efficiency in maize production  
43 [7].

44 Nitrogen use efficiency (NUE) is defined as the ratio of grain yield to N fertilizer supplied [8]  
45 and is the product of nitrogen uptake efficiency (NUpE, the ratio of the additional plant N content  
46 due to fertilizer N to the amount of fertilizer-applied N) and nitrogen utilization efficiency (NUtE,  
47 the ratio of yield increase to the difference in plant N content compared to those of an unfertilized  
48 crop). In addition, NUE is a complex phenotypic trait influenced by several plant physiological

49 mechanisms [9]. Since most maize breeding programs developed their germplasm under high soil N  
50 conditions, genetic selection for improved NUE is often ignored [10]. The genetic improvement of  
51 NUE in maize up to now was mainly achieved through indirect selection for increased hybrid yield  
52 performance. Nonetheless, large genotypic differences in maize NUE have been reported [10-12].

53 Over the past few decades, maize hybrids in North America have increased yield performance  
54 under both low and high N availability conditions [13], but the genetic gain of maize performance  
55 when grown under low N was almost twice the genetic gain found when hybrids were grown with  
56 high N fertility [12]. Genetic variation of NUE in maize has been attributed to hybrids expressing  
57 NUpE and NUtE at different levels [12,14]. These N-responsive traits contribute differently to NUE  
58 depending on the germplasm [15], the soil N status [7,9], and the progeny seed quality composition  
59 [11]. Using the Illinois Protein Strain collection, strain-hybrids with high seed protein concentration  
60 exhibited greater NUpE and lesser NUtE than strain-hybrids with low seed protein concentration  
61 [12]. Phenotypic evaluation of NUpE and NUtE in a breeding population may be an important  
62 method to characterize and identify maize genotypes with desirable NUE performance [12,16].  
63 Genetic improvement of NUE in U.S. germplasm using conventional or molecular breeding will  
64 require simultaneous enhancement of both NUpE and NUtE. As a result, more research is needed to  
65 evaluate the genetic characteristics underlying NUE in the U.S. Corn Belt germplasm.

66 Since the U.S. Plant Variety Protection (PVP) Act was passed in 1970, which protects seed-  
67 bearing varieties for 20 years, plant breeders have been generating new genetic combinations using  
68 only the most elite material available, thereby decreasing the genetic diversity of commercial  
69 breeding programs in the U.S. [17]. Expired PVP Act-certified germplasm, named ex-PVP, are  
70 publically available and may represent an important genetic resource for both public and private  
71 breeding programs. Current U.S. maize germplasm has reduced allelic diversity; most of the current  
72 germplasm originated from only seven progenitor lines: B73, Mo17, PH207, PHG39, LH123Ht, LH82,  
73 and PH595 [18]. However, elite ex-PVP inbreds may be genetically diverse and an important genetic  
74 resource for maize breeding programs [19]. Although ex-PVP germplasm may not be integrated  
75 directly into a commercial breeding program, these genotypes can be used to originate new genetic  
76 combinations with desirable traits [20]. Up to now, little agronomic and quantitative breeding  
77 research has been done using a representative number of maize ex-PVP parental lines and hybrid  
78 combinations.

79 The objectives of this research were to characterize ex-PVP maize hybrids for N-use traits,  
80 evaluate the genetic variation and the phenotypic correlation of different N-responsive traits across  
81 different maize heterotic groups, and identify parental lines and hybrid combinations with desirable  
82 NUE performance. Large genotypic variation was found for NUE traits, indicating an opportunity  
83 for the genetic improvement of N-stress tolerant and N-responsive maize ex-PVP germplasm.  
84 Notably, low N conditions decreased the broad-sense heritability of all N-use traits. Genetic  
85 utilization varied greatly among hybrids, but was stable within a hybrid across environments and  
86 could be used as an indirect trait for genotypic selection under N-stress environments.

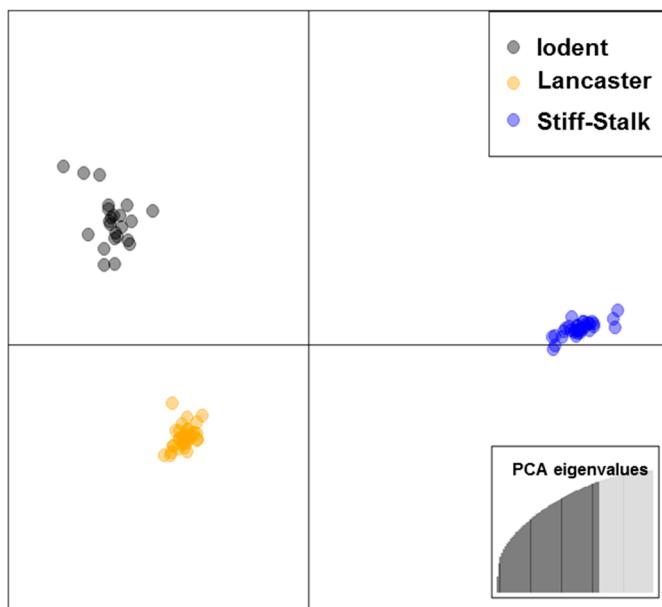
## 87 **2. Materials and Methods**

### 88 *2.1. Germplasm and genomic data*

89 A collection of 89 ex-PVP and two public maize inbreds, B73 and Mo17, were selected for this  
90 study (Table S1). All germplasm seed was obtained from the North Central Regional Plant  
91 Introduction Station (<http://www.ars-grin.gov/npgs>, verified 24 Aug. 2016). Twelve ex-PVP inbreds  
92 were selected that contain the majority of allelic diversity encountered in current U.S. maize  
93 germplasm [19]. In addition, a random set of inbreds adapted to the U.S. Corn Belt with more  
94 recently expired PVP certificates from a selection of seed companies were included. Findings from  
95 these most recently-released ex-PVP lines may reveal the genetic diversity shifts observed during the  
96 past 20 years in germplasm usage by different breeding programs [21]. Overall, the ex-PVP  
97 collection used for this study contains genotypes released from 1972 to 2011 developed by six  
98 different seed companies.

99 Leaf samples from all inbreds (14-day old seedlings) were collected for DNA extraction. Inbreds  
 100 were genotyped using the genotype-by-sequencing method [22] and two enzyme combinations were  
 101 used to reduce genomic complexity: PstI-HF, Bfal and PstI-HF, HinP1I. Sequenced data were  
 102 obtained using an Illumina HiSeq2000 (W.M. Keck Center for Comparative and Functional  
 103 Genomics, Urbana, IL) and single-nucleotide-polymorphism (SNP) data were called using the GBS  
 104 pipeline in TASSEL 3.0 [23]. Minor allele frequency cutoff was set to 10%, and SNPs with more than  
 105 50% missing data were removed. A total of 26,769 SNPs were used for the analyses.

106 Discriminant analysis of principal components (DAPC) was performed for all inbred lines  
 107 using the Adegenet package [24] in R Studio [25]. Since pedigrees from ex-PVP's are often vague  
 108 [18], DAPC is well suited to define genetic clusters in these situations [24]. Genotyping revealed that  
 109 the ex-PVP germplasm used in this study was composed of 36 stiff-stalk synthetic (SSS) lines and 53  
 110 non-SSS (NSSS) lines; the latter of which included 19 lines from the Iodent sub-heterotic group, and  
 111 34 lines from the Lancaster sub-heterotic group (Figure 1). Knowledge of genetic relatedness  
 112 between parental inbreds is fundamental for hybrid heterosis, due to dominance and epistatic effects  
 113 [26]. Therefore, all single cross maize hybrids evaluated in this study were generated between SSS  
 114 and NSSS parental lines.  
 115



116  
 117 **Figure 1.** Scatterplots of the discriminant analysis of principal components of 89 ex-Plant  
 118 Variety Protection (ex-PVP) maize inbred lines. Scatterplot displays the first two  
 119 components using 26,768 single nucleotide polymorphism markers. Heterotic groups are  
 120 represented by different colors: Iodent (black), Lancaster (yellow), and Stiff-Stalk synthetic  
 121 (blue), and each dot represents an individual inbred line.

122 Hybrid seed were created in an incomplete factorial design between SSS and NSSS inbred lines  
 123 from 2011 to 2014 at Champaign, IL. A total of 263 single cross maize hybrids derived from a random  
 124 combination between SSS and NSSS parental lines were evaluated. On average, each SSS line was  
 125 combined in 20 (range 3-57) and each NSSS line was combined in 13 (range 3-38) different hybrid  
 126 combinations. A heatmap view of the incomplete factorial hybrid combination evaluated can be  
 127 found online as Supplemental Figure S1.

128 *2.2 Research Sites and Crop Management*

129 Maize hybrids were grown in eight field environments from 2011 through 2015. Data from 2012  
 130 of the original experiment was excluded from the analysis due to severe drought stress. Research  
 131 sites were planted in one environment at DeKalb, IL (41°47' N, 88°50' W; 19 May 2014), five  
 132 environments at Champaign, IL (40°3' N, 88°14' W; 17 May 2011, 20 May 2013, 22 April 2014, 24 April

133 2015, and 19 May 2015), and two environments at Harrisburg, IL (37°43' N, 88°27' W; 29 May 2013,  
134 and 23 May 2014). Soil types at the research sites were primarily Flanagan silt loam at DeKalb, IL,  
135 Drummer silty clay loam at Champaign, IL, and Patton silty clay loam at Harrisburg, IL. The  
136 previous crop planted in each environment was soybean [*Glycine max* (L.) Merr.]. The experiment  
137 was planted using a precision plot planter (SeedPro 360, ALMACO, Nevada, IA) and plots were 5.6  
138 m in length with 0.76 m row spacing and two rows in width. The target plant density was 79,000  
139 plants  $\text{ha}^{-1}$ . All seeds were treated with Maxim® XL fungicide (Fludioxonil and Mefenoxam at 0.07  
140 mg active ingredient kernel $^{-1}$ ; Syngenta Crop Protection, Greensburo, NC) and Cruiser® 5FS  
141 insecticide (Thiamethoxam at 0.80 mg active ingredient kernel $^{-1}$ ; Syngenta Crop Protection,  
142 Greensburo, NC) to prevent early season disease and insect damage, respectively. In addition, Force  
143 3G® insecticide [Tefluthrin 2,3,5,6-tetrafluoro-4-methylphenyl)methyl-(1 $\alpha$ ,3 $\alpha$ )-(Z)-(±)-3-  
144 (2-chloro-3,3,3-trifluoro-1-propenyl)-2,2-dimethylcyclopropanecarboxylate; Syngenta Crop  
145 Protection, Greensburo, NC] was applied at planting in-furrow (0.15 kg active ingredient  $\text{ha}^{-1}$ ) to  
146 control soil pests. Pre-emergence herbicide Lumax® EZ (mixture of S-Metolachlor, Atrazine, and  
147 Mesotrione; Syngenta Crop Protection, Greensburo, NC) was applied at a rate of 7 L  $\text{ha}^{-1}$  to control  
148 early season weeds.

149 At maturity, plots were harvested with a two-row plot combine (SPC40, ALMACO, Nevada,  
150 IA). Grain yield is reported as  $\text{Mg ha}^{-1}$  at 15.5% grain moisture. Grain protein concentrations were  
151 estimated from a representative grain subsample from each plot collected during harvest using near  
152 infrared transmittance (NIT) spectroscopy (Infratec 1241, FOSS, Eden Prairie, MN).

### 153 2.3 Experimental Treatments and Design

154 The 263 single-cross maize hybrids were grown as part of a randomized complete block design  
155 with three replications and two N fertilizer rates (0 and 252 kg N  $\text{ha}^{-1}$ ; designated low and high N, or  
156 -N and +N, respectively) in a split-plot arrangement. The main-plot was hybrid and the split-plot  
157 was N fertilizer rate. On average, 83 hybrids were tested in each environment (Supplemental Figure  
158 S1). Nitrogen stress tolerance was measured by yield of the check plot (0 kg N  $\text{ha}^{-1}$ ), while 252 kg N  
159  $\text{ha}^{-1}$  was used to maximize the yield response to N from all hybrids regardless of their yield  
160 potential. Nitrogen fertilizer was hand applied in a diffuse band as urea (46-0-0) during the V2 to V3  
161 growth stages [27]. Nitrogen application dates were 17 June 2014 at DeKalb, IL, 02 June 2011, 04 June  
162 2013, 04 June 2014, 18 May 2015, and 10 June 2015 at Champaign, IL, and 25 June 2013, and 13 June  
163 2014 at Harrisburg, IL.

### 164 2.4 Phenotype Measurements

165 Aboveground plant biomass from each plot was sampled at the R6 growth stage (physiological  
166 maturity), when the maximum biomass accumulation for maize is achieved [27]. Six representative  
167 plants (visual assessment) from each plot were sampled and separated into stover (leaf, stem, and  
168 husks) and ear (grain and cob). The sampling criteria established consisted of selecting two adjacent  
169 plants near one end of the plot (1.2 m along the length of the first row), two adjacent plants at the  
170 center of the plot (approximately 2.7 m from the origin), and two adjacent plants at the other end of  
171 the plot (approximately 4.1 m along the length of the second row). Whole stover fresh weight was  
172 determined before shredding in a brush chipper (Vermeer BC600XL; Vermeer Midwest, Goodfield,  
173 IL). A representative subsample of the fresh shredded material was weighed and dried in a  
174 forced-draft oven (75°C) for approximately five days. Total stover dry weight was calculated using  
175 the fresh stover weight and the moisture level of the shredded material. Individual plant dry total  
176 biomass ( $\text{g plant}^{-1}$ ) was the sum of the dry stover, cob, and grain weights (adjusted to 0% moisture).  
177 Dried stover samples were ground in a Wiley mill (Thomas Scientific, Swedesboro, NJ) to pass a  
178 20-mesh screen, and N concentration ( $\text{g kg}^{-1}$ ) was analyzed using a combustion technique (EA1112  
179 N-Protein analyzer; CE Elantech, Inc., Lakewood, NJ). Grain protein concentration was estimated by  
180 multiplying N concentration by a factor of 6.25, and abbreviated as Protein<sub>-N</sub> or Protein<sub>+N</sub>, from  
181 plants grown at 0 or 252 N  $\text{ha}^{-1}$ , respectively). Stover N content ( $\text{g N plant}^{-1}$ ) was calculated by  
182 multiplying stover dry weight ( $\text{g plant}^{-1}$ ) by stover N concentration. Similarly, grain N content ( $\text{g N}$

183 plant<sup>-1</sup>) was calculated by multiplying grain dry weight (g plant<sup>-1</sup>) by grain N concentration.  
 184 Individual plant N content (g N plant<sup>-1</sup>) was calculated as the sum of stover and grain N contents.  
 185 Shelled grain weights from the ears sampled at R6 were combined with the remaining plot grain  
 186 weight for yield determination.

187 In combination with grain yield and plant N content, NUE, N-uptake efficiency (NUpE),  
 188 N-utilization efficiency (NUtE), harvest index (HI), and N-harvest index (NHI) were calculated  
 189 according to Equations 1–7, with the expressed units shown:

$$190 \text{ NUE} = (\text{Yield}_{+N} - \text{Yield}_{-N})/\text{NR} = (\text{kg yield})(\text{kg N})^{-1}, \quad (1)$$

$$191 \text{ NUpE} = (\text{PN}_{+N} - \text{PN}_{-N})/\text{NR} = (\text{kg plant N})(\text{kg N})^{-1}, \quad (2)$$

$$192 \text{ NUtE} = (\text{Yield}_{+N} - \text{Yield}_{-N})/(\text{PN}_{+N} - \text{PN}_{-N}) = (\text{kg yield})(\text{kg plant N})^{-1}, \quad (3)$$

$$193 \text{ HI}_{+N} = (\text{kg grain}_{+N} \text{ plant}^{-1})/(\text{kg dry weight}_{+N} \text{ plant}^{-1}) = \text{kg kg}^{-1}, \quad (4)$$

$$194 \text{ HI}_{-N} = (\text{kg grain}_{-N} \text{ plant}^{-1})/(\text{kg dry weight}_{-N} \text{ plant}^{-1}) = \text{kg kg}^{-1}, \quad (5)$$

$$195 \text{ NHI}_{+N} = \text{kg grainN}_{+N} / \text{PN}_{+N} = (\text{kg grain N})(\text{kg plant N})^{-1}, \quad (6)$$

$$196 \text{ NHI}_{-N} = \text{kg grainN}_{-N} / \text{PN}_{-N} = (\text{kg grain N})(\text{kg plant N})^{-1}, \quad (7)$$

197 in which Yield<sub>+N</sub> corresponds to grain yield (kg ha<sup>-1</sup>) at 252 kg N ha<sup>-1</sup>, Yield<sub>-N</sub> corresponds to grain  
 198 yield at 0 kg N ha<sup>-1</sup>, NR is the N fertilizer rate (kg N, 252 kg N ha<sup>-1</sup>), PN represents the total plant N  
 199 content (kg plant N ha<sup>-1</sup>) at 252 kg N ha<sup>-1</sup> (PN<sub>+N</sub>) and at 0 kg N ha<sup>-1</sup> (PN<sub>-N</sub>). In addition, genetic  
 200 utilization (GU) (kg yield kg<sup>-1</sup> plant N), which measures the physiological efficiency of plants to  
 201 produce grain utilizing the plant N accumulated when grown without N fertilizer was calculated  
 202 according to Equation 8, with the expressed units shown:

$$203 \text{ GU} = \text{PG}_{-N} / \text{PN}_{-N} = (\text{kg})(\text{kg plant N})^{-1}, \quad (8)$$

204 in which PG<sub>-N</sub> is the individual plant grain mass (kg plant<sup>-1</sup>) at 0 kg N ha<sup>-1</sup> and PN<sub>-N</sub> represents the  
 205 total per plant N content (kg plant N) at physiological maturity derived from residual or mineralized  
 206 soil N.

## 207 2.5 Statistical Analysis

208 Since there is a weak correlation between the performances of inbred parents and their hybrid  
 209 progeny's performance for NUE [28], the effects of general combining ability (GCA) and specific  
 210 combining ability (SCA) of inbreds were evaluated using a random combination of ex-PVP hybrids.  
 211 Moreover, the genetic variance and covariances between hybrids were calculated separately for each  
 212 heterotic group [29]. Best linear unbiased predictions (BLUPs) were calculated for each phenotypic  
 213 trait using the restricted maximum likelihood method to account for unbalanced data. In addition,  
 214 year-location combinations were considered environments. General and specific combining abilities  
 215 were obtained in PROC MIXED SAS version 9.4 [30]. A linear model for an incomplete factorial  
 216 design according to Equation 9 was used:

$$217 Y_{ijklm} = \mu + E_i + B_{j(i)} + S_k + N_l + SN_{kl} + ES_{ik} + EN_{il} + ESN_{ikl} + \varepsilon_{ijklm}, \quad (9)$$

218 where Y<sub>ijklm</sub> is the m<sup>th</sup> observation of the kl<sup>th</sup> hybrid in the j<sup>th</sup> block in the i<sup>th</sup> environment;  $\mu$  is the  
 219 grand mean,  $E_i$  is the random effect of i<sup>th</sup> environment ( $i=1$  to 8);  $B_{j(i)}$  is the random effect of j<sup>th</sup> block  
 220 nested within the i<sup>th</sup> environment ( $j=1$  to 3);  $S_k$  is the GCA effect of k<sup>th</sup> SSS inbred ( $k=1$  to 36);  $N_l$  is the  
 221 random GCA effect of l<sup>th</sup> NSSS inbred ( $l=1$  to 53);  $SN_{kl}$  is the SCA effect of kl<sup>th</sup> hybrid ( $kl=1$  to 522);  
 222  $ES_{ik}$  is the random environment by SSS interaction;  $EN_{il}$  is the random environment by NSSS  
 223 interaction;  $ESN_{ikl}$  is the random environment by hybrid interaction; and  $\varepsilon_{ijklm}$  is the random error  
 224 term. Genotypic variance was calculated by multiplying the sum of the genetic variance components  
 225 (SSS, NSSS, and hybrid) by two. Phenotypic variance was calculated as the sum of all variance  
 226 components, except the variance component for block effect [31]. Broad-sense heritability was  
 227 calculated as the ratio of genotypic and phenotypic variance. The estimated breeding value of each  
 228 hybrid was calculated according to Equation 10:

$$229 \text{ EBV}_{kl} = \mu + \text{GCA}_k + \text{GCA}_l + \text{SCA}_{kl}, \quad (10)$$

230 where EBV<sub>kl</sub> is the estimated breeding value of kl<sup>th</sup> hybrid;  $\mu$  is the grand mean; GCA<sub>k</sub> is the GCA  
 231 effect of k<sup>th</sup> inbred; GCA<sub>l</sub> is the GCA effect of l<sup>th</sup> inbred; and SCA<sub>kl</sub> is the SCA effect of kl<sup>th</sup> hybrid.  
 232 Estimated breeding value (EBV) measures the average effect of an individual's genotypic value on

233 the mean performance of its progeny [32] and is a widely-used measurement in maize breeding  
234 programs for the selection of superior genotypes.

235 Pearson's correlation coefficients were calculated in SAS version 9.4 [30] between the GCA's of  
236 different N-use traits. Hierarchical cluster analysis was conducted on each heterotic group across  
237 different N-use traits using the Euclidean method in R Studio [25]. The estimated breeding value  
238 (EBV) accuracy of the phenotypic traits was calculated according to Equation 11, [33]:

$$239 \quad EBV_{Accuracy} = \sqrt{((1 - SE)/((1 + F) \times \sigma_A^2))}, \quad (11)$$

240 where SE is the standard error of the inbred GCA, F is the inbreeding coefficient of the individual  
241 (assumed to be zero), and  $\sigma_A^2$  is the additive variance component of the heterotic group (SSS or  
242 NSSS).

### 243 3. Results and Discussion

#### 244 3.1 Phenotypic variation of N-use traits

245 Yield under low N conditions (Yield<sub>-N</sub>) accounted for 54% of the yield produced by the hybrids  
246 under high N conditions (Yield<sub>+N</sub>) (Table 1). In addition, N fertilizer increased the mean harvest  
247 index (HI), the nitrogen harvest index (NHI), and the grain protein concentration. Average NUE,  
248 NUpE, NUtE, and GU values of 16.7 kg kg<sub>Nfert</sub><sup>-1</sup>, 0.43 kg<sub>plantN</sub> kg<sub>Nfert</sub><sup>-1</sup>, 41.8 kg kg<sub>plantN</sub><sup>-1</sup>, and 59.0 kg  
249 kg<sub>plantN</sub><sup>-1</sup>, respectively are similar to other reports using U.S. Corn Belt germplasm [11,12]. Moreover,  
250 the additive effect distribution (range in GCA) of the two maize heterotic groups were similar for  
251 most N-use traits. In contrast, the NSSS group exhibited a greater additive effect range for NUE than  
252 the SSS group. The large additive effect variation observed among different N-use traits indicates  
253 that an opportunity exists for selecting maize genotypes with improved NUE.

254 The relative importance of the genotypic and phenotypic variation to broad-sense heritability  
255 was dependent on the N-use trait and the N fertilizer rate (Table 1). Yield at high N exhibited greater  
256 genetic variance (within heterotic groups and hybrids) and environmental variance, but lower  
257 residual variance than Yield<sub>-N</sub>. Greater genetic variance under high N compared to low N has also  
258 been documented previously [34,35]. Conversely, genetic and environmental variance for harvest  
259 index at low N (HI<sub>-N</sub>) were greater than at high N (HI<sub>+N</sub>). Additionally, the genotype by environment  
260 interaction was greater under high N for yield and grain protein concentration, but greater at low N  
261 for HI and NHI.

262 Broad-sense heritability ( $H^2$ ) ranged from 0.11 to 0.77 across phenotypic traits (Table 1),  
263 indicating a difference in additive and dominant effects among N-use traits (Table 1). Relatively  
264 large residual variances for Yield<sub>-N</sub>, HI<sub>-N</sub>, NHI at low N (NHI<sub>-N</sub>), NUpE, and NUtE resulted in low  $H^2$   
265 of these traits. However, heritability was higher for GU than NUpE or NUtE. The large genotypic  
266 variance of GU found is consistent with previous studies [12].

267 Pearson's pairwise correlations between the GCA effects of different N-use traits are presented  
268 in Table 2. Yield at high N is generally positively correlated with Yield<sub>-N</sub>, but the correlation tends to  
269 be less under greater N stress [14,36]. Similarly, in this study, the correlation between Yield<sub>+N</sub> and  
270 Yield<sub>-N</sub> was +0.31. Hybrid correlation coefficients between Yield<sub>+N</sub> and NUE, NUpE, and NUtE were  
271 +0.74, +0.64, and +0.44, respectively, in agreement with reports that these traits are frequently  
272 positively correlated [12,37]. On the other hand, Yield<sub>-N</sub> was positively correlated with HI<sub>-N</sub>, HI<sub>+N</sub>,  
273 NHI<sub>+N</sub>, and GU.

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275  
276

277  
 278 **Table 1.** Mean estimates and range for yield at low N (Yield<sub>-N</sub>, Mg ha<sup>-1</sup>), yield at high N (Yield<sub>+N</sub>, Mg ha<sup>-1</sup>), harvest index at low N (HI<sub>-N</sub>, kg kg<sup>-1</sup>),  
 279 harvest index at high N (HI<sub>+N</sub>, kg kg<sup>-1</sup>), N harvest index at low N (NHI<sub>-N</sub>, kg<sub>grainN</sub> kg<sub>plantN</sub><sup>-1</sup>), N harvest index at high N (NHI<sub>+N</sub>, kg<sub>grainN</sub> kg<sub>plantN</sub><sup>-1</sup>),  
 280 grain protein concentration at low N (Protein<sub>-N</sub>, g kg<sup>-1</sup>), grain protein concentration at high N (Protein<sub>+N</sub>, g kg<sup>-1</sup>), N-use efficiency (NUE, kg kg<sub>NR</sub><sup>-1</sup>),  
 281 N-uptake efficiency (NUpE, kg<sub>plantN</sub> kg<sub>NR</sub><sup>-1</sup>), N-utilization efficiency (NUtE, kg kg<sub>plantN</sub><sup>-1</sup>), and genetic utilization (GU, kg kg<sub>plantN</sub><sup>-1</sup>). Variance  
 282 components for general and specific combining ability effects (GCA and SCA) were calculated using 36 stiff-stalk synthetic (SSS) and 53 non-SSS  
 283 (NSSS) ex-PVP parental inbred lines across different N-use traits. Broad-sense heritability (H<sup>2</sup>) for each trait was estimated in eight environments  
 under low and high N conditions (0 and 252 kg N ha<sup>-1</sup>, respectively).

Trait	GCA <sub>SSS</sub> <sup>†</sup>			GCA <sub>NSSS</sub>			SCA					$H^2$	
	Range		$\sigma^2_{SSS}$	Range		$\sigma^2_{NSSS}$	Range		$\sigma^2_{SCA}$	$\sigma^2_E$	$\sigma^2_{SCA \times E}$	$\sigma^2_R$	
	Mean $\pm$ SE <sup>‡</sup>	Min./Max.		Min./Max.	Max.		Min./Max.	Max.					
Yield <sub>-N</sub>	4.9 $\pm$ 0.19	-0.7 / 0.7	0.13	-0.8 / 0.5	0.12	-	0.00	0.70	0.01	1.31	0.31		
Yield <sub>+N</sub>	9.1 $\pm$ 0.28	-0.9 / +0.9	0.25	-1.2 / 0.9	0.32	-0.2 / +0.3	0.06	2.12	0.36	1.07	0.61		
HI <sub>-N</sub>	0.36 $\pm$ 0.01	-0.05 / +0.09	6 $\times$ 10 <sup>-4</sup>	-0.10 / +0.06	1 $\times$ 10 <sup>-3</sup>	-0.01 / +0.01	1 $\times$ 10 <sup>-4</sup>	2 $\times$ 10 <sup>-3</sup>	3 $\times$ 10 <sup>-4</sup>	4 $\times$ 10 <sup>-3</sup>	0.63		
HI <sub>+N</sub>	0.47 $\pm$ 0.01	-0.02 / +0.03	2 $\times$ 10 <sup>-4</sup>	-0.06 / +0.03	4 $\times$ 10 <sup>-4</sup>	-0.01 / +0.01	5 $\times$ 10 <sup>-5</sup>	6 $\times$ 10 <sup>-4</sup>	1 $\times$ 10 <sup>-5</sup>	1 $\times$ 10 <sup>-3</sup>	0.73		
NHI <sub>-N</sub>	0.56 $\pm$ 0.01	-0.01 / +0.01	2 $\times$ 10 <sup>-4</sup>	-0.01 / +0.01	1 $\times$ 10 <sup>-5</sup>	-0.03 / +0.02	4 $\times$ 10 <sup>-4</sup>	9 $\times$ 10 <sup>-3</sup>	2 $\times$ 10 <sup>-3</sup>	8 $\times$ 10 <sup>-3</sup>	0.11		
NHI <sub>+N</sub>	0.68 $\pm$ 0.01	-0.04 / +0.02	3 $\times$ 10 <sup>-4</sup>	-0.05 / +0.03	5 $\times$ 10 <sup>-4</sup>	-0.02 / +0.01	1 $\times$ 10 <sup>-4</sup>	3 $\times$ 10 <sup>-4</sup>	1 $\times$ 10 <sup>-4</sup>	3 $\times$ 10 <sup>-3</sup>	0.44		
Protein <sub>-N</sub>	62 $\pm$ 1.3	-6.1 / +5.9	0.8	-5.2 / +5.4	0.8	-3.2 / +2.3	0.4	1.7	1.3	2.1	0.74		
Protein <sub>+N</sub>	85 $\pm$ 1.3	-3.8 / +4.9	0.7	-6.0 / +4.6	1.3	-2.5 / +2.5	0.2	5.5	0.5	3.0	0.77		
NUE	16.7 $\pm$ 1.14	-3.6 / +3.9	3.81	-5.7 / +5.2	5.50	-0.95 / +1.20	0.80	10.13	4.97	18.56	0.60		
NUpE	0.43 $\pm$ 0.03	-0.03 / +0.05	6 $\times$ 10 <sup>-4</sup>	-0.08 / +0.09	1 $\times$ 10 <sup>-3</sup>	-0.01 / +0.42	2 $\times$ 10 <sup>-4</sup>	2 $\times$ 10 <sup>-3</sup>	1 $\times$ 10 <sup>-3</sup>	0.01	0.27		
NUtE	41.8 $\pm$ 1.79	-3.4 / +2.9	5.5	-2.7 / +4.2	5.2	-0.58 / +0.60	1.3	59.3	7.8	201.7	0.11		
GU	59.0 $\pm$ 2.2	-7.8 / +8.9	17.8	-9.9 / +7.4	16.0	-3.2 / +2.9	5.5	29.1	7.8	88.7	0.58		

284 <sup>†</sup>  $\sigma^2_{SSS}$ ,  $\sigma^2_{NSSS}$ ,  $\sigma^2_{SCA}$ ,  $\sigma^2_E$ ,  $\sigma^2_{SCA \times E}$ ,  $\sigma^2_R$ , represent variance components for stiff-stalk lines, non-stiff-stalk lines, hybrid, environment, hybrid  $\times$   
 285 environment interaction, and residual effects, respectively (Eq.[9]);

286 <sup>‡</sup>SE, standard error of the mean; Min./Max., Minimum and maximum observed values compared to the respective means.

287  
 288 **Table 2.** Pearson's pairwise correlations between the GCA effects of the N-use traits of yield at low N (Yield<sub>-N</sub>), yield at high N (Yield<sub>+N</sub>),  
 289 harvest index at low N (HI<sub>-N</sub>), harvest index at high N (HI<sub>+N</sub>), N harvest index at low N (NHI<sub>-N</sub>), N harvest index at high N (NHI<sub>+N</sub>), grain  
 290 protein concentration at low N (Protein<sub>-N</sub>), grain protein concentration at high N (Protein<sub>+N</sub>), N-use efficiency (NUE), N-uptake efficiency  
 291 (NUpE), N-utilization efficiency (NUtE), and genetic utilization (GU) for 263 single-cross maize hybrids grown from 2011 to 2015 under low  
 and high N conditions (0 and 252 kg N ha<sup>-1</sup>, respectively).

	Yield <sub>-N</sub>	Yield <sub>+N</sub>	HI <sub>-N</sub>	HI <sub>+N</sub>	NHI <sub>-N</sub>	NHI <sub>+N</sub>	Protein <sub>-N</sub>	Protein <sub>+N</sub>	NUE	NUpE	NUtE
<b>Yield<sub>+N</sub></b>	0.31**	-	-	-	-	-	-	-	-	-	-
<b>HI<sub>-N</sub></b>	0.63***	-0.33**	-	-	-	-	-	-	-	-	-
<b>HI<sub>+N</sub></b>	0.49***	NS	0.77***	-	-	-	-	-	-	-	-
<b>NHI<sub>-N</sub></b>	NS	NS	NS	NS	-	-	-	-	-	-	-
<b>NHI<sub>+N</sub></b>	0.51***	NS	0.65***	0.78***	NS	-	-	-	-	-	-
<b>Protein<sub>-N</sub></b>	-0.38***	-0.22*	NS	NS	NS	NS	-	-	-	-	-
<b>Protein<sub>+N</sub></b>	NS	-0.39***	NS	NS	NS	0.37***	0.73***	-	-	-	-
<b>NUE</b>	-0.33**	0.74***	-0.73***	-0.42***	NS	-0.35***	NS	-0.26*	-	-	-
<b>NUpE</b>	NS	0.64***	-0.59***	-0.43***	NS	-0.27*	0.22*	NS	0.77***	-	-
<b>NUtE</b>	-0.29*	0.44***	-0.46***	NS	NS	-0.21*	NS	-0.47***	0.66***	NS	-
<b>GU</b>	0.67***	NS	0.82***	0.59***	NS	0.50***	-0.51***	NS	-0.59***	-0.48***	-0.32**

292 \*Significant at  $P \leq 0.05$ .

293 \*\*Significant at  $P \leq 0.01$ .

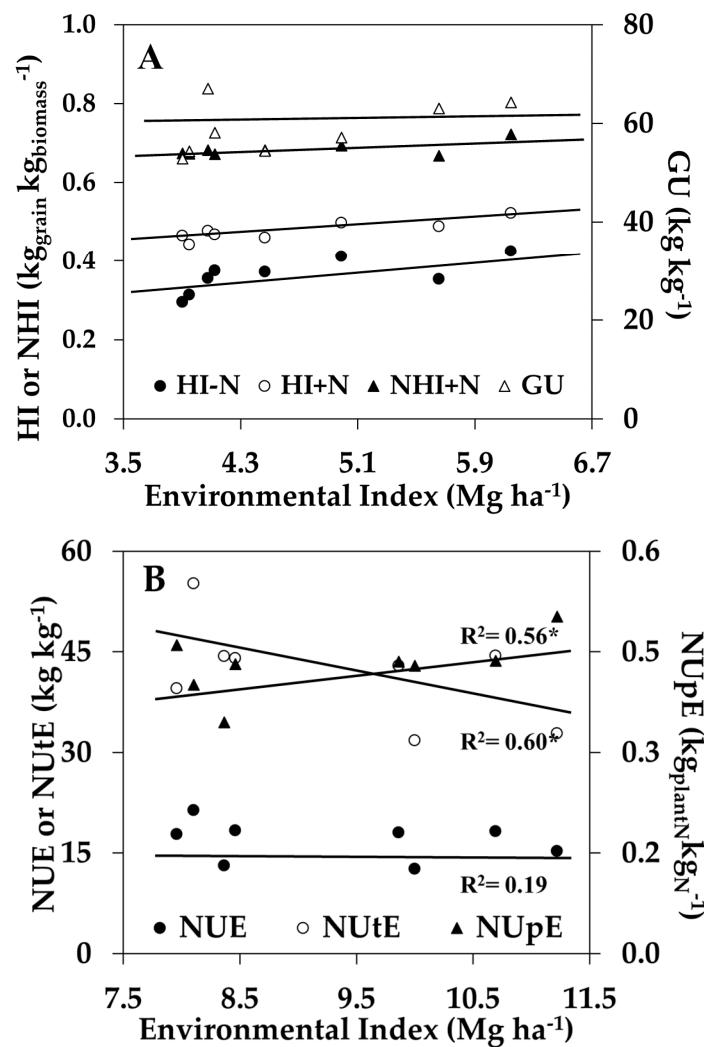
294 \*\*\*Significant at  $P \leq 0.001$ .

295 While significant genetic gains in maize yield have been documented over the past 60 years,  
296 grain protein concentration has consistently decreased during the same period [38]. When averaged  
297 over hybrids and environments, grain protein concentration was negatively correlated to yield  
298 within each N fertilizer rate (Table 2). In addition, NUpE was positively correlated with grain  
299 protein concentration at low N ( $r = 0.22, P \leq 0.05$ ) and NUtE was negatively correlated with grain  
300 protein concentration at high N (Protein<sub>+N</sub>), ( $r = -0.47, P \leq 0.001$ )(Table 2). This finding reinforces the  
301 concept of the inverse relationship of starch and protein in maize grain, with greater N utilization  
302 underlying a greater proportion of starch than protein accumulation in the grain. Under high N  
303 fertility conditions, NHI was positively correlated to Protein<sub>+N</sub>. Hybrids of the Illinois Protein-Strains  
304 germplasm, generating low or high grain protein concentration, exhibited the same overall NUE;  
305 while hybrids with high grain protein concentration exhibited high NUpE and NHI, and hybrids  
306 with low grain protein concentration exhibited high NUtE [11]. Therefore, maize hybrids with high  
307 NUpE may exhibit greater root development and N uptake, while hybrids with high NUtE will  
308 show more ability to utilize N for starch production.

309 Genetic improvements have increased maize yield under low and high N conditions, yet plant  
310 N uptake levels have only increased under high N [12]. As such, the genotypic correlations between  
311 N-use traits indicate that traits related to N fertilizer response (NUE, NUpE, and NUtE) are  
312 associated with yield performance under high N conditions, and traits related to the efficiency of  
313 nutrient or biomass partitioning to the grain (HI<sub>-N</sub>, HI<sub>+N</sub>, NHI<sub>+N</sub>, and GU) are associated with yield  
314 performance under N stress conditions. Although Yield<sub>-N</sub> and Yield<sub>+N</sub> are positively correlated,  
315 developing maize genotypes with high yield performance under high and low N conditions may be  
316 challenging, since the desirable traits for each of these N conditions are negatively correlated (HI,  
317 NHI, and GU vs. NUE, NUpE, and NUtE)(Table 2).

### 318 3.2 Genotype $\times$ environment interaction of N-use traits

319 In addition to the genotypic correlation between traits, another major challenge for breeding  
320 programs is to model the effect of the genotype  $\times$  environment interaction (G  $\times$  E) on desirable  
321 phenotypic traits [39]. While the genetic correlation of some N-use traits may be correlated to yield at  
322 low or high N conditions, their relationship might differ depending on other environmental  
323 conditions influencing yield. A way to compare the effect of an environment on yield is by  
324 measuring the average yield of multiple hybrids in each environment receiving similar crop  
325 management, termed the 'environmental index'. Several studies have investigated the genetic  
326 variability of N-use traits across different N soil conditions [8,12,34,40], but few studies have  
327 investigated the effect of G  $\times$  E on N-use traits. Therefore, regression analysis between an inbreds'  
328 EBV at each environment (GCA + GCA $\times$ E + E) and the environmental index (E) was performed using  
329 the phenotypic traits that correlated to yield at low and high N conditions, respectively (Figure 2).  
330 Under low N conditions, GU was stable across environmental indices, and HI<sub>-N</sub> ( $0.04 \text{ kg kg}^{-1}/\text{Mg}$   
331  $\text{ha}^{-1}$ ), HI<sub>+N</sub> ( $0.02 \text{ kg kg}^{-1}/\text{Mg ha}^{-1}$ ), and NHI<sub>+N</sub> ( $0.02 \text{ kg kg}^{-1}/\text{Mg ha}^{-1}$ ) increased as the environmental  
332 index increased (Figure 2A). Under high N conditions, NUE was stable across environmental  
333 indices, while NUtE decreased ( $-3.60 \text{ kg kg}_{\text{plantN}}^{-1}/\text{Mg ha}^{-1}$ ) and NUpE increased ( $+0.03 \text{ kg kg}_{\text{plantN}} \text{ kg}_{\text{Nfert}}^{-1}$   
334  $/\text{Mg ha}^{-1}$ ) as the environmental index increased (Figure 2B). The relationship between the G  $\times$  E  
335 effect on N-use traits and the environmental index indicates the degree of trait dominance effects  
336 across different environmental yield conditions. A stable additive effect of NUE and GU across  
337 environmental indices is desirable for breeding selection in a wide range of environments.



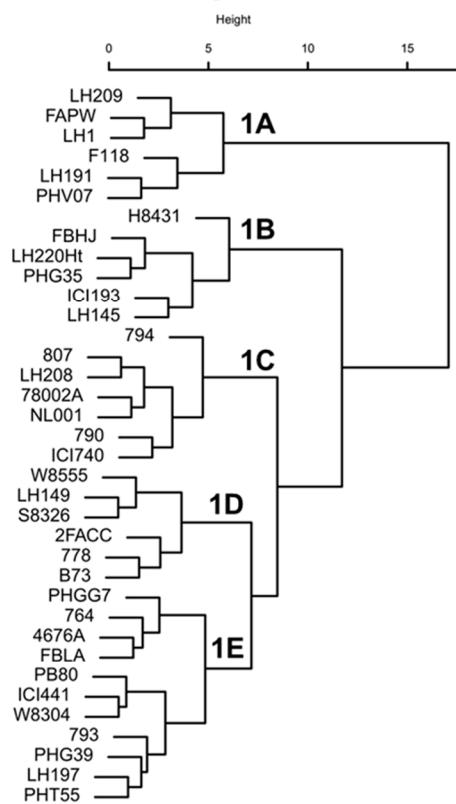
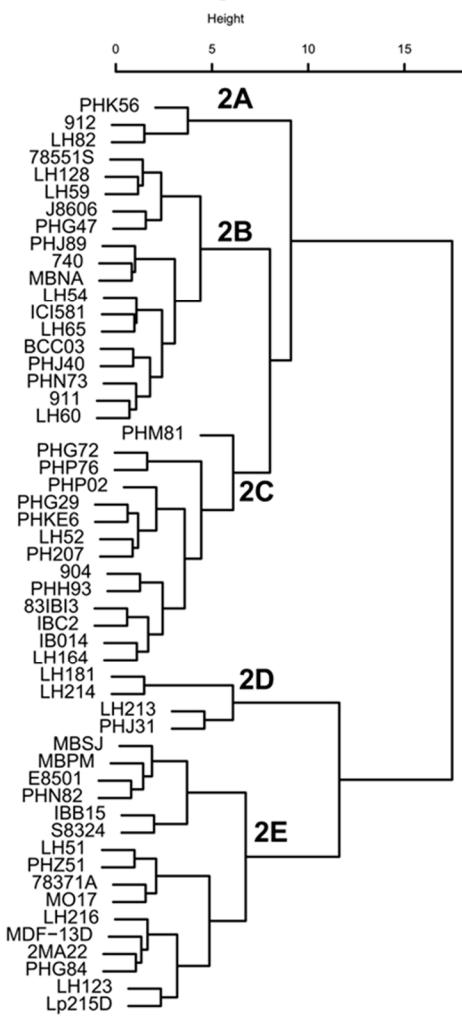
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**Figure 2.** Influence of N supply and environment on selected N-use traits. A) Changes in harvest index at low and high N (HI-N and HI+N), N-harvest index at high N (NHI+N), and genetic utilization (GU) due to the environmental index for maize hybrids grown at low N ( $0 \text{ kg N ha}^{-1}$ ); and B) Changes in N-use efficiency (NUE), N-utilization efficiency (NUtE), and N-uptake efficiency (NUpE) due to the environmental index for maize hybrids grown with high N ( $252 \text{ kg N ha}^{-1}$ ). Values shown for each phenotypic trait are averaged over all hybrids grown in each of the eight environments from 2011 to 2015. \* Indicates significant slopes at  $P \leq 0.001$ .

340 3.3 Identification of maize genotypes with improved NUE

341 Hybrid NUE performance is determined by the plant's ability to take up nitrogen from the soil  
 342 (NUpE), the physiological capacity to generate and partition N to the grain (HI and NHI), and the  
 343 sink strength to set kernels and accumulate starch under high or low N conditions (NUtE and GU,  
 344 respectively). Consequently, the aim of NUE breeding should be to integrate multiple desirable  
 345 N-use traits into the same maize genotype. Hierarchical cluster analysis using the GCA effect of  
 346 different phenotypic traits have categorized SSS (Group 1) and NSSS lines (Group 2) based on their  
 347 NUE performance (Figure 3). Clusters within heterotic groups consisted of inbreds exhibiting  
 348 correlated N-use traits (Table 3).

**Group 1: SSS****Group 2: NSSS**

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**Figure 3.** Hierarchical cluster analysis using different N-use traits of 36 stiff-stalk synthetic (SSS, Group 1) and 53 non-SSS (NSSS, Group 2) inbred lines. Clusters A, B, C, D, and E represent groups of inbreds with similar N-use trait performances. Clusters were generated using the inbreds' GCA from 12 N-use traits. Inbred GCAs were calculated from 263 maize hybrids grown from 2011 to 2015 under low and high N conditions (0 and 252 kg N ha<sup>-1</sup>, respectively).

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In the SSS cluster, groups 1A and 1B exhibited unique characteristics with the lowest Yield<sub>N</sub> and the lowest Yield<sub>+N</sub>, respectively (Table 3). Group 1A also exhibited high grain protein concentration (under low and high N conditions), NUE, and NUpE, but the lowest GU within the SSS group. In contrast, groups 1B and 1C exhibited high Yield<sub>N</sub>, but group 1B had the highest GU. Lastly, group 1D exhibited high Yield<sub>+N</sub>, NUE, and NUpE, while group 1E had average performance for most N-use traits. In the NSSS cluster, groups 2A, 2B, and 2C exhibited higher HI and GU than groups 2D and 2E, but groups 2A and 2B had the lowest grain protein concentrations. Group 2A exhibited high Yield<sub>N</sub> and Yield<sub>+N</sub> and the highest GU within the NSSS group. In contrast, group 2D presented high Yield<sub>+N</sub> and the lowest GU.

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Across heterotic groups, only seven inbreds (78551S, B73, LH128, ICI740, PHK56, W8304, and W8555) ranked in the top 25% GCA for both Yield<sub>N</sub> and Yield<sub>+N</sub>, and only one inbred (PHK56) ranked in the top 10% for high yield performance under both N conditions (data not shown). Inbred PHK56 was one of the most referenced lines in the U.S. Patent database and was derived from PHG35 (from recombination of PHG47 and Oh07-Midland) from the Oh43 background [17]. In addition, inbreds that are genetically related exhibited similar NUE performance (Figure 3). As such,

380 inbreds Mo17 and LH51 (97% identical by descent from Mo17), which are important progenitors of  
381 the Lancaster germplasm [17], were categorized in the same cluster (group 2E). Likewise, inbred  
382 PH207 is the main founder of the Iodent heterotic group and is an ancestor of several Pioneer  
383 Hi-Bred inbreds such as PHG29 and PHG50 [17]. These inbreds exhibited high tolerance to N  
384 deficiency and high GU (Group 2C).

385 One breeding strategy for NUE improvement could be to utilize new inbred or hybrid  
386 combinations from the cluster groups with desirable N-use traits. Interestingly, group 2A was the  
387 only group exhibiting the combination of high Yield<sub>-N</sub> and Yield<sub>+N</sub>. Group 2A represents  
388 approximately 5% of all NSSS lines tested in this study and could be used as a potential genetic  
389 resource for the development of maize genotypes with improved performance under high N or  
390 under N-stress conditions. Inbred combinations between groups 1C × 2A and 1D × 2D, in theory  
391 would produce single cross hybrids with high NUE performance under low and high N conditions,  
392 respectively.

393 The identification of maize genotypes with high N-deficiency tolerance and/or high yield  
394 performance under sufficient soil N conditions is important for better hybrid placement and  
395 agronomic management positioning for maximum and efficient yields. Among the 263 hybrids  
396 evaluated, only 22 produced yields ranked in the top 25% for both Yield<sub>-N</sub> and Yield<sub>+N</sub>, and only 5  
397 hybrids obtained yields ranked in the top 10% for both N conditions. Moreover, hybrid  
398 ICI740×PHK56 (combination between groups 1C × 2A) exhibited high yield performance under low  
399 and high N conditions (Figure 4). This hybrid exhibited the highest average EBV for Yield<sub>-N</sub> (6.2 Mg  
400 ha<sup>-1</sup>) and the 9<sup>th</sup> highest EBV for Yield<sub>+N</sub> (10.3 Mg ha<sup>-1</sup>). Hybrid LH145×83IBI3 (groups 1B × 2C)  
401 exhibited high tolerance to N deficiency (Yield<sub>-N</sub> = 5.2 Mg ha<sup>-1</sup>), but low EBV for Yield<sub>+N</sub> (8.2 Mg ha<sup>-1</sup>).  
402 This hybrid also combined above average EBV for HI and GU, and below average EBV for NUE and  
403 NUpE. In contrast, hybrid F118×LH214 (groups 1A × 2D) presented the highest average EBVs for  
404 Yield<sub>+N</sub> (11.1 Mg ha<sup>-1</sup>), NUE, and NUpE, but low EBV for Yield<sub>-N</sub> (4.4 Mg ha<sup>-1</sup>) and GU.

405 Estimated breeding value accuracy is an important method to compare the prediction reliability  
406 of desirable traits. Estimated breeding value accuracy ranged from 0.12 to 0.92 and, with the  
407 exception of NHI<sub>-N</sub>, EBV accuracies were similar among heterotic groups (Figure 5). While the  
408 majority of the inbreds exhibited high EBV accuracy, some genotypes did not. Skewness of EBV  
409 accuracy may be related to unbalanced data and genotypes with low yield stability across  
410 environments.

411 While precise estimates of H<sup>2</sup> and EBV accuracy are a function of genetic and residual variance,  
412 there was no relationship between EBV accuracy averaged across heterotic groups and H<sup>2</sup> (Table 1  
413 and Figure 5). While the H<sup>2</sup> for NUpE and NHI<sub>-N</sub> was both 0.11, their EBV accuracies were 0.61 and  
414 0.28, respectively. Broad-sense heritability for Yield<sub>-N</sub> was almost 50% less than H<sup>2</sup> for Yield<sub>+N</sub>.  
415 However, these traits presented similar EBV accuracy (approximately 0.82). Discrepancies between  
416 H<sup>2</sup> and EBV accuracy can be associated with the genetic architecture of complex traits. Though large  
417 residual variance reduced H<sup>2</sup> of some phenotypic traits (e.g. Yield<sub>-N</sub>, NUpE, and NUpE), large  
418 additive variances increased their EBV accuracies.

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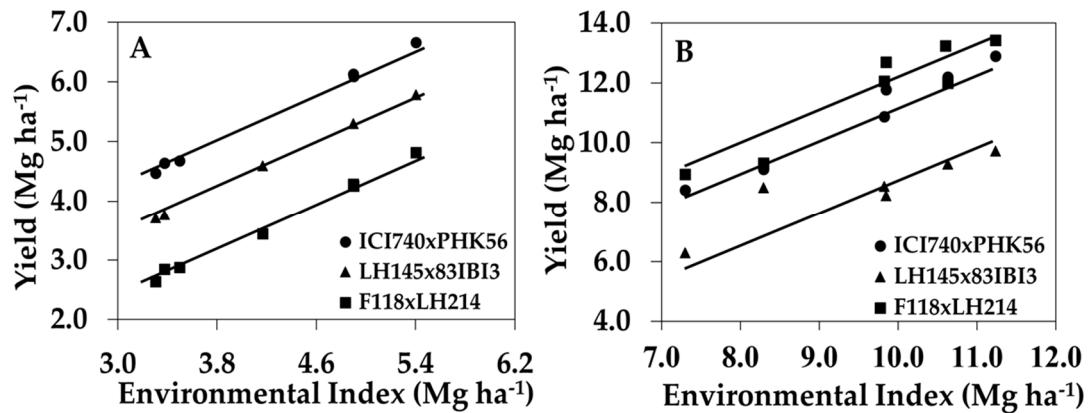
**Table 3.** Yield at low and high N (Yield<sub>N</sub> and Yield<sub>+N</sub>), harvest index at low and high N (HI<sub>N</sub> and HI<sub>+N</sub>), N-harvest index at low and high N (NHI<sub>N</sub> and NHI<sub>+N</sub>), grain protein concentration at low and high N (Protein<sub>N</sub> and Protein<sub>+N</sub>), N-use efficiency (NUE), N-uptake efficiency (NUpE), N-utilization efficiency (NUtE), and genetic utilization (GU) based on parental inbred cluster groups for the stiff-stalk synthetic lines (SSS, Groups 1A-1E) and non-stiff-stalk synthetic lines (NSSS, Groups 2A-2E). A total of 263 maize hybrids were grown from 2011 to 2015 under low and high N conditions (0 and 252 kg N ha<sup>-1</sup>, respectively).

Group	N <sup>†</sup>	Yield		HI		NHI		Grain Protein		NUE	NUpE	NUtE	GU
		Low N	High N	Low N	High N	Low N	High N	Low N	High N				
		Mg ha <sup>-1</sup>	kg kg <sup>-1</sup>	kg <sub>grainN</sub>	kg <sub>plantN<sup>-1</sup></sub>	g	kg kg <sup>-1</sup>	kg <sub>plantN</sub>	kg kg <sub>Nfert<sup>-1</sup></sub>	kg <sub>plantN</sub>	kg kg <sub>Nfert<sup>-1</sup></sub>	kg kg <sub>plantN<sup>-1</sup></sub>	kg kg kg <sub>plantN<sup>-1</sup></sub>
<b>SSS</b>													
1A	6	4.57	9.15	0.33	0.47	0.57	0.67	86.4	68.3	18.29	0.44	42.90	53.26
1B	6	5.05	8.82	0.39	0.48	0.57	0.69	85.0	64.1	15.37	0.42	41.10	64.41
1C	7	5.24	9.13	0.38	0.48	0.57	0.69	85.9	66.1	15.45	0.42	40.51	60.55
1D	6	4.93	9.29	0.37	0.49	0.57	0.69	82.2	64.8	17.90	0.42	43.42	60.44
1E	11	4.88	9.05	0.36	0.48	0.57	0.68	86.1	67.1	16.87	0.43	41.47	57.56
LSD <sup>‡</sup> P ≤ 0.05		0.29	0.50	0.01	0.01	0.01	0.02	2.6	2.3	1.39	0.02	1.30	1.40
<b>NSSS</b>													
2A	3	5.31	9.32	0.42	0.50	0.57	0.70	83.5	64.0	16.21	0.40	42.70	64.92
2B	16	5.02	9.17	0.37	0.48	0.57	0.69	83.3	65.0	16.71	0.42	42.22	60.18
2C	14	5.08	8.74	0.40	0.49	0.57	0.70	88.1	67.2	14.85	0.42	40.22	61.26
2D	4	4.31	9.52	0.29	0.45	0.57	0.66	86.3	69.1	21.11	0.48	43.75	51.91
2E	16	4.78	9.18	0.34	0.47	0.57	0.67	84.5	66.1	17.47	0.44	42.06	56.65
LSD P ≤ 0.05		0.21	0.52	0.02	0.02	0.00	0.02	3.2	2.3	1.38	0.03	1.05	1.47

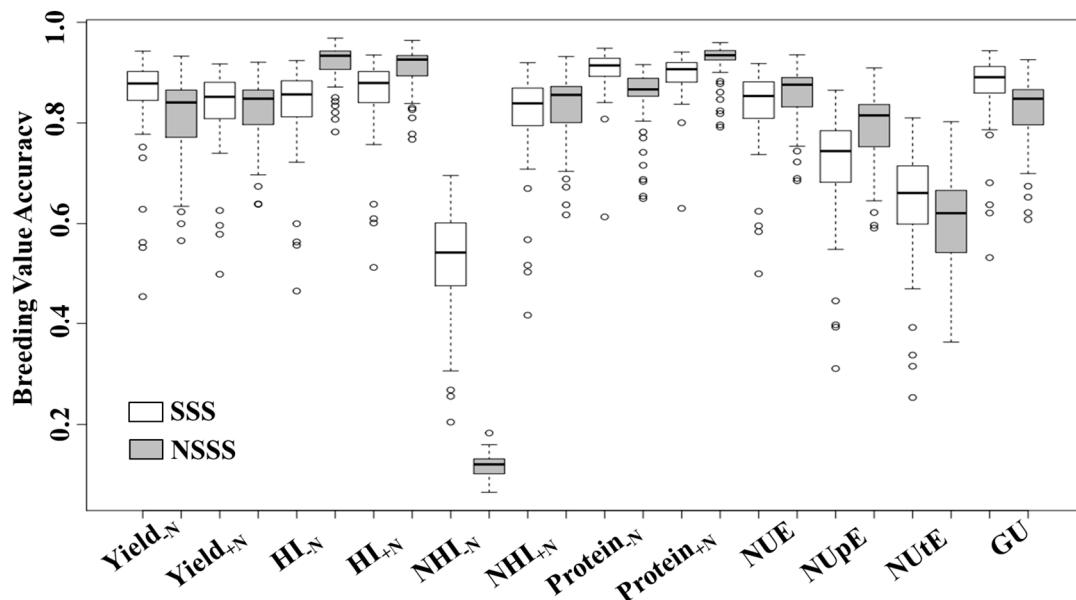
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<sup>†</sup>N, number of ex-PVP inbreds categorized within each cluster group.

<sup>‡</sup>LSD, Least significant difference was estimated from different cluster groups within each phenotypic trait.



425  
 426 **Figure 4.** Yield of select hybrids across environmental indices when grown with A) low N (0 kg  
 427 N ha<sup>-1</sup>), and B) high N (252 kg N ha<sup>-1</sup>). Data values are the average yields within an  
 428 environment for ICI740×PHK56 (high tolerance to N-deficiency and high positive response to  
 429 N fertilizer), LH145×83IBI3 (high tolerance to N-deficiency and low positive response to N  
 430 fertilizer), and F118×LH214 (low tolerance to N-deficiency and high positive response to N  
 431 fertilizer).



432  
 433 **Figure 5.** Box-plot of breeding value accuracies for yield at low and high N (Yield<sub>-N</sub> and Yield<sub>+N</sub>),  
 434 harvest index at low and high N (HI<sub>-N</sub> and HI<sub>+N</sub>), N-harvest index at low and high N (NHI<sub>-N</sub> and  
 435 NHI<sub>+N</sub>), grain protein concentration at low and high N (Protein<sub>-N</sub> and Protein<sub>+N</sub>), N-use  
 436 efficiency (NUE), N-uptake efficiency (NUpE), N-utilization efficiency (NUtE), and genetic  
 437 utilization (GU) in stiff-stalk synthetic (SSS) and non-stiff-stalk synthetic (NSSS) maize lines.  
 438 Breeding value accuracy was estimated according to Eq. [11]. Values are based on the yield  
 439 performance of 263 hybrids developed from these lines and grown in eight environments from  
 440 2011 to 2015 under low and high N conditions (0 and 252 kg N ha<sup>-1</sup>, respectively).

441 **4. Conclusions**

442 Although 89 inbred lines were evaluated, there were certainly more ex-PVP lines available at  
443 the National Plant Germplasm System. Even so, this subset was able to display large genetic  
444 variation among ex-PVP lines for most N-use traits. The large range of broad-sense heritabilities  
445 found for phenotypic traits highlights the importance of accurate phenotypic selection under field  
446 conditions. In addition, differences in the stability of N-use traits across environments will have  
447 important implications for phenotypic selection. Genetic utilization and NUE were stable across  
448 environments and were highly correlated with yield under low and high N conditions, respectively.  
449 Hybrids with high N-deficiency tolerance or high yield response to N fertilizer were associated with  
450 different phenotypic traits. Consequently, less than 2% of the hybrids evaluated exhibited high yield  
451 performance under both low and high N conditions. Nitrogen use efficiency is the end result of  
452 highly polygenic and complex traits. Future genetic improvement of NUE will require effective  
453 integration between accurate field phenotyping and marker-assisted breeding strategies, such as  
454 genome-wide prediction and metabolic profiling studies.

455 **Supplementary Materials:** Table S1: Maize line name, year of release, heterotic group, and proprietary  
456 company name of Ex-Plant Variety Protection (PVP) inbreds used as parents in this study, Figure S1: Heatmap  
457 showing maize hybrid combinations between 36 stiff-stalk synthetic and 53 non-stiff-stalk synthetic lines  
458 developed with the corresponding number of environments tested over three locations in Illinois from 2011 to  
459 2015.

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558 **Supplementary Table S1.** Maize line name, year of release, heterotic group, and proprietary  
 559 company name of Ex-Plant Variety Protection (PVP) inbreds used as parents in this study.

Line †	Year Released	Heterotic Group‡	Company
PH207	2002	Ident	Pioneer Hi-Bred International, Inc.
LH82	2003	Ident	Holden's Foundation Seeds, Inc.
IB014	2004	Ident	DeKalb-Pfizer Genetics
PHG29	2004	Ident	Pioneer Hi-Bred International, Inc.
PHG72	2005	Ident	Pioneer Hi-Bred International, Inc.
PHP02	2007	Ident	Pioneer Hi-Bred International, Inc.
PHH93	2007	Ident	Pioneer Hi-Bred International, Inc.
IBB15	2008	Ident	DeKalb-Pfizer Genetics
IBC2	2008	Ident	DeKalb-Pfizer Genetics
J8606	2008	Ident	Novartis Seeds, Inc.
PHN82	2008	Ident	Pioneer Hi-Bred International, Inc.
PHP76	2009	Ident	Pioneer Hi-Bred International, Inc.
83IBI3	2010	Ident	DeKalb-Pfizer Genetics
LH164	2010	Ident	Holden's Foundation Seeds, Inc.
904	2010	Ident	Novartis Seeds, Inc.
911	2010	Ident	Novartis Seeds, Inc.
912	2010	Ident	Novartis Seeds, Inc.
PHM81	2010	Ident	Pioneer Hi-Bred International, Inc.
PHKE6	2011	Ident	Pioneer Hi-Bred International, Inc.
Mo17	1973	Lancaster	Public
LH51	2001	Lancaster	Holden's Foundation Seeds, Inc.
MDF-13D	2002	Lancaster	DeKalb-Pfizer Genetics
LH123	2003	Lancaster	Holden's Foundation Seeds, Inc.
MBNA	2004	Lancaster	DeKalb-Pfizer Genetics
LH54	2005	Lancaster	Holden's Foundation Seeds, Inc.
LH52	2005	Lancaster	Holden's Foundation Seeds, Inc.
LH60	2005	Lancaster	Holden's Foundation Seeds, Inc.
PHG47	2005	Lancaster	Pioneer Hi-Bred International, Inc.
PHG84	2005	Lancaster	Pioneer Hi-Bred International, Inc.
PHJ40	2005	Lancaster	Pioneer Hi-Bred International, Inc.
PHZ51	2005	Lancaster	Pioneer Hi-Bred International, Inc.
78371A	2006	Lancaster	DeKalb-Pfizer Genetics
MBPM	2006	Lancaster	DeKalb-Pfizer Genetics
LH59	2006	Lancaster	Holden's Foundation Seeds, Inc.
LH65	2006	Lancaster	Holden's Foundation Seeds, Inc.
740	2006	Lancaster	Novartis Seeds, Inc.
S8324	2006	Lancaster	Novartis Seeds, Inc.
2MA22	2007	Lancaster	DeKalb-Pfizer Genetics
78551S	2008	Lancaster	DeKalb-Pfizer Genetics
E8501	2008	Lancaster	Novartis Seeds, Inc.
PHJ31	2008	Lancaster	Pioneer Hi-Bred International, Inc.
PHN73	2008	Lancaster	Pioneer Hi-Bred International, Inc.
LH128	2009	Lancaster	Holden's Foundation Seeds, Inc.
LH181	2009	Lancaster	Holden's Foundation Seeds, Inc.

560

561 Supplementary Table S1. (Continued)

Line †	Year Released	Heterotic Group‡	Company
PHK56	2009	Lancaster	Pioneer Hi-Bred International, Inc.
MBSJ	2010	Lancaster	DeKalb-Pfizer Genetics
LH216	2010	Lancaster	Holden's Foundation Seeds, Inc.
LH213	2010	Lancaster	Holden's Foundation Seeds, Inc.
BCC03	2010	Lancaster	Novartis Seeds, Inc.
PHJ89	2010	Lancaster	Pioneer Hi-Bred International, Inc.
Lp215D	2010	Lancaster	Wilson Hybrids, Inc.
ICI581	2011	Lancaster	Advanta Technology Limited
LH214	2011	Lancaster	Holden's Foundation Seeds, Inc.
B73	1973	Stiff-stalk synthetic	Public
LH1	1994	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.
FAPW	2002	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
LH145	2002	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.
PHG39	2002	Stiff-stalk synthetic	Pioneer Hi-Bred International, Inc.
PHG35	2002	Stiff-stalk synthetic	Pioneer Hi-Bred International, Inc.
4676A	2004	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
78002A	2004	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
764	2005	Stiff-stalk synthetic	Novartis Seeds, Inc.
778	2005	Stiff-stalk synthetic	Novartis Seeds, Inc.
794	2005	Stiff-stalk synthetic	Novartis Seeds, Inc.
FBHJ	2006	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
PB80	2006	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
LH149	2006	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.
807	2006	Stiff-stalk synthetic	Novartis Seeds, Inc.
790	2006	Stiff-stalk synthetic	Novartis Seeds, Inc.
793	2006	Stiff-stalk synthetic	Novartis Seeds, Inc.
H8431	2006	Stiff-stalk synthetic	Novartis Seeds, Inc.
S8326	2006	Stiff-stalk synthetic	Novartis Seeds, Inc.
PHT55	2006	Stiff-stalk synthetic	Pioneer Hi-Bred International, Inc.
W8304	2007	Stiff-stalk synthetic	Novartis Seeds, Inc.
2FACC	2008	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
W8555	2008	Stiff-stalk synthetic	Novartis Seeds, Inc.
LH220Ht	2009	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.
LH208	2009	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.
PHV07	2009	Stiff-stalk synthetic	Pioneer Hi-Bred International, Inc.
ICI441	2010	Stiff-stalk synthetic	Advanta Technology Limited
FBLA	2010	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
NL001	2010	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
F118	2010	Stiff-stalk synthetic	DeKalb-Pfizer Genetics
LH191	2010	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.
LH197	2010	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.
PHGG7	2010	Stiff-stalk synthetic	Pioneer Hi-Bred International, Inc.
ICI193	2011	Stiff-stalk synthetic	Advanta Technology Limited
ICI740	2011	Stiff-stalk synthetic	Advanta Technology Limited
LH209	2011	Stiff-stalk synthetic	Holden's Foundation Seeds, Inc.

562 †Public lines were developed by Iowa State University (B73) and University of Missouri (Mo17).

563 ‡Heterotic groups were defined using discriminant analysis of principal components [24] and 26,768  
564 single nucleotide polymorphism markers.

