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Article

Role of Locus Coeruleus Chemogenetic Modulation in the Behavior of Hyperdopaminergic Rats Lacking the Dopamine Transporter

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Abstract: The Locus Coeruleus (LC) is a critical area in the brain that plays an important role in several neural pathways associated with a range of physiological and behavioral processes. Its activity can modulate both norepinephrine (NE) and dopamine neurotransmission, particularly in the prefrontal cortex (PFC). In the present study, we show that a chemogenetically induced increase in norepinephrine release from the LC to the PFC reduced hyperactive behavioral patterns in rats lacking the dopamine transporter (DAT-KO rats) with spontaneously elevated dopamine transmission. These manipulations in hyperdopaminergic mutants also caused amelioration of cognitive abnormalities in spatial learning task as evidenced by decreases in perseverative activity and the number of visits to the error zone. Furthermore, chemogenetic activation of NE neurotransmission in these animals significantly improved their performance in in this maze test. Thus, the results obtained of this study highlight an important modulatory role of NE on hyperactivity and cognitive dysfunctions of hyperdopaminergic DAT-KO rats lacking the dopamine transporter

Keywords: dopamine transporter; hyperdopaminergia; dopamine transporter knockout rats; learning; locus coeruleus; chemogenetic.

1. Introduction

The brainstem nucleus Locus Coeruleus (LC) is involved in wide range of functions, including sensory processing, motor behavior, and cognition [1,2]. It contains one of the largest populations of norepinephrine (NE) neurons from different brain areas [3]. The LC noradrenergic system provides widespread innervation to many brain structures of the CNS and affect cognitive processes in several key regions [4]. It has been shown that LC activity is low during routine behaviors such as grooming or feeding, whereas its neurons respond with a phasic burst of activity to stimuli in all sensory modalities when they are novel. This type of activity leads to behavioral adaptation to the new context [5,6]. The reciprocal relationship between LC and prefrontal cortex (PFC) is thought to support this behavioral reorganization [7,8].

Catecholamines play an important role in human and animal behavior. Their wide distribution in the brain areas provides for a vast functional diversity. Studies of the interactions between the dopamine (DA) and norepinephrine systems in the CNS suggest that these systems may act in an overlapping and parallel manner [9]. The correct balance of catecholamines in the brain is important for the proper organization of many types of behavior. The absence of this balance can lead to the development of various psychiatric disorders, including attention deficit hyperactivity disorder (ADHD) [10–13].

It is believed that ADHD development mainly arise due to the dysfunction of the DA system [14,15]. Some cases of ADHD are linked to DNA damage in genes encoding protein transporters of dopamine (DAT) and norepinephrine (NET), which are located in the synaptic membrane and ensure the reuptake of released molecules for their next use [16]. It was proposed that abnormalities in the DA and the NE systems may play the key role in ADHD development [17,18].

The rats with deletion of DAT gene (DAT-KO rats) were created as a valuable model for ADHD with emphasis on various aspects of DA system dysfunctions [19–22]. Rats lacking *SLC6A3*, the DAT coding gene, were generated using zinc finger nucleases (ZFN) technology. DAT-KO rats are an animal model of persistently elevated extracellular DA levels [22]. The knockout rats show marked behavioral abnormalities: impulsivity, stereotypy and reduced learning ability [20,23–25]. Such hyperdopaminergia is thought to be one of the causes of disorders such as schizophrenia, mania and ADHD [21].

Chemogenetic tools have been widely used to explore brain function and connections between brain regions [26–28]. This method relies on cell-specific viral delivery to express designer receptors that are exclusively activated by designer drugs (DREADD). This tool can activate specific neuronal pathways by applying the specific molecular ligand. Now, chemogenetic modulation of LC activity has been used to study sensorimotor integration and selective modulation of LC-PFC functional connectivity [29,30].

In this study, we evaluated the effect of activation of NE release in the PFC on the performance of a spatial behavior task in DAT-KO rats. An increase in NE levels was achieved by chemogenetic modulation of LC neuronal activity using the viral vector CAV-2. We used canine adenovirus type 2 (CAV2) – the viral vector carrying the noradrenergic cell-specific promoter, activated DREADDs (Designer Receptor Exclusively Activated by Designer Drugs) to selectively activate LC-NA neurons in DAT-KO and wild-type (WT) rats. CAV2 (CAV-PRS-hM3Dq-mCherry) was injected into the PFC to retrogradely transduce LC neurons projecting to the PFC. After transduction, the neurons acquire DREADDs, and an increase in NE was achieved by chemogenetic modulation of LC neuronal activity using the specific ligand clozapine (Clz). After activation of LC NE neurons, the impact of NE enhancing on spatial task learning in the Hebb-Williams maze by DAT-KO and WT rats was investigated. We suggest that chemogenetic modulation causing an increase of NE levels in the PFC may alleviate hyperactivity and cognitive deficits of hyperdopaminergic DAT-KO rats.

2. Materials and Methods

2.1. Animals

32 DAT-KO and 32 WT littermate rats, males and females of the age 4 months, were used in the experiments. Our observations have shown that there are no significant differences in behavior between the sexes. Therefore, due to the limited number of DAT-KO males, 3 female rats of both genotypes were added to each group. To analyze the effects of chemogenetic modulation of LC neurons, a group of DREADD-expressing animals (8 DAT-KO and 8 WT) and a control group of rats that did not receive CAV2 microinjection (8 DAT-KO and 8 WT) were formed.

All experimental procedures were conducted in compliance with requirements regarding the care and treatment of laboratory animals and the Ethics committee of Saint Petersburg State University, St. Petersburg, Russia (protocol No. 131-03-10 of 22 November 2021). Before the experiments, rats were maintained in IVC cages (RAIR IsoSystem World Cage 500; Lab Products, Inc.) with free access to food (BioPro, Russia) and water, at a temperature of 22±1 degrees C, 50–70% relative humidity and a 12 h light/dark cycle (light from 9 am). Experiments were carried out between 1 pm and 5 pm.

2.2. Viral Vector Microinjection

For transduction of LC noradrenergic neurons, microinjection of viral vector into PFC was performed. Microinjection of viral vectors into the PFC was used for transduction of LC NE neurons. The CAV-2-carrying DREADD coupled to the mCherry sequence (CAV PRS hM3D(Gq)-mCherry, 2.5

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x 10^12 particles/ml; PVM, Montpellier) was used. To ensure the vitality of virus particles they were stored at -80 °C and were defrosted only immediately before injection.

8 DAT-KO and 8 WT rats were anaesthetized using Isoflurane gas anesthesia (1000 mg/g Innalation vapor, Chemical Iberica Produktos Veterinarios, Croatia). CAV PRS hM3D(Gq)-mCherry was injected 600nl each into the left and right PFC. Microinjection coordinates were AP: +3.0 mm, ML: ±0.8 mm. The virus dose was divided into three depth points: -3.6, -3.4, -3.2. The vector-containing substance was delivered using a microsyringe pump (UMC4 MicroSyringe Pump Controller, World Precision Instruments) at 150 nl/min. A syringe (Hamilton 701 RN Syringe, 10mcl) with a glass microcapillary nozzle made on a puller (Narishige PC-100) was placed in the pump. The glass microcapillary was lowered to -3.6 and then raised to the next injection point, pumping in 200 nL at a time.

After surgery, the animals were given the necessary post-operative care. Over the next 6 weeks, the rats recovered and the viral vector retrogradely transduced via NE neurons into the LC. The control groups of rats (8 DAT-KO and 8 WT) did not receive virus microinjection.

2.3. Hebb-Williams Maze Apparatus and Experimental Setup

The Hebb-Williams maze, which consists of a set of internal walls to create different maze configurations within an enclosed arena, was chosen for behavioral testing [31]. The arena represents a 75x75 cm square platform surrounded by 25cm walls. The start and finish chambers were located at opposite corners. The inner walls can be placed in different configurations to create the correct path as well as the error zones. Animals need to learn to find the way through the maze from start to finish to obtain a food reward (Figure 1). For 5 days prior to training and throughout the experiment, the rats were given food at 90% of their normal diet to create food motivation. Popcorn loops (Nestlé, S.A., weight 0.2 g) were used as a reward. Each animal was weighed daily before and throughout the experiment. Animals were handled in the experimental room to habituate them to experiment conditions.

The behavior of two groups of rats was accessed: rats expressing DREADD (8 KO + 8 WT) and control group of rats without DREADD (8 KO + 8 WT). For female rats (3 females in each group), the estrous cycle was monitored, and behavioral testing was not performed on days of the proestrus phase.

On the first day animals were placed into the arena without inner walls to familiarize them with the setup. Then rats were trained in the "learning" arena configuration for three days (Figure 1A). Each day animals were given three trials to complete the task. On the fourth experimental day, the arena configuration was changed, and the animals began receiving intraperitoneal injections 30 min before the experimental session. In the new maze configuration (Figure 1B), rats were trained after vehicle i.p. injection. After three days, the animals were tested in the following maze configuration (Figure 1C) after i.p. injection of Clz (1mg/kg in 0,0001M HCL solution).

Video was acquired from the camera mounted above the maze and the behavioral variables were analyzed with software EthoVision XT11.5 (Noldus Information Technology, Leesburg, VA, USA). Following characteristics were chosen to analyze: distance travelled, time spent on task completion, number of errors, and return runs.

Figure 1. Scheme of the Hebb-William maze and its inner wall configurations, used for learning (A) and drug testing (B, C). The location of the start and finish chambers are marked in the opposite corners. Food reinforcement is indicated by a circle.

2.4. Immunohistochemistry

Neurons transduced by a viral vector had a DREADD receptor on their membrane coupled to mCherry, a fluorescent reporter protein. At the end of the experiment, we verified accuracy of virus injections and evaluated the number of neurons transduction in LC. Animals were deeply anesthetized with a mix of 200 mg/kg Zoletil with 16 mg/kg Xylazine, then transcardially perfused with 0.9% NaCl (100ml) followed by 4% paraformaldehyde (PFA, 100 ml) in 0.1 M PBS (pH 7.4). Extracted brain tissue was placed for 24 hours in PFA for post-fixation at room temperature (RT). The brains were placed in increasing concentration sucrose solutions for cryoprotection. Then, 50 μm frontal free-floating sections of LC were prepared on a cryostat Leica CM-3050S. The sections were stored in 0,1% NaN3 at +4 °C.

To assess the number of NE neurons transduced by viral vector we performed the procedure of double immunostaining. After being washed in PBS, the sections were processed in citrate buffer (pH 6.0) for antigen retrieval and blocked with 5% Normal Goat Blocking Buffer (Elabscience, E-IR-R111) for 1,5 h at RT. Then, the sections were incubated in the primary antibodies: mouse anti-D β H antibody (1:2000, MAB308, Chemicon) and rabbit anti-mCherry antibody (1:1000 Cat#632496, Takara Bio, USA) [32] for 24h at RT, and the secondary antibody: donkey anti-mouse IgG Alexa Fluor 488 (1:500, abcam, ab150105, UK) and CyTM3 AffiniPure donkey anti-rabbit IgG (1:800, AB_2307443, Jackson ImmunoResearch Labs, USA) for 2 h at 37 °C. Finally, the sections were mounted in aqueous medium Fluoroshield with DAPI (Sigma-Aldrich, Cat # F6057, United States).

Stained sections were imaged on fluorescence microscope (Leica DMI6000 objective 10x) with a build-in 8MP CCD color digital camera. mCherry- and D β H-positive neurons were counted manually with Fiji ImageJ software [33]. To evaluate the scale in which virus had transduced LC neurons, the percentage of mCherry+ neurons to D β H+ neurons was calculated.

2.5. Statistical Analysis

All values were averaged over all trials for 3 days per animal, and then groups of rats were compared. The recorded parameters were averaged over all trials for 3 days for each animal and then the groups of rats were compared. The normality of the distribution was preliminarily assessed using the Kolmogorov-Smirnov test. We used a two-way analysis of variance (ANOVA), analyzing the genotype factor (DAT-KO or WT) and the treatment factor (saline of Clz administration) with Fisher's LSD post-test for groups comparison. The t-test or the non-parametric Mann-Whitney test was used for the comparison of the mean values. All calculations were performed in GraphPad Prism 8 (GraphPad Software, Inc., San Diego, CA, USA).

3. Results

3.1. Hebb-Williams Maze

The rats in the experimental group received the microinjection of the virus carried artificial receptors (DREADD+ group) on the membrane of LC neurons that were only activated by the specific ligand Clz. The i.p. injection of vehicle did not affect their activity. Therefore, we compared the behavioral parameters of rats in the Hebb-Williams maze after i.p. injection of vehicle or Clz (DREADD activator). A control group of animals did not receive CAV2 microinjection (DREADD-group). In this group of rats, we also compared the behavioral parameters of the rats after i.p. injection of vehicle or Clz to verify that the differences found were not due to the effect of Clz per se.

The hyperactive behavior of DAT-KO rats has been shown in a large number of publications [22–24,34–37]. A comparison of the behavior of DAT-KO and WT rats in the present study also supports this observation. The results of the experiments showed that the distance travelled by DAT-KO rats was significantly greater than in WT rats (911,6±198,1 in DAT-KO versus 297,7±23,4; p<0.001, Mann Whitney test, Figure 2A,B). The knockout rats also spent significantly more time performing the test (77,7±16,2 versus 20,8±2,4; p<0.001, Mann Whitney test, Figure 2C,D). This fact indicates a pronounced hyperlocomotion in DAT-KO rats compared to WT animals.

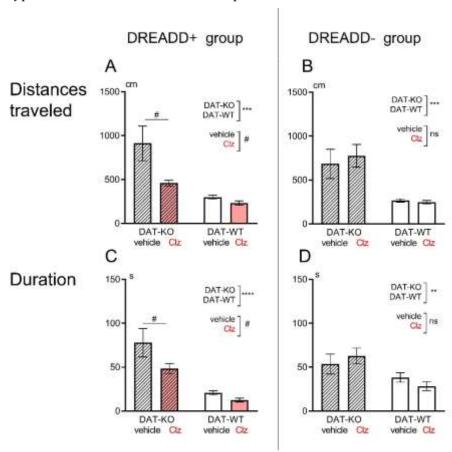


Figure 2. Comparison of distances traveled (A,B) and duration of test performance (time spent, C,D) in Hebb-Williams maze in DAT-KO and WT rats in DREADD+ (left side, A,C) and DREADD- (right side, B,D) groups. Results are presented as the mean \pm SEM; ** - p<0.01; *** - p<0.001; **** - p<0.0001; two-way ANOVA, analyzing the genotype factor (DAT-KO or WT); # - p<0.05; analyzing the treatment factor (vehicle of Clz administration); ns – p>0.05.

For the DREADD+ group of DAT-KO rats, two-way ANOVA analysis of the "distance" parameter shows a significant difference (on the factor genotype, p<0.001, on the factor vehicle-Clz, p<0.05; Figure 2A). Similarly, i.p. administration of Clz in DAT-KO rats resulted in a significant reduction in duration of test performance (two-way ANOVA analysis, the factor vehicle-Clz, p<0.05; Figure 2C). Thus, activation of LC noradrenergic neurons in the DREADD+ group of rats by Clz resulted in a significant decrease in the distance travelled and the time spent in Hebb-Williams maze by DAT-KO rats. In WT rats, i.p. administration of Clz and activation of DREADD caused no change.

In the DREADD- groups of rats, i.p. Clz administration did not cause any significant changes in the distance traveled by the animals (Figure 2B) and in the time needed to complete the test (Figure 2D). We can conclude that i.p. administration of Clz alone at the chosen dose (1mg/kg) did not cause any significant changes in the parameters 'distance' and 'test performance time' in either knockout rats or WT animals (two-way ANOVA, the treatment factor, p>0.05).

Previous studies of the behavior of DAT-KO rats in spatial orientation tests have convincingly shown that they are less successful in achieving a goal compared to WT rats [23,24,36]. The present study also confirmed these findings. The number of error zone visits was significantly higher in DAT-KO rats compared to WT animals (5,6±1,1 in DAT-KO versus 1,0±0.2; p<0.001, Mann Whitney test, Figure 3A,B).

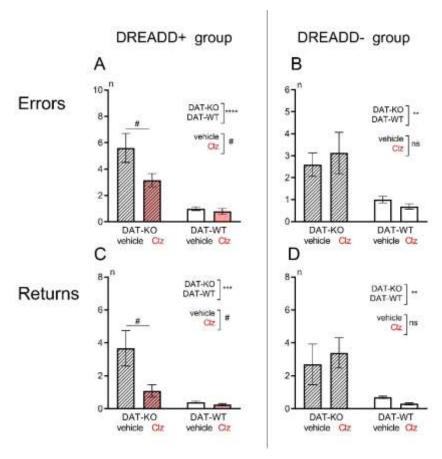


Figure 3. Comparison of the number of error zone visits (A,B) and the number of the return runs (time spent, C,D) in Hebb-Williams maze in DAT-KO and WT rats in DREADD+ (left side, A,C) and DREADD- (right side, B,D) groups. Results are presented as the mean \pm SEM; ** - p<0.01; **** - p<0.001; **** - p<0.0001; two-way ANOVA, analyzing the genotype factor (DAT-KO or WT); # - p<0.05; analyzing the treatment factor (vehicle of Clz administration); ns - p>0.05.

DAT-KO rats are also characterized by marked stereotypy and a tendency to perform inefficient repetitive motor acts [25]. In the present experiment, we observed multiple returns to the start chamber of the maze in each experimental session without reaching the goal and without food reinforcement in DAT-KO rats. The level of such perseverative activity in DAT-KO rats was significantly higher than in WT rats (3.7 ± 1.1) in DAT-KO versus 0.4 ± 0.1 ; p<0.001, Mann Whitney test, Figure 3C,D).

In the DREADD+ group of DAT-KO rats, the number of error zone visits and the number of the return runs significantly reduced after Clz injections (two-way ANOVA, the treatment factor, p<0.05; Figure 3A,C). In WT rats, i.p. administration of Clz and activation of DREADD caused no change. In WT rats, i.p. administration of Clz and activation of DREADD did not alter the efficiency of test

performance (number of error zone entries, Figure 3A) or the number of returns to the start chamber (Figure 3C).

In the DREADD- groups of rats, i.p. administration of Clz caused no changes in the number of rats's visits the error zones or the number of return escapes (Figure 3B,D). In both DAT-KO and WT rats, the i.p. administration of Clz did not alter the efficiency of the test performance (Figure 3B) or the level of perseverative reactions (Figure 3D).

3.2. Immunohistochemistry

An immunohistochemical morphological control of the viral vector transduction was performed at the end of the experiment (Figure 4). Together with the DREADD expression cassette, the fluorescent marker mCherry was delivered to the PFC of rats in the experimental DREADD+ group (Figure 4A). This allowed to visualize the transducted neurons. The degree of transduction was assessed by double immunofluorescence staining using antibodies against dopamine betahydroxylase (D β H) (Figure 4C) and mCherry (Figure 4D).

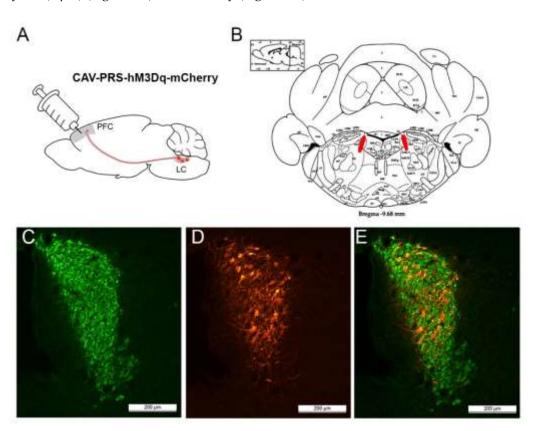


Figure 4. Immunofluorescence staining of rat brain LC neurons after administration of CAV PRS hM3D(Gq)-mCherry virus to the PFC (A,B); green fluorescence is D β H-positive neurons (C), red fluorescence is mCherry-positive neurons (D), double-labeled neurons appear yellow-orange (E).

It was found that in WT rats $24.5\pm1.7\%$ of all D β H-positive neurons were transduced with the CAV2 PRS hM3D(Gq)-mCherry viral vector, whereas in DAT-KO rats the transduction rate was only $8.7\pm1.2\%$; significance of differences by Manni-Whitney test p<0.0001. Thus, we have shown that microinjection of CAV2 into the rat PFC results in efficient retrograde penetration of the viral vector into LC neurons and stable transduction of the NE neurons of this brain structure. In analyzing the data, it should be taken into account that in the LC of DAT-KO rats there are significantly fewer neurons carrying the artificial DREADD receptor, which may reduce the efficiency of chemogenetic activation of these neurons.

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4. Discussion

The Locus Coeruleus is the main source of NE in the PFC [38]. LC is described as very important for implementing many behavioral functions such as arousal, attention and spatial memory [39]. Using chemogenetic and optogenetic methods, it has been shown that the LC is involved in the modulation of wakefulness [40,41], cognitive function [42] and stress-related behavior [43,44].

The coexistence of DA and NE terminals in the PFC has been described previously [45]. It is proposed that their interactions may play a key role in the realization of complex behavior, and a lack of balance between DA and NE may lead to the development of pathophysiological processes, including ADHD symptoms [46,47]. The overlapping functions of the neuromodulators can provide a new approach to the mechanisms of neuropsychiatric disorders such as depression [48], schizophrenia [46,47], and ADHD [49,50].

The DAT-KO rats and mice are valuable animal models of ADHD [22,51]. Mutant rats lacking the dopamine transporter protein DAT, exhibit hyperdopaminergic and motor hyperactivity due to critically high levels of extracellular DA levels in the striatum [20–22,52]. DAT-KO rats and mice are characterized not only by pronounced hyperactivity, but also by a tendency to rigid and stereotyped reactions [20,22,25,53]. Nevertheless, they are able to perform orientation tasks in mazes [23,35], although they are required significantly more time to reach a similar level of learning as WT rats. DAT-KO rats are able to perform spatial and non-spatial behavioral tasks and create their own tactical approaches to obtain rewards [23,35–37]. They are most successful in an object recognition task: rats can learn to move an object and retrieve food from the rewarded familiar objects and not to move the non-rewarded novel objects [35]. Interestingly, the knockout animals' tendency to react stereotypically made them perform this task with fewer errors compared to WT rats, and the learned skill could be retrieved from the memory over the long time, up to three months after training [35]. Another characteristic feature of the behavior of DAT-KO rats is their almost complete inability to modify a learned skill due to rigidity and low flexibility in task performance [25].

In the present work, we have demonstrated how chemogenetic activation of LC neurons can improve the behavioral performance of DAT-KO rats in the Hebb-Williams maze by reducing the number of errors and perseverative reactions. Similar results have previously been obtained in DAT-KO rats following administration of noradrenergic drugs [24,36].

Acute or repeated administration of the α 2A-adrenoceptor agonist guanfacine significantly improved their perseverative activity pattern and reduced the time spent in the maze error zones [36]. It is known that guanfacine, the agonist of α 2A-adrenoceptor improves numerous PFC functions [54]. The beneficial effects of guanfacine may arise via strengthening PFC network connectivity as a consequence of NE actions on postsynaptic α 2A-adrenoceptors dendrite spines in PFC [54,55]. In contrast, the α 2A-adrenoceptor antagonist yohimbine increased the number of perseverative responses [24]. This observation is consistent with the results of chemogenetic suppression of NE transmission from LC neurons to the PFC, which led to the development of perseverative behavior in WT rats [29].

In studies using chemogenetic DREADD-induced connectivity, activation of the LC-NE system was found to interrupt ongoing behavior and activate responses to silent stimuli [56]. It has been shown that in working memory tasks, DA is mainly associated with reward expectancy, whereas NE provides memories about the goal and ways to achieve it [57]. The data obtained in our study indicate that increase of NE release from LC to PFC reduced hyperactive behavioral patterns of DAT-KO rats with hyperdopaminergy. A decrease of perseverative activity and visits of erroneous zones were also found.

There are also some limitations to the application of chemogenetics to the study of behavioral patterns. Firstly, viral transduction of target neurons may not ensure that the genetic material reaches all cells of the structure under investigation. Therefore, the effects of chemogenetic stimulation, which do not affect all LC neurons, may not be expressed as strongly at the systemic level [30]. Our work shows that the efficiency of transduction of noradrenergic LC neurons is significantly lower in DAT-KO than in WT rats. Nevertheless, we have shown that even under these conditions, chemogenetic activation of the LC-to-PFC connections reliably leads to marked changes in expressed behavioral

parameters specifically in DAT knockout animals. DAT-KO rats show a decrease in perseverative activity and a reduction in the number of incorrect zones visited in the maze.

5. Conclusions

Thus, the results obtained in this study support an important modulatory role of NE system in hyperactivity and goal-directed spatial cognitive behavior in hyperdopaminergic DAT-KO rats. We may suggest that the interaction between DA and NE plays a leading role in this modulation, and chemogenetic activation of NE neurotransmission in hyperdopaminergic DAT-KO rats can significantly improve the performance of the spatial learning task.

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Institutional Review Board Statement: The animal study protocol was approved by the Ethics committee of St Petersburg University, Saint Petersburg, Russia, resolution No. 131-03-10 of 22 November 2021.

Informed Consent Statement: Not applicable.

Data Availability Statement: All data presented during this study are included in this published article. The raw data used in this study are available on request from the corresponding author.

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Conflicts of Interest: The authors declare no conflicts of interest.

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