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## Aflatoxins: A Comprehensive Overview

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**Abstract:**

Aflatoxins continue to raise health concerns as unavoidable and widespread natural contaminants of foods and feeds with serious impact on health, agricultural and livestock productivity, and food safety. They are secondary metabolites produced by *Aspergillus* species distributed on three main sections of the genus (section *Flavi*, section *Ochraceorosei*, and section *Nidulantes*). Aflatoxin-producing species, mainly *A. flavus* and *A. parasiticus* thrive under hot and humid conditions in the field or during storage, which are met in tropical and sub-tropical regions. Poor economic status of a country exacerbates the risk and the extent of crop contamination due to faulty storage conditions that are usually suitable for mold growth and mycotoxin production; temperature of 22 to 29°C and water activity of 0.90 to 0.99. This situation paralleled the prevalence of high liver cancer and the occasional acute aflatoxicosis episodes that have been associated with these regions. Few of the presently known aflatoxins (>18) have been sufficiently studied for their incidence, health-risk, and mechanisms of toxicity to allow effective intervention and control means that would significantly and sustainably reduce their incidence and adverse effects on health and economy. Among these, aflatoxin B1 (AFB1) has by far been the most studied; and yet, many aspects of the range and mechanisms of the diseases it causes remain to be elucidated. Its mutagenicity, tumorigenicity, and carcinogenicity, which are the best known still suffer from many limitations regarding the relative contribution of the oxidative stress and the reactive epoxide derivative (Aflatoxin-exo 8,9-epoxide) in the induction of the diseases, as well as its metabolic and synthesis pathways. Additionally, despite the well-established additive effects for carcinogenicity between AFB1 and other risk factors, e.g., hepatitis viruses B and C, and the algal hepatotoxic microcystins, the mechanisms of this synergy remain unclear. A review of publications on the incidence and concentrations of aflatoxins in selected foods and feeds from countries whose crops are classically known for their highest contamination with aflatoxins, reveals that despite the intensive efforts made to reduce such an incidence, there has been no clear tendency, with the possible exception of South Africa, towards sustained improvements. The levels and incidence are essentially influenced by the rainfall and temperature during the cultivation year or two successive years with alternating dry and wet seasons. This review aimed to update the main aspects of aflatoxin production, occurrence and incidence in selected countries, and associated adverse health effects. In addition to AFB1 which was the main focus of the review, other aflatoxins were addressed whenever relevant data were available.

Key words: Aflatoxins, incidence; Sub-Saharan Africa; Southeast Asia; tumorigenicity; carcinogenicity; acute toxicity; immunogenicity; genotoxicity

## 49 1 Introduction

50 Mycotoxins are among the microbial toxins of most concern to public health, and they represent a  
51 barrier to a wider international trade of agri-food products and an important obstacle in the face of the  
52 harmonization of regulatory standards globally, as was discussed earlier [1]. They are produced by various  
53 mould species as low-molecular-weight non-immunogenic secondary metabolites whose occurrence has  
54 been reported in virtually all foods and feeds [2-3]. Currently, there are more than 450 different known  
55 types of mycotoxins and their metabolites, which have been associated with toxicological effects of varying  
56 severity degrees spanning from mild gastroenteritis to deadly cancer diseases [4-5]. Aflatoxins produced  
57 mainly by *Aspergillus* species are the most toxic mycotoxins eliciting acute and chronic toxicities, the most  
58 severe and notorious of which are genotoxicity, mutagenicity, and immunotoxicity. Their toxicological  
59 status as human carcinogens is now beyond doubt, and it has been recognized by the International Agency  
60 for Research on Cancer (IARC) [6].

61 Although aflatoxins are of a global concern, their negative impact on health, economy, and social  
62 life is greater in developing countries located in the tropical and sub-tropical regions. Agricultural products  
63 from Sub-Sahara African countries, e.g. The Gambia, Uganda, Kenya, and Tanzania, and Southeast Asian  
64 countries, e.g., China, Thailand, Vietnam, and Indonesia, have classically been associated with the highest  
65 incidence of aflatoxins, which paralleled the highest incidence of hepatocellular carcinoma and the  
66 occurrence of acute aflatoxicosis outbreak episodes in the region [7]. As matter of fact, these regions have  
67 been the primary destination for scientists to carry out epidemiological studies on the relationship between  
68 the dietary exposure to aflatoxins and liver cancer, which contributed greatly to the establishment of  
69 aflatoxins as an aetiological factor of the disease in humans. Four major types of aflatoxins [aflatoxin B1,  
70 aflatoxin B2 (AFB1), aflatoxin G1 (AFG1), and aflatoxin G2 (AFG2)] are the best known and the most  
71 studied among more than 18 different types and metabolites presently identified.

72 This work aims to present an up-to-date overview on the structural diversity, the toxicity,  
73 ecological parameters for the production, and occurrence in foods and feeds of as many as possible  
74 aflatoxins or metabolite whenever relevant data are available. However, special emphasis was put on  
75 aflatoxin B1 (AFB1) as the flagship aflatoxin for being the most toxic and widespread. A review of the recent  
76 publications on aflatoxin occurrence in foods and feeds in selected Sub-Sahara African and Southeast Asian  
77 countries known for their highest dietary exposure is also presented.

## 78 2 Production, Structural Diversity, and Main Toxicological Properties of Aflatoxins.

### 79 2.1 Aflatoxin-producing Molds: Taxonomical Elements and Atoxigenic Strains

80 The production of aflatoxins has been reported in members of three sections of *Aspergillus* genus;  
81 section *Flavi* (B- and G-type aflatoxins), section *Ochraceorosei* (aflatoxins B1 and B2), and section *Nidulantes*  
82 (formerly *Emericella* genus; aflatoxin B1) [8]. However, species of section *Flavi* are the most common and  
83 potent aflatoxin-producing moulds, with *A. flavus* and *A. parasiticus* being the most frequently encountered  
84 in agricultural products because of their widespread distribution in the agricultural environment and their  
85 versatility to grow and produce aflatoxins under different ecological conditions [9-11]. A recent  
86 classification based on a polyphasic approach revealed that 18 species out of 33 of the section *Flavi* are  
87 aflatoxigenic and that each of 16 species is able to produce the 4 major aflatoxins (AFB1, AFB2, AFG1, and  
88 AFG2), while the other 2 species produce either AFB1 alone (*A. togoensis*) or both AFB1 and AFB2 (*A.*  
89 *pseudotamarii*) [11] (Table 1). The latter authors noted that *A. flavus* strains of Korean origin produce G  
90 aflatoxins, contrary to the prevailing view that this species strictly produces B aflatoxins [12-13]. In fact, the  
91 production of the G aflatoxins by *A. flavus* was reported when these aflatoxins were first discovered [14],  
92 but a controversy was raised when G-aflatoxin-producing strains NRRL 2999, 3000, and 3145, originally  
93 identified as *A. flavus*, were re-classified as *A. parasiticus* [8,15]. Subsequently, Wicklow and Shotwell [16]  
94 confirmed the production of B and G aflatoxins by other strains of *A. flavus*; NRRL strains 3357, 6412, 6554,

95 6555, and 13003. Yet, the inability of *A. flavus* to produce the G aflatoxins was later reiterated and evidenced  
96 by genetic analysis relating indel (short insertions or deletions) mutations in the *cypA/norB* region in *A.*  
97 *flavus* to the impairment of the expression of genes coding for P450 monooxygenase enzyme required for  
98 the biosynthesis of G aflatoxins [17-19]. However, it was suggested that this mutation does not occur in all  
99 strains, and some *A. flavus* strains can produce B or G aflatoxins depending on the morphotype (S or L) and  
100 on the phylogenetic group (I or II) to which they belong. The morphotypes are defined by the size of  
101 sclerotia formed by the strains; "S" for small sclerotia (<400  $\mu$  in diameter) and "L" for large sclerotia  
102 (diameter >400  $\mu$ ). In this regard, it was admitted that the phylogenetic group I includes both S- and L-  
103 morphotype strains which produce only the B aflatoxins, while group II contains only the S-morphotype  
104 strains which produce both B and G aflatoxins [20]. However, it was later demonstrated that the  
105 phylogenetic group I strains produce both B and G aflatoxins regardless of the morphotype, and that the  
106 phylogenetic group II is not restricted to the S-morphotype strains but contains also the "L" morphotype  
107 strains [10]. Furthermore, it was demonstrated that some S-trains (S<sub>BC</sub>) produce both B and G aflatoxins,  
108 while others (S<sub>B</sub>) produce only B aflatoxins [21]. Recent taxonomy studies using a combination of advanced  
109 analytical techniques confirmed that *A. flavus* can indeed produce B and G aflatoxins irrespective of the  
110 morphotype [10-11]. Notwithstanding, it is well established that S-morphotype strains are more  
111 aflatoxigenic than their L-morphotype counterparts, and they accumulate larger amounts of aflatoxins  
112 regardless of the aflatoxin type [10,21-22]. This was explained by the fact that the production of aflatoxins  
113 increases as the size of sclerotia decreases during their formation [22]. Indeed, in the low-elevation regions  
114 in Kenya where the S-morphotype is predominating (>90%), the concentration of aflatoxin B1 in maize was  
115 reported to exceed 1000  $\mu\text{g}/\text{kg}$  [18]. This was practically illustrated by the higher incidence of deadly acute  
116 aflatoxicosis in these regions compared with those where the S-morphotype strains are less common [23].  
117 Currently, there is an increased research interest in the identification and characterisation of atoxigenic  
118 strains of *A. flavus* belonging to vegetative compatibility groups (VCG) that can compete with aflatoxigenic  
119 strains and colonize fields where susceptible crops to aflatoxin contamination are cultivated. Such a trend  
120 emphasises the need to design appropriate and easy screening and characterization techniques to separate  
121 toxigenic from atoxigenic *Aspergillus* strains on the basis of vegetative compatibility analysis. This will help  
122 understand the fitness of atoxigenic vs toxigenic mold strains and their adaptation mechanisms to various  
123 environmental and soil conditions in order to adopt effective and environment-friendly biocontrol means,  
124 i.e., colonization of fields in various AEZ and soil types by selected atoxigenic strains of different VCGs to  
125 displace the naturally occurring toxigenic strains. The first application of this technology was done by the  
126 US Agricultural Research Service of the Department of Agriculture (USDA-ARS) in 2003 on cotton using  
127 atoxigenic *A. flavus* AF36 strain, which was then registered with the US Environmental Protection Agency  
128 (USEPA) [24]. The following year, the same organism patented this technology as a biocontrol product that  
129 was licenced by a relevant industry under the trade name of afla-guard® [25]. As this technology proved  
130 to be an efficient biocontrol means to mitigate aflatoxin contamination in various crops, studies have been  
131 conducted in different countries and regions of the world to screen for proficient strains and well adapted  
132 to specific soils and AEZs. In Ghana, atoxigenic African *A. flavus* VCG (AAV) strains isolated from three  
133 different agroecological zones (AEZ) reduced aflatoxin contamination of maize and peanut by 87–98% in  
134 laboratory assays, and successfully displaced toxigenic *A. flavus* strains in field trials where crops obtained  
135 from treated grains contained 50-100% less aflatoxin at harvest than their untreated counterparts [26]. In  
136 Northern Italy, co-inoculation of maize ears with an endemic atoxigenic strain *A. flavus* A2085 of the VCG  
137 IT019 group and an aflatoxigenic strain (A2092) of the same species was reported to reduce the  
138 concentration of AFB1 by 93-98% compared with ears inoculated with the aflatoxigenic strain alone [27]. In  
139 field trials, the atoxigenic strain A2085 reduced the concentration of AFB1 in crops at harvest from treated  
140 fields by an average of 92.3% compared with the crops from non-treated fields. This strain is now marketed  
141 as a biopesticide under the trade name of AF-X1™. Other successful field trials of different scales have been

142 reported in different countries emphasising the anticipated success of this promising technology in the  
143 protection of crops against aflatoxin contamination for field to consumption [25-26,28-29].

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## 145 2.2 *Physical, Chemical, and Toxicological properties of Aflatoxins*

146 More than 18 different aflatoxin types are presently known to occur naturally or as a result of carry  
147 over phenomenon in feeds and foods (Table 1). There are about 13 types of aflatoxins that are naturally  
148 produced by toxigenic moulds, some of which can be metabolised by human, animals, or other  
149 microorganisms to generate derivatives that retain toxicity, although usually with a lower potency  
150 compared with the parent molecules. AFB1, AFB2, AFG1, and AFG2 are of the most concern to economy  
151 and public health due to their high incidence and high toxicities, especially AFB1. Meanwhile, aflatoxin M1  
152 (AFM1) is of special concern to the safety of dairy products because it is usually carried over in milk of  
153 lactating animals fed on feed contaminated with aflatoxin B1 in addition to its high toxicity and potential  
154 carcinogenicity in humans [2]. However, the other aflatoxins should not be overlooked because of their  
155 intrinsic toxicity, which may not be negligible, or because they can readily invert to the most potent AFB1.  
156 They can also be intermediates for the biosynthesis of more toxic mycotoxins [30-32]. Table 2 summarises  
157 physicochemical and toxicological properties of the main presently known aflatoxins.

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**Table 1:** Origins of aflatoxins and the most exposed products to contamination.

Aflatoxin	Source	Frequently contaminated products	Reference
<b>Difurocoumarocyclopentenone</b>			
Aflatoxin B1	<p>Section <i>Flavi</i>: <i>A. flavus</i>, <i>A. pseudotamarii</i>, <i>A. togoensis</i>, <i>A. aflatoxiformans</i>, <i>A. austwickii</i>, <i>A. cerealis</i>, <i>A. arachidicola</i>, <i>A. minisclerotigenes</i>, <i>A. mottae</i>, <i>A. luteovirescens</i> (formerly <i>A. bombycis</i>), <i>A. nomius</i>, <i>A. novoparasiticus</i>, <i>A. parasiticus</i>, <i>A. pipericola</i>, <i>A. pseudocaelatus</i>, <i>A. pseudonomius</i>, and <i>A. sergii</i>, and <i>A. transmontanensis</i></p> <hr/> <p>Section <i>Ochraceorosei</i>: <i>A. ochraceoroseus</i> and <i>A. rambellii</i></p> <hr/> <p>Section <i>Nidulantes</i>: <i>A. stellatus</i>, <i>A. miraensis</i>, <i>A. olivicola</i>, and <i>A. venezuelensis</i></p>	Cereals (e.g., sorghum, rice, corn, wheat, barely), oil seeds (e.g., cotton seed, oilseed rape, sunflower seed), nuts (e.g., peanuts, groundnut, pistachio), spices (e.g., turmeric, black and red pepper, ginger, allspices), meats, dairy products, fruit juices, dried fruits, eggs, and feeds and foods derived from these products	[8,11,33-35]
Aflatoxin B2	<p>Section <i>Flavi</i>: <i>A. flavus</i>, <i>A. pseudotamarii</i>, <i>A. aflatoxiformans</i>, <i>A. austwickii</i>, <i>A. cerealis</i>, <i>A. arachidicola</i>, <i>A. minisclerotigenes</i>, <i>A. mottae</i>, <i>A. luteovirescens</i>, <i>A. nomius</i>, <i>A. novoparasiticus</i>, <i>A. parasiticus</i>, <i>A. pipericola</i>, <i>A. pseudocaelatus</i>, <i>A. pseudonomius</i>, <i>A. sergii</i>, <i>A. transmontanensis</i>,</p> <p>Section <i>Ochraceorosei</i>: <i>A. ochraceoroseus</i> and <i>A. rambellii</i></p>	Cereals (e.g., sorghum, rice, corn, wheat, barely), oil seeds (e.g., cotton seed, oilseed rape, sunflower seed), nuts (e.g., peanuts, groundnut, pistachio), spices (e.g., turmeric, black and red pepper, ginger), meats, dairy products, fruit juices, dried fruits, eggs, and feeds and foods derived from these products	[8,11,33-35]
Aflatoxin B2a	<p>Hydroxylated metabolite of aflatoxin B1 obtained by water addition to the double bond of the terminal furan under acidic conditions in the liver, the stomach or soil (no evidence for the involvement of specific enzymes)</p> <hr/> <p>Naturally produced by <i>A. flavus</i>, and <i>A. parasiticus</i></p>	NA	[36-39]
Aflatoxin M1	<p>Hydroxylated metabolite of aflatoxin B1 by hepatic microsomal mixed-function oxidase system (MFO), mainly cytochromes, in the liver of mammals</p> <p>Produced in vitro from aflatoxin B1 by liver homogenates</p> <p>Naturally produced by <i>A. flavus</i> and <i>A. parasiticus</i></p>	Milk (including human milk) and dairy products Meat products (kidney, liver) Mouldy groundnut and corn	[33,40-41]
Aflatoxin M2	<p>Hydroxylated metabolite of B2 by hepatic microsomal MFO of mammals</p> <p>Naturally produced by <i>A. parasiticus</i></p>	Idem as aflatoxin M1	[33,41]



Aflatoxin M2 <sub>a</sub>	Hydration of the terminal furan ring of aflatoxin M1 in dilute acid to yield an hemiketal derivative <i>In vitro</i> in liver homogenates	Milk and dairy products	[42]
Aflatoxin P1	Demethylated metabolite of aflatoxin B1 by liver microsomal oxidase-catalysed O-demethylase	Mainly excreted in the urine (humans and animals). Dairy products	[33,40,43-44]
Aflatoxin Q1	Hydroxylated metabolite of aflatoxin B1 by microsomal enzymes in the liver of higher vertebrates and poultry (main aflatoxin B1 metabolite in monkey)	Assumed to be in edible parts of bovine fed on aflatoxin B1-contaminated feed	[33,40,45]
Aflatoxin Q2 <sub>a</sub>	Acid hydration of aflatoxin Q1	NA	[46]
Aflatoxicol (R <sub>0</sub> )	Metabolite of aflatoxin B1 formed by a reversible reduction of the pentanone group in humans, animals and numerous bacteria and molds <i>In vitro</i> biotransformation of aflatoxin B1 by a soluble cytoplasm reductase system in fish, rat and human liver preparations Naturally produced by <i>A. flavus</i> and <i>A. parasiticus</i>	Mainly avian products (major metabolite in avian species fed on B1-contaminated feed). Dairy products Does not accumulate in edible parts of bovine and swine fed on aflatoxin B1-contaminated feed	[43-44,47-53]
Aflatoxicol M1	Reduced metabolite of aflatoxin B1, aflatoxin R <sub>0</sub> , or aflatoxin M1 catalysed by soluble NADPH-dependent reductases in the liver	Milk and dairy products	[33]
Aflatoxicol H1	Reduced metabolite of aflatoxin B1 and aflatoxin Q1 catalysed by soluble NADPH-dependent reductases in the liver	Milk and dairy products	[33,54]
<b>Difurocoumarolactone</b>			
Aflatoxin G1	<i>A. flavus</i> <sup>a</sup> , <i>A. aflatoxiformans</i> , <i>A. austwickii</i> , <i>A. cerealis</i> , <i>A. arachidicola</i> , <i>A. minisclerotigenes</i> , <i>A. mottae</i> , <i>A. luteovirescens</i> , <i>A. nomius</i> , <i>A. novoparasiticus</i> , <i>A. parasiticus</i> , <i>A. pipericola</i> , <i>A. pseudocaelatus</i> , <i>A. pseudonomius</i> , <i>A. sergii</i> , <i>A. transmontanensis</i> ,	Cereals (e.g., sorghum, rice, corn, wheat, barely), oil seeds (e.g., cotton seed, oilseed rape, sunflower seed), nuts (e.g., peanuts, groundnut, pistachio), spices (e.g., turmeric, black and red pepper, ginger), meats, dairy products, fruit juices, dried fruits, eggs, and feeds and foods derived from these products	[8,11,33-35]
Aflatoxin G2	<i>A. flavus</i> <sup>1</sup> , <i>A. aflatoxiformans</i> , <i>A. austwickii</i> , <i>A. cerealis</i> , <i>A. arachidicola</i> , <i>A. minisclerotigenes</i> , <i>A. mottae</i> , <i>A. luteovirescens</i> , <i>A. nomius</i> , <i>A. novoparasiticus</i> , <i>A. parasiticus</i> , <i>A. pipericola</i> , <i>A. pseudocaelatus</i> , <i>A. pseudonomius</i> , <i>A. sergii</i> , and <i>A. transmontanensis</i>	Same as aflatoxin G1	[8,11,33-35]
Aflatoxin G2 <sub>a</sub>	Hydroxylated metabolite of aflatoxin G1 obtained by catalytic addition of water to the double bond of the	NA	[33,38-39]

	terminal furan under acidic conditions in the liver, the stomach or soil (no evidence for the involvement of specific enzymes). Naturally produced by <i>A. flavus</i>		
Aflatoxin GM1	Hydroxylated metabolite of aflatoxin G1 by MFO in the liver of mammals Produced in vitro by <i>A. parasiticus</i> fed aspertoxin as a precursor Naturally produced by <i>A. flavus</i>	Milk and dairy products	[41-42,55]
Aflatoxin GM2	Hydroxylated derivative of aflatoxin G2 by MFO in the liver of mammals Produced in vitro by <i>A. parasiticus</i> from dihydro-O-methylsterigmatocystin (DHOMST) Naturally produced by <i>A. flavus</i> and <i>A. parasiticus</i> and yeast	Milk and dairy products	[41-42]
Aflatoxin GM2 <sub>a</sub>	Metabolite of aflatoxin GM1 in the liver of mammals Hydration of the terminal furan ring of aflatoxin M1 in dilute acid to yield an hemiketal in vitro in liver homogenates	Milk and dairy products	[42]
Parasiticol (aflatoxin B3)	<i>A metabolite of aflatoxin G1 from the biodegradation (hydrolysis and decarboxylation reactions) in A. flavus, Rhizopus stolonifer, Rhizopus arrhizus, and Rhizopus oryzae</i>	Idem as aflatoxins B1 and G1	[11,55-58]
<b>Others</b>			
Parasiticol (aflatoxin B3)	<i>A metabolite of aflatoxin G1 from the biodegradation (hydrolysis and decarboxylation reactions) in A. flavus, Rhizopus stolonifer, Rhizopus arrhizus, and Rhizopus oryzae</i> Naturally produced by <i>A. parasiticus</i> , <i>A. flavus</i> , <i>A. mottae</i> , <i>A. nomius</i> , and <i>A. novoparasiticus</i>	Idem as aflatoxins B1 and G1	[11,55-58]
Aspertoxin <sup>b</sup>	<i>A. flavus</i> and <i>A. parasiticus</i>	Mainly vegetal products prone to contamination with <i>A. flavus</i> and <i>A. parasiticus</i> ; not considered to be relevant to food products of animal origin	[41,59]

160 <sup>a</sup>Not a typical producer of G-types of aflatoxins, but some strains were reported to produce them  
 161 in addition to B1 and B2 [11]; <sup>b</sup>Usually considered as a sperate mycotoxin produced by *A. flavus*  
 162 because of structural differences with the difurocoumarin structure that characterizes the  
 163 aflatoxins. *Abbreviations*: NA: Not available.  
 164



165 **Table 2:** Key properties of aflatoxins and their metabolites. Data compiled from PubChem of the National Center for Biotechnology  
 166 Information (<https://pubchem.ncbi.nlm.nih.gov>) and ChemSpider of the Royal Society of Chemistry (<http://www.chemspider.com>)  
 167 databases, unless references are indicated beside the data.

Aflatoxin	MW (g/mol)	Formula	Melting Point (°C) <sup>a</sup>	Toxicity			Adverse health effects <sup>b</sup>
				LD <sub>50</sub> (mg/kg bw)	Test organism	Route	
Aflatoxin B1	312.063	C <sub>17</sub> H <sub>12</sub> O <sub>6</sub>	268.5	0.24-60 [60]  3.0	Various animals and chick embryo  Human	Oral, intraperitoneal or injection (chick embryo) In vitro experiments	Hepatotoxicity, genotoxicity, carcinogenicity, immuno-toxicity, teratogenicity
Aflatoxin B2	314.079	C <sub>17</sub> H <sub>14</sub> O <sub>6</sub>	286-289 [60]	1.7	Duck	Oral	Weak mutagenicity, hepatotoxicity, and carcinogenicity [48]
Aflatoxin B2 <sup>a</sup>	330.074	C <sub>17</sub> H <sub>14</sub> O <sub>7</sub>	240 [60]	>400 µg showed a weak toxicity [61-62]	Ducklings	Oral	Low toxicity (200-fold less than B1) [37,62]
Aflatoxin M1	328.058	C <sub>17</sub> H <sub>12</sub> O <sub>7</sub>	297-299	0.32 1.5	Duck Rat	Unreported Oral	Hepatotoxicity, nephrotoxicity, carcinogenicity
Aflatoxin M2	330.074	C <sub>17</sub> H <sub>14</sub> O <sub>7</sub>	237-240	3.1 [42]	Ducklings [42]	Oral [42]	Same as M1 but to a lesser extent
Aflatoxin P1	298.048	C <sub>16</sub> H <sub>10</sub> O <sub>6</sub>	240	>150 mg/kg > 190 ng/egg [60]	Mouse Chick embryo [60]	Intraperitoneal Injection [60]	Same as B1 but to a lesser extent
Aflatoxin Q1	328.058	C <sub>17</sub> H <sub>12</sub> O <sub>7</sub>	250	207 ng/egg [63] NR	Chick embryo [63] Bacteria [48]	Injection [63] Ames' test [48]	Non-carcinogenic on fish [48] 50-fold less mutagenic than B1
Aflatoxicol (R <sub>0</sub> ) <sup>c</sup>	314.079	C <sub>17</sub> H <sub>14</sub> O <sub>6</sub>	230-234 [60]	NA NR	NA Bacteria	NA Ames test	Hepatotoxicity, carcinogenicity and mutagenicity. Forms the same DNA-adduct as B1. Two to 18-fold less toxic than B1 [32,48,52,64-67]
Aflatoxicol M1 <sup>d</sup>	330.074	C <sub>17</sub> H <sub>14</sub> O <sub>7</sub>	215.31 (predicted)	NA NR	NA Bacteria [68]	NA Ames' test [68]	Low toxicity, mutagenicity, and carcinogenicity [48,56]
Aflatoxicol H1 <sup>d</sup>	330.074	C <sub>17</sub> H <sub>14</sub> O <sub>7</sub>	NA	Not toxic [54] NR	Chick embryo [54] Bacteria [54]	Injection [104] Ames' test [54]	Weekly toxic to inactive (A detoxified form of B1) [33]
Aflatoxin G1	328.058	C <sub>17</sub> H <sub>12</sub> O <sub>7</sub>	244-246	0.8 [42]	Duckling	Oral	Hepatotoxicity, nephrotoxicity, Carcinogenicity (animals)

Aflatoxin G2	330.074	C <sub>17</sub> H <sub>14</sub> O <sub>7</sub>	237-240 226-229	2.5 [42] Weekly mutagenic	Duckling S. typhimurium	Oral Ames' test	Low toxicity, no evidence for carcinogenicity in animals [33,48,69]
Aflatoxin G2 <sup>d</sup>	346.069	C <sub>17</sub> H <sub>14</sub> O <sub>8</sub>	243.13 (Predicted)	NA	NA	NA	Low toxicity to inactive (a detoxified form of G1) [33,48]
Aflatoxin GM1	344.053	C <sub>17</sub> H <sub>12</sub> O <sub>8</sub>	276	NA	NA	NA	NA
Aflatoxin GM2	346.069	C <sub>17</sub> H <sub>14</sub> O <sub>8</sub>	270-272	NA	NA	NA	NA
Parasiticol	302.079	C <sub>16</sub> H <sub>14</sub> O <sub>6</sub>	233.4- 234.1[56]	05.0 to 10.0 µg/egg 50.0 µg/duck [56]	Chick embryo Duckling [56]	Injection Oral [56]	Lower toxicity than G1 Same acute toxicity as B1. No or weak carcinogenicity [56]
Aspertoxin	354.074	C <sub>19</sub> H <sub>14</sub> O <sub>7</sub>	NA	0.7 µg/egg [70]	Chick embryo [70]	Injection [70]	Teratogenic on chicken. Same fatality rate in chick embryo as B1[70]

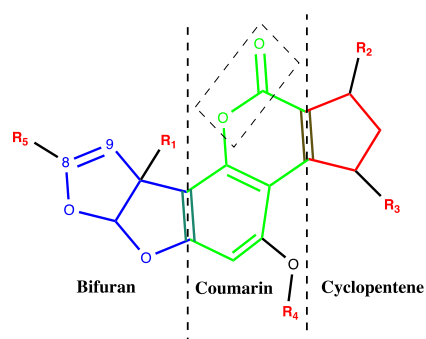
168 <sup>a</sup>Data collected from ChemSpider website (<http://www.chemspider.com>) unless indicated by an imbedded citation; <sup>b</sup>In the latest classification of  
169 mycotoxins, the IARC stated that there is "sufficient evidence" for the carcinogenicity of aflatoxins B1, G1, and M1 in experimental animals, but  
170 there is "limited evidence" or "insufficient evidence" in experimental animals for the carcinogenicity of aflatoxins B2 and G2, respectively;  
171 however, in view of mechanistic studies showing the ability of the major aflatoxins (B1, G1, B2, G2, M1) to form DNA adducts as a first step in  
172 genotoxicity, they were classified in group 1 carcinogens [69]; <sup>c</sup>Usually designated as the aflatoxin B1 reservoir, as it readily converts back to B1  
173 by action of a dehydrogenase; <sup>d</sup>Mutagenicity induced in *Salmonella typhimurium* is <1% that of aflatoxin B1 taken as a reference [48]. Abbreviations:  
174 NA: Not available; NR: Not relevant; bw: Body weight.

175 2.3 *Structural Diversity of Aflatoxins*

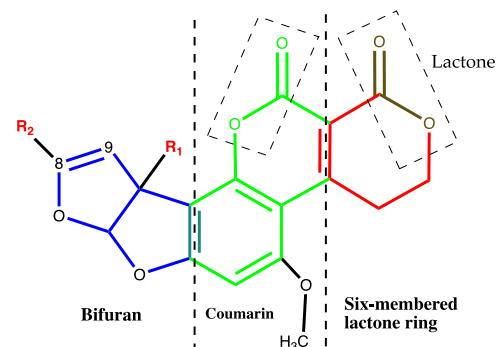
176 Structurally, aflatoxins are difuranocoumarins/difurocoumarins synthesized via the polyketide  
177 pathway, and they consist of a coumarin nucleus (Figure 1 A and B, in green in the middle) to which are  
178 attached a difuran moiety in one side (Figure 1A, left in blue) and either a pentene ring (Figure 1 A, in red  
179 on the left) or a six-membered lactone ring in the other side (Figure 1B, red on the right). On this basis,  
180 aflatoxins fall into two main groups: (i) Difurocoumarocyclopentenone comprised typically of aflatoxin B  
181 series and derivatives (Table 1 and Figure 1A), and (ii) Difurocoumarolactone with the aflatoxin G series  
182 as the main representatives, typically including AFG1, AFG2, AFGM1, AFGM2, and AFG2<sub>a</sub> (Table 1 and  
183 Figure 1 B). Parasiticol (also designated aflatoxin B3) is usually considered as a member of the latter group  
184 despite the lack of the characteristic six-membered lactone ring (Figure 1 C, right) [57]. There also is a  
185 question as to whether or not aspertoxin is an aflatoxin due to its bifuroxanthone structure that does not  
186 relate to members of either one of the difurocoumarin groups (Figure 1 C, left). This mycotoxin, which is  
187 structurally related to sterigmatocystin (an intermediate metabolite of aflatoxins B1 and G1) [31] can also  
188 be a precursor of aflatoxin GM1 [41], which may explain the reason for some authors to consider it as a  
189 member of the difurocoumarolactone group [71]. Contrary to other aflatoxins, aspertoxin has received the  
190 least attention despite its demonstrated toxicity in chicken embryos where it causes malformations,  
191 generalized oedema, loss of muscle tone, and haemorrhage from the umbilical vessels leading to death [70].  
192 It is worth mentioning that aflatoxins with saturated (AFG2, AFGM2, and AFM2) or hydrated (AFB2<sub>a</sub>,  
193 AFG2<sub>a</sub>, AFM2<sub>a</sub>, AFQ2<sub>a</sub>, AFG2<sub>a</sub>, AFGM2<sub>a</sub>) terminal furan ring are the least toxic, indicating the crucial role  
194 that the C<sup>8</sup>=C<sup>9</sup> double bond of this furan moiety plays in the toxicity of aflatoxins [48].

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## A: Difurocoumarocyclopentenone aflatoxins



## B: Difurocoumarolactone aflatoxins



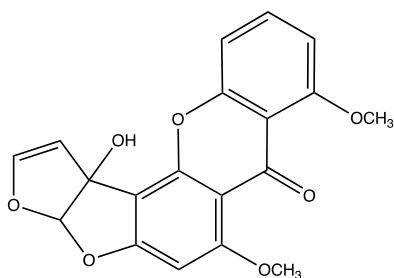
Aflatoxin	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>	R <sub>5</sub>	C <sub>8</sub> -C <sub>9</sub> bond
B1	H	=O	H	CH <sub>3</sub>	H	Unsaturated
B2	H	=O	H	CH <sub>3</sub>	H	Saturated
B2 <sub>a</sub>	H	=O	H	CH <sub>3</sub>	OH	Saturated
M1	OH	=O	H	CH <sub>3</sub>	H	Unsaturated
M2	OH	=O	H	CH <sub>3</sub>	H	Saturated
M2 <sub>a</sub>	OH	=O	H	CH <sub>3</sub>	OH	Saturated
P1	H	=O	H	H	H	unsaturated
Q1	H	=O	OH	CH <sub>3</sub>	H	Unsaturated
Q2 <sub>a</sub>	H	=O	OH	CH <sub>3</sub>	OH	Saturated
Aflatoxicol B	H	OH	H	CH <sub>3</sub>	H	Unsaturated
Aflatoxicol M1	OH	OH	H	CH <sub>3</sub>	H	Unsaturated
Aflatoxicol H1	H	OH	OH	CH <sub>3</sub>	H	Unsaturated

Aflatoxin	R <sub>1</sub>	R <sub>2</sub>	C <sub>8</sub> -C <sub>9</sub> bond
G1	H	H	Unsaturated
G2	H	H	Saturated
G2 <sub>a</sub>	H	OH	Saturated
GM1	OH	H	Unsaturated
GM2	OH	H	Saturated
GM2 <sub>a</sub>	H	OH	Saturated

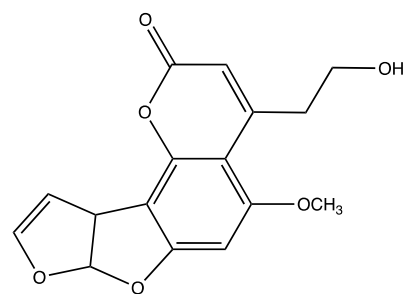
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## C : Other aflatoxins



Aspertoxin



Parasiticol

**Figure 1:** Diversity of chemical structures of aflatoxins in the difurocoumarocyclopentenone (A) and the difurocoumarolactone (B) groups. Aspertoxin, a difuranoxanthane, and parasiticol, lacking the lactone ring of its parent aflatoxin G1, are occasionally considered as standalone mycotoxins (C).

222  
223

### 224 3 Aflatoxin Production and Incidence in Crops and Feeds

#### 225 3.1 Crop Contamination

226 As discussed above, aflatoxin-producing moulds, particularly *A. flavus* and *A. parasiticus*, are  
227 frequent contaminants of crops where they grow and excrete aflatoxins, which can in turn be found in  
228 foods and feeds at high levels making them unfit for consumption. The USFDA considered unavoidable  
229 the contamination of agricultural products with aflatoxins that can, at best, be kept at the lowest practical  
230 levels to minimize the exposure of humans and animals [72]. However, despite the widespread of aflatoxins  
231 throughout the world, their prevalence in foods and feeds is higher in some regions than in others  
232 depending on the pedoclimatic conditions, the agricultural practices, the cultivars grown, the mechanical  
233 and insect damage of crops, and the awareness of the harmful effects of food-borne toxins on the  
234 productivity and safety of produce [73-74]. In addition to inherent traits that influence the toxigenicity of  
235 moulds, such as the species, the strain, the morphotype, and the competitiveness within the microbiome  
236 [10,20,75-77], the level of development of the country; the availability and degree of enforcement of  
237 pertaining regulations also account for the extent of food and feed contamination with aflatoxins [78-79].  
238 Considering these factors, the highest incidence has classically been recorded in Sub-Sahara African and  
239 Southeast Asian countries, owing primarily to the favourable climatic conditions, and then to the low  
240 development status and the lack of public awareness of the risk these toxins pose to human and animal  
241 health. Table 3 presents the mean annual temperatures and rainfalls in selected countries among the most  
242 notorious for the high incidence of aflatoxins in their foods and feeds. Although in each of these countries  
243 there are different AEZ according to the definition of Köppen and Geiger [80], the hot, humid tropical and  
244 subtropical climates are predominating and provide ideal conditions for aflatoxin contaminations [74]. The  
245 mean annual temperatures in these countries vary between 22 and 29°C and the mean annual rainfalls are  
246 generally higher than 700 mm. Under such conditions, aflatoxigenic molds grow well and produce  
247 significant amounts of aflatoxins, especially when the water activity ( $a_w$ ) of the produce falls within the  
248 range of 0.90 to 0.99 (Table 4). This may be the case if the crop is harvested before its moisture content is  
249 low enough (<15%) or stored in an environment with high relative humidity (RH) and poor aeration  
250 [21,74,81]. Other growth parameters, such as the pH and nature of the soil, the availability of carbohydrates,  
251 nitrogen, phosphates, zinc, and various trace metals also affect the production of aflatoxins [82], but none  
252 of which appears to be a limiting factor in the countries considered. These favourable environmental  
253 conditions are enhanced by the vulnerability of the prevailing agricultural systems. Farming activities are  
254 essentially managed for subsistence by smallholders facing technical and socio-economic challenges that  
255 hamper any efforts to restrain aflatoxin contamination [83-85]. Moreover, the staple crops grown, such as  
256 peanut, maize, sorghum, rice, sunflower, and cottonseed are good substrates for aflatoxin production [86-  
257 87].

258 Since the late 1970s, intensive research has been conducted to assess the extent of aflatoxin  
259 contamination of different foods and feeds in these regions, and the results were used by the IARC working  
260 groups to relate aflatoxin dietary intake to liver cancer. Published data on the contamination of staple crops  
261 with aflatoxins in selected countries from Sub-Saharan Africa (West and South-East regions) and South-  
262 Eastern Asia are compiled in Tables 7 and 8, respectively. The tables show that peanut/groundnut and  
263 maize are the most highly and frequently contaminated products, whereas millet and rice are generally less  
264 contaminated, although not always with safe levels. The climates that predominate in AEZs where the  
265 highest aflatoxin levels were recorded are warm arid and semi-arid, tropical, or subtropical or irrigated  
266 desert [82] (see also, Tables 7 and 8). In addition to the climate type, an annual mean rainfall around 700  
267 mm is an additional factor that favours aflatoxin contamination [21]. A positive relationship between the  
268 rainfall and aflatoxin concentration was demonstrated in sorghum grown in four different AEZs in Nigeria,  
269 where the contamination with aflatoxin B1 was highest in the zone with rainfalls exceeding 1400 mm [88].  
270 Nonetheless, aflatoxin contamination of peanut and maize was reported to be maximal at an average

271 annual rainfall between 600 and 700 mm and decrease exponentially thereafter [21]. This may partly explain  
 272 the consistently high aflatoxin levels and incidence in foods (Table 5) and feeds (Table 7) in Kenya where  
 273 the mean annual rainfall is about 670 mm (Table 4). According to a survey on aflatoxin contamination of  
 274 maize conducted in the country during the period 2006-2009, only 17% and 5% of the production is fit for  
 275 human and animal consumption, respectively [89]. However, due to food shortage and lack of awareness  
 276 of the inherent health risks, these foods are eaten by local populations, which explains why this country  
 277 has been repeatedly and severely afflicted by aflatoxicosis outbreaks [90-91]. Recent data suggest that that  
 278 the situation did not improve since then and the dietary exposure to aflatoxins remains too high. The  
 279 probable daily intake (PDI) of aflatoxin B1 in the country, via maize only, was recently estimated to vary  
 280 between 0.07–60,612.00 ng/kg bw/day, with an average of 312.4 ng/kg bw/day) [92], which is alarming  
 281 compared with an average of 10 to 200 ng/kg/day for the rest of the world [93], and with the conservative  
 282 tolerable daily intake (TDI) of 0.11 to 0.19 ng/kg bw/day [94]. Incidentally, this country also ranks among  
 283 the countries with the highest prevalence of oesophageal cancer, which was associated with aflatoxin intake  
 284 as a risk factor [18,92,95]. Conversely, a recent survey on aflatoxin contamination of maize grown in eight  
 285 different AEZs in Uganda revealed that the highest levels (a maximum of 3760 µg/kg) and an average of  
 286 66.5 µg/kg) were recorded in the zones with high rainfalls (1200->1400 mm); the percentage of samples  
 287 exceeding the national regulatory standards of 10 µg/kg reached 22.2% [96].  
 288

289 **Table 3:** Climatic conditions in countries reputed for their vulnerability to aflatoxin contamination.  
 290 Data are mean values for the period of 1901-2016. Sources:  
 291 <https://climateknowledgeportal.worldbank.org>, <https://www.climatedata.eu>, and  
 292 <https://en.climate-data.org>.

Region/ Country	Annual temperature (°C)			Mean annual rainfall (mm)	Predominating climate types <sup>a</sup>
	Min	Max	Mean		
Sub-Saharan Africa					
Benin	25.3	30.3	27.5	1059	Tropical savanna (Aw)
Cameroun	23.4	26.7	24.8	1614	Tropical savanna (Aw)
Ghana	25.3	29.5	27.3	1190	Tropical savanna (Aw)
Kenya	22.6	25.9	24.3	669	Tropical savanna (Aw)
Mali	21.2	33.4	28.3	333	Tropical savanna (Aw)
Nigeria	18.5	32.4	25.4	881	Tropical savanna (Aw)
Tanzania	19.9	23.5	22.2	998	Tropical savanna (Aw)
Togo	25.0	29.5	27.0	1170	Tropical savanna (Aw)
Uganda	21.3	23.6	22.4	1200	Tropical savanna (Aw)
Zambia	17.2	25.0	22.0	976	Humid subtropical (Cwa)
South Africa	14.6	25.9	20.3	779	Temperate oceanic (Cfb)
Southeast Asia					
India	17.0	30.0	24.1	1057	Tropical savanna (Aw)
Indonesia	22.8	30.2	28.9	2859	Tropical rainforest (Af)
Malaysia	24.9	25.9	25.4	3059	Tropical rainforest (Af)
Philippines	24.3	27.0	25.5	2471	Tropical rainforest (Af)
Thailand	23.0	28.9	26.3	1553	Tropical savanna (Aw)

293 <sup>a</sup>In the same country, there are generally more than one climate type depending on the  
 294 geographical region, which is defined as agroecological zone (AEZ) according the classification of  
 295 Köppen-Geiger (<http://koeppen-geiger.vu-wien.ac.at>), where the first letter refers to the climate

296 type (A: Tropical; B: Arid; C: Warm temperate), the second letter refers to the precipitation (w:  
297 Winter dry; S: Steppe; f: Fully humid; m: Monsoonal), and the third letter refers to the temperature  
298 (h: hot arid; a: Hot summer; b: Warm summer).





300 Table 4: Minimum (Min), maximum (Max) and optimum (Opt) values of Temperature (°C) and water activity ( $a_w$ ) for the growth and  
 301 aflatoxin production by *Aspergillus flavus* and *Aspergillus parasiticus* in selected grains and in laboratory media.  
 302

Substrate/ Parameter	Growth						Aflatoxin production						References
	<i>A. flavus</i>			<i>A. parasiticus</i>			<i>A. flavus</i>			<i>A. parasiticus</i>			
	Max	Min	Opt	Max	Min	Opt	Max	Min	Opt	Max	Min	Opt	
Wheat/ Temperature	>42.5	15	35	-	-	-	42.5	15.0	25	-	-	-	[97]
$a_w$	>0.95	0.80	0.95	-	-	-	0.95	0.85	0.93	-	-	-	
Nyjer seeds <sup>a</sup> / Temperature	NS	NS	27	NS	NS	27	NS	20	27.0	NS	20	27.0	[98]
$a_w$	NS	0.82	0.98	NS	0.82	0.94	NS	0.86	0.90	NS	0.86	0.98	
Sorghum/ Temperature	NS	15	37	-	-	-	NS	15	37	-	-	-	[99]
$a_w$	NS	<0.91	0.97	-	-	-	NS	0.94	0.97	-	-	-	
Rice/ Temperature	42	20	33	-	-	-	37	<20	35	-	-	-	[100]
$a_w$	0.99	0.80	0.90	-	-	-	0.99	0.85	0.96	-	-	-	
Sabouraud/ Temperature	NS	0.90	0.99	-	-	-	NS	0.90	0.99	-	-	-	[101]
$a_w$	NS	15	NS	-	-	-	NS	15	NS	-	-	-	
Malt Extract-Sucrose/ Temperature	-	-	-	42	15	35	-	-	-	40 <sup>b</sup>	17 <sup>b</sup>	37 <sup>b</sup>	[102]
	NS	12	37	NS	13	32	37	12	31	NS	10-13	24	[103-104]
	42	15	30-35	-	-	-	-	-	-	35	<20	25	[77]
$a_w$	-	-	-	NS	0.90	0.99	-	-	-	NS	0.90 <sup>b</sup>	0.93 <sup>b</sup>	[102]
	-	-	-	-	-	-	-	-	-	NS	0.90 <sup>c</sup>	0.99 <sup>c</sup>	
	NS	0.80	0.99	NS	0.83	>0.99	NS	0.85	0.99	NS	0.91	0.99	[103-104]
	-	-	-	-	-	-	-	-	-	0.99	0.85	35	[77]

\*Scientific name *Guizotia abyssinica*, also called thistle or Niger seeds extensively used in Sub-Saharan region to extract oil, <sup>b</sup>For aflatoxin B1 production,

<sup>c</sup>For aflatoxin G1 production.

303 It should be pointed out, however, that despite the well-established impact of the climate type on  
304 the extent of crop contamination with aflatoxins, no direct correlation between aflatoxin levels and the  
305 AEZs has been established. On the contrary, in a survey on aflatoxin contamination of maize and  
306 groundnut samples collected from 27 districts of three different AEZs in Zambia no such correlation could  
307 be established [21]. The levels of aflatoxins in a product within the same AEZ were shown to vary greatly  
308 depending on the rainfall and temperature variations from one year to another, in addition to the  
309 experimental design and the sampling point [105]. In fact, there is a multiplicity of factors that interfere  
310 with the effect of the climate type at different production stages from plant development to crop storage  
311 [18,74]. It is increasingly recognized that management systems with rigorous implementation of the good  
312 agricultural practices (GAPs) and farmer trainings are critical measures to mitigate the incidence of  
313 aflatoxins in agricultural products regardless of the climate type [18,79,92,106-108]. The implementation of  
314 such measures in South Africa in the framework of a project on the "Adaptation of agricultural practices  
315 to climate change in Sub-Saharan Africa (CAADP)" aimed at "good agricultural adaptation practices"  
316 [109], appears to have been successful in controlling aflatoxin contamination. The most recent survey in the  
317 country revealed very low incidence and contamination levels of AFB1 in peanut and wheat samples  
318 collected from all the country regions during the period of 2012-4 and 2018 [110]. Nonetheless, climatic shifts  
319 and occurrence of drought periods followed by heavy rains that occur in the region, remain a challenging  
320 issue which may counteract these measures and induce a rebound in the levels of aflatoxin contamination  
321 [74,111]. Indeed, the major documented aflatoxicosis outbreaks were reported to coincide with drought  
322 periods followed by unseasonal heavy rain or in regions with frequent and unpredictable temperature and  
323 rainfall shifts due to the so-called El Niño-Southern Oscillation (ENSO) phenomenon [112]. A first outbreak  
324 in India in 1974 was caused by the consumption of contaminated maize in two chronically drought-stricken  
325 districts which received unseasonal rain while the maize was mature and ready to harvest [113]. In Kenya,  
326 the major aflatoxicosis outbreak of the year 2004 was preceded by a severe drought followed by heavy rains  
327 during the harvest period of the maize implicated in the outbreak [90], as discussed below (paragraph 4.1).  
328 The same country has experienced another drought in 2009, which was also followed by a significant  
329 increase in maize contamination with aflatoxins resulting in the condemnation of 10% of the production in  
330 the following year [114-115]. The water stress caused by drought weakens the plant defence and increases  
331 its susceptibility to mould infection and aflatoxin production [105], which may be further enhanced if the  
332 crop is harvested in the rainy season. A recent survey on the contamination of food products of West Africa  
333 Sub-Saharan countries revealed that aflatoxin contamination of crop samples collected during the rainy  
334 season was significantly higher than those collected during the dry season [87]. Similar observation could  
335 be made from a comprehensive survey on aflatoxin contamination of various foods in Thailand for the  
336 period of 1969-1970 [116-117] (see also Table 6). This highlights the primary impact of temporal distribution  
337 of rainfall, rather than its quantity throughout the year, on the extent of aflatoxin contamination. Harvesting  
338 during the rainy season yields crops that have not yet reached low-enough moisture content to resist mould  
339 colonization. Yet, moisture content of the crop at harvest is not the only explanation of the phenomenon,  
340 as aflatoxin contamination was shown to be highest when high rainfall occurred during the pre-flowering  
341 stage and lowest during the flowering and post-flowering stages, not including harvest [76]. This was  
342 explained by the healthy status of the plant in the latter stages, which should be accompanied by a high  
343 vegetation cover, which increases the plant's resistance to mould invasion and aflatoxin production [76].

344 Inappropriate storage conditions also play a major role in the increase of aflatoxin contamination;  
345 and increased aflatoxin levels from field to storage structures is well documented. For example, a 26-fold  
346 increase in aflatoxin concentration was observed in sorghum grown in Niger state (Nigeria) from field to  
347 storage in traditional mud-built barns [88]. Also, the maximum aflatoxin concentration in maize increased  
348 from 26.5 µg/kg at harvest to 1460 µg/kg after 4 months of storage at the farmers' household in South-  
349 eastern Nigeria [118]. Moreover, Villers [114] quoted that aflatoxin concentration increased by 200 times in  
350 peanut after 2 months of storage under conventional conditions in Mali and by 300 times in maize after 3

351 months of storage in traditional facilities in Uganda. Such results were corroborated on bambara nut (*Vigna*  
352 *subterranean*, L), groundnut, maize, sunflower, and sorghum from different AEZs in Tanzania [108].  
353 Strikingly high aflatoxin levels were recorded in commercial peanut samples collected from different  
354 marketing structures in Kenya, with the highest levels, e.g., 32,328 µg/kg, being recorded in informal  
355 market outlets and poorly designed stores of retailers and stockists [119], see also Tables 7 and 8. Under  
356 experimental conditions, aflatoxin concentrations in both peanut and maize increased by more than 1000  
357 times after one week of storage at 31°C and 100% relative humidity compared with safe levels in freshly  
358 harvested crops [21].



	-	-	4.0 <sup>e(R)</sup> (<1-103)	46.8 <sup>e(R)</sup>	0.1 <sup>e(R)</sup> (<1-3.0)	21 <sup>e(R)</sup>	0.9 <sup>e(R)</sup> (<1-16.4)	11 <sup>e(R)</sup>	-	-	
	-	-	8.9 <sup>e(D)</sup> (<1.0-372)	78 <sup>e(D)</sup>	0.5 <sup>e(D)</sup> (<1-2.9)	77 <sup>e(D)</sup>	-	-	-	-	[122]
	-	-	28.5 <sup>e</sup> (<1.0-559)	76.3	-	-	-	-	-	-	
Migori (Am)	-	-	12.7 <sup>e</sup> (0.98-121)	56	-	-	-	-	-	-	[92]
Bungoma (Cfb)	-	-	7.9 <sup>e(R)</sup> (<1-218)	81 <sup>e(R)</sup>	0.6 <sup>e(R)</sup> (0-2.9)	98 <sup>e(R)</sup>	3.5 <sup>e(R)</sup> (<1-92)	97 <sup>e(R)</sup>	-	-	
	-	-	3.5 <sup>e(D)</sup> (<1.0-39.3)	72 <sup>e(D)</sup>	0.9 <sup>e(D)</sup> (<1.0-13.8)	75 <sup>e(D)</sup>	0.9 <sup>e(D)</sup> (<1.0-12.3)	84 <sup>e(D)</sup>	-	-	
Isiolo (Aw)	-	-	9.6 <sup>e(R)</sup> (<1-121)	98 <sup>e(R)</sup>	-	-	3.8 <sup>e(R)</sup> (<1-12.8)	100 <sup>e(R)</sup>	-	-	[122]
	-	-	67.3 <sup>e(D)</sup> (<1.0-1137)	50 <sup>e(D)</sup>	-	-	2.0 <sup>e(D)</sup> (<1.0-11.9)	57 <sup>e(D)</sup>	-	-	
Kwale (As)	-	-	29 <sup>e(R)</sup> (<1-394)	97 <sup>e(R)</sup>	-	-	-	-	-	-	
	-	-	3.5 <sup>e(D)</sup> (<1.0-19.2)	95 <sup>e(D)</sup>	-	-	-	-	-	-	
Eldoret (Cfb)	1147 (NS-NS)	NS	-	-	-	-	-	-	1524 (NS-NS)	NS	[124]
Nandi (Aw)	-	-	1.3 <sup>f</sup> (0-3.92)	-	-	-	-	-	-	-	[107]
	-	-	0.98 (0.1-5.3)	68	1.6 (0.14-11)	92	24.5 (0.15-210)	66	-	-	[125]





	-	-	35.3 <sup>g(Gm)</sup> (<1-25,000)	45 <sup>h</sup>	-	-	-	-	-	[90]
Machakos (Cwb)	-	-	17.8 <sup>g(Gm)</sup> (<1-3800)	52 <sup>h</sup>	-	-	-	-	-	[92]
	-	-	11 <sup>5</sup> (1.3-71)	61	-	-	-	-	-	[90]
Thika (Cwb)	-	-	7.52 <sup>g(Gm)</sup> (<1.0-46,400)	25 <sup>h</sup>	-	-	-	-	-	[119]
Commercial <sup>4</sup>	NS (>4.0-32,328)	49	-	-	-	-	-	-	-	[130]
Long, Babati (Cwb)	-	-	2.6 (2.1-3.6)	17	-	-	-	-	-	[131]
Sabilo, Babati (Cwb)	-	-	3.32 (2.2-26)	28	-	-	-	-	-	[132]
Seloto, Babati (Cwb)	-	-	2.62 (2.1-4.0)	13	-	-	-	-	-	[133]
Tabora (Aw)	-	-	NS (5-158)	37	-	-	-	-	-	[134]
Tanzania Kilimanjaro (Cwb)	-	-	NS (1.0-80)	20	-	-	-	-	-	[135]
Ruvuma (Aw)	-	-	NS (7-26)	6	-	-	-	-	-	[136]
Iringa (Cwb)	-	-	NS (13-58)	7	-	-	-	-	-	[137]
Kilosa (Aw)	-	-	106 (3.0-1081)	18	-	-	-	-	-	[138]
Hanang' (Csb)	-	-	4.0 (3.0-5.0)	8	-	-	-	-	-	[139]





	Tambuwal (BSh)	92.9 (0.9-646)	-	-	-	-	-	-	-	-	-	
	Ogun (Aw)	-	-	300 (NS-NS)	NS	34.3 (NS-NS)	NS	221 (NS-NS)	NS	-	-	[137]
	Lagos (Aw)	-	-	603 (NS-NS)	NS	120.5 (NS-NS)	NS	1245 (NS-NS)	NS	-	-	
	South-East (Am, Aw)	-	-	43 <sup>(Gm)</sup> (2.7-1460)	87.5 <sup>P</sup>	-	-	-	-	-	-	[118]
	Western states (Aw)	-	-	200 (25-770)	45	-	-	-	-	-	-	[138]
	Suleja and Tafa (Aw)	-	-	-	-	-	-	225 <sup>(ML)</sup> (0-728)	64	-	-	
	Borgu and Magama (Aw)	-	-	-	-	-	-	210 <sup>(ML)</sup> (0-712)	55	-	-	[88]
	Minna Mokowa (Aw)	-	-	-	-	-	-	165 <sup>(ML)</sup> (0-721)	57	-	-	
	Mariga-Rafi- Wushishi (Aw)	-	-	-	-	-	-	198 <sup>(ML)</sup> (0-1164)	45	-	-	
Cameroun	South-West (Am, Af)	26 <sup>e</sup> (6.0-125)	NS	100 <sup>e</sup> (6-645)	NS	-	-	-	-	-	-	
	South-East (Am)	22 <sup>e</sup> (6.0-77)	NS	96 <sup>e</sup> (6-216)	NS	-	-	-	-	-	-	[139]
	Western highland (Aw)	22 <sup>e</sup> (6.0-110)	NS	47 <sup>e</sup> (6-210)	NS	-	-	-	-	-	-	

Ghana	Ashanti (Aw, HF)	2.2 (0-17)	NS	6 (0-135)	NS	-	-	-	-	-	-	
	Brong Ahafo (Aw, HF)	5.5 (0-54)	NS	0.6 (0-9)	NS	-	-	-	-	-	-	
	Volta (Aw, HF)	42.4 (0-387)	NS	9.0 (0-83)	NS	-	-	-	-	-	-	[140]
	Brong Ahafo (Aw, DS)	145.6 (0-1999)	NS	16.8 (0-226)	NS	-	-	-	-	-	-	
	Northern (Aw, DS)	78 (0-3868)	NS	15.9 (0-341)	NS	-	-	-	-	-	-	
Togo	Volta (Aw, DS)	0.3 (0-1.0)	NS	24.2 (0-157)	NS	-	-	-	-	-	-	[141]
	Northern (Aw, SGS)	34.9 (0-168)	NS	6.8 (0-59)	NS	-	-	-	-	-	-	
	Upper East (Aw, SGS)	0.3 (0-1.0)	NS	15.4 (0-82)	NS	-	-	-	-	-	-	[141]
	Upper West (Aw, SGS)	15.9 (0-181)	NS	16.4 (0-190)	NS	-	-	-	-	-	-	
	Akomadan (Aw, FRT)	-	-	NS (0-112)	83	-	-	-	-	-	-	
	Ejura (Aw, FRT)	-	-	NS (1-945)	100	-	-	-	-	-	-	[142]
	Wenchi (Aw, SVT)	-	-	NS (0-23)	71	-	-	-	-	-	-	
	Fumesua (Aw, RFR)	-	-	NS (0-692)	78	-	-	-	-	-	-	
Commercial <sup>d</sup>	-	-	38.7 (3-275)	42	-	-	14 (6-19)	25	-	-	[141]	

Benin	Littoral (Aw)	7.6 <sup>o(LB)</sup> (<0.1-105)	19	-	-	-	-	-	-	-	-
	Borgou (Aw)	-	-	1.6 <sup>o(LB)</sup> (<0.1-20)	32	-	-	-	-	-	-
Mali	Bamako (Aw)	9.4 <sup>o(LB)</sup> (<0.1-246)	15	-	-	-	-	-	-	-	-
	Sikasso (Aw)	2.2 <sup>o(LB)</sup> (<0.1-43)	29	-	-	-	-	-	-	-	-

[87]

359 \*The type of climate (in the parenthesis) is defined according to Köppen-Geiger classification (<http://koeppen-geiger.vu-wien.ac.at>): Cfb: Warm temperate (C) fully humid (f)  
360 warm summer (b); Cwa: Warm temperate (C) winter dry (w) hot summer (a); Cwb: Warm temperate (C) winter dry (w) warm summer (b); Af: Tropical (A) fully humid (f);  
361 Aw: Tropical (A) winter dry (w); As: Tropical (A) steppe (s); Am: Tropical (A) monsoonal (m), Csb: Warm temperate (C) steppe (s) warm summer (b); BSh: Arid (B) steppe (S)  
362 hot (h), Cfa: Warm temperate (C) fully humid (f) hot summer (a), \*\*Arithmetic mean as a default, geometric mean or median when followed by Gm or Md, respectively;  
363 <sup>3</sup>Different regions each has its own mean, minimum and maximum, and incidence values, <sup>4</sup>Commercial samples can be from different origins and, hence, their aflatoxin  
364 contents may reflect their origin and the storage conditions rather than the area where they are sold, <sup>5</sup>Data are relative to the occurrence of aflatoxin B1; either in the <sup>(R)</sup>rainy  
365 season or the <sup>(D)</sup>dry season; <sup>f</sup>Results field training for farmers with supervised application of the good agricultural practices; <sup>g</sup>Exceptionally high aflatoxin levels recorded in  
366 2004 during a major aflatoxicosis in Kenya; <sup>h</sup>Percentage for samples containing more than 20 mg/kg of aflatoxins; <sup>i</sup>Samples collected from micro- and small-scale sunflower oil  
367 processors during the harvesting season of 2014; <sup>j</sup>Rainfall below 800 mm, high temperature (30°C); <sup>k</sup>The highest and lowest aflatoxin concentrations were not discriminated  
368 between peanut and maize samples by the authors; <sup>l</sup>Percentages were calculated for samples containing more than 4.0 µg/kg of aflatoxins; <sup>m</sup>High rainfall (900-1300 mm),  
369 moderate temperature (23-25°C); <sup>n</sup>High rainfall, cool temperature (16°C); <sup>o</sup>Total aflatoxins (AFB1+AFB2+AFG1=AFG2); <sup>p</sup>Percentage of samples contaminated with levels  
370 exceeding with more than 4 µg/kg after 4 months of storage. *Abbreviations and symbols:* AEZ: Argo-ecological zone; +ve: Positive samples (aflatoxin levels higher than the level  
371 of detection LOD unless specified otherwise); "-" no available data, 0 "zero": aflatoxin level below LOD; HF = Humid Forest, DS = Derived Savanna, and SGS = Southern  
372 Guinea Savanna. SVT=Savana Transition; RFR=Rain Forest; FRT=Forest Transition; ML: Mouldy samples (biased sampling procedure was used by the authors); LB: Lower  
373 bound (scenario where the concentration of non-detected analyte is zero and the concentration of detected but non-quantified analyte is the limit of detection).

374           The situation of crop contamination with aflatoxins that prevails in Southeast Asia region is similar  
375 to that describe above for the African countries, with maize being the most highly and frequently  
376 contaminated in all of the countries listed in Table 6. Despite the vast area covered by the region, its climate  
377 types are less diversified than in Sub-Sahara African countries. The climate in most countries of the region  
378 is mainly tropical or subtropical with narrow mean annual temperature variations (21°C and 29°C), and a  
379 high annual rainfall (Table 4). The region is subject to monsoonal weather system producing marked rainy  
380 and dry seasons during the year, thereby providing favourable conditions for mould growth and aflatoxin  
381 production [143]. The predominating climate sub-types in the AEZs with high levels of aflatoxin  
382 contamination are Aw (Tropical savanna), Cwa (Humid subtropical), and Af (Tropical rainforest) (Table  
383 6). Among these countries, India, Malaysia, and Thailand have experienced episodes of aflatoxicosis traced  
384 to the consumption of heavily contaminated foods with aflatoxins [113,144-145], consistent with high  
385 aflatoxin levels recorded in their staple crops, including peanut, maize, and sorghum. In contrast, rice that  
386 represents the primary staple food in this region, is the least contaminated according to the published data  
387 reviewed in this study (Table 6).



388  
389**Table 6:** Incidence and concentrations ( $\mu\text{g}/\text{kg}$ ) of aflatoxin contamination of staple crops in selected countries from the Southeast Asian region. Data are for total aflatoxins (B1+B2+G1+G2), unless otherwise stated in the footnotes

Country	AEZ (Climate type) <sup>a</sup>	Peanut /Groundnut		Maize		Rice		Sorghum		References
		Mean <sup>b</sup> (Range)	+ve (%)	Mean <sup>b</sup> (Range)	+ve (%)	Mean (Range)	+ve (%)	Mean (Range)	+ve (%)	
India	20 states (Various)	-	-	-	-	NS (0.1-308) <sup>c</sup>	68	-	-	[146]
	Karnataka (BSh, Aw)	510.7 <sup>c</sup> (NS-NS)	NS	67.3 <sup>c</sup> (201-714)	100	-	-	882 <sup>c</sup> (582-1250)	100	[147]
	Eastern region (Cwa, Aw)	-	-	<5 <sup>c(Md)</sup> (0-120)	47 <sup>d</sup>	-	-	-	-	[148]
	Western region (BSh)	-	-	15 <sup>c(Mm)</sup> (0-333)	53 <sup>d</sup>	-	-	-	-	
	North (BSh, Cwa)	-	-	30 <sup>c(Md)</sup> (0-666)	69 <sup>d</sup>	-	-	-	-	
	Southern region (Aw)	-	-	<5 <sup>c(Md)</sup> (0-400)	21 <sup>d</sup>	-	-	-	-	
	Mahashtra (BSh, Aw, Am)	-	-	-	-	-	-	NS (0.49-139)	82	[149]
	Rajasthan (BWh, BSh)	-	-	-	-	-	-	NS (0.1-15)	86	
	Tamil Nadu (Aw)	-	-	-	-	-	-	NS (0.01-264)	88	
	Punjab (Af)	-	-	-	-	NS (0->30.0)	91	-	-	[150]
Nepal	Eastern region (Cfa)	NS (54-1806)	34	NS (64-859)	32	-	-	-	-	[151]
The Philippines	NS	58 (0-885)	65	76 (0.0-1152)	95	-	-	-	-	[152]
	Iloco (Aw)	-	-	22 (NS-30)	NS	-	-	-	-	[153]
	South Catabato (Af, Aw)	-	-	39 (NS-1215)	NS	-	-	-	-	
	South Catabato (Af, Aw)	-	-	68.0 (NS-178)	NS	-	-	-	-	

	Commercial	-	-	-	-	1.5 (0-8.7)	95	-	-	[154]
	Northeast (Aw)	-	-	-	-	0.8 0-13.4	63	-	-	[155]
	Central region (Aw)	-	-	-	-	1.7 (0-26.6)	53	-	-	
Thailand	Singburi (Aw)	245 <sup>(R)</sup> (NS-NS)	56	-	-	-	-	-	-	
		139 <sup>(D)</sup> (NS-NS)	41	-	-	-	-	-	-	
		28 <sup>(H)</sup> (NS-NS)	91	-	-	-	-	-	-	[116]
	Ratburi (Aw)	329 <sup>(R)</sup> (NS-NS)	63	-	-	-	-	-	-	
		71 <sup>(D)</sup> (NS-NS)	63	-	-	-	-	-	-	
		99 <sup>(H)</sup> (NS-NS)	72	-	-	-	-	-	-	
	Songkhla (Am)	207 <sup>(R)</sup> (NS-NS)	47	-	-	-	-	-	-	
		96 <sup>(D)</sup> (NS-NS)	70	-	-	-	-	-	-	
		62 <sup>(H)</sup> (NS-NS)	68	-	-	-	-	-	-	
	Whole country	1563 <sup>(R)</sup> (0-12,256)	NS	-	-	-	-	-	-	
		1811 <sup>(D)</sup> (0-9500)	NS	-	-	-	-	-	-	
		1203 <sup>(H)</sup> (0-7660)	NS	-	-	-	-	-	-	[117]
Commercial	1530 (0-12,256)	49	400 (0-2730)	39	67 (0-248)	2	-	-		
	47 (0-304)	80	196 (0-750)	NS	-	-	-	-	[156]	

		31.5 (2.2-171)	NS	-	-	-	-	-	-	[79]
	Penang Island (Af)	NS (17-711)	43	-	-	-	-	-	-	[157]
		-	-	-	-	NS (1.1-5.2)	NS	-	-	[158]
	NS	NS (20-1000)	16	-	-	-	-	-	-	[159]
Malaysia	Commercial	11.3 (0-103)	79	-	-	-	-	-	-	[160]
	Commercial	-	-	-	-	NS (0.15-4.4)	25	-	-	[161]
	Commercial	4.3 <sup>c</sup> (1.5-15.3)	85	-	-	1.75 <sup>c</sup> (0.7-3.8)	70	-	-	[162]
	East Java (Aw)	-	-	149 (NS-390)	100	-	-	-	-	[159]
Indonesia	Lampung (Af)	-	-	144 (0-350)	92	-	-	-	-	
	Commercial	-	-	464 (NS-490)	100	-	-	-	-	

390 Captions and abbreviations are as defined in the footnotes of Tables 7, unless otherwise specified herein ; <sup>b</sup>Arithmetic mean as a default value or geometric  
391 mean (<sup>Gm</sup>) or median (<sup>Md</sup>); <sup>c</sup>Percentage of samples containing more than 5.0 µg/kg of aflatoxin; (<sup>R</sup>)Rainy season; (<sup>H</sup>)Hot season; (<sup>D</sup>)Dry season.  
392

## 393 3.2 Feed Contamination

394 Crop residues and by-products from grain mills and/or oil extraction factories are often used as  
395 animal feeds. In developing countries, mouldy cereals and nuts of low grades are generally sorted to be  
396 fed to animals either directly or as ingredients in manufactured feeds [88,134,163]. Therefore, it is  
397 reasonable to anticipate that such feeds are more likely to be highly contaminated with aflatoxins than their  
398 counterpart crops destined to human consumption. This appears to be valid in most Sub-Saharan Africa,  
399 especially in Kenya and Nigeria where aflatoxin concentrations in feeds are particularly high (Table 7). A  
400 comprehensive survey on aflatoxin contamination of feeds and feed ingredients in Asia-Oceanian  
401 countries, including Malaysia, Philippines, Thailand, Indonesia, and India (Southeast Asia) showed that  
402 30.3% of the samples contained AFB1 at an average level of 46.0 µg/kg and a maximum level of 4278.0 µg/kg  
403 [164]. Moreover, the levels of aflatoxins in commercial poultry feeds were demonstrated to be significantly  
404 higher than the maize used as ingredient in their formulation [118,165]. Nevertheless, feed contamination  
405 with aflatoxins may not necessary correlate with that of ingredients used in feed formulations, depending  
406 on the type and composition of the feed, the processing steps when applicable, and considerations of  
407 quality grading. For instance, maize for feed manufacture in Indonesia was separated into three grades of  
408 decreasing quality before being analysed for aflatoxin contents. The quality of the maize was determined  
409 visually on the basis of the proportions of foreign materials and mouldy, dead, or damaged kernels, as per  
410 the Indonesian grading system routinely practiced by the feed milling industry [166]. Unexpectedly, the  
411 results showed that aflatoxin concentrations increased from the best to the worst grade of the grains,  
412 suggesting that the grading system relying on visual inspection does not reflect *a priori* extent of  
413 contamination. The relatively high aflatoxin levels recorded in feeds of the regions (Table 7) are of concern  
414 to both animal and human health, since they are not only detrimental to livestock but can also be carried-  
415 over to human via foods derived from these animals, such as eggs, meat, and milk [167].  
416

417 **Table 7:** Aflatoxin contamination of feeds in selected countries from Sub-Sahara African and Southeast Asian countries. Data are for total  
 418 aflatoxins (B1+B2+G1+G2), unless otherwise stated in the footnotes

Country	Mixed cattle feed <sup>a</sup>		Sunflower seed cake feed		Maize meal		Peanut meal		Poultry feed		Various feeds <sup>b</sup>		References
	Mean (Range)	+ve (%)	Mean (Range)	+ve (%)	Mean (Range)	+ve (%)	Mean (Range)	+ve (%)	Mean (Range)	+ve (%)	Mean (Range)	+ve (%)	
Kenya	-	-	-	-	-	-	-	-	21 (3.8-41)	100	-	-	[168]
	-	-	-	-	NS (5.13-1123)	95	-	-	-	-	-	-	[89]
	90 <sup>c</sup> (<1-1198)	90	-	-	-	-	-	-	-	-	-	-	[169]
	-	-	-	-	-	-	-	-	-	-	52 (0-556)	78	[170]
Nigeria	-	-	-	-	-	-	-	-	-	-	115 (0-435.9)	94	[170]
	-	-	-	-	176 <sup>c</sup> (6.1-567)	47	639 <sup>c</sup> (61-3860)	91	74 <sup>c</sup> (0.5-760)	83	-	-	[171]
	-	-	-	-	59.7 <sup>(Gm)</sup> (20.3-297)	100	-	-	-	-	-	-	[118]
	-	-	-	-	-	-	-	-	198 (6-1067)	76	-	-	[172]
Tanzania	-	-	6-149 <sup>d</sup> (0-598)	57-100 <sup>d</sup>	3.4 (2.0-16)	32	-	-	-	-	-	-	[133]
Cameroun	-	-	-	-	1.0 (≤2-42)	9.1	161 (39-950)	100	11 (<2-52)	93	-	-	[173]
South-Africa	-	-	-	-	-	-	-	-	-	-	24.9 (13-76) <sup>e(CM)</sup>	-	[174]
	14.7 (0-71.8)	52	-	-	-	-	-	-	0.7 (0-1.8)	23	-	-	[175]
Indonesia	-	-	-	-	59 (0-236)	-	-	-	-	-	-	-	[166]
Thailand	-	-	-	-	10.7 (0.9-50.3)	77	23.3 (4-106)	40	2.0 (0.5-8.5)	93	-	-	[176]
India	-	-	-	-	-	-	-	-	23.8 (0-78)	44	-	-	[165]

419 Captions and abbreviations are as defined in the footnotes of Tables 7, unless otherwise specified herein; <sup>a</sup>Various feeds, including dairy meal, pollard,  
420 maize, maize germ, maize bran, rice germ, rice bran, wheat pollard, wheat bran, young stock, calf meal, calf pellet, sorghum, cotton seed, sunflower and  
421 pyrethrum mix, and home-made concentrates, <sup>b</sup>Different types of feeds analysed separately, <sup>c</sup>Aflatoxin B1, <sup>d</sup>Different regions across Tanzania and each  
422 region has a mean and incidences values; <sup>e</sup>Aflatoxin B1 in cottonseed meal<sup>(CM)</sup>.

## 423 4 Toxicity of Aflatoxins

424 The toxicity of aflatoxins to humans and animals through food and feed consumption and their  
425 association with acute and chronic diseases is well established [93]. However, the degree of toxicity and  
426 the toxicological effects vary greatly depending mainly on the aflatoxin type and the host. AFB1 is, by far,  
427 the most toxic aflatoxin, followed by AFG1, AFB2, and AFG2, while AFM1 has a similar toxicity as AFG1  
428 [177-178]. The other less toxic aflatoxins and those considered to be “non-toxic” or detoxified forms are still  
429 of concern to public health due to their inherent, although weak, toxicities with potencies ranging between  
430 0.1 and 50% compared with AFB1 [48] (see also, Table 2). Most importantly, they can invert to their highly  
431 toxic precursors in foods or after ingestion [33]. For example, aflatoxicol, which is 25 to 50% as potent as its  
432 parent AFB1, is almost entirely converted back in the liver to either the more toxic parent AFB1 or to AFM1  
433 [1,67,179].

### 434 4.1 Major Aflatoxicosis Outbreaks

435 Intake of a large amount of aflatoxin in a single dose or repeatedly during a short period of time  
436 (1-3 weeks) causes an acute poisoning (hereafter designated aflatoxicosis) with typical symptoms, usually  
437 evoking severe liver damage that may lead to death [180]. Table 8 summarizes the main aflatoxicosis  
438 outbreaks that have been documented in Asia and Africa, and their circumstances. No aflatoxicosis  
439 outbreak has been reported, to our knowledge, in industrialized countries due to the low exposure which  
440 is 100-fold lower than that recorded in developing African and Asian countries (1 ng/kg bw per day vs 100  
441 ng/kg bw per day) [178]. The first significant aflatoxicosis outbreak occurred in two Indian regions  
442 encompassing more than 200 poor setting ethnic villages with a protein deficient nutritional status who  
443 relied mainly on maize as a food source. The climate in these neighbouring Western Indian regions is  
444 typically hot desert (BWh) and hot semi-arid (BSh) characterized by low annual rainfall and chronic  
445 drought. In 1974, these regions received abundant unseasonal rain (October-November instead of the usual  
446 rainfall period of June-September), while the maize standing in the field had attained the full maturity stage  
447 to be harvested [113]. Shortly after that, an epidemic struck affecting people in family clusters and the pets  
448 sharing the same diet. The possibility of an infectious disease was ruled out, as it was not contagious and  
449 the prescription of anti-microbial drugs prove ineffective [181]. Clinical and post-mortem histopathological  
450 examinations of dead victims revealed obvious symptoms and liver lesions evoking aflatoxicosis; i.e.,  
451 periportal hepatic fibrosis, and bile duct proliferation. Thin layer chromatography (TLC) analysis showed  
452 the presence of unidentified green and blue spots in extracts of necropsy liver samples and AFB1 in the  
453 serum of some patients. Moreover, the suspect maize was highly contaminated with *A. flavus* and contained  
454 aflatoxins at concentrations ranging between 6500 and 15,600 µg/kg. Exposure calculations suggested that  
455 the populations have been ingesting, through their diet, 2-6 mg of aflatoxins on a daily basis for several  
456 weeks from the start of harvest to the depletion of maize stock, which coincided with the end of the  
457 outbreak [113]. Together, these data were taken for an evidence to ascribe the epidemic to a maize-born  
458 aflatoxicosis (Table 8).

459 In the Sub-Sahara African region, Kenya has been the most severely afflicted by aflatoxicoses,  
460 especially in the East-central region where the prevailing climate is hot semi-arid (BSh), humid subtropical  
461 (Cwa), or oceanic tropical highland (Cwb) with frequent alternation of dry and rainy periods. Populations  
462 of these regions, mainly of the Akamba/Kamba tribe, grow maize for home consumption as the main staple  
463 food and store it by traditional means in containers that they place inside a granary or hung to the ceiling  
464 of the kitchen [91]. Two notable aflatoxicosis outbreaks were recorded in the same region of the country  
465 (Table 8). The first one occurred in 1981 after a severe shortage in rainfalls during the year 1980 followed  
466 by heavy rainy season that extended from October to May instead the normal period of October to  
467 December (short rainy season). Starting from late March to early June 1981, 20 patients, mostly from two  
468 family groups of Makueni district, were admitted to the provincial hospital with jaundice and other



469 symptoms suspecting a viral hepatitis [91]. Within 22 days of the early symptom onset (i.e., abdominal  
470 discomfort, anorexia, general malaise, and low-grade fever), 12 of the patients developed massive ascites  
471 and gastrointestinal haemorrhage before they died from liver failure. Among those, 6 were from the same  
472 family of 8 members including two twins who were not affected, as they were not fed the family diet. From  
473 another family of 7 members, 4 had the illness and two of them died, while the other two recovered  
474 progressively within 20 days of hospitalization. Both families were fed on inadequately stored maize as per  
475 the traditional Akamba method described above and in a wet environment [91]. In each of these families,  
476 the stored maize was found to be contaminated with AFB1 at concentrations of 12,000 and 3200 µg/kg, and  
477 AFB2 at concentrations of 1600 and 2700. As was the case in the Indian outbreak, the onset of the disease  
478 in the family members followed immediately the death of dogs sharing their diet. After necropsy, AFB1  
479 was detected in liver samples of two deceased children at levels of 39 and 89 µg/kg, which was considered  
480 as an additional evidence supporting the causal effect of aflatoxins. Two other fatal cases tested positive  
481 for HB virus surface antigen (HBsAg), suggesting a pre-existing liver damage that increased the  
482 susceptibility to aflatoxins of the patients. Continuous dietary intake of sublethal or subclinical doses of  
483 aflatoxins in addition to protein deficiency of the diet, due to the food shortage in the previous year, were  
484 suggested to have contributed to the increased susceptibility of the victims [91].

485 The second episode of aflatoxicosis outbreak that occurred in Kenya in 2004 was the most  
486 significant worldwide, as it caused 317 cases with 125 deaths (Table 8). It also covered a larger zone  
487 encompassing 4 districts of more than 40,000 km<sup>2</sup> populated by 2.8 million inhabitants mostly of the  
488 Akamba tribe. Makueni and Kitui districts were the most severely affected (47% and 32% of cases,  
489 respectively), followed consecutively by Machakos and Thika with 6% and 4% of the total cases. In an  
490 almost identical scenario as for the former aflatoxicosis outbreaks in India (1975) and Kenya (1981), this one  
491 also occurred after unseasonal heavy rain preceded by a year of severe shortage in rain and foods, which  
492 resulted in high aflatoxin contamination of maize and increased susceptibility of nutritionally deficient  
493 rural farmers [90]. During the course of the aflatoxicosis, a survey was conducted in June 2004 in the  
494 households and market outlets to assess the aflatoxin contamination of home-grown and market maize.  
495 The highest contamination levels were recorded in samples collected from home-grown maize stored in  
496 households as compared to those of the maize sold in market outlets in the geographic area of the outbreak.  
497 In households with victims, the maize was stored under damp conditions and 48.4% of the samples  
498 contained between 20 and 8000 µg/kg of aflatoxins [182]. These considerations and the absence of viral  
499 agents, as demonstrated by serological tests in a case-control study, led the investigators to relate the  
500 aflatoxicosis to the home-grown rather than the market maize [90,182]. Yet, the contribution of market  
501 maize as a continuous source of aflatoxin intake outside the season or when the stock of the household  
502 maize is exhausted was highlighted by the authors. Overall, aflatoxin concentrations exceeded the Kenyan  
503 maximum tolerable limit of 20 µg/kg in 55% of the analysed samples collected from market maize, and the  
504 levels of contamination in samples from each of the affected districts were consistent with the number of  
505 reported cases. The highest geometric means of aflatoxin concentrations in maize, 52.91 and 35.27 µg/kg,  
506 were recorded in Makueni and Kitui, respectively. Conversely, maize samples collected from Machakos  
507 and Thika markets were the least contaminated, with geometric means of 17.84 and 7.52 µg/kg, respectively.  
508 This reflects also the aflatoxicosis ratios per 100,000 inhabitants in these districts. In Makueni and Kitui, the  
509 aflatoxicosis ratios varied from 34.8 to 77.5 in the northern areas and from 12.6 to 34.7 in the southern area,  
510 whereas they were much lower (0.66 to 12.5) in both Machakos and Thika [90]. Nonetheless, in Thika, the  
511 least affected district, the maximum aflatoxin concentration was as high as 46,400 µg/kg, which is sufficient  
512 to trigger severe aflatoxicosis after one or few servings in susceptible persons [178]. Of the total analysed  
513 samples, 7% were contaminated with more than 1000 µg/kg, whereas at the district level, samples  
514 containing such high concentrations represented 12%, 10%, 3%, and 4% of the samples collected from  
515 Makueni, Kitui, Machakos, and Thika markets, respectively [90]. In addition to the results of the survey  
516 indicating the exceptionally high contamination of the maize locally produced and consumed in these

517 Kenyan provinces, a case-control study was conducted separately to relate AFB1 dietary intake to the  
518 disease by titrating the biomarker AFB1-albumin adduct in serum [183]. The study, conducted on 40  
519 selected case-patients and 80 suitable controls, demonstrated a high correlation between the titre of the  
520 adduct in the serum and aflatoxin intake via maize consumption. Moreover, the adduct titre in HBsAg  
521 negative case-patients was 22.2 times higher than that of the controls, therefore, clearly establishing the  
522 relationship between aflatoxin intake and the disease. Later, another study focused on the identification of  
523 mould species contaminating the maize responsible for the outbreak showed that out of 1,232 mould strains  
524 isolated from home-grown maize and inadequately stored at the households with victims, 97.8% and 2.1%  
525 were identified as *A. flavus* and *A. parasiticus*, respectively [184]. Isolates of *A. flavus* were largely  
526 predominated by the S-morphotype representing 71.8% of the isolates, compared with 28.2% of the L-  
527 morphotype. The study also showed that the incidence of the S-morphotype was highly correlated with  
528 the concentration of aflatoxins B in the maize, and strains of this morphotype were the exclusive  
529 contaminants of 5 samples out of 6 containing more than 1000 µg/kg of aflatoxins. Conversely, *A. parasiticus*  
530 was weakly represented (28.2% of the total isolates) and only detected in samples with aflatoxin contents  
531 lower than 260 µg/kg [184].

532 In late 1988, during the 9 days of the nine-emperor-gods festival held in Malaysia  
533 ([http://eresources.nlb.gov.sg/infopedia/articles/SIP\\_1849\\_2011-10-21.html](http://eresources.nlb.gov.sg/infopedia/articles/SIP_1849_2011-10-21.html); accessed on 1 October, 2019),  
534 the consumption of a traditional Chinese dish called Loh See Fun was implicated in a poisoning outbreak  
535 resulting in 17 severe cases and 13 deaths. Patients were children of 2.5 to 11 years of age, with one case of  
536 a 46-years-old man, and only children died. Other patients (45 in number) who ate the same dish as the  
537 affected cases, developed similar but milder and transient symptoms; they were, hence, considered as  
538 presumptive cases and discarded from further investigation [185]. The main ingredient of the offending  
539 dish consisted of white noodle made with a mixture of rice and corn flour. Boric acid was illicitly added  
540 to the dish by the producing factory in Kampar city (Malaysia) to extend its shelf life and enhance its  
541 sensory properties [186]. The onset of the poisoning was fairly rapid and the first symptoms evoking a  
542 Reye-like syndrome appeared within a mean time of 8.5 hours after the ingestion. The patients exhibited  
543 different symptoms, the commonly observed of which were vomiting, seizure, diarrhoea, abdominal pain,  
544 anorexia, and coma. Jaundice was generally weak at the beginning and increased in severity with time until  
545 the eventual death with liver and kidney failure. Depending on the patient, the survival time varied from  
546 2 to 9 days with a mean of 5 days [185]. The results of clinical, analytical, and histopathological  
547 examinations ascribed the intoxication to both boric acid and aflatoxins. The boric acid poisoning (BAP)  
548 was mainly indicated by metabolic acidosis, acute renal failure, and the relatively short survival time. On  
549 the other hand, aflatoxicosis was indicated by the initial symptoms evoking Reye-like syndrome followed  
550 by liver injury and failure with bile duct proliferation, as the health status deteriorated leading to death.  
551 However, the detection of abnormally high levels of aflatoxins B1, B2, G1, M1, and M2, and aflatoxicol in  
552 various organs, including liver, kidney, heart, spleen, lung, and brain was the main supportive feature of  
553 the aflatoxicosis, although it does not exclude BAP [185]. Boric acid and aflatoxins may have acted  
554 synergistically, as indicated by diagnostic features that characterize one or the other disease but not both.

555 A recent aflatoxicosis outbreak was reported in the central region of Tanzania in 2016 [187]. The  
556 prevailing climate in the region is hot semi-arid (BSh) and subject to frequent alternations of drought and  
557 flood periods caused by ENSO [112]. This phenomenon induces extreme shifts in rainfall and temperature  
558 causing both severe drought and rainfall events, usually followed by increased incidence of disease  
559 outbreaks, as it creates favourable ecological conditions for microbial pathogens and their vectors to  
560 emerge. According to the latter study [112], a strong El Niño hit Tanzania in 2015-2016 and raised above  
561 normal the cases of malaria and cholera in the period of April 2015 to March 2016, which continued through  
562 2017 for cholera. Although not mentioned in the study, this situation applies to the aflatoxicosis outbreak  
563 that occurred in the period of 14 May to 14 November 2016 (Table 8). The outbreak affected 68 individuals  
564 in family clusters and killed 20 of them. Before death, the patients presented typical symptoms of

565 aflatoxicosis, i.e., jaundice, abdominal pain, vomiting, diarrhoea, and ascites. A house-to-house survey  
566 conducted in selected households including case-households with victims and those without (controls),  
567 showed that more than 50% of the cases were children below 15 years-old who had eaten home-grown  
568 maize contaminated with both aflatoxins and fumonisins at abnormally high levels [187]. Aflatoxin  
569 contamination in samples collected from case-households was significantly higher than those of controls  
570 (10-51,100 µg/kg versus 2.4-285 µg/kg). Fumonisins were detected in the maize sampled from case-  
571 households at concentrations ranging from 945 to 12,630 µg/kg. Of the maize samples contaminated with  
572 both mycotoxins, 80% exceeded the regulatory standards of 10 µg/kg and 2000 µg/kg for total aflatoxins  
573 and fumonisins, respectively. Moreover, the titres of aflatoxin-albumin adduct in the serum of case-patients  
574 usually exceeded 1000 pg/mg and were 3.6 to 8.2 times higher than in the serum of controls (36-32,800  
575 pg/mg vs 10-4020 pg/mg) [187]. The increase in aflatoxin-albumin adduct titre is a strong indication of the  
576 causal link between aflatoxins and the outbreak, the severity of which may have been increased by an  
577 additive effect of fumonisins [188].

578 According to the magazine "outbreak news today", during the period of June 20 to July 13, 2017,  
579 two clusters of 8 children from two different villages of Kiteto District (Manyara region), North Tanzania,  
580 were admitted to the hospital for suspicion of aflatoxicosis [189]. They were presenting the common  
581 symptoms of acute aflatoxicosis, namely general malaise, loss of appetite, vomiting, abdominal distension  
582 and pain, dark stools without diarrhoea, and jaundice. Three cases of the first cluster, consisting of five  
583 children (three to nine years-old), died shortly after the admission. The 3-years-old child died after two  
584 days and the other four were transferred to the regional referral hospital of Dodoma for more intensive  
585 care; and two of them died two days later. On July 13, 2017, the three children (one of four-years-old, and  
586 two of ten years-old) of the second family cluster were admitted to the hospital with similar symptoms as  
587 the previous patients plus an altered mental status [189]. The four-years-old child died within hours after  
588 admission, meanwhile the other patients were referred to the regional hospital with the two survivors of  
589 the first cluster. As per the date of the report (July 24, 2017) the four survivors were still hospitalized and  
590 there was no update on the situation to our knowledge. All the children were reported to have consumed  
591 improperly stored maize, which in conjunction with the symptoms suggests that the disease is likely to be  
592 an aflatoxicosis [190].

593 In addition to the above-mentioned aflatoxicosis outbreaks, some sporadic cases have contributed  
594 to increase the scientific knowledge on the toxicity of aflatoxins. For example, the death of a Ugandan  
595 teenager in 1967 who had been fed regularly on mouldy cassava as a staple meal and the association of his  
596 death with aflatoxicosis, as evidenced by the typical liver lesions observed upon post-mortem  
597 histopathological examination and the high contamination of the cassava meal (1700 µg/kg), was the first  
598 demonstration of the acute toxicity of aflatoxins in humans [191]. Also, intentional ingestion by a 25-years-  
599 old laboratory female worker who attempted to commit suicide of 5.5 mg of pure AFB1 over two days and  
600 another dose of 35 mg, six months later, over two weeks and developed only minor transient symptoms  
601 (rash, nausea, and headache) gave some insights on the difference in susceptibility to aflatoxins among  
602 individuals [192].  
603

604 **Table 8:** Significant aflatoxicosis outbreaks in Asia and Africa

Country	Region	Year (Period)	Number of cases	Number of deaths (% of fatality rate)	Associated Food	Level of contamination (mg/kg)	Specific remark	Reference
India	Western (Rajasthan-Gujarat)	1974 (October-November)	397	106 (26.7)	Maize	6.3-15.6	Heavy unseasonal rain after drought and faulty storage conditions	[113]
Kenya	East-Central (Makueni)	1981 (March-June)	20	12 (60)	Maize	3.2 and 12.0	Rain shortage in the year preceding the outbreak followed by prolonged high rainy season and faulty storage conditions	[91]
	East-Central (Makueni-Kitui-Machakos-Thika)	2004 (January-July) <sup>a</sup>	317	125 (39.4)	Maize	1.0-46.4	First study relating aflatoxin-albumin adduct to human aflatoxicosis and its use as a biomarker	[90,182]
Malaysia	Perak state	1988	17 <sup>b</sup>	13 <sup>c</sup> (76.5)	Chinese <sup>d</sup> noodles	NS	Possible additive effect of boric acid and aflatoxin	[185-186]
Tanzania	Central	2016 (May-November)	67	20 (30)	Maize	10-51,100	Possible additive effect of fumonisins High titres of aflatoxin-albumin adduct in the serum of patients used as evidence for the aflatoxicosis	[187]
	Northeast	2017 (June-July)	8	4 (50)	Maize	NA	Evidence based on symptoms and consumption of maize reported to have been inadequately stored	[189]

605 <sup>a</sup>A peak was reached in May to Mid-July; <sup>b</sup>16 children 2.5-11 years of age a 49-years old adult; <sup>c</sup>All children, and they died within hours of  
606 the intoxication and the percentage of death excludes 45 cases not admitted to the hospital because they developed only mild symptoms;  
607 <sup>d</sup>Dish called "Loh See Fun" suspected to have been preserved with banned boric acid. *Abbreviations:* BAP: Boric acid poisoning; the others  
608 as in the Tables above

#### 609 4.2 Chronic Diseases

610 Repeated exposure to low doses of aflatoxins over a lifetime causes chronic diseases, the most frequent and  
611 severe of which is cancer. Although dietary intake of aflatoxins has been classically associated with primary  
612 liver cancer, i.e., HCC and bile duct hyperplasia [193], other organs such as the kidney, the pancreas, the  
613 bladder, bone, viscera, etc. have also been reported to develop cancer upon exposure to these mycotoxins  
614 [194]. In addition, aflatoxins were reported to cause lung [195] and skin [196] occupational cancers via  
615 inhalation and direct contact, respectively. In fact, chronic exposure to aflatoxins causes a range of other  
616 severe diseases, including immunosuppression, teratogenicity, mutagenicity, cytotoxicity, and estrogenic  
617 effects in mammals [197]. Moreover, aflatoxins are believed to be involved in nutritional disorders, such  
618 as kwashiorkor and growth faltering probably by interfering with the absorption of micronutrients (e.g.,  
619 zinc, iron, and vitamins), proteins synthesis, and metabolic enzymes activities [180,198]. In domestic  
620 animals, feeds contaminated with sub-lethal doses of aflatoxins induces impaired productivity and  
621 reproduction, increased susceptibility to diseases, and reduced quality of the foods they produce [178].  
622 Despite the insidious character of chronic aflatoxin-induced diseases, their impact on public health globally  
623 is more severe and more costly than acute aflatoxicosis. Although, the latter induces hundreds of deaths at  
624 once in an intermittent manner, it can be prevented or interrupted upon analysis of suspect crops/foods,  
625 e.g., evident mould growth, and their disposal if aflatoxin levels are found to be too high.

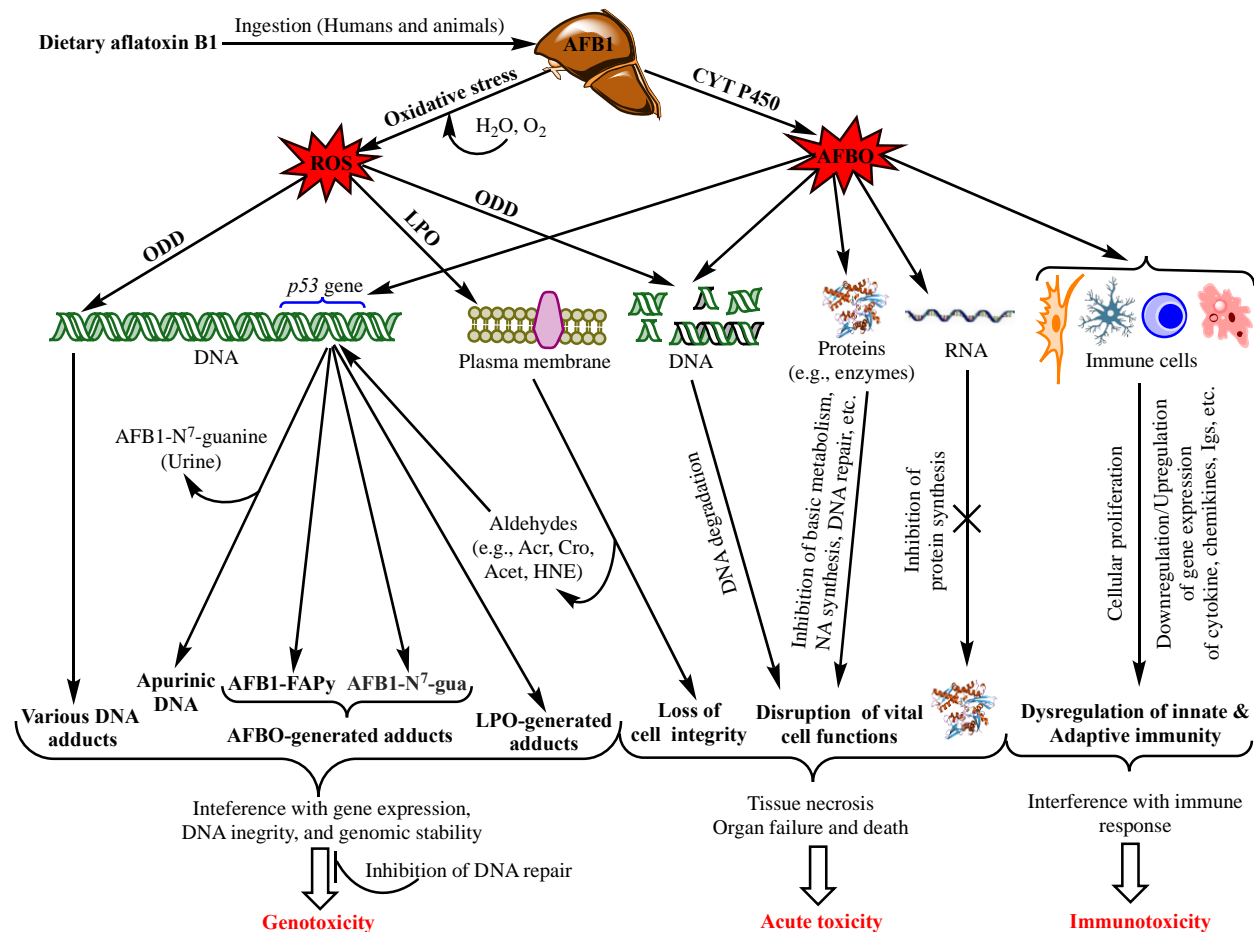
626 Liver cancer is one of the most common and deadly type of cancer diseases whose occurrence has  
627 been strongly correlated to dietary exposure to aflatoxins, which is enhanced in the presence of other risk  
628 factors [180]. Notably, chronic infections with HB was shown to increase by up to 60 times the potency  
629 AFB1 [199]. According to the most recent statistics given by the global cancer observatory of the IARC  
630 (<http://gco.iarc.fr>, accessed on September 1<sup>st</sup>, 2019), 841,080 new cases of liver cancer causing 781,631 deaths  
631 were recorded globally in 2018. This corresponds to an age-standardized incidence rate of 9.3 per 100,000  
632 and mortality rate of 93% ranking as the fifth cancer type and the first cause of cancer-induced mortality.  
633 Africa and Asia continue to be the leading continents in terms of new cases recorded each year, with 64,779  
634 (7.7%) and 609,596 (72%) cases respectively, together representing about 80% of the total cases in the world.  
635 Aflatoxin B1 alone was estimated to cause 25,200 to 155,000 cases each year [7,200], 40% of which occur in  
636 the sub-Saharan Africa only [180] where aflatoxin-induced liver cancer accounts for a-third of all liver  
637 cancer cases registered in the whole African continent [201]. At the country level, China has the highest  
638 incidence of liver cancer in the world, with the vast majority being recorded in the Southern part of the  
639 country where the two main synergistic causative agents, exposure to dietary aflatoxins and HB chronic  
640 infections, are endemic and highest [193].

#### 641 5 Mechanisms of Toxicity

642 Aflatoxins exert various toxicological effects with different mechanisms, most of which are not  
643 fully elucidated yet. Intensive research has been carried out to investigate the mechanisms of the toxicity  
644 of aflatoxins to provide a scientific basis for the design of preventive and control means, as well as for  
645 regulatory purposes. The mutagenic effects of AFB1 have been the focus of most studies since their  
646 discovery and were ascribed mainly to their intermediate metabolite AFB1-exo-8,9 epoxide (AFBO) [1]. As  
647 a highly unstable molecule, AFBO reacts with cellular macromolecules, including nucleic acids, proteins,  
648 and phospholipids to induce various genetic, metabolic, signalling, and cell structure disruptions [202-204].  
649 However, increased evidence is being built up demonstrating equally dramatic or higher effects of AFB1  
650 on cell function and integrity through the induction of oxidative stress (OS) [205-207]. Figure 2 summarizes  
651 the different toxicity mechanisms of AFB1 involving AFBO and OS to cause genotoxicity, immunotoxicity  
652 and acute intoxication by acting on genomic DNA.

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**Figure 2:** Main aflatoxin B1 toxicity mechanisms mediated by the oxidative stress and AFBO (see text for explanations). NB: ROS also affect proteins, RNA molecules, and immunity as does AFBO (not shown in the figure. For details, see [208]). *Abbreviations:* AFBO: Aflatoxin B1-exo-8,9-epoxide; NA: Nucleic Acids; ROS: Reactive Oxygen Species; LPO: Lipid Peroxidation; ODD: Oxidative DNA Damage; Acr: Acrolein; Cro: Crotonaldehyde; Acet: Acetaldehyde; HNE: 4-Hydroxy-2-Nonenal; uFA: Unsaturated Fatty Acids; IL1 $\beta$ : Interleukin 1 $\beta$ , IL6: Interleukin 6; TNF $\alpha$ : Tumour Necrotizing Factor  $\alpha$ ; P-dG: Cyclic Propano-Deoxyguanosine; Igs: Immunoglobulins. See text for the other abbreviations.

## 663 5.1 Genotoxicity and cancer diseases

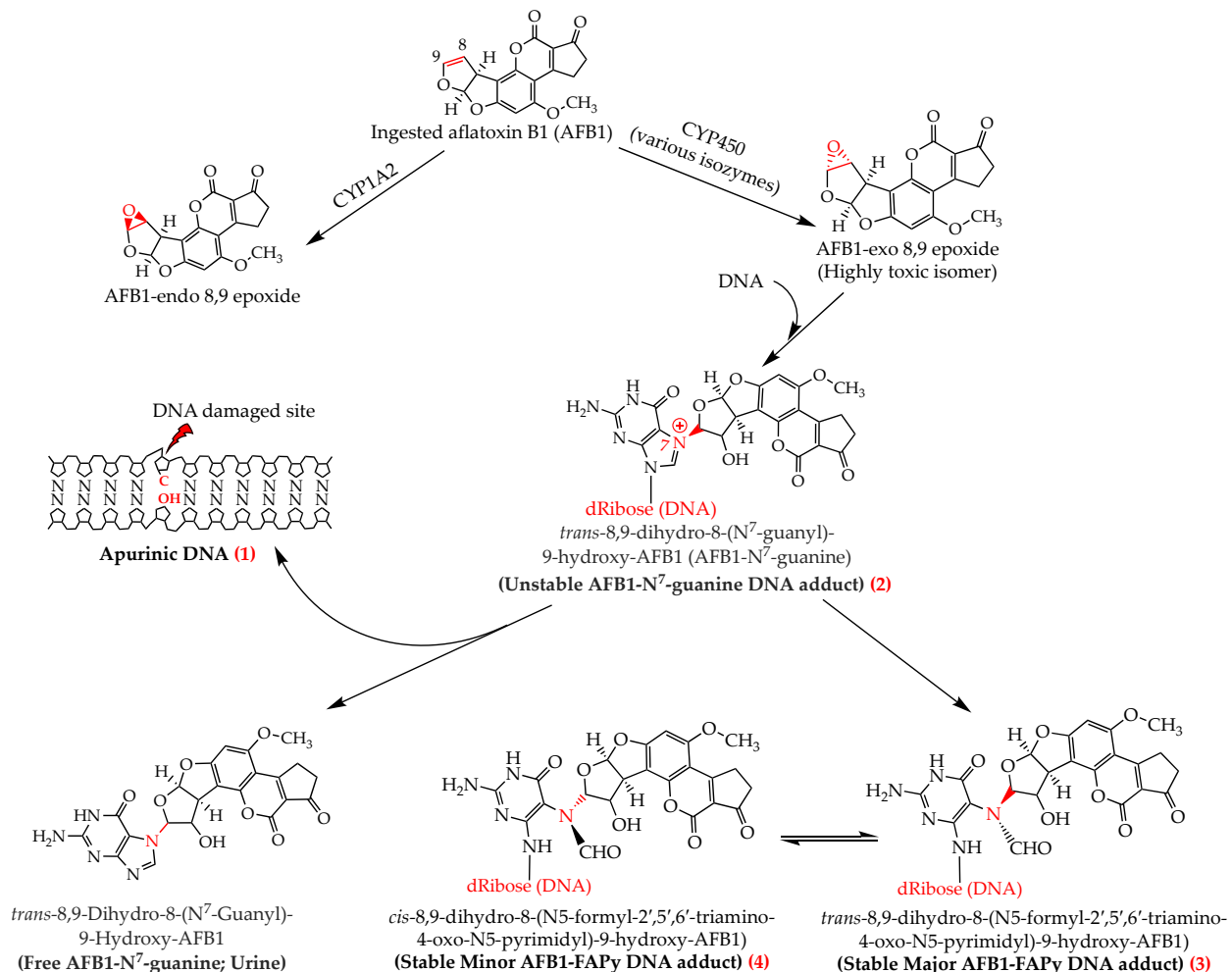
### 664 5.1.1 AFBO-Mediated Genotoxicity

665 AFBO has long been considered as the ultimate metabolite responsible for the genotoxic effects of  
666 AFB1 as well as other aflatoxins bearing a double bond between carbons C8 and C9 in the furan ring  
667 [197,209]. The mechanisms of toxicity mediated by this AFB1 reactive metabolite are the best understood  
668 and have been extensively reviewed [205,210-212]. Upon ingestion, AFB1 is absorbed in the duodenum and  
669 reaches the liver where it is bioactivated by action of various microsomal cytochrome enzymes (CYT P450).  
670 These are monooxygenases that catalyse the oxidation of the C<sup>8</sup>=C<sup>9</sup> double bond in the furan ring yielding  
671 AFB1-exo and -endo 8,9 epoxide stereoisomers, with the former isomer being >1000 times more  
672 reactive/toxic than the latter [213]. Different CYP450 isozymes are responsible for the bioactivation of AFB1  
673 depending on the host, the organ, and the sub-cellular component. In humans, among 57 CYP450 identified

674 isoenzymes, the microsomal CYP1A2, 3A4, 3A5, 3A7, 2A3, and 2B7, the hepatocytic 3A3, and the lung  
675 CYP2A13 are the principal isozymes responsible for AFB1 bioactivation in the respective organs [214-215].  
676 In the liver, the bioactivation is essentially catalysed by CYP1A2 or 3A4, with predominating action under  
677 low or high exposure conditions, respectively; CYP1A2 predominates under the actual food contamination  
678 levels and is also responsible for the transformation of AFB1 into the less toxic endo-epoxide isomer [216-  
679 217]. In animals and insects, various CYP450 isozymes, including CYP1A1, 1A, 1A2, 2A5, 2A6, 3A, 3A4,  
680 3A13, and 321A1, were reported to catalyse the bioactivation step depending on the species and the organ  
681 where they are produced. The specific roles of CYP450 isozymes in AFB1 metabolism and their distribution  
682 in different hosts and organs were reviewed elsewhere [218].

683 Once released, AFBO intercalates the DNA and binds covalently, upon alkylation reaction, to the  
684 N<sup>7</sup> atom of guanine residue forming a stereospecific aflatoxin-DNA adduct, *trans*-8,9-dihydro-8-(N<sup>7</sup>-  
685 guanyl)-9-hydroxy-AFB1 (AFB1-N<sup>7</sup>-gua) [211], most frequently (60-80%) at the third guanine residue of the  
686 codon 249 (5'-AG\*G-3') on *p53/PT53* tumour suppressor gene [219-220]. While bound to the DNA molecule,  
687 AFB1-N<sup>7</sup>-gua adduct is highly unstable, due to its positive charge, and releases itself leaving an apurinic  
688 DNA molecule (AP). However, the imidazole ring may be opened under slightly alkaline conditions to  
689 form two stable isomers *cis*- and *trans*-AFB1-formamidopyrimidine (AFB1-FAPy) adducts, also called  
690 minor and major AFB1-FAPy adducts, respectively (Figures 2 and 3). These three AFBO-induced DNA  
691 lesions (AP, AFB1-N<sup>7</sup>-gua, and AFB1-FAPy) have been known as the main precursors of AFB1 genotoxic  
692 and carcinogenic effects (Figure 2). Among them, AFB1-FAPy was reported to be the most mutagenic due  
693 to its persistent DNA damage [221-222], which was ascribed to the less helix-distorting lesions it induces  
694 compared with those caused by AFB1-N<sup>7</sup>-gua, thereby hindering DNA repair [205,210]. AFB1-FAPy lesions  
695 are essentially repaired by the nucleotide excision repair (NER) mechanism, which is contingent to the  
696 extent of DNA helix distortion for the recognition of damaged sites; the more distorted the site, the easier  
697 it is to be recognized by the repair proteins [205,223-224]. Yet, the higher mutagenicity of AFB1-FAPy  
698 lesions may not be explained solely by its refractory behaviour to NER repair, as they can also be repaired  
699 by the less helix-distortion sensitive mechanism of base excision repair (BER) [225]. BER involves a site-  
700 specific recognition DNA lesions by glycosylases followed by excision of the damaged sites and  
701 replacement by the correct base [226]. However, it is now well established that exposure to aflatoxins  
702 induces various epigenetic changes in repair genes that impedes BER. For example, hypermethylation of  
703 the promoter of *NEIL1* (Nei Like 1) gene coding for a DNA glycosylase (NEIL1) that plays a key role in BER  
704 was recently shown to reduce the excision efficiency in AFB1-FAPy adducts by transcriptional repression  
705 of the gene [227]. The repair of AFB1-FAPy lesions may be further restricted in humans due to the  
706 widespread polymorphic variants producing catalytically inactive NEIL1 enzyme [228]. Polymorphism in  
707 other human DNA repair genes, such as *XPC*, *XPD*, *XRCC1*, *XRCC3*, *XRCC4*, *XPD*, and *XRCC7*, has been  
708 reported as an additional factor that increases the risk for aflatoxin-induced HCC, particularly in high  
709 exposure environments [226,229-232]. This risk is exacerbated with simultaneous polymorphism of repair  
710 genes and phase II-enzyme detoxifying genes, as was demonstrated for the combined polymorphisms of  
711 *XRCC1* (involved in BER repair) with *GSTM1* and *HYL1\*2* (coding for GST and microsomal epoxide  
712 hydrolase, respectively) [231-232]. Meanwhile, the effect of AFB1-detoxifying gene polymorphism alone on  
713 the increase of HCC risk remains controversial [231-237]. It should be pointed out, however, that most of  
714 the reports on the interaction of polymorphisms with AFB1 exposure to increase HCC risk were case-  
715 control studies conducted on highly exposed populations of Guangxi (China) and The Gambia [226,237].  
716 The rationality of these studies suffered biased uncertainties due to limited access to HCC-case patients  
717 and the possible interference with other factors, such as smoking, drinking, and impaired liver functionality  
718 of HCC cases yielding imprecise biomarker estimates. Nevertheless, there is a consensus that interaction  
719 between exposure to AFB1 and polymorphism of the repair genes to increase HCC risk is likely, especially  
720 in high-risk environments, e.g., high exposure, chronic hepatitis virus infections. Moreover, higher  
721 resistance to DNA repair of AFB1-FAPy adduct was attributed to its ability to stabilize the double helix

722 owing to the way of its insertion between the helices [223]. Once intercalated between the DNA helices at  
 723 the G:C site, FAPy stacks with neighbouring base pairs to stabilize the double helix, which is enhanced in  
 724 the presence of the formamido group that probably establishes intra-strand sequence-specific hydrogen  
 725 bonding within the helix [238]. Nevertheless, irrespective of the lack of a clear mechanistic explanation,  
 726 various observations and mutational studies on the stability of lesions and repair efficiencies have  
 727 established the implication of AFB1-FAPy adduct in the vast majority of AFB1-induced mutations and,  
 728 therefore, its higher genotoxicity compared with the other AFBO-induced lesions [205,210-211,239].  
 729  
 730  
 731



732  
 733 **Figure 3:** Activation of aflatoxin B1 and its interaction with the DNA leading to the formation of aflatoxin  
 734 DNA adducts causing three main DNA lesions, AFB1-N<sup>7</sup>-guanine (1), apurinic DNA (2), and AFB1-FAPy (3  
 735 and 4), involved in mutagenicity and carcinogenicity. Upon furan ring opening to stabilize the AFB1-N<sup>7</sup>-gua  
 736 DNA adduct, the “cis” (minor) rotamer of AFB1-FAPy is formed first and is then transformed into the “trans”  
 737 (major) rotamer to an equilibrium where the major rotamer is predominating (2:1; major to minor) [240].  
 738



739 Failure to repair the DNA damaged by any of the above-mentioned lesions leads to transversion mutations,  
740 predominantly G→T (80%), of the third base <sup>5</sup>G of the codon 249 on *p53* gene; in few instances, the second  
741 base of the codon or G→A transversions have been reported [210,220]. As a result, the mutant expresses a  
742 non-functional protein where the serine residue at the position 249 is substituted for arginine. The resulting  
743 altered protein, pR249S, cannot bind to DNA molecules and hence loses its transactivation capacity towards  
744 a multitude of *p53*-dependent gene promoters responsible for various vital cellular functions, including cell  
745 cycle arrest, senescence, and apoptosis, eventually leading to tumorigenicity [241-242]. Two of the most  
746 known *p53*-dependent regulatory genes involved in cell cycle progression and/or apoptosis signalling  
747 pathways are *CDKN1A* (cyclin-dependent kinase inhibitor 1A) and *PUMA* (*p*-53 upregulated modulatory  
748 apoptosis). In normal functioning conditions, exposure to genotoxic insults upregulates the latter genes by  
749 the transcriptional factor *p53* to express the effector proteins *CDKN1A/p21* and *PUMA*, respectively  
750 (Figure 4).

751 *p21*, also known as *p21<sup>WAF1/Cip1</sup>*, regulates negatively the progress of cell division mainly through  
752 the inhibition of cyclin-dependent kinases (CDKs) or proliferating cell nuclear antigen (PCNA) as  
753 illustrated in Figure 4. Various mechanisms have been proposed for *p53*-driven cell cycle arrest  
754 emphasizing the role of *CDKN1A* gene product (*p21* protein) which antagonizes with CDKs responsible  
755 for the initiation of a cascade of events leading to the repression of many genes involved in the progress of  
756 the cell cycle at different checkpoints [242-245]. A more recent mechanism suggests an indirect repression  
757 of cell cycle progression via *p21*-DREAM-E2F/CHR (*p53*-DREAM) pathway, wherein a transcriptional  
758 repressor, DREAM (dimerization partner, RB-like, E2F and multivulval class B), binds to E2F and CHR  
759 (cell cycle gene homology region) promoter sites and downregulates the transcription of more than 250  
760 genes controlling the progression of the whole cell cycle at different stages from G0 to cytokinesis [246-  
761 247]. DREAM is a multi-subunit complex composed of a core multivulval class B (*MuvB*) complex  
762 associated with E2F4 or E2F5, dimerization partner (DP), and proteins *p107* or *p130* (also called RB-like  
763 proteins 1 and 2, respectively) (Figure 4A). However, for *p107* and *p130* to bind and activate the other  
764 subunits of the DREAM complex, they should be in their hypo-phosphorylated states, which requires  
765 active *p21* to inhibit cyclin-CDK complexes, e.g., cyclinE-CDK2 and cyclin D-CDK4/6, responsible for their  
766 hyper-phosphorylation [246]. Sequestration of PCNA by *p21* can also evoke cell cycle arrest at a given  
767 stage of the cycle by blocking DNA replication and repair requiring PCNA as a co-factor for the activity of  
768 DNA polymerase  $\delta$  [243] (Figure 4B). Although these studies have been carried out on different cell types  
769 and organs, and with different DNA-damaging or -nondamaging stimuli, the results can apply to AFB1-  
770 induced DNA damage. An intraperitoneal administration of AFB1 to mice at a daily dose of 20  $\mu$ g/kg bw  
771 for up to 21 days induced overexpression of *p21* with concomitant downregulation of cyclin D1 and CDK4,  
772 which inhibited the formation of cyclin-CDK complexes, ultimately leading to cell cycle arrest and  
773 apoptosis [248]. However, the study demonstrated that although both cell cycle arrest and apoptosis were  
774 *p53*-dependent, the upregulation of *p21* expression was not involved in apoptosis. In fact, it is well  
775 established that *p21* plays an antagonistic dual role, not yet well understood, as it can either restrict or  
776 promote apoptosis depending on many factors, such as the extent of DNA damage, the type of stimulus,  
777 the tissue, the type of cell line, the subcellular localisation, chemotherapy treatment (if any), etc. [244-245].  
778 In the cytoplasm, *p21* primarily exerts an anti-apoptotic, i.e., tumour promoting, action whereby it inhibits  
779 key enzymes responsible for the induction of apoptosis or the transcription factors responsible for the  
780 transactivation of genes coding for pro-apoptotic proteins (Figure 4C). The anti-apoptotic effect while the  
781 cell cycle is arrested needs further clarifications for the circumstances of its occurrence and regulatory  
782 mechanisms that trigger the switch from pro-apoptotic to anti-apoptotic action and vice-versa. Presently,  
783 the prevailing explanation considers that, in conjunction with the cell cycle arrest, *p21* inhibits apoptosis to  
784 ensure cell survival during the pause of cell cycle arrest in order to provide an opportunity for DNA repair  
785 before proceeding with the normal growth cycle. However, in case of a severe damage and impaired DNA

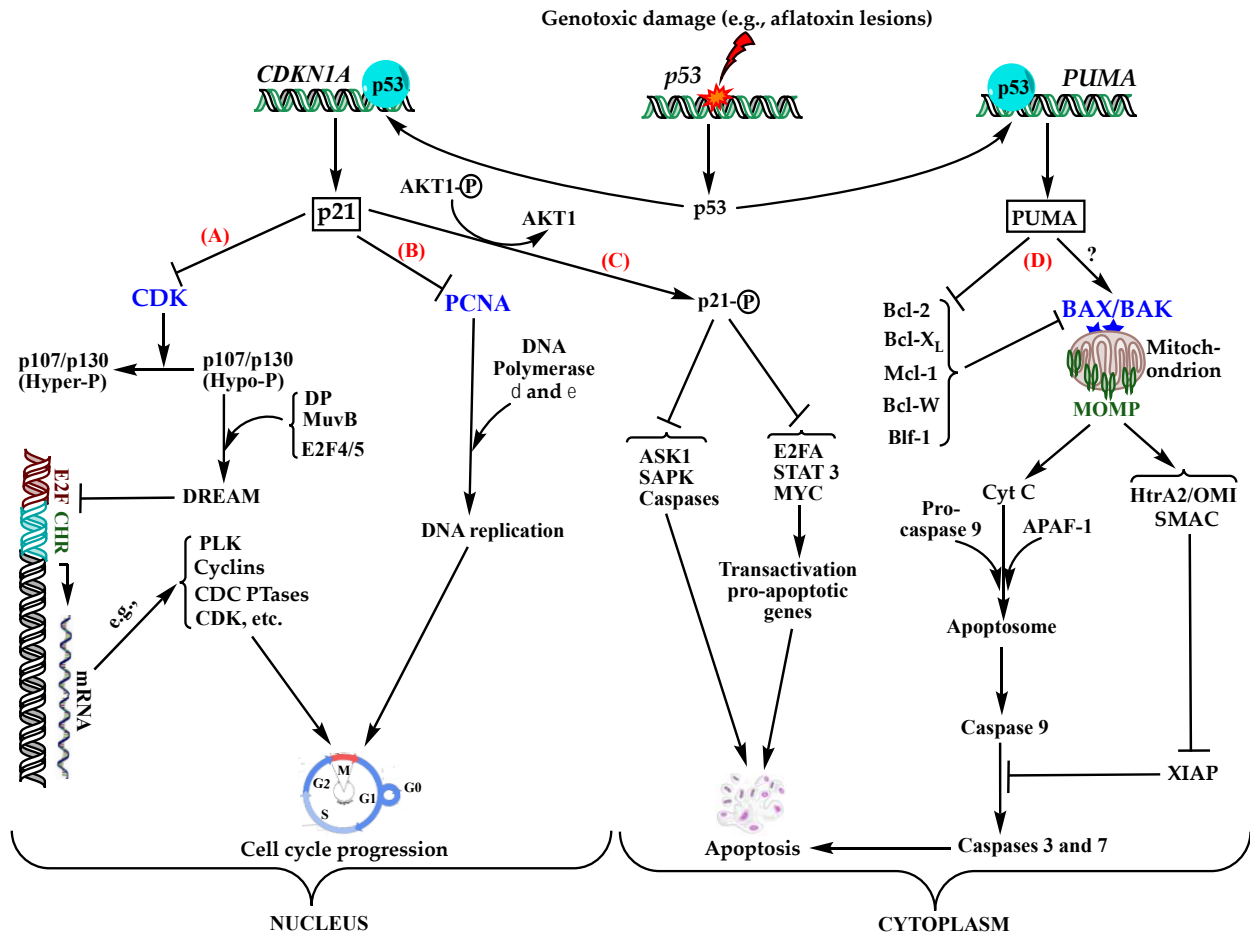
786 repair, the role of p21 located in the nucleus is switched to be pro-apoptotic and activates the caspase  
787 cascade driving cell death [243-244,249].

788 Cells exposed to various genotoxic and nongenotoxic stimuli may undergo *p53* dependent or  
789 independent apoptosis; however, genotoxic stimuli, e.g., exposure to AFB1, causing severe DNA damage  
790 trigger essentially *p53*-dependent apoptosis involving two main regulatory proteins of the family of the  
791 Bcl-2 homology domain 3 (BH3)-only, PUMA and NOXA, with PUMA being involved in virtually all *p53*-  
792 dependent apoptotic activities [250]. PUMA is transcriptionally upregulated by *p53* protein to antagonize  
793 with pro-survival proteins of the Bcl-2 family that inhibit constitutively the pro-apoptotic pore-forming  
794 BAX (Bcl-2-associated X protein) and/or BAK (Bcl-2 antagonist/killer) proteins. The inhibition of the pro-  
795 survival proteins activates BAX/BAK which oligomerize to form pores in the outer membrane of  
796 mitochondria allowing leakage of pro-apoptogenic proteins that initiate a cascade of events ending with  
797 the activation caspases directly involved in cell death (Figure 4D).

798 Under DNA damaging conditions in *p53* mutants, *CDKN1A* and *PUMA* genes remain repressed  
799 due to the lack of functional *p53* transcriptional factor. Consequently, CDKs, PCNA, and pro-survival Bcl-  
800 2 proteins are relieved leading to uninterrupted cell cycle with a poor repair machinery and overexpression  
801 of *CDK* genes, among multiple other physiological dysfunctions (Figure 4). While the restriction of cell  
802 cycle arrest increases the likelihood for unfaithful DNA replication and accumulation of mutations among  
803 other metabolic and signalling dysfunctions, the overexpression of CDKs was shown to play crucial role in  
804 tumour development and promotion [251-252]. Restriction of apoptosis, a major physiological function for  
805 the elimination of senescent, damaged, or stressed cells, due to the repression of PUMA and cytoplasmic  
806 p21 in *p53* mutants, exacerbates the risk for cancer diseases. It is also well established that any disruption  
807 in the expression and the signalling pathways involving pro-apoptotic or pro-survival proteins of the Bcl-  
808 2 family members not only promotes cancer but also increases its resistance to chemotherapy [253]. In fact,  
809 in addition to the restriction of cell cycle arrest and apoptosis, *p53* mutations de-regulate the genetic  
810 expression of a plethora of genes controlling various other cellular functions and metabolic pathways, such  
811 as the oxidative phosphorylation, glycolysis, stemness, signalling, DNA repair, maintenance of genomic  
812 stability, etc. part or all of which are involved in tumour suppression, as has been extensively reviewed  
813 recently [212,241-242,247,254-256]. This also explains why *p53* mutations are associated with more than  
814 50% of human malignancies, including aflatoxin-induced HCC [219].

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**Figure 4:** Main mechanisms used in normally functioning cells to induce cell cycle arrest or apoptosis as a

response to DNA damage affecting *p53* gene to inhibit cell cycle progression in the nucleus (A) and (B), or

apoptosis in the cytoplasm (C) and (D). (A) p21, as a potent inhibitor of CDKs, inhibits the phosphorylation

of p107 and p130 proteins, which in their hypo-phosphorylated states can bind to MuvB core complex, E2F4-

5, and DP and form an active DREAM complex. Once formed, DREAM binds to E2F and CHR promoters and

represses the transcription of many genes, e.g., polo-like kinases (PLK1), cyclins A, B1, and B2, CDK1, CDCs

20, 25A, and 25C, MCM5, BIRC5, etc., involved in the progress of the cell cycle at different stages and

checkpoints, thereby arresting the cell cycle at any stage of the progression depending on the gene(s) inhibited

[246]. In the absence of p21, CDKs remain active and hyper-phosphorylate p107 and p130 preventing them

from binding to the other DREAM components, thereby leaving E2F and CHR promoter sites free to bind

transcriptional activators that, on the contrary, promote the cell cycle progression [242,247]. (B) p21 interacts

with PCNA in the nucleus and prevents it from binding to  $\delta$  subunit of DNA-polymerase, which blocks DNA

replication as well as DNA repair among other functions ensuring the fidelity of DNA duplication [249]. (C)

p21 can be phosphorylated by the serine threonine kinase AKT1 and prevented from translocating into the

nucleus; in the cytoplasm, it acts as an anti-apoptotic factor that inhibits pro-apoptotic enzymes, such as ASK,

SAPK, and different caspases. It also inhibits transcriptional factors, such as E2F1, STAT3, and MYC

preventing the transactivation of pro-apoptotic genes [242-244]. (D) p53 transactivates PUMA gene as the

major p-53-dependent mechanism for intrinsic apoptosis induction. Under normal conditions and in the

absence of stimuli, apoptosis is restricted by 5 pro-survival proteins of the Bcl-2 family; Bcl-2, Bcl-XL, Mcl-1,

Bcl-W, and Bfl-1. Upon exposure to genotoxic stimuli, such as aflatoxins, p53 upregulates the expression of

PUMA, a member of the Bcl-2 homology 3 (BH3)-only family, which inhibits all of the five pro-survival Bcl-2

839

840 proteins, thereby de-represses the pro-apoptotic proteins BAX and/or BAK. This initiates mitochondrial  
841 damage allowing leakage of pro-pro-apoptotic proteins through MOMP formation upon oligomerization of  
842 BAX/BAK, namely cytochrome C, HtrA2/OMI, and SMAC, which cooperatively induce apoptosis;  
843 cytochrome C binds APAF-1 and procaspase 9 to form an apoptosome and activate caspase 9 triggering the  
844 caspase cascade directly involved in apoptosis. Yet, caspase cascade can still be blocked by the pro-survival  
845 protein XIAP inhibitory to caspases 9 and 3. To proceed with apoptosis, SMAC and HtrA2/OMI combine to  
846 inhibit XIAP and relieve the caspases [257]. In the absence or saturation of pro-survival Bcl-2 proteins,  
847 PUMA can directly activate BAX/BAK to resume the apoptosis process starting from MOMP formation, but  
848 this needs further studies to be ascertained [258]. *Abbreviations:* Bcl-2: B cell lymphoma-2; BH3-only: Bcl-2  
849 homologue 3-only; Bcl-X<sub>L</sub>: B cell lymphoma extra-large; MuvB: Multivulval class B; DP: Dimerization partner;  
850 DREAM: Dimerization partner, RB-like, E2F and multivulval class B; CHR: Cell cycle gene homology region;  
851 PLK: Polo-like kinase; CDK: Cyclin dependent kinase; CDC: Cell division cycle; MCM: Minichromosome  
852 maintenance; BIRC: Baculoviral inhibitor of apoptosis repeat-containing 5; PCNA: Proliferating cell nuclear  
853 antigen; ASK: Apoptosis signal-regulating kinase; SAPK: Stress-activated protein kinase; STAT3: Signal  
854 transducer and activator of transcription; MYC: Myelocytomatosis; PUMA: p53-upregulated modulatory  
855 apoptosis; Mcl-1: Myeloid cell leukaemia-1; Blf: BCL-2-related protein isolated from foetal liver; BAX: Bcl-2-  
856 associated X protein; BAK: Bcl-2 antagonist/killer; MOMP: Mitochondrial outer membrane permeabilization;  
857 Cyt C: Cytochrome C; APAF-1: Apoptotic protease-activating factor 1; SMAC: Second mitochondria-derived  
858 activator of caspases; XIAP: X-linked inhibitor of apoptosis protein; HtrA2/OMI: High-temperature  
859 requirement protein A2; Hypo-P: Hypo-phosphorylated; Hyper-P: Hyper-phosphorylated.

860

#### 861 5.1.2 Oxidative Stress-Mediated Genotoxicity

862 Although the mutagenicity of aflatoxins has been primarily attributed to the formation of aflatoxin-  
863 N<sup>7</sup>-gua DNA adducts discussed above, it is being increasingly evident that it also arises from the oxidative  
864 stress (OS) produced by AFB1 metabolism. The OS acts directly on the DNA to induce the so-called  
865 oxidative DNA damage (ODD) or indirectly via the formation of by-products from lipid peroxidation  
866 (LPO) of membrane phospholipids [206,259-260] (Figure 2). Processing of AFB1 in the liver by CYP 450  
867 enzymes induces OS releasing excessive amounts of reactive oxygen species (ROS) that can attack nitrogen  
868 bases and deoxyribose moieties of the DNA and generate more than 100 different DNA adducts [206,261-  
869 262] (Figure 2). The most known and best studied of these adducts is the 7,8-dihydro-8-oxo-2'-  
870 deoxyguanosine (8-hydroxydeoxyguanosine, 8-oxo-dG, 8-OH-dG) derived from the oxidation of the DNA  
871 guanine residue by the hydroxyl radical generated by the OS, which is commonly used as a biomarker for  
872 oxidative DNA damage [206,261,263]. Intraperitoneal injection of AFB1 to rats increased, in a dose- and  
873 time-dependent manner, the levels of 8-oxo-dG in the liver, which was prevented by a pre-treatment of rats  
874 with the antioxidants selenium and deferoxamine, thereby confirming the relationship between the adduct  
875 and the oxidative stress induced by the aflatoxin [264]. Likewise, intraperitoneal injection of a single  
876 tumorigenic dose of 50 mg/kg AFB1 to mice increased by about three-fold the levels of 8-oxo-dG in alveolar  
877 macrophages and non-ciliated bronchiolar cells (Clara or Club cells) preparations isolated from mice  
878 scarified 2 h after the treatment; no such increase, however, was observed in liver tissues of the mice [265].  
879 Consistent with these findings regarding the absence of the adduct in the liver, a recent study showed no  
880 significant increase in seven ROS-modified bases, including 8-oxo-dG, in the liver tissues of rats treated  
881 with 7.5 mg/kg AFB1, as compared with control rats (untreated); whereas the levels of 8,5'-cyclo-2'-  
882 deoxyadenosine, another DNA adduct from oxidative attack of the adenine base, increased significantly  
883 compared with background levels in control rats [220]. The extent of oxidative DNA damage, the type of  
884 adduct produced, and the efficiency and speed in DNA repair were reported to be dependent on the  
885 species, organ, tissue, sub-cellular component, and cell cycle [206]. The lung appears to be the most  
886 common target for DNA damage with 8-oxo-dG accumulating mainly in mitochondria and the nucleus  
887 [263,266-267]. A recent study demonstrated that AFG1 upregulated the expression of tumour necrosis



888 factor (TNF)- $\alpha$  and CYP2A13 in mice alveolar type II (AT-II) cells of lung tissues and in vitro in human AT-  
889 II-like cells (A549), which mediate an inflammation with increased numbers of  $\gamma$ -H2AX- and 8-OHdG-  
890 positive cells in the inflamed tissues [268]. According to the authors, the inflammatory reaction induced by  
891 TNF- $\alpha$  upregulates the expression of CYP2A13, which in turn sustains active metabolism of AFG1 leading  
892 to ODD, as evidenced by the increased expression of the DNA damage marker  $\gamma$ -H2AX. Like AFBO-  
893 derived DNA adducts, 8-oxo-dG lesions mediate G $\rightarrow$ T transversion mutations but they do not specifically  
894 target the *p53* gene and they involve different mechanism and DNA polymerases [264].

895 Regardless of the source of OS-induction, this is a frequent phenomenon in cells, which is normally  
896 counteracted by physiological mechanisms involving antioxidant systems consisting of enzymatic  
897 antioxidants, such as superoxide dismutase, catalase, and glutathione peroxidases, and thioredoxin, or  
898 antioxidants metabolites [206,269]. It also triggers modulatory signalling pathways to balance the  
899 associated inflammatory reactions, among which Nrf2 (Nuclear erythroid-2 related factor-2)/ARE pathway  
900 was reported to play a pivotal role. In this pathway, the Nrf2, a transcription factor that is normally  
901 sequestered by Keap 1 (Kelch-like ECH-associated protein) is liberated and upregulates ARE gene  
902 expression of detoxification enzymes, such as haemoxygenease-1 (HO-1), which inhibits pro-inflammatory  
903 cytokines and activates anti-inflammatory cytokines [270]. Yet, the above-mentioned OS-modulatory  
904 means may be of limited efficacy to prevent DNA damage that should be repaired before replication in  
905 order to preserve the genomic stability and prevent cumulative mutations and genotoxicity [206]. The 8-  
906 oxo-dG lesions are primarily repaired by BER mechanism using, for example, 8-oxoguanine DNA  
907 glycosylase 1 (OGG1) enzyme, a multifunctional DNA glycosylase that specifically recognizes the damaged  
908 base and excises it by breaking the N-glycosidic bond. The enzyme then cleaves the DNA backbone leaving  
909 an AP site to be filled by the appropriate nucleotide in subsequent steps using specialized DNA  
910 polymerases [260]. However, when the amounts of ROS are too high to be balanced by cellular antioxidant  
911 defence mechanisms, the DNA damages cannot be repaired timely and they accumulate to produce various  
912 genetic abnormalities, including erroneous gene expression, multiple mutations, genomic instability, and  
913 eventually tumorigenicity [206]. The production of 8-oxo-dG by OS has indeed been reported to be an  
914 important means by which AFB1 causes cancer in various organs in humans and animals [220,264,271].

915 As was mentioned above, AFB1 can also induce DNA damage by OS indirectly via the production  
916 of ROS which, in turn, attack oxidatively membrane phospholipids and release different mutagenic  
917 aldehydes (Figure 2). Among 33 known LPO-derived aldehydes, malondialdehyde (MDA), acrolein (Acr),  
918 4-hydroxy-2-nonenal (HNE), and 4-oxo-2(E)-nonenal (ONE) are the most predominant and can react with  
919 DNA bases to generate pro-mutagenic exocyclic DNA adducts leading to genomic instability and possibly  
920 to carcinogenicity [272-278]. For example, acetaldehyde (Ace), Acr, Cro, and HNE can bind to the DNA  
921 guanine residue to form the highly mutagenic 1,N<sup>2</sup>-propano-2'-deoxyguanosine (1,N<sup>2</sup>-propanodGuo, 1,N<sup>2</sup>-  
922 PdG) adduct [259,277,279-281]. However, few studies, to our knowledge, have identified the specific  
923 aldehydes produced by AFB1-induced LPO and their contribution to cancer development in human or  
924 animals. An early study demonstrated a dose-dependent production of MDA and conjugated dienes in rat  
925 liver homogenates treated with AFB1; and this production was prevented by a pre-treatment of the cells  
926 with antioxidants and iron chelators [282]. The study also demonstrated that the aldehydes produced  
927 accumulate in the cellular microsomes, nucleus, and mitochondria and damage them. A subsequent study  
928 conducted in the same laboratory further demonstrated that lipid peroxidation in hepatocytes increased  
929 with increasing doses of AFB1 of 10-100 mM [283]. Although the latter study provided evidence for the  
930 implication of hydrogen peroxide and hydroxyl radical as the main AFB1-generated ROS responsible for  
931 LPO, it provided no indication about the nature of the resulting aldehydes. Conversely, a recent study  
932 showed that AFB1-induced OS in human hepatocytes (HepG2) released Acet and Cro with a subsequent  
933 formation of the highly mutagenic cyclic  $\alpha$ -methyl- $\gamma$ -hydroxy-1,N<sup>2</sup>-propano-deoxyguanine (meth-OH-  
934 PdG) DNA adduct [284]. Interestingly, the study demonstrated that OS plays more prominent role in AFB1-  
935 mediated mutagenicity than does AFBO, as was substantiated by the following findings: (i) AFB1 treatment

936 of the human hepatocytes generated more than 30 times higher levels of meth-OH-PdG adducts than AFB1-  
937 N<sup>7</sup>-gua, (ii) like AFB1, Ace and Cro targeted the hotspot codon 249 on *p53* gene to cause G→T transversion  
938 mutations, but they had higher preferences for the site than AFBO, and (iii) the DNA repair of the meth-  
939 OH-PdG lesions produced by Ace and Acr was significantly slower than that observed with AFBO-derived  
940 DNA lesions. Indeed, strong inhibition of both BER and NER by LPO-generated aldehydes and its  
941 enhancement by the accompanying epigenetic modifications is well documented [285-287]. In addition to  
942 reduced DNA repair of the meth-OH-PdG-mediated lesions, methylation of the cytosine on codon 248 (-  
943 \*CGG-) was shown to promote the adduct formation on the adjacent codon 249 of tumour suppressor *p53*  
944 gene [284]. Moreover, the concomitant production of 8-OH-dG by ODD, discussed above, may enhance the  
945 epigenetic effect, as this adduct was shown to increase cytosine methylation at the -\*CpG- islands [288]. On  
946 the other hand, it is well established that reactive LPO-induced aldehydes are more mutagenic than the  
947 free radicals and the highly reactive AFBO [272]. Indeed, reactive aldehydes can act remotely from the site  
948 of their formation, contrary to the short-lived and highly instable free radicals and epoxides. This may also  
949 account for a reason why AFB1 can mediate cancer in organs distant from the liver where the aflatoxin is  
950 activated and metabolized [196]. Despite the shortage in studies related to aflatoxin-mediated OS, the  
951 available data clearly suggest that OS plays more important roles in aflatoxin toxicities than presently  
952 assumed. In fact, the above-mentioned study of [Weng, Lee, Choi \[284\]](#) suggests that the role of OS has  
953 been undermined so far and should be further investigated. The high efficacy of selenium in preventing  
954 HCC onset in chicken provides additional evidence for the implication of OS in tumorigenicity of  
955 aflatoxins, since this trace mineral acts primarily by enhancing the anti-oxidative capacity of cells [289-290].  
956 It also provides an additional hint for the toxicity of aflatoxins, which do formation of the reactive epoxide  
957 upon metabolic activation by the liver cytochrome enzymes, such as AFB2 and AFG2 (Figure 1) and other  
958 AFB1 metabolites [32] whose furan ring does not have the double bond between C8 and C9 carbons.  
959

## 960 6 Immunotoxicity

961 Increased frequency and severity, and prolonged healing of infectious diseases, in addition to  
962 decreased vaccination efficacies provided evidence that aflatoxins disrupt both innate and  
963 acquired/adaptive immunity [291-295]. The general mechanisms of AFB1 immunotoxicity via AFBO is  
964 presented in Figure 2. It can be seen from the figure that AFBO interacts with immunocompetent cells  
965 throughout the body to affect their proliferation and/or production of immune response mediators, thereby  
966 disrupting the innate and adaptive immunity. Although most studies to illustrate these mechanisms have  
967 been carried out on animals, the immunotoxicity of AFB1 has also been substantiated in vitro on human  
968 cell lines and in case-control studies in highly exposed regions, e.g., Ghana [293,296-298]. However, few  
969 studies to our knowledge have investigated the immunotoxicity of aflatoxins other than AFB1 or its  
970 combination with other mycotoxins [299-302]. Meanwhile, there has been a general agreement that low or  
971 moderate concentrations of AFB1 have no or a marginal immunotoxicity, and that cell-mediated immunity  
972 (CMI) is more susceptible to aflatoxins than humoral immunity [293,300,303-304].

973 A concentration of 60 µg AFB1/kg feed given ad libitum to weanling pigs for 33 days had no  
974 noticeable effects on the counts of different types of leukocytes and lymphocytes, or on antibody and  
975 cytokine titres, while the highest concentration tested of 180 µg/kg feed had only moderate effects on  
976 leukocyte counts and Tumour necrotizing factor (TNF)-α [301]. This appears to be especially relevant that  
977 young pigs are among the most susceptible hosts to aflatoxins [305]. In rats, oral administration of 100 µg  
978 AFB1/kg bw once a week for five weeks inhibited only slightly the proliferation of lymphocytes with no  
979 significant effect on related secretions of cytokines, chemokines, and immunoglobulins in the serum; a ten-  
980 fold higher dose of 1 mg AFB1/kg bw could only increase numbers of CD8<sup>+</sup> (cytotoxic T lymphocytes),  
981 while various other immunological parameters remained unchanged [306]. Similarly, feeding rats ad  
982 libitum on feed contaminated with AFB1 at different levels (0.01-1.6 mg/kg feed) for longer periods (up to

983 40 weeks every other 4 weeks) exerted significant effects on the immune response only at the highest  
984 concentrations of 0.4 and 1.6 mg/kg after 12 weeks of exposure or longer [307]. Nonetheless, other studies  
985 suggested that lower concentrations of aflatoxins and shorter durations of exposure can still alter the  
986 immune response. For example, feeding rats with diet containing five to 75 µg AFB1/kg bw for five weeks  
987 [308], or dosing mice intraperitoneally with 25 or 50 µg AFM1/kg bw five days per week for 4 weeks [299]  
988 have altered their immunity in time- and dose-dependent manner.

989 On the other hand, the higher susceptibility of CMI compared with the humoral immunity is well  
990 documented, as has been reviewed previously [293,298]. For example, dosing rats with 0.6 mg AFB1/kg bw  
991 had no significant effect on IgM titre, while ten-fold lower dose (0.06 mg/kg bw) could inhibit lymphocyte  
992 proliferation [309]. Also, ingestion of 0.1 or 1 mg AFB1/kg bw did not alter anti-ovalbumin IgE and IgG  
993 antibody production in rats mesenteric lymph nodes despite their significant action on the proliferative  
994 activity on B and/or T lymphocytes [306].

995 Regarding the mode of immunomodulation of the immune function by aflatoxins, most of the  
996 available data suggest that they mainly exert suppressive effects; however, in vitro and in vivo studies have  
997 demonstrated that they can also dysregulate the immune response via immunostimulatory effects [310-  
998 311].

### 999 6.1 Immunosuppression

1000 Immunosuppression is manifested by the destruction of the physical barriers as a first line defence  
1001 against invaders (pathogens and toxins), the inhibition of proliferation and function of immunocompetent  
1002 cells, or the decrease in complement system activity, thereby interfering with both innate and adaptive  
1003 immunity [293,298].

#### 1004 6.1.1 Innate immunity

1005 Destruction of physical barriers such as the skin and the intestinal epithelial cells with a consequent  
1006 impairment of the barrier function against microbial and toxin invasions has been demonstrated in vivo  
1007 and in vitro. Contact of AFB1 with the skin of different animal was reported to elicit various types of lesions  
1008 spanning from the formation of intra-epidermal vesicles to squamous cell carcinoma [302,312-314]. Feeding  
1009 pigs for 28 days on feed contaminated with mixtures of aflatoxins (AFB1, AFB2, AFG1, and AFG2)  
1010 produced crusting and skin ulceration on the snout, lips, and buccal commissures [302]. Aflatoxins have  
1011 also been demonstrated to disrupt the integrity and function of the mechanical barrier of intestines by  
1012 interfering with the cell cycle progression or by destroying the intestinal epithelial cells and the tight  
1013 junctions (TJs) that cement them together. Administration of 0.6 mg AFB1/kg diet to broilers for 3 weeks  
1014 stalled the cell cycle at the G2/M phase causing reduction in the height jejunum and in the ratio of villus  
1015 height/crypt, thereby impairing their function as a selective barrier [315]. These findings were recently  
1016 corroborated by feeding broiler chicken with feed containing 0.6 mg AFB1/kg for up to 21 days and  
1017 monitoring structural and functional changes in the small intestine [316]. The study showed various  
1018 structural and histopathological injuries similar to those described above regarding the increased depth of  
1019 villi with decreased height and area [315], in addition to other histopathological alterations in the small  
1020 intestine, including mitochondrial vacuolation and loss of cristae, reduced numbers of the absorptive cell  
1021 goblets and the junctional complexes. Such changes alter dramatically the barrier function of the intestine  
1022 to interfere not only with nutrient absorption, but also with the innate immune response as a protective  
1023 means against the invasion of pathogens or toxins. Indeed, increased gut permeability was induced in  
1024 broilers fed on feed contaminated with 1.5 mg AFB1/kg for 20 days [317]. Lower concentrations of aflatoxins  
1025 AFB1 (16.3-134 µg/kg feed) and AFB2 (3.15-23.6 µg/kg feed) orally administered to broilers for up to 42  
1026 days disturbed the cell cycle progression and apoptosis causing histopathological lesions with different  
1027 severities in thymus and bursa fabricius where T and B lymphocytes undergo maturation, respectively  
1028 [318]. At the molecular level, aflatoxins have been demonstrated to alter the mechanical, chemical, and

1029 immune barriers that protects the intestinal mucosa against various external threats. In vitro exposure of  
1030 human cell line CacO-2 to 1-100  $\mu$ M of AFB1 for 48 h decreased the trans-epithelial electrical resistance  
1031 (TEER) with consequent increase in the paracellular permeability and decrease in the viability [319]. The  
1032 study related the latter effects to downregulation of the transcription of three constitutive proteins of the  
1033 TJs, claudin-3, claudin-4, and occludin. In a similar study, CacO-2/TC7 cells exposed to AFM1 (3.2 and 33  
1034 nM) for 24 h showed reduced the TEER of the monolayer and accelerated transport of the aflatoxin through  
1035 it, meanwhile, the TJs and their constitutive proteins remained intact [320]. Likewise, the selective  
1036 permeability of CacO-2 cells was disrupted upon exposure to different amounts of AFM1 (0.2 to 20  $\mu$ M) for  
1037 48 h [321]. The latter study associated the permeability disruption to reduction of TEER, down-regulation  
1038 of the expression of structural TJ proteins (claudin-3, claudin-4, occludin, zonula occludens-1), and decrease  
1039 in the levels of p44/42 mitogen-activated protein kinase (MAPK) involved in cell death or cell survival.  
1040 Other AFB1-induced structural disturbances of the gastro-intestinal tract that alter immune functions with  
1041 special focus on broiler chicken have been thoroughly reviewed previously [311].

1042 Effects of aflatoxins on immune cells that play key roles in the innate immunity, such as monocytes,  
1043 macrophages, dendritic cells (DC), and natural killer (NK) cells to restrict their viability, function, or genetic  
1044 expression of cytokines and chemokines is well documented (Figure 2). Exposure of broilers to AFB1 was  
1045 reported to repress the transcription of toll-like receptors (TLR) TLR-2, TLR-4, and TLR-7, indicating a  
1046 suppressive effect on the innate immunity where these receptor proteins are involved in the recognition of  
1047 external invaders by sentinel cells, e.g., macrophages and dendritic cells, as a key step to trigger this type  
1048 of immune response [316]. AFB1 at low doses 10 ng/mL were also reported to reduce the antigen-presenting  
1049 activity of porcine dendritic cells, although this reduction could not be associated with down-regulation of  
1050 the expression of TLRs or specific cytokines [322]. Moreover, aflatoxins AFB1, AFB2, and/or AFM1 were  
1051 reported to reduce viability, proliferation, cytotoxicity, and phagocytic activity of macrophages as well as  
1052 their expression of cytokines, e.g., TNF- $\alpha$ , IL-1, and IL-6, and the inducible nitric oxide synthase (iNOS)  
1053 that mediate intracellular killing of pathogens in phagocytosis [300,323-327]. Recently, AFB1 was  
1054 demonstrated to dysregulate the innate immune function mediated by autophagy and external trap  
1055 formation in M1-type macrophages responsible for inflammatory reaction, which is triggered by the  
1056 secretion of pro-inflammatory cytokines, such as TNF- $\alpha$ , IL-1 $\beta$ , IL-6, IL-12, IL-23, [310]. Pre-treatment of  
1057 human monocytes with as low concentration as 0.1 pg AFB1/mL for 24 h prior to incubation with *Candida*  
1058 *albicans* for 30 min at 37°C has impaired significantly their phagocytic and killing activities towards the  
1059 pathogenic yeast [328]. In vitro reduction of chemotactic response to bacterial chemoattractant factor, a  
1060 phagocytic stimulatory mediator, was demonstrated on neutrophils harvested from the blood of piglets  
1061 that had been suckling milk contaminated with AFB1, AFM1, and AFG1 [329]. Intraperitoneal  
1062 administration of AFM1 to mice at doses of 25 and 50 mg/kg bw reduced significantly its phagocytic activity  
1063 against *E. coli* [299]. As regards the effects of aflatoxins on the proliferation and cytotoxic activity of NK  
1064 cells, conflicting data are available in the literature. While mice gavage with 0.03-0.7 mg AFB1/kg inhibited  
1065 cytolysis of YAC-1 cells by NK cells in BALB/c [330], the same concentrations or even higher (24 mg/kg bw)  
1066 had no such effect in C57B1/6 mice [331]. However, a significant reduction in the proliferative and cytotoxic  
1067 activities of human NK cells was demonstrated in vitro upon incubation of the cells with 0.005-0.05 ng  
1068 AFB1/mL [332]. Phagocytic and cytotoxic activities of dairy cow neutrophils against *Staphylococcus aureus*  
1069 and *Escherichia coli* were also dramatically hampered upon exposure to low doses of AFB1 (0.01, 0.05 and  
1070 0.5 ng/mL) for 18 h, which was ascribed to the depletion of neutrophil cytosol from ROS, playing pivotal  
1071 role in the killing process of pathogens during phagocytosis, rather than affecting the viability of the  
1072 neutrophils themselves [333].

1073 The complement system as a crucial component of the innate immunity that activates phagocytosis  
1074 of infectious pathogens, was shown to be inhibited by aflatoxins in various animals. Dosing guinea pigs  
1075 *per os* daily with 30  $\mu$ g AFB1 or greater amounts for 20 days decreased the complement activity [334], while  
1076 a dose of 10  $\mu$ g has no noticeable effect on these innate immune mediators [335]. A decrease in the



1077 complement activity was also demonstrated by feeding trials in cattle and poultry at different threshold  
1078 levels [303,311,336]. Concentrations ranging between 0.11 to 0.21 mg AFB1/kg feed were shown to impair  
1079 both classical complement pathway and alternative pathway of complement activation (APCA) in duckling  
1080 [337]. However, according to Valtchev, Koynarski, Sotirov [304], feeding ducklings with AFB1 at doses of  
1081 0.5 or 0.8 mg/kg feed for 40 days had a stimulatory effect on the APCA in the first 15 days followed by  
1082 suppressive effect during the next days of the experiment. Yet, the effect of aflatoxins on the complement  
1083 system may depend largely on the host, as no significant change in the serum hemolytic activity (CH<sub>50</sub>) was  
1084 recorded in rabbits exposed to as high level as 24 mg/kg feed for 28 days [338]. AFM1 was demonstrated  
1085 to reduce significantly the complement system in Balb/c mice receiving a dose of 25 or 50 mg/kg bw, as  
1086 evidenced by a decrease in CH<sub>50</sub> using rabbit anti-sheep red blood cells (RBC) IgG antibodies and sheep  
1087 RBC [299].

#### 1088 6.1.2 Adaptive immunity

1089 Suppression of adaptive/acquired immunity upon exposure to aflatoxins is well established  
1090 indicating the increased vulnerability of exposed hosts to infectious agents, as well as the reduced or failed  
1091 protection of vaccination [295,339-340]. The latter effect has been demonstrated in poultry by  
1092 epidemiological studies correlating aflatoxin exposure to poor protection by vaccination against Newcastle  
1093 disease [311] and infectious bronchitis [341]. Similar suppressive effect of immunisation was reported in  
1094 pigs where vaccination failed to protect them against *Erysipelothrix rhusiopathiae* when given AFB1-  
1095 contaminated feed, contrary to a control group receiving aflatoxin-free feeds [340]. Also, decreased  
1096 proliferation, activation, and/or function of lymphocytes, as the main immune cells that promote adaptive  
1097 immunity, has been demonstrated in humans and animals. A dose- and time-dependant apoptotic effect  
1098 was observed on human peripheral blood lymphocytes incubated with different doses of AFG1 (3.12-2000  
1099 µg/L) for different times (2-72 h) [342-343]. In vitro exposure of human lymphocytes to AFB1 at  
1100 concentrations of 5-165 µM induced a dose-dependent increase in numbers of apoptotic and necrotic  
1101 lymphocytes, with an evident rise in cell necrosis starting from 50 µM (~15.6 mg/L) at 24 h of incubation  
1102 [344]. In vitro exposure of human lymphoblastoid Jurkat T-cell line to AFB1 or AFM1 at 3-50 µM for up to  
1103 72 h inhibited the proliferation of the T cells in a dose-dependent manner starting at 15 µM, but did not  
1104 cause their apoptosis or necrosis [345]. According to the same study, AFB1 and AFM1 increased  
1105 significantly the expression of IL-8 involved in innate immunity, while the adaptive immunity remained  
1106 unaffected as suggested by unchanged levels of INF-γ and IL-2 cytokine compared to negative control cells  
1107 incubated in the absence of aflatoxins. A concentration of 10 mg AFB1/L or greater inhibited the  
1108 differentiation of mitogen-induced T and B lymphocytes in cattle with a consequent impairment of both T-  
1109 cell dependent and T-cell independent humoral immunity, and hence immunoglobulin production [346].  
1110 Up-to 10 mg AFB1/kg feed was required to suppress IgG and IgA production by B lymphocytes and to  
1111 restrict the humoral response against *Salmonella* and rabbit red blood cells in chicken [339,341,347]. Also,  
1112 intraperitoneal administration of 50 µg AFM1/L for four weeks (five times a week) to mice did not affect  
1113 the concentration of IgM in the blood serum [299]. In addition, a dose of 1.8 mg AFB1/kg feed given to pigs  
1114 for 18 days did not stimulate anti-ovalbumin IgG production in the serum despite the induction of  
1115 mitogenic activity of lymphocytes, indicating that this dose of the aflatoxin specifically suppresses the  
1116 activation of B lymphocytes but not their proliferation [294]. Concentrations below 0.5 mg AFB1/kg feed  
1117 did not affect antibody responses to *Pasteurella multocida*, *Salmonella pullorum* and Newcastle disease in  
1118 broiler chicken and turkey [303]. Although it is now well established that disruption of humoral immunity  
1119 requires higher aflatoxin dosage than does CMI, no consensual threshold levels that alter CMI or humoral  
1120 immunity have been reached so far. Such levels vary widely depending on the species, the age, the gender,  
1121 and the route of administration. In poultry, doses of 0.4 and 1.0 mg AFB1/kg feed are the most accepted  
1122 such thresholds for CMI and humoral immunity, respectively [311].

1123           Suppression of adaptive CMI has been studied on laboratory animals, mainly poultry and rodents,  
1124 demonstrating a decrease in numbers of different subsets of T-cell lymphocytes and cytokines they  
1125 produce, as key elements in this type of immune response [311,348]. Adaptive CMI suppression by  
1126 aflatoxins was also evidenced by decreased delayed-type hypersensitivity (DTH) in different animals at  
1127 concentrations ranging between 0.3 and 1.0 mg/kg feed [311,349]. DTH was significantly delayed/decreased  
1128 in broilers and turkeys receiving AFB1 dose of 0.2 mg/kg feed or higher for 33 days [347]. Conversely, a  
1129 subsequent study showed that a two-fold higher dose did not affect DHT in broilers, which was, by  
1130 contrast, significantly reduced when the same dosage consisted of a combination of AFB1 and AFB2 [350].  
1131 A one-day-old broilers receiving 0.6 mg AFB1/kg feed for three weeks displayed reduced proportions of  
1132 CD3<sup>+</sup>, CD3<sup>+</sup>CD4<sup>+</sup>, and CD3<sup>+</sup>CD8<sup>+</sup> T-cell subsets as well as the transcription of different cytokines in the birds  
1133 intestines, thereby impeding adaptive CMI [348], where these T-cell subsets and some of the inhibited  
1134 interleukins, e.g., IL-2 and INF $\gamma$ , play crucial role [351]. Proliferation and cytokine production by splenic  
1135 helper T lymphocytes (CD4<sup>+</sup>) involved in acquired cellular immunity were also reduced in rats given AFB1  
1136 doses ranging between five and 75  $\mu$ g/kg bw for five weeks [308]. Similar effects were induced by AFM1 in  
1137 mice dosed intraperitoneally with at 25 or 50  $\mu$ g/kg bw five days per week for 4 weeks, where suppression  
1138 of acquired CMI was evidenced by a decrease in DTH and related T lymphocytes subsets (CD3<sup>+</sup>, CD4<sup>+</sup>,  
1139 CD8<sup>+</sup>, CD19<sup>+</sup> and CD49b) as well as the interleukins they produce, e.g., INF $\gamma$ , IL-10, and IL-4 [299]. In  
1140 humans, elevated levels of AFB1, as estimated by the concentrations of AFB1-albumin adduct in the serum,  
1141 were highly correlated with the decrease in lymphocyte subsets CD3<sup>+</sup> and CD19<sup>+</sup> bearing the D69 activation  
1142 marker (i.e., CD3<sup>+</sup>CD69<sup>+</sup> and CD19<sup>+</sup>CD69<sup>+</sup>), and CD8<sup>+</sup> T-cells which play a central role in vaccination and  
1143 immune response against pathogens [297]. The latter results suggest that AFB1 impairs acquired CMI in  
1144 humans and decreases their resistance to infections, consistent with the reported accentuation of impaired  
1145 activation of CD8<sup>+</sup> and CD4<sup>+</sup> T lymphocytes in human immunodeficiency virus (HIV)-positive Ghanaian  
1146 patients [352].

1147           It should be pointed out, however, that humoral immunity and CMI whether they are acquired or  
1148 innate cannot always be separated. For example, any dysregulation of the proliferation and/or TLRs  
1149 expression in dendritic cells will have direct repercussions on innate and adaptive immunity, as these  
1150 antigen-presenting cells are key intermediates between both types of immune response [327,353].

## 1151 6.2 Immunostimulation

1152           Regarding the immunostimulatory effects, there is increasing evidence that aflatoxins illicit a  
1153 biphasic immune response with a stimulatory action in the first phase and suppressive action in the second  
1154 [311]. According to Valtchev, Koyarski, Sotirov [304], exposure to low doses of aflatoxins for short periods  
1155 stimulates the immune system, while exposure to higher doses for longer periods exerts  
1156 immunosuppressive effects. For example, the transcription of TLR-2 and TLR-4 was up-regulated in human  
1157 myeloid dendritic cells (DC) exposed to environmentally relevant doses of AFB1 (1 or 2  $\mu$ g/L) for 2 to 24 h  
1158 [296]. Up-regulation of TLR expression have been demonstrated in different immune cells from different  
1159 organs as a means to sense very low levels of aflatoxins [209,296,327,333]. A single dose of 663  $\mu$ g AFB1/kg  
1160 bw given to mice by gavage up-regulated the production of both the inflammatory cytokine IFN- $\gamma$  and the  
1161 anti-inflammatory cytokine IL-4 after 5 days of the ingestion [354]. The authors attributed such an unusual  
1162 reaction to the activation of innate immune cells after a short time of administration of a high dose in a  
1163 single shot, as a first step preceding the trigger of an adaptive response. Intermittent intake of AFB1  
1164 simulating the actual situation was also reported to result in an alternation of suppressive and  
1165 stimulatory/compensatory effects upon exposure and resting (aflatoxin-free diet) periods, respectively  
1166 [307]. Despite the conflicting data and lack of consensus regarding the cytokine types induced in response  
1167 to aflatoxin exposure, unnecessary up-regulation of the immune response stimulates the production of  
1168 tissue-damaging inflammatory molecules and free radicals leading to chronic inflammation, cancer, and  
1169 nervous system degenerative diseases [209,268]. Moreover, low levels of a mixture of aflatoxins (AFB1,

1170 AFB2, AFG1, and AFG2) increased the antigen-presenting capacity of dendritic cells that stimulate T-cell  
1171 proliferation, which was suggested to breakdown the immunological tolerance and increase host  
1172 susceptibility [322].

## 1173 7 Teratogenicity

1174 Exposure of pregnant females or birds to aflatoxins can affect embryos *in utero* or in fertilized eggs,  
1175 respectively, producing various adverse health effects and different pathological gestation/incubation  
1176 outcomes [355]. In mammals, systemic blood circulation in highly exposed mothers conveys aflatoxins  
1177 or their toxic metabolites to foetuses, as has been substantiated in highly exposed pregnant women from  
1178 African and Asian countries, as well as in animals. Indeed, aflatoxins and/or biomarkers derived thereof,  
1179 e.g., aflatoxin metabolites, and aflatoxin-DNA and aflatoxin-albumin adducts, were detected in the cord  
1180 blood of the foetus or in both foetal cord and maternal blood samples [356-360]. Accordingly, it was  
1181 concluded that aflatoxins or their metabolites in pregnant women are transmitted to the foetus and  
1182 metabolized through the same pathways as in adults [360]. Therefore, pregnancy of highly exposed  
1183 mothers is prone to various outcomes, including foetal growth restriction, foetal loss, and premature birth.  
1184 Growth restriction has been documented in humans and animals where an inverse relationship between  
1185 the birthweight and the amounts of appropriate biomarkers in the cord blood has been extensively  
1186 demonstrated [357,361-363]. Conversely, few studies have related high-aflatoxin exposure of pregnant  
1187 women to stillbirth, while studies on the association of high aflatoxin intake by pregnant women with  
1188 premature birth and foetal loss are either non conclusive [363] or lacking [362]. On the contrary, decrease  
1189 in live birth and litter size, impairment of organ development, and skeletal anomalies in offspring have  
1190 been demonstrated in animals given aflatoxins at daily doses ranging between 0 (nil) and 100 µg/kg bw,  
1191 which was explained by the binding of aflatoxins to the DNA and the hindrance of protein synthesis  
1192 [355,364-368]. This view that can apply to humans, as aflatoxins bind to human DNA in the same way, but  
1193 it remains to be clinically demonstrated.

1194 In addition to the above-mentioned adverse health effects, aflatoxin-rich diet of pregnant females  
1195 affects their health and expose their foetuses to indirect consequences with congenital abnormalities. For  
1196 example, up-regulation of maternal pro-inflammatory cytokines and/or downregulation of anti-  
1197 inflammatory cytokines induce systemic inflammation that impairs the placental growth and causes its  
1198 insufficiency ultimately leading to poor foetal growth, miscarriage and stillbirth, or prematurity  
1199 [301,356,361]. Also, the cytotoxic activity of aflatoxins induces anaemia in mothers by lysing red blood cells  
1200 or interfering with nutrient, e.g., iron, selenium, and vitamins, absorption with consequent poor foetal  
1201 growth and/or prematurity [369-371]. The association of anaemia and high aflatoxin intake, as determined  
1202 by AFB-albumin adduct in the mothers' serum, was demonstrated in cross-sectional study on Ghanaian  
1203 women [363]. On the other hand, the association of anaemia to red blood cell lysis by aflatoxins was  
1204 demonstrated *in vitro* and in animal species dosed with 0.5 to 1.0 mg/kg bw [372-375]. However, it appears  
1205 that the environmentally relevant levels of aflatoxins remain below the doses that can elicit red blood cell  
1206 lysis in humans. Conversely, there is a lack of evidence on the association between inflammation-induced  
1207 anaemia in pregnant women and their exposure to aflatoxins. As matter of fact, there are many gaps in the  
1208 knowledge of doses, mechanisms, and outcomes of exposure to aflatoxins in pregnant women that require  
1209 more attention and rigorous scientific approaches to be clearly understood and eventually avoided to  
1210 ensure safe pregnancy and birth.

## 1211 8 Other Adverse Health Effects of Chronic Exposure to Aflatoxins

1212 In addition to the major toxicological effects reviewed above, aflatoxins exert various other adverse  
1213 health conditions with overlapping mechanisms and risk factors. These include malnutrition diseases  
1214 (faltering and stunting), retarded physical and mental maturity, reproduction and sexuality, and nervous  
1215 system diseases (neurodegenerative diseases and neuroblastoma) [180,376-378]. However, most of the

1216 latter effects have been scarcely investigated to cover the main pertaining aspects from applied and  
1217 mechanistic standpoints. Therefore, further studies are needed for clearer insights on these issues to have  
1218 an accurate and realistic opinion on the risk they may pose to the public health. This section addresses  
1219 malnutrition and neurodegenerative diseases, which have been relatively well studied

### 1220 8.1 *Aflatoxins and malnutrition*

1221 Malnutrition is probably one of the above-mentioned aspects that has received the most attention  
1222 due to its impact on the childhood in many developing countries, where children are already facing food  
1223 shortage to ensure balanced and healthy growth, and hence be well prepared to adulthood as active and  
1224 productive individuals. Exposure to aflatoxins exacerbates such poor nutritional status by interfering with  
1225 the absorption of vitamins and minerals, as is the case for vitamins A, C, and E, and selenium, which not  
1226 only deprives children/consumers from these essential micronutrients, but also increases their  
1227 susceptibility to aflatoxins that they normally detoxify owing to their inherent antioxidant or CYP P450  
1228 inhibitory activities [290,355,379]. As a result, exposed children may experience growth disorders from the  
1229 gestational stage as discussed above to the adulthood with stunting and retarded physical and mental  
1230 maturity [380]. Indeed, in African countries, growth faltering among children below 5-years old was  
1231 correlated with chronic exposure to high levels of aflatoxins when they rely on local agricultural products,  
1232 e.g., maize, peanut, and derivatives as staple foods [381]. On the other hand, severe protein energy  
1233 malnutrition (PEM) diseases, Kwashiorkor and marasmic kwashiorkor, have been associated with chronic  
1234 exposure to high levels of dietary aflatoxins in different African countries [382-385]. However, since all the  
1235 relevant studies were conducted in poor household environments where children are invariably fed on  
1236 local agricultural products with poor nutritional and hygienic quality and limited availability, PEM could  
1237 be due to the limited access to enough nutritious foods rather than aflatoxin intake. To address this  
1238 particular issue, a study has been conducted on malnourished Sudanese children with Kwashiorkor,  
1239 marasmic kwashiorkor, or marasmus. The results of the study revealed that a group of kwashiorkor and  
1240 marasmic kwashiorkor children had significantly higher levels of AFB1 and its derivative aflatoxicol in  
1241 their sera and urine compared with a group of malnourished children with marasmus and a group of age-  
1242 matched normally nourished children [384]. Accordingly, the authors concluded that kwashiorkor is  
1243 definitely correlated with high chronic exposure to aflatoxins as either secondary to liver damage or an  
1244 aetiological factor of the disease, which remains to be further substantiated by appropriately designed  
1245 future studies [384].

### 1246 8.2 *Aflatoxins and neurodegenerative diseases*

1247 In addition to the classically known adverse health effects of aflatoxins, there is increasing body of  
1248 evidence that chronic exposure to aflatoxins can also be responsible for neurodegenerative disorders. The  
1249 AFBO and ROS generated by CYP450 enzymes and aflatoxin-induced oxidative stress, respectively, react  
1250 with functional macromolecules in neuronal brain cells where they inhibit lipid and protein synthesis to  
1251 induce their degeneration [386]. Aflatoxins were also reported to disrupt the structure and function of  
1252 mitochondria of brain cells that impedes the oxidative phosphorylation leading to cell apoptosis [387]. In  
1253 addition, the detection of aflatoxins in brain tissues of kwashiorkor-deceased child and their association  
1254 with Rey's disease (cerebral edema and neuronal degeneration) is a strong indication that they can cross  
1255 the brane blood barrier and infiltrate the nervous system that they degenerate [209,376]. Although scarce,  
1256 epidemiological studies have indeed demonstrated the neurotoxicity of aflatoxins in humans and animals.  
1257 In a recent study, rats dosed with 1/600<sup>th</sup> their LD<sub>50</sub> dysregulated the levels of biochemical biomarkers of  
1258 the oxidative stress indicative of neurodegenerative disorders, which were corroborated by  
1259 histopathological and immunohistochemical tests showing vasodilation, necrosis and astrocytes gliosis  
1260 [376]. In addition to the oxidative stress, aflatoxins induce neurodegenerative disorders by dysregulating



1261 the immune response of immunocompetent cells to create a proinflammatory conditions in the central  
1262 nervous system [209].  
1263

## 1264 9 Acute toxicity

1265 The ingestion of aflatoxins at high levels in a single dose or repeatedly for a short period of time  
1266 induces acute intoxication in humans and animals with typical symptoms, including jaundice, lethargy,  
1267 nausea, edema, hemorrhagic necrosis of liver tissues, bile duct hyperplasia, and eventually death (10-60%)  
1268 subsequent to severe liver damage [388]. Although there is no consensus on the specific dose of aflatoxins  
1269 that triggers acute toxicity in humans, it is well established that such a dose is highly variable depending  
1270 on many factors, including the age, gender, health and nutritional status, presence or absence of underlying  
1271 factors (e.g. chronic viral hepatitis, alcoholism, smoking, cirrhosis, exposure to hepatotoxic microcystins);  
1272 and it is lowest in youngsters, as substantiated by the highest death rates of this age-group in aflatoxicosis  
1273 outbreaks [180,185,187]. A rough estimation of the acute dose of AFB1 concerned a case report on a 15-  
1274 years old Ugandan child weighing 36 kg who has been eating AFB1-contaminated cassava on a daily basis  
1275 until he died by liver failure [191]. The authors calculated the likely cumulative amount of cassava that  
1276 caused the child's death to be 3.1 kg contaminated with 1.7 mg/kg, corresponding to a total dose of 146  
1277 µg/kg bw that he had eaten in 22 successive days before death. However, these calculations are very  
1278 approximate, as they were based on the lethal dose of AFB1 to monkeys, and on the assumption that the  
1279 AFB1 concentration in cassava, determined retrospectively after the death, was constant throughout the  
1280 whole period of intake preceding the death. Nevertheless, the outcome of these calculations, is in  
1281 accordance with an estimation of the world health organization (WHO) based on records of aflatoxicosis  
1282 outbreaks worldwide and in vitro tests, which considers that regular consumption of food contaminated  
1283 with 1 mg AFB1/kg or higher for a short period causes acute intoxication in humans [178]. According to  
1284 the same report, daily consumption of food contaminated with AFB1 at a dose of 0.02-0.12 mg/kg bw over  
1285 1 to 3 weeks causes a life threatening aflatoxicosis. Furthermore, the cumulative lethal dose in humans was  
1286 suggested to vary from 10 to 20 mg for adults and 3 mg for children [186], which is also consistent with the  
1287 estimated total dose of ~5.3 mg ingested in 22 days by the Ugandan teenager [191]. Nonetheless, deliberate  
1288 ingestion of 5.5 mg chemically pure AFB1 over two days and 35 mg over two weeks in suicide attempts by  
1289 an adult women from the USA was reported to cause no serious aflatoxin-related injuries at admission to  
1290 the hospital for mild symptoms and even 14 years later [192]. Although difficult to explain, this could be  
1291 due to her overall well-balanced nutritional status, age, and gender, since well-nourished adult females are  
1292 less susceptible to aflatoxins than males of similar health and nutritional status [389-390].

1293 In animals, the lethal dose varies greatly among species, as suggested by the wide variation in their  
1294 LD<sub>50</sub> values ranging between 0.3 and 18.0 mg/kg bw [391], although values as low as 0.2 mg/kg bw or as  
1295 high as 60 mg/kg bw were occasionally reported (Table 2). Animals like ducks, sheep, turkeys, dogs, pigs,  
1296 and rats are the most susceptible, whereas monkeys, chickens, mice, and ruminants the most resistant  
1297 [144,354]. The higher susceptibility of the first group of animals was explained by their ability to metabolize  
1298 rapidly AFB1 via the phase II metabolism driving towards the formation of aflatoxin-albumin adducts  
1299 [305]. In a study on the impact of an orally administered single dose of AFB1 to mice, 0.66 mg/kg bw  
1300 induced severe tissue injury 5 days after the ingestion [354]. In poultry, the AFB1 doses that killed all tested  
1301 birds varied between 0.8 and 4.0 mg per animal, with turkeys being the most sensitive (0.8 mg) and geese  
1302 the most resistant (4.0 mg), whereas no death was observed in chicken at the highest doze of 4.0 mg [391].

1303 The mechanism of acute aflatoxicosis is poorly understood, although many authors refer to the  
1304 interaction between aflatoxins and macromolecules (proteins, phospholipids, and nucleic acids) with a  
1305 consequent formation of various adducts, which in turn interferes with physiological and structural  
1306 functions of the macromolecules. In particular, aflatoxin-protein adducts have been the most frequently  
1307 associated with the acute intoxication, as this blocks protein synthesis, especially enzymes involved in vital

1308 functions, such as metabolic pathways, protein synthesis, DNA replication and repair, and immune response  
1309 (Figure 2). Additionally, there is increasing evidence that aflatoxin-phospholipid adducts and ROS-induced  
1310 LPO are the main responsible for the disruption of integrity and functions of membranes of the cells,  
1311 mitochondria, and endoplasmic reticulum [202,208], as depicted in Figure 2. Moreover, severe DNA  
1312 fragmentation upon exposure to high doses of aflatoxins is another major effect in acute aflatoxicosis  
1313 (Figure 2), as was observed in testicular tissues of mice injected with a daily dose of 20 µg AFB1/kg bw for  
1314 21 days [248]. However, a recent study on the acute toxicity of AFB1 in poultry, suggested that aflatoxin-  
1315 dihydrodiol (AF-dhd) is the main responsible for the acute aflatoxicosis, as the pivotal metabolite leading  
1316 to the formation of aflatoxin-albumin adducts [305]. According to the authors, AF-dhd derives from  
1317 aflatoxin-exo 8,9-epoxide and forms the aflatoxin-albumin adducts via aflatoxin-aldehyde bypassing the  
1318 formation of aflatoxin-dialcohol of the detoxification pathway [1]; and the more rapidly and abundantly  
1319 AF-dhd is formed, the higher is the mortality rate. Moreover, the metabolism of AFB2<sub>a</sub> as a dietary  
1320 contaminant or as an AFB1-phase I metabolite was also suggested to be involved in acute toxicity; apart  
1321 from the formation aflatoxin-albumin adducts, AFB2<sub>a</sub> is also reported to bind covalently with cellular  
1322 proteins and phospholipids yielding lipid- and protein-adducts possibly leading to acute aflatoxicosis  
1323 [202].

1324 It should be pointed out, however, that chronic exposure to low doses of aflatoxins can produce  
1325 similar effects as those observed in acute aflatoxicosis; however, their effects can be mitigated by  
1326 detoxifying phase II enzymes and cellular antioxidant defence mechanisms, or by DNA repair to prevent  
1327 mutations, as discussed above (section 5.1). Alternatively, these effects accumulate progressively with  
1328 continuous exposure to low doses to, ultimately, evolve in liver cancer as the typical outcome of chronic  
1329 exposure. Therefore, acute aflatoxicosis may result from an abrupt accentuation of most or all of the above-  
1330 mentioned damages in a short time when the dose is too high. An overwhelming amount of aflatoxins can  
1331 overcome the detoxifying capacity of the cell and drives the metabolism of the toxins towards the  
1332 production of toxic metabolites causing severe DNA damage, disruption of cell cycle progression, DNA  
1333 fragmentation, metabolic disorders, cytotoxicity, and tissue necrosis eventually leading to organ failure  
1334 (Figure 2) in a short period. This may hold especially true as the adverse aflatoxin effects are cumulative  
1335 [392-393]. For example, FAPy-DNA adduct burden that triggers tumorigenesis in rats was estimated to be  
1336 1 adduct per 250,000 nucleotides, i.e., 40,000 adducts/cell [394], which can either accumulate progressively  
1337 with chronic exposure or be reached in short time in case of exposure to abnormally high doses of AFB1.  
1338

## 1339 10 Conclusions

1340 Aflatoxins are widespread highly toxic contaminants that require further research to clarify many  
1341 essential aspects for better knowledge of their toxicity patterns and occurrence in foods and feeds in order  
1342 to address adequately their adverse effects on public health and economy. Particular attention should be  
1343 paid to improvement of the situation in developing countries where crops, such as peanut, maize, sorghum,  
1344 and sunflower, prone to aflatoxin contamination are grown in favourable agroclimatic zones (hot and  
1345 humid) to aflatoxin production. Continued high contamination of produce originating from endemic  
1346 regions is a major hurdle to international trade and to food security, as this does not affect only local  
1347 populations, but may extend to other parts of the world by either exporting highly contaminated goods or  
1348 restricting their marketability, which in turn contribute to increase their prices and limit accessibility to  
1349 poor social strata. Unfortunately, despite the efforts made in these regions to reduce foods and feeds  
1350 contamination with aflatoxins, the most recent data gathered in this review suggest that there is no such  
1351 trend, and the incidence and contamination levels vary from one year to another depending mainly on the  
1352 meteorological conditions, with highest contaminations and incidence recorded in rainy seasons generally  
1353 preceded by dry seasons. Yet, the use of atoxigenic strains of *Aspergillus flavus* in the newly developed

1354 biocontrol technology to colonize endemic AEZ and displace the aflatoxigenic strains appears to be a  
1355 promising intervention that should be encouraged and further investigated.

1356 The information reviewed herein reflects the scarcity or lack of information on aflatoxins other the  
1357 major ones (AFB1, AFB2, AFG1, and AFG2), whose occurrence in foods and feeds, and roles in toxicity  
1358 have so far been overlooked. In addition, despite the intensive work that has been carried out on the toxicity  
1359 mechanisms of aflatoxins for more than five decades, it is clear that the extent and nature of health disorders  
1360 are not well understood due to their high complexity and the intricate and overlapping risk factors, some  
1361 of which may be confounding factors.

1362

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1365

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