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*Article*

# Are Minority Forest farmers Willing to Participate in Forest Management ? Evidence from the Minority Regions of Southwest China

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**Abstract:** Forest resources are an important material basis for ecological protection and economic development, and are responsible for the dual mission of guaranteeing national ecological safety and grain safety. Forest land is an important carrier of forest resources, and forest landowner is a direct component of forest land management. Forest landowners' willingness to engage in forest management affects whether the important value function of forest resources can be implemented. Are there differences in the willingness and behavior of forest management between ethnic minority foresters and ordinary foresters? How to stimulate the forest management willingness and behavior of forest farmers in ethnic minority areas? These questions will be about the realization of the value of forest resources. Through the analysis of 185 questionnaires in Yunnan Province, this paper clarifies the current situation of forest management of forest farmers in minority areas. Then, using the Sustainable Livelihoods Framework, we select three indicators including the socio-economic attributes of individual farmers, the cognition and experience of forest landowners, and policy guidance. And we use the binary logistic regression model to analyze the factors affecting the willingness of forester to participate in forest management. Through the above analysis, we find that: (1) Forest landowners' willingness to engage in forest management in minority regions is relatively high, at 71.98%. (2) Individual farmers' socioeconomic attributes have the most significant degree of influence on willingness to engage in forest management, while forest landowners' cognitive and related experiences, policy guidance and other related variables influence willingness to engage in forest management at the macro level. (3) Literacy has a significant positive contribution to management intentions, while forest land area, living standard, whether they are compensated by public welfare forest, and whether they participate in the project of returning farmland to forest and grass have a significant negative effect on management intentions. (4) There are significant differences between forest landowners' willingness to engage in forest management and the influencing factors between minority regions and non-minority regions. Finally, we suggest to improve the willingness to engage in forest management and forestry industry development in minority regions through innovative management methods, concentrated and continuous large-scale management, encouraging capital investment and driving forest landowners to "nearby employment", optimizing the logging quota system and raising the compensation standard for public welfare forests. This study has important practical significance for promoting the realization of forest ecological products value, consolidating the achievement of poverty alleviation in forest areas and realizing rural revitalization in China.

**Keywords:** forest landowner; management willingness; forest ecological products; minority regions; Sustainable Livelihoods Framework

## 1. Introduction

In 1962, Rachel Carson analyzed the relationship between ecological conservation and economic development from the perspective of the ecosystem, which gradually make people realize the importance of ecological conservation [1]. With the increasing severity of global climate change and

biodiversity loss, the best solution to alleviate the contradiction between economic development and ecological conservation is being explored all over the world [2–4]. Forest resources, as the main body of terrestrial ecosystems, are the most important ecological foundation for the survival and development of human society. Besides, they play an irreplaceable role in maintaining global ecological balance, guaranteeing ecological security and improving human living environment [5]. In recent years, the conservation and development of global forest resources have received increasingly widespread attention from international organizations, national governments and the public. In response to the impact and challenges of a series of global problems, attaching importance to forests and protecting ecology has become a broad consensus of the international community and national strategies of various countries. As an important carrier of forest resources protection and forestry industry development, the use of forest land plays an important role in alleviating the contradiction between ecological protection and economic development. On the one hand, forest land, as an important part of forest resources, is an important basis for ecological environment quality and carrying capacity. Also it is an important reliance for forest existence and ecological restoration [6]. On the other hand, forest land, as an important production factor for the development of forestry industry, is an important carrier of high-quality forestry development and an important capital for forest landowners to get rid of poverty and become rich [7]. Meanwhile, as the main body of forest land management and utilization, forest landowners play an important role in the protection and utilization of forest resources, and their management intentions and behaviors will affect the protection of forest resources and the development of forestry industry. Therefore, foreign scholars have gradually started to focus on the research on forest landowners' willingness [8–11] and behavior [12–15] to engage in forest management. Price (1997) concluded that factors such as forest land resource endowment and individual farmers' characteristics have a certain degree of influence on the transformation of management behavior and willingness through the forestry production efficiency in the UK [16]; Viitale (1998) found that reducing the input to public benefit forests inputs can appropriately change the generally low technical efficiency of production [17]; Denis J (2011) suggested the importance of policy for developing forestry [18]; Thant (2011) studied the role and influence of willingness and behavior of 200 households in Myanmar on achieving sustainable forestry [19]; Goyke et al. (2019) examined the role and influence of the willingness and behavior of 200 households in Myanmar on achieving sustainable forestry through a study of selected US Southern African American forest landowners, they found that professional advice had the greatest degree of influence on forest landowners' participation in forest management behavior [20]; Jang-Hwan Jo et al. (2019) conducted a statistical analysis based on panel data from sustainable forest land management institutions in Korea and found that a number of elements related to the livelihood strategy level influenced farm household forestry income to varying degrees, and thus will also affect the willingness to engage in forest management and the sustainability of forestry [21].

Collective forests as China's important ecological barrier and forest products supply base. They can ensure the national timber and food security, cope with climate change, consolidate and expand the results of poverty alleviation. In order to give full play to the advantages of forest resources in ecological security and food security, and effectively stimulate the enthusiasm of forest landowners to engage in forest management, the General Office of the CPC Central Committee and the State Council in China issued the "Opinions on Comprehensively Promoting the Reform of Collective Forest Rights System". The Opinions determined the foresters' rights to use and engage in forest management and ownership of forest trees, and foresters gained the autonomy to engage in forest management. Through the collective forest reform, the cultivation of collective forest resources has been strengthened, and the forest stock of collective forests nationwide has increased by nearly 2.4 billion cubic meters compared with that before the forest reform. The transfer of collective forest rights has been steadily promoted, and the number of new business entities reached 294,300, operating more than 280 million mu of forest land. In recent years, although the reform of collective forest rights system has achieved good results, the productivity of collective forest land has not yet been fully released, the comprehensive benefits and operational efficiency of collective forestry [22] are still not high, the economic income from forestry for forest landowners is relatively small [23].

The enthusiasm of forest landowners and social capital to engage in forest management is not high, so how to pass the "last kilometer" to realize the ecological beauty and wealth of the people has become an urgent problem. The "last kilometer" has become an urgent problem to be solved. At the same time, with the continuous promotion of the reform of collective forest rights system, the management status of forest landowners, the characteristics of their management behavior and their willingness of management have become the focus of academic research in the process of forest landowners' utilization. With the development of modern forestry, forest landowners' management of forest land has developed from decentralization and diversification to centralization and unification [24,25], and joint-family operation and moderate scale operation can make up for the shortcomings of single-family operation and decentralized operation in terms of technology, efficiency, and cost [26–30]. In addition, domestic scholars have found that there is often a gap between the consistency of forest landowners' willingness of management and their operating behavior, and forest landowners with willingness to engage in forest management may not actually have operating behavior, and there are many influencing factors for the conversion of this [26], such as forest land resource endowment [31], individual forest landowners' characteristics [32], policy compensation [33], and operating philosophy [34]. For example, Xie concluded that factors such as forest land resource endowment and individual farmers' characteristics have some influence on achieving the transformation of forest land management behavior and willingness through a study of 10 forest counties in Jiangxi Province [35].

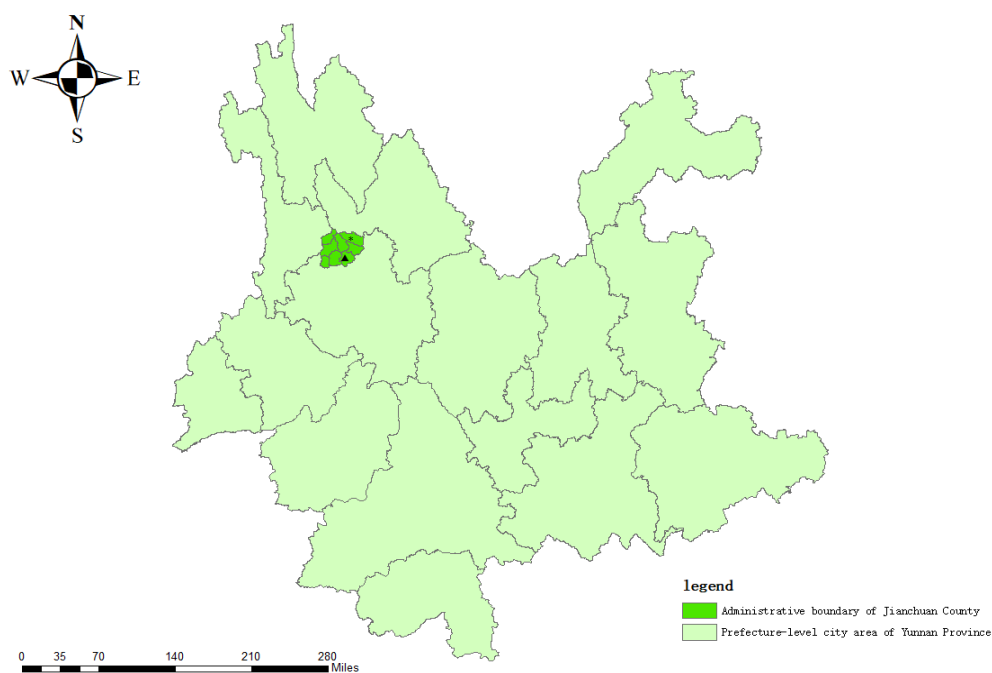
Yunnan Province is not only an ecological barrier in the southwest region, but also a community of ecological destiny and ecological interests with the people of South Asia and Southeast Asia. It undertakes the strategic task of safeguarding China's and even international ecological security [36]. Over the years, Yunnan Province has continuously strengthened cross-border biodiversity conservation and cultural exchanges with neighboring countries such as Laos, Vietnam, and Myanmar, and held the "China-Myanmar Forest Resources Protection and Community Development Forum" and the "China-Myanmar Forestry Cooperation Group First Consultation". At the same time, the Greater Mekong Subregion, as a bridge connecting China's southwestern region and Southeast Asian countries, the effective utilization and protection of forest resources and forest ecological restoration have increasingly become a hot issue in the Lancang-Mekong River Basin, especially the poverty problem [37]. In addition, Yunnan, as a frontier province of Lancang-Mekong poverty reduction cooperation, actively exchanges poverty reduction experience with Mekong countries and continuously promotes the sustainable development of forestry in the Greater Mekong Subregion. For example, Myanmar and Yunnan use bamboo and rattan as a forestry poverty reduction product [38], northeastern Thailand [39] and northern Laos select ecotourism as a forestry poverty reduction breakthrough, Vietnam adopts a community forestry plan [40], Cambodia's community-based management of forestry [41] makes forestry resources play a role or have a significant effect in poverty reduction. It is found that Yunnan and Thailand in China have made them the most effective countries or regions in poverty reduction in the Lancang-Mekong Basin through government-led or community-led approaches. However, due to historical reasons and production conditions, the fragmentation of land, the difficulty to engage in forest management and the increase of cost, especially in Yunnan minority regions, the low utilization rate of forest resources is serious, which has hindered the sustainable development of forestry and the sustainable livelihood of forest landowners. Based on the perspective of resource economics, the "tragedy of the commons" caused by the idle and excessive use of forest resources in efficiency is similar [42]. Therefore, in this context, how to make full use of forest resources and explore forest landowners' willingness of management has become a research focus on promoting the coordinated development of forestry ecology, economy and society in minority regions. As the direct subject of the protection and utilization of forest land resources, the willingness of forest landowners will affect the utilization and management efficiency of forest land, it will also have a certain impact on the accurate quality improvement of forest resources. Based on this, this study takes sustainable livelihoods as the analysis framework, takes typical minority regions in Yunnan Province as the research object, discusses the willingness of forest landowners' management in minority regions and its influencing

factors. Finally, we put forward countermeasures and suggestions to improve the willingness of minority regions' farmers to manage, so as to promote the high-quality development of forestry industry and the accurate improvement of forest quality in minority regions of Yunnan Province. This paper can not only promote the improvement of farmers' forest land management willingness and protection awareness in minority regions, but also realize the symbiosis of forestry industry and ecological protection. At the same time, it will also provide reference for neighboring countries or regions in Yunnan Province on forest land management, ecological poverty reduction and industrial development, with a view to jointly promoting the high-quality development of forestry.

## 2. Materials and Methods

### 2.1. Study Area Overview

Jianchuan County located in the northwest of Yunnan Province and the north of Dali Prefecture, neighboring Heqing in the east and Lijiang in the north. It with a mountainous area of nearly 90% of the territory, and 96.2% of the county's population is made up of minorities. And the Bai accounting for 91.2% of the total population (Figure 1). Jinhua and Shaxi are the two most populous townships (communities) in Jianchuan County, and are also rich in woodland resources. Jinhua community is dominated by public welfare forests, while Shaxi township has timber forests, economic forests, charcoal forests, protective forests, and other multi-purpose forests.



**Figure 1.** Administrative boundary map of Jianchuan County.

Pingbian County located in the south of Yunnan Province and the southeast of Honghe Prefecture, south of the Tropic of Cancer. It with wet and rainy forests and a forest coverage rate of 68.3%, and has the reputation of "natural oxygen zone" (Figure 2). The county's minority population accounts for 67.5%, and is the only Miao autonomous county in Yunnan Province, with 44.68% of the county's total population being Miao. The case sites were selected in Baihe Township and Baiyun Township of Pingbian County, where timber forests and ecological public welfare forests account for a relatively large area.



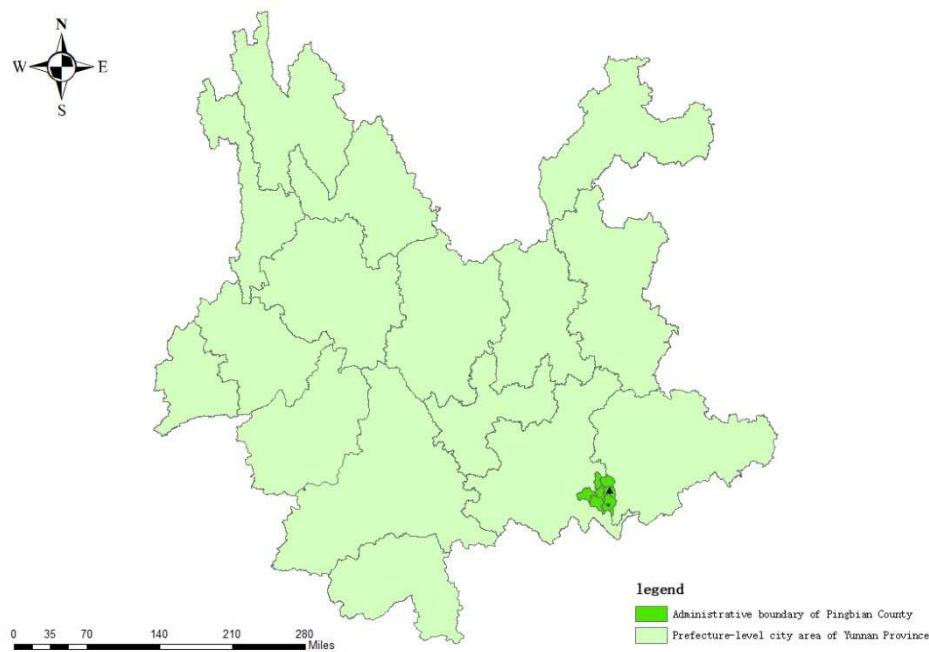


Figure 2. Administrative boundary map of Pingbian County.

The case sites are located in states with abundant forest resources, and the data on the total forestry output value of each state and city from the 2021 Yunnan Statistical Yearbook are collated and divided. The two states are in the middle to upper class, with sufficient endogenous power for forestry industry development, and the study of forest land management behavior and willingness of their unique ethnic forest landowners is somewhat representative (Figure 3).

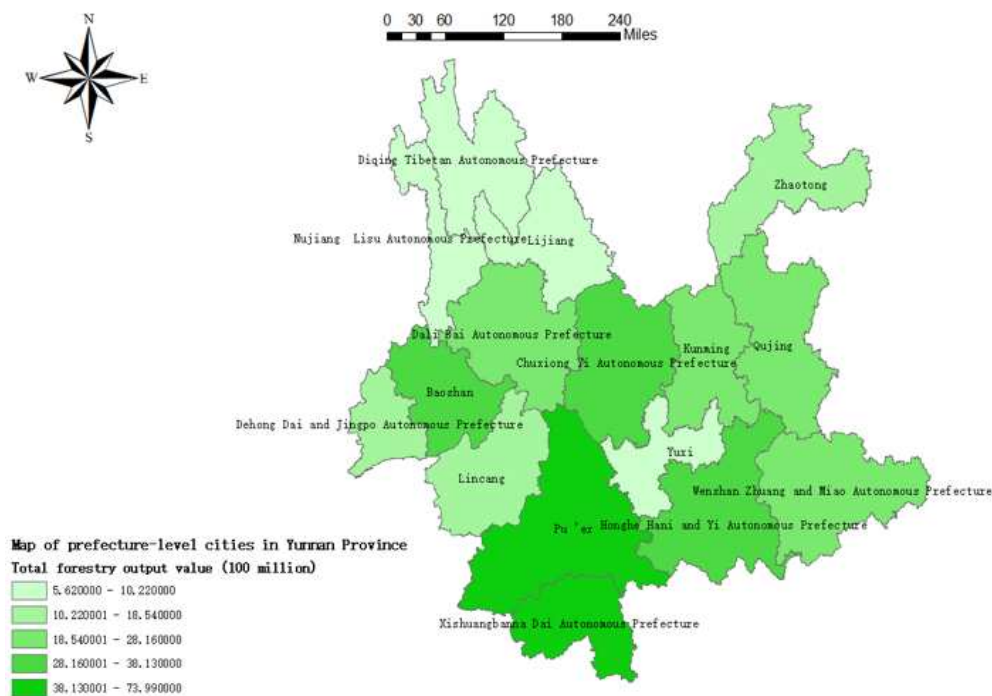


Figure 3. Distribution of forestry-output value in Yunnan Province.

2.2. Data sources

Since the full implementation of the collective forest rights system reform in Yunnan Province in 2007, a large amount of forest land resources has been allocated to ecological public welfare forests

and natural forest protection areas. In order to gain an in-depth understanding of the basic overview of forest land management in minority regions of Yunnan after the collective forest rights system reform, the group was commissioned by the comprehensive research and evaluation project team of Peking University on August 23-September 4, 2021 to conduct a field The survey was conducted mainly by distributing forest landowner questionnaires and conducting structured interviews with groups such as village elders, forestry leaders, village cadres, and township leaders. The survey content focused on several aspects such as basic information of forest landowners, forest land management behavior, management mode, management intention and its influencing factors. The research team was divided into 2 groups, and the investigators were all enrolled through the recruitment system of collective forest rights system visitors, and were all college students or graduate students majoring in rural development, urban and rural planning, agricultural and forestry economic management, etc. Among the 5 investigators in Jianchuan County, 3 of them were local people from Jianchuan County; 1 of the 5 investigators in Pingbian County was a local person from Pingbian County. Under the leadership of the two county forestry and grassland bureaus, the investigators first conducted interviews with county leaders and explained the reason for the survey, followed by village leaders and village group leaders who led the investigators to interview households. The survey workers were issued to a total of 185 points of questionnaires, including 101 in Jianchuan County and 84 in Pingbian County. The survey randomly selected a total of 185 minority households including Bai, Yi, Lisu, Miao, etc. as interviewees, of which the number of valid questionnaires was 182, with an efficiency rate of 98.38%.

The field survey involved 185 minority farming households of 8 minority regions’ groups in 10 villages in Jianchuan and Pingbian counties of Yunnan Province (Table 1).

**Table 1.** Basic overview of field research sites.

State	County	Village name	Number of minority households interviewed	Minorities interviewed
Dali Bai Autonomous Prefecture	Jianchuan County	Jinhua South Gate Community	19	Bai, Yi, Lisu, Hui, Naxi
		Jinhua Ximen Community	23	
		Aofeng Village of Shaxi Town	21	
		Beilong Village of Shaxi Town	20	
		Southeast Village of Shaxi Town	18	
Honghe Hani Yi	Pingbian County	Fangyang Village of Baihe Town	16	Miao, Yi, Zhuang,

Autonomous Prefecture	Azabu Okhong Village of Baihe Town	18	Yao
	Victory Village of Baihe Town	17	
	Taiping Village of Baiyun Township	15	
	Baiyun Village of Baiyun Township	18	

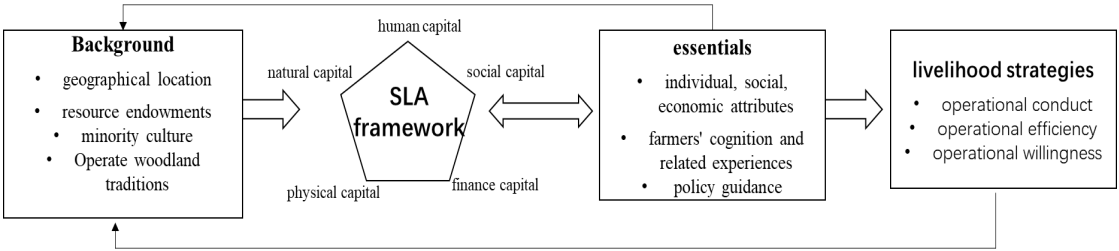
Data source: Based on questionnaires.

2.3. Variable selection

The Sustainable Livelihoods Framework (SLA) is a research tool based on the British Development Agency, which has been widely used in livelihood vulnerability analysis [43], poverty [44]. At the same time, it can also consider the influencing factors and willingness of its behavior from the perspective of farmers, [45] which can intuitively reflect the problems and needs at the micro level. William D.Sunderlin et al.summarized and summarized the research literature related to rural livelihoods such as forest resource protection, utilization, and poverty reduction in some developing countries [46]. Nimai Das studied the impact of participatory forestry programs on rural livelihood sustainability in some poor households in India [47]. The sustainable livelihood framework is also used as a research tool in the research on the sustainable development of grassland ecosystem [48], the implementation effect of grassland ecological compensation policy [49], joint forest management [50] and other fields related to forestry ecological development. Therefore, the existing research and application of the SLA framework has been more common and extensive. The research areas are mostly concentrated in poverty-stricken areas, remote minority regions' areas [51], etc. The research content is mostly focused on the livelihood status of farmers, the livelihood factors that affect the income level or behavior of farmers, etc. There are few studies on ecological key functional areas such as Yunnan Province, and there are few studies on the influencing factors of SLA framework for the willingness of minority forest landowners to engage in forest management.

Forest land resources are the key production factors for landowners in minority regions to develop forestry industry. Forest landowners in minority regions are influenced by the traditional behaviors of their ancestors, from the primitive tribal group lifestyle to the traditional smallholder management model to modern management, and their production and living behaviors such as hunting and harvesting, food customs, and firewood construction are all involved in forest land management in a direct or indirect way, showing a high degree of dependence on forest resources by farmers in minority regions. This paper draws on human capital, natural capital, physical capital, financial capital, and social capital [53] from the sustainable livelihood analysis framework (SLA) [52], which can visually reflect the strengths or weaknesses of forest landowners in minority regions in terms of various capital elements in forest land management (Figure 4).





**Figure 4.** Framework diagram of sustainable livelihood analysis.

Therefore, according to the SLA framework and combined with the field survey results, we used factors such as forest landowners' identity, education level, living standard, forest land area, and forest land function type as indicators to respond to the influence of forest landowners' individual level, economic level, and social level on the willingness of management, which will govern forest landowners' operation efficiency and perception of operation risk. At the same time, the perceptions of joint-family operation and large-scale operation, and the participation in the project of returning farmland to forests and grasses were also used as variables influencing forest landowners' perceptions and related experience levels on management intentions. In addition, the three variables of compensation for public welfare forests, satisfaction with collective forest rights system reform, and the strength of harvesting limit policy constraints will also reflect whether policy guidance has a subjective-level effect on minority forest landowners' management intentions.

Adding to this, there are 3 points to think about for forest landowners in minority regions: first, forest landowners in minority regions may have stronger minority plot and land plot, and their deep-rooted beliefs form a self-protection mechanism compared to the management behavior and willingness of forest landowners in non-minority regions, so that even if they do not manage forest land, they will not engage in transfer behavior and are more willing to grasp forest land resources in their own hands or pass them on to the next generation. Second, based on the geographical characteristics and resource endowment of minority regions, the distance between forest plots and the problem of fragmentation are more prominent, which affects the forest revenue and the implementation of mechanized operations; and farmers, as rational economic people, the forest revenue directly affects the management behavior and thus the willingness to engage in forest management, and there are always some obstacles to the transformation of management behavior and willingness. Third, the proportion of ecological public welfare forests in Yunnan's minority regions is high, and the harvesting target and quota policy greatly limit forest landowners' enthusiasm and willingness of management.

In summary, three research hypotheses are proposed.

Hypothesis 1: Individual socioeconomic attributes of forest landowners are conducive to strengthening forest landowners' willingness to engage in forest management.

Hypothesis 2: Forest landowners' perceptions and related experiences are conducive to strengthening forest landowners' willingness to engage in forest management.

Hypothesis 3: Policy guidance is conducive to strengthening forest landowners' willingness to engage in forest management.

The dependent variable is the willingness to engage in forest management, and about the willingness of citizens to act on land at the individual level as the dependent variable can be indexed subjectively to relevant studies [54–58]. It was found that the subjective variables reflect the future behavior or preferences of individuals, and that the answers of the studies differed from one respondent to another and from one geographical area to another [59] and were somewhat comparable.

As shown in Table 2, in terms of the factors at the level of individual socioeconomic attributes of farmers, based on human capital and social capital considerations in the sustainable livelihoods framework, individual and social resource and capital endowments have different degrees of influence on forest management behavior, philosophy, and willingness; therefore, the factors that affect the willingness to engage in forest management include farmer's identity, education level,

living standard, forest land area [60], forest land function type, and other factors that will constrain forest landowners' management efficiency, future management risk, and judgment of management philosophy. In terms of factors at the level of forest landowners' perceptions and related experiences, forest landowners judge the ease of future operation and benefits based on their perceptions of the operation mode [61] and their actual participation in forestry-related projects in the past [62], so as to assess whether it is worthwhile to continue operation in the future, and therefore, three variables including the perceptions of joint-family operation and large-scale operation, and the participation of returning farmland to forestry and grass are summarized. In terms of influencing factors at the policy guidance level, social capital considerations based on the sustainable livelihood framework can achieve subjective regulation and incentive effects on forest landowners' willingness of management [63,64], including three variables of being subject to compensation for public welfare forests, satisfaction with collective forest rights system reform, and the strength of harvesting quota policy constraints.

**Table 2.** Descriptive statistics of factors influencing willingness to engage in forest management.

variable type	variable name (code)	definition and assignment	average value	standard deviation
dependent variable	willingness to engage in forest management (Y)	Yes=1; No=0	0.720	0.449
variables of individual, social, and economic attributes of forest landowners	forest landowner identity (X <sub>1</sub> )	cadres = 1; ordinary people = 0	0.423	0.495
	education level (X <sub>2</sub> )	read more = 1; read less = 0	0.170	0.377
	standard of living (X <sub>3</sub> )	poverty = 1; wealth = 0	0.291	0.456
	woodland area (X <sub>4</sub> )	actual value (mu)	13.839	8.745
	woodland feature type (X <sub>5</sub> )	commercial forest = 1; ecological public welfare forest = 0	0.736	0.442
forest landowners' perceptions and related experiences	whether or not to understand scale operations (X <sub>6</sub> )	Yes=1; No=0	0.093	0.292
	whether or not to participate in joint account operation (X <sub>7</sub> )	Yes=1; No=0	0.088	0.284
	whether they have participated in the project of returning farmland to forest and grassland (X <sub>8</sub> )	Yes=1; No=0	0.462	0.500
policy guidance	whether you have been compensated by public welfare forests (X <sub>9</sub> )	Yes=1; No=0	0.670	0.471
	whether it is satisfied with the implementation of the reform (X <sub>10</sub> )	Yes=1; No=0	0.857	0.351

the binding force of the			
harvesting quota policy is	actual value	8.533	1.627
scored on a scale of 1-10 (X <sub>11</sub> )			

2.4. Research Methodology

In the existing studies on the empirical analysis of factors influencing behavioral intentions, more models are used such as Probit regression model [65], structural equation model [66], and logistic regression model [67]. With reference to the existing research and combined with the questionnaire data, the logistic regression model will be selected for the empirical analysis in this paper. In addition, the Y variable in this paper is a dichotomous variable, so the binary logistic regression model in the logistic regression model is used to analyze the influencing factors of different levels of forest landowners' willingness to engage in forest management in minority regions.

First, we processed the data. In the study of forest landowners' willingness to engage in forest management in minority regions, forest landowners' identity, scale of operation, and living standard are all influencing factors, and variables such as forest landowners' identity and living standard belong to a fixed category of data. First of all, we conduct data processing. When studying the impact of forest landowners' willingness to engage in forest management in minority regions, forest landowners' identity, scale management, living standards, etc. are all influencing factors. Variables such as forest landowners' identity and living standards belong to categorical data. Therefore, virtual variable processing is carried out. Taking 'forest landowners' identity' as an example, the assignment of the answer option as 'cadres' is 1, and the assignment of the answer option as 'ordinary people' is 0.

Secondly, after completing the above data processing, the Y variable is encoded. Forest landowners in minority regions have two options of 'willing' and 'unwilling' for forest land management, which is a binary variable and a typical binary selection model. We assign a value of 1 to the willingness to engage in forest management and 0 to the non-willingness to manage. It is assumed that the error term obeys the Logistic distribution.

Second, after completing the above data processing, the data coding of Y variable was started. Forest landowners in minority regions have two options of "willing" and "unwilling" to engage in forest management, which is a dichotomous variable and belongs to a typical binary choice model. We assign a value of 1 to those who are willing to manage and 0 to those who are not willing to manage, and assume that the error term follows a logistic distribution.

Finally, the analysis of the influence relationship, binary logistic regression analysis, was performed. We first determine whether an influence factor appears to be significant (if the p-value is less than 0.05, then it is significant at the 0.05 level), and if it appears to be significant, then the independent variable has an influential relationship on the dependent variable of willingness to engage in forest management. After determining the influence relationship, the analysis was conducted in conjunction with the regression coefficient value, if the regression coefficient value is greater than 0, the influence relationship is positive, and vice versa, it is negative.

The binary logistic model equation is as follows:

$$\ln \left[ \frac{P(Y=1)}{1-P(Y=1)} \right] = \alpha + \beta_i X_i + \varepsilon \tag{1}$$

Where: P(Y=1) represents forest landowners' willingness to engage in forest management, P(Y=0) represents forest landowners' lack of willingness to engage in forest management;  $X_i$  denotes the  $i$ th influencing factor;  $\alpha$  denotes the constant term;  $\beta_i$  denotes the estimated parameter;  $\varepsilon$  denotes a random variable obeying Logistic distribution, and  $P(Y=1|X_1,X_2,X_3\cdots X_i)$  is the probability that forest landowners in minority regions hold willingness to engage in forest management under the influence of  $i$  independent variables.

### 3. Results

#### 3.1. Model regression results and tests

As shown in Table 3, the model was evaluated or validated for validity and the overall model fit was: likelihood=158.414,  $p=0.000$ , which was significant at the level and rejected the original hypothesis, thus indicating that the model fit was good and valid overall. The classification effect of logistic regression can be measured in the evaluation results of classification indexes, where the value of accuracy is 0.808, which predicting the proportion of correct samples to the total samples, and the closer the value is to 1, the more the number of correct samples in the model classification evaluation indexes. The value of F1 reflects the reconciled average of accuracy and recall of the survey data, and the value is 0.794, which is a good effect; the value of AUC value is 0.836, which is closer to 1, indicating the better classification effect of the indicators, which also proves that the classification of factors influencing forest landowners' willingness to engage in forest management in minority regions according to the sustainable livelihood framework is consistent with the model regression.

**Table 3.** Model evaluation results.

Likelihood ratio chi-squared value	P	Sample Accuracy	F1	AUC
158.414	0.000***	0.808	0.794	0.836

Note: \*\*\* represents 1% level of significance. The model regression results are shown in Table 4.

**Table 4.** Model regression results of forest management intention.

argument	regression coefficient	standard error	salience
X <sub>1</sub>	-0.788	0.591	0.183
X <sub>2</sub>	-2.21	0.807	0.006***
X <sub>3</sub>	2.359	0.715	0.001***
X <sub>4</sub>	0.095	0.034	0.006***
X <sub>5</sub>	-0.229	0.461	0.619
X <sub>6</sub>	-0.055	0.644	0.932
X <sub>7</sub>	-0.108	0.703	0.878
X <sub>8</sub>	-1.48	0.441	0.001***
X <sub>9</sub>	-1.25	0.446	0.005***
X <sub>10</sub>	0.446	0.635	0.483
X <sub>11</sub>	0.212	0.146	0.147

Note: \*\*\* represents a significance level of 1%.

#### 3.2. Effectiveness of Collective Forest Rights Reform and Forest landowners' Willingness to engage in forest management

(1) The reform of collective forest rights system in Yunnan Province is effective. According to the questionnaire data, the number of plots owned by forest landowners in the case sites, the percentage of plots with forest land right certificates is 93.53%, among which 64.8% of minority regions' forest landowners said they obtained forest land right certificates in 2007. This is at the stage of comprehensive reform of collective forest rights system in Yunnan Province, where clear property rights provide rights protection for activities such as forest land management, adjustment of disputes, and application for logging. And very few forest landowners (5.59%) used forest right certificates to mortgage loans to obtain operating capital, which indicates the special behavior and conservative attitude of forest landowners in minority regions towards mortgage risk and operating financing (Table 5).

**Table 5.** Descriptive statistics table of woodland right confirmation.

Overview of woodland rights confirmation	Number of plots (blocks)	Percent age (%)	Whether or not to obtain a loan	Number of plots (blocks)	Percentage (%)
have a woodland title certificate	289	93.53	Yes	17	5.59
			No	287	94.41
no woodland title certificate	20	6.47		\	

Data source: Based on questionnaires.

(2) Forest landowners' willingness to produce and manage is strong. Among the 182 valid questionnaires collected, 131 minority forest landowners have the willingness to engage in forest management, accounting for 71.98%; 51 minority forest landowners do not have the willingness to engage in forest management, accounting for 28.02%. The main reasons for their unwillingness to engage in forest management were the low subsidy standard for public welfare forests and policy restrictions, and the high proportion of no harvesting targets, 41.18% and 27.45%, respectively (Table 6), while some forest landowners responded that they were unwilling to continue to manage their forest land due to low income, the distance of the forest land, and the inconvenience of management.

**Table 6.** Descriptive statistics table of business intention and reason.

Whether there is a willingness to engage in forest management	Frequency	Percentage (%)	Reason	Frequency	Percentage (%)
No	51	28.02	low yield	10	19.61
			the subsidy standard for public welfare forests is too low	21	41.18
			the woodland is finely fragmented and far away	6	11.76
			policy restrictions; no logging indicators	14	27.45
Yes	131	71.98		\	

Data source: Based on questionnaires.

4. Discussion

This paper makes up for the lack of micro-level studies related to minority regions and summarizes the behavior of forest landowners' management in minority regions and their willingness to influence. At the same time, we answer the question based on the micro-level perspective to explain the reasons why farmers in minority regions manage forest land under the influence of different levels of philosophy, endowment, and policy, which lead to different results from those of studies in non-minority regions.

4.1. Significant differences in the influence of individual socioeconomic attributes of forest landowners on willingness to engage in management



(1) Literacy. The regression results showed a negative effect of "more education" and "less education" on the willingness of forest land management. The regression results show that literacy has a negative effect at the 1% significance level, indicating that the more educated forest landowners in minority regions are, the less willing they are to manage forest land, which is inconsistent with their hypothesis. Rong Niu also found a negative effect of literacy in his survey on the willingness of creditors to lend on farmland management rights in the western region [68]. The reasons for this may include: first, the higher the literacy level, the easier it is for forest landowners to obtain stable, well-paid jobs, and the more willing they are to work outside the home to sustain their livelihoods, with higher opportunity and sunk costs of giving up their current positions. Secondly, forest landowners with relatively less education have earlier access to agricultural production and management activities and become the main force of forest land management. Compared with forest landowners with more education and schooling, their land sentiment is deeper, and they have fewer channels to obtain other sources of income and less sensitive information, which directly affects their behavioral attitude and willingness.

(2) Living standard. The effect of living standard on the willingness to engage in forest management was measured by the two options of "poor" and "rich". The regression results show that the living standard has a positive effect at 1% significance level, forest landowners with relatively lower living standard are more willing to engage in forest management. In a study on multidimensional poverty in rural Bihar, India, Manjisha Sinha found that forest landowners with higher dependence on livelihood activities such as forestry and higher poverty levels were more affected by climate change and their business behavior is more influenced by objective factors [69]. In contrast to the behavior of forest landowners in these areas without minority regions' characteristics, the willingness of farmers in minority regions to manage is instead more influenced by subjective factors. Possible reasons for this are as follows: first, forest landowners with relatively low living standards have a weaker ability to bear risks, and agricultural production and management activities are less risky compared to other industries; while forest landowners with relatively high living standards have certain financial ability and prefer investment-oriented activities, and their behavioral attitudes make the willingness to engage in forest management in the future smaller. Secondly, the long payback period of forest land investment, low income and low efficiency of production, and the special nature of operation make the income cannot meet people's living needs.

(3) Woodland area. The actual value of woodland area was used as an economic attribute variable to analyze the effect on forest landowners' willingness of management in terms of mu (1 ha = 15 mu). The regression results show that woodland area has a positive effect at the 1% significance level, forest landowners with more woodland area are more willing to continue operating their woodlands. The per capita forest land area of the case sites reached 13.84 mu, which just confirms that forest landowners in minority regions have been in the forest-centered ecosystem for a long time and have more minority regions' land sentiment, and the endowment of forest resources in minority regions provides an important material basis for farmers to maintain their livelihoods. Despite the ineffectiveness of forest land management, farmers who own more forest land are more willing to keep the resources in their own hands and have greater expectations of forest land, while comparing to some forestry households in Korea, the magnitude and direction of the impact of different acreage on different income types are inconsistent [70], which is the difference in behavioral attitudes of farmers in minority regions compared to farmers in non-minority regions in terms of forest land management behavior and willingness.

#### *4.2. Influence of forest landowners' perceptions and related experiences on willingness to engage in forest management*

Among the three variables of forest landowners' perceptions and related experiences, most of the forest landowners in the minority regions studied did not participate in the large-scale management and joint-family management of forest land, so they did not know much about the concept, and thus these two variables did not have a significant effect on the willingness to engage in

forest engagement. Only "whether or not they have participated in the project of returning farmland to forest and grass" has a negative effect on the willingness to engage in forest management at 1% level of significance, which is not consistent with the expected hypothesis. However, the respondents were more satisfied with the policy of "returning farmland to forest and grass", while the willingness of farmers in non-minority regions was more different [71]. It may be due to the fact that most of the forest landowners used to cultivate food and burn firewood to cut firewood, but they had to change their cultivation habits due to policy restrictions after the implementation of the project; secondly, the basic farmland and non-basic farmland are intertwined in most of the minority regions in Yunnan, which makes the forest land more fragmented and more difficult to manage, and the subsidies for returning farmland to forest and grass are not proportional to their expectations. This has a direct impact on the attitude of forest landowners, which leads to a low willingness of forest landowners to engage in forest management.

#### *4.3. Impact of policy guidance on willingness to engage in forest management*

Among the three variables of policy guidance, 'whether it is compensated by public welfare forest' has a significant negative impact on the willingness of forest land management, which is inconsistent with expectations. This is a special phenomenon in the factors affecting forest landowners' willingness to manage in minority regions. Generally speaking, compensation tends to increase farmers' willingness to engage in forest management [72]. The reasons for this may be as follows: first, the proportion of ecological public welfare forests in minority regions is high, forest landowners are more restricted by the logging quota policy and the comprehensive ban on natural forests, they do not understand enough the institutional policy constraints, and with the increase of ecological awareness, the enthusiasm of management is greatly frustrated, and forest landowners prefer to keep the forest land use, ownership and use rights in their own hands. Secondly, during the research process, forest landowners, management entities and village leaders all gave feedback on the low compensation standard of ecological public welfare forests, reflecting the fact that the subjective norms of the policy directly affect forest landowners' own economic rationality, thus leading to the occurrence of different management behavior and willingness.

In other scholars' studies, it was found that, in terms of geographical location conditions, the more developed areas in east-central China, areas with good forestry resource endowment, and areas with significant reform are relatively more efficient in developing forestry and more effective in large-scale operation [23], and academic research hotspots are also focused on relevant regions with more diversified business models and relatively obvious farmers' willingness to engage in forest management, as concluded by Han [73], Zheng [24], and Hu [74] in related studies; however, in this study, it is found that the factors of scale operation and diversification have no effect on the willingness of minority farmers to engage in forest management.

#### *4.4. Research shortcomings and outlook*

Through the empirical analysis of the behavioral performance and willingness of minority regions' farmers regarding forest land in Jianchuan and Pingbian counties, the shortcomings of forest landowners in minority regions in terms of engage in forest management ideology and behavioral characteristics were found. The innovation of this paper is to adopt the framework of sustainable livelihood analysis from a sociological perspective as the theoretical support, and to explain the unique management behavior and willingness trends of forest landowners in minority regions from different perspectives such as ethnology and ecology, and to draw conclusions that are both identical to academic studies and reflect differences from non-minority regions in terms of the research results and the direction of the influence of factors on willingness.

Of course, there are some limitations in the study of this paper. There are 25 minority groups living in Yunnan Province, and this study only analyzes 8 of them, lacking research on the unique minority regions' groups. Secondly, this study was commissioned by Peking University's comprehensive research and evaluation project team of collective forest rights system reform to conduct a survey in Jianchuan and Pingbian counties. Since the questionnaire design mainly focuses

on the content of reform effectiveness, the variables selected are limited, and the results of specific measurement of the factors influencing forest landowners' willingness to manage in minority regions should vary according to the actual location and variables. Therefore, the follow-up study should focus on the dynamic follow-up of the factors influencing forest landowners' willingness to engage in forest management in minority regions at the specific minority and micro levels.

## 5. Conclusions

A study was conducted on 185 minority farming households of 8 minority regions' groups in 10 villages in Jianchuan and Pingbian counties, and a binary Logistic model was used to empirically analyze the effects of 3 dimensional variables, namely individual socio-economic attributes of farming households, cognitive and related experiences of farming households, and policy guidance, on willingness to engage in forest management. The results of the study show that: living standard and forest area have a significant positive influence on the willingness to engage in forest management, and literacy, whether or not they have participated in returning farmland to forest and grass, and whether or not they have been compensated by public welfare forest have a significant negative influence on the willingness to engage in forest management, while the rest of the variables have no significant influence on the willingness to engage in forest management of forest landowners in minority regions, and there are results that do not match with expectations. Compared with the factors influencing the management behavior and willingness of forest landowners in non-minority regions, the possible reasons for this are summarized as landscape, resource endowment, minority regions' sentiment, historical habits, beliefs in ecological forestry concepts, etc.

First, the impact of individual socio-economic attributes variables on forest landowners' willingness to engage in forest management is relatively significant. Since personal and economic capital are still at a low level, which greatly restricts landowners' willingness to forestry, the main labor force of the family has to go out to work to maintain their livelihood, and there is also a phenomenon of talent spillover, and the older people become the main force of forest land management, and the plight of forest landowners in minority regions who cannot transform their "green hills" into "golden mountains" needs to be solved. Therefore, the government should promote large-scale forest land management to improve the efficiency of forest land management and strengthen the collective economy, so that the resource endowment can retain young and high-quality talents and make full use of the collective forest land that has not been divided into households. At the same time, the government should encourage new business entities to drive forest landowners to participate in forest land management, stimulate family capital and social capital to invest in diversified forest land management, improve forestry income to enhance the living standard of forest landowners, and place surplus rural labor in forest management to realize "employment close to home".

Secondly, forest landowners' cognition and related experiences have a significant negative impact on their willingness to engage in forest management "whether or not to participate in the fallow forestry and grass restoration project". Considering the special resource endowment, landscape characteristics and minority regions' sentiment in minority regions, the government can help by extending the subsidy period and increasing the subsidy standard, and strengthen scientific and standardized management according to the conditions of different fallow land plots. Since minority regions' forest landowners have very low understanding of large-scale operation and joint-family operation, the government should reasonably adjust the plots to realize concentrated and large-scale operation, which will also break through the limitation of forest land fragmentation. In addition, forest landowners in minority regions should learn from the ancient ancestors' concept of "knowing the land and making good use of it", integrate the ecological wisdom of "slash-and-burn" forestry into modern management, and incorporate ecological ideas such as minorities' beliefs and forest culture into mountain forest fire prevention and forest management to improve the effectiveness of forest resource protection.

Third, the analysis of the impact of public welfare forest compensation standards on the willingness of forest land management shows that the government should firmly establish the

management concept of "logging is not equal to destruction, and not logging is not equal to protection", appropriately adopt the measures of planting and cultivating, combining conservation and rotating logging limits, and from the perspective of "decentralization-management-service" actively promote logging indicators "into the village into the household". At the same time, the government should coordinate the contradiction between the significant advantages of ecological resources in minority regions and the lagging management efficiency of forest land, scientifically utilize forest resources, and guarantee the sustainable development of forest resources.

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