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## Article

# Evidence of Rapid Rise in Population Immunity from Subclinical Infections in Pakistan from Pre-Vaccination Serial Serosurveys in Pakistan

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**Abstract:** **Background:** Understanding factors associated with protective immunity against emerging viral infections is crucial for global health. Pakistan reported its first COVID-19 case on February 26, 2020, but compared to other countries, it experienced relatively low COVID-19-related morbidity and mortality between 2020 and 2022. The underlying reasons remain unclear. Our research aims to shed light on this crucial issue. **Methods:** We conducted serial household surveys over 16 months (Rounds 1-4, July 2020 - November 2021) in urban (Karachi) and rural (Matiari) Sindh, sampling 1100 households and 3900 individuals. Our comprehensive approach included measuring antibodies in sera, testing a subset of respiratory samples for COVID-19 using RT-PCR and antigen tests, and measuring Hemoglobin, CRP, Vitamin D, and zinc in Round 1. **Results** Participants showed 23% (95% CI 21.9-24.5) antibody seroprevalence in round 1, increasing across rounds to 29% (95% CI 27.4-30.6), 49% (95% CI 47.2-50.9) and 79% (95% CI 77.4-80.8). Urban residents had 2.6 times (95% CI 1.9-3.6) higher odds of seropositivity than rural residents. Seropositivity did not differ between genders. Individuals aged 20-49 had 7.5 (95% CI 4.6-12.4) times higher odds of seropositivity compared to children aged 0-4. Most participants were asymptomatic, with no reported mortality. Vitamin D deficiency was linked to seroprevalence. COVID-19 was confirmed in 1.8% of individuals via RT-PCR and antigen tests. **Conclusions:** The data suggests a steady increase in humoral immunity in Pakistan, likely due to increased transmission and potential cross-protective immunity, which may be associated with asymptomatic disease, leading to the reduction of morbidity and mortality observed.

**Keywords:** COVID-19; seroprevalence; immunity; Pakistan; antibody dynamics

## Introduction

The severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) emerged as a pandemic-causing coronavirus disease (COVID-19) in December 2019 [1,2]. SARS-CoV-2 is a highly transmissible virus [3] that can cause severe illness and death in some individuals. It is an airborne virus that can spread via sneezing, coughing, breathing and speaking, direct contact with infected droplets as well as long range aerosol transmission through the suspended particles and fomites. The World Health Organization (WHO) declared COVID-19 a public health emergency of international concern on January 30, 2020 [3] and the virus was confirmed to have reached Pakistan on 26<sup>th</sup> February 2020 [4]. Population-level seroprevalence surveys have been used to assess the proportion of individuals infected with SARS-CoV-2 or to determine protection post-immunization. These can

also identify risk factors for infection, anticipate the volume of the upcoming waves, and estimate disease burden[5].

The WHO recommended serial seroprevalence surveys to monitor SARS-CoV-2 infections and guide public health strategies and interventions [6]. However, the WHO interim guidelines initially prioritized testing every suspected case of COVID-19, mainly focusing on individuals with severe symptoms and those at high risk for hospitalization to manage healthcare needs and prevent system overload [7]. In Pakistan, early testing of SARS-CoV-2 was limited to patients with severe COVID-19 due to resource constraints [8]. This led to underreporting the full spectrum of the disease in the early phases of the pandemic.

The first confirmed case of COVID-19 in Pakistan was reported on February 26, 2020, with the disease spreading throughout the country. By April 2020, the highest prevalence was reported in Punjab, followed by Sindh province [4]. Pakistan experienced four pandemic waves during the study period (S Figure 1). Up until global reporting of COVID-19 cases was stopped on March 10, 2023, approximately 1.7 million cases and 31,000 deaths were reported from a population of 220 million. Despite the fears that COVID-19 would heavily burden Pakistan's fragile healthcare system and limited resources, relatively low morbidity and mortality were reported. It is essential to understand the factors associated with these outcomes.

Physical distancing policies varied widely across the country, particularly during the early pandemic period between 2020 and 2021 as the implementation of lockdowns, smart lockdowns, and other movement restrictions depended on city and provincial governments [9,10]. In May 2020, business reopened, leading subsequent surge in COVID-19 cases [11]. However, early 2020 screening efforts were focused on key international conduits identified by the Federal Ministry of Health, aimed at controlling and suppressing COVID-19 in the country [12]. Therefore, it was essential to determine the prevalence of antibodies against SARS-CoV-2 as a surrogate marker of COVID-19 infections within the population. During the early pandemic period, diagnosis of COVID-19 in Pakistan was primarily based on PCR testing. However, access to PCR testing was limited and expensive. Even at the peak of the pandemic in 2020, the maximum number of tests conducted daily was about 70,000 in a population of 220 million [13]. COVID-19 antigen tests became available in Pakistan in 2022, but access to them and their use remained limited.

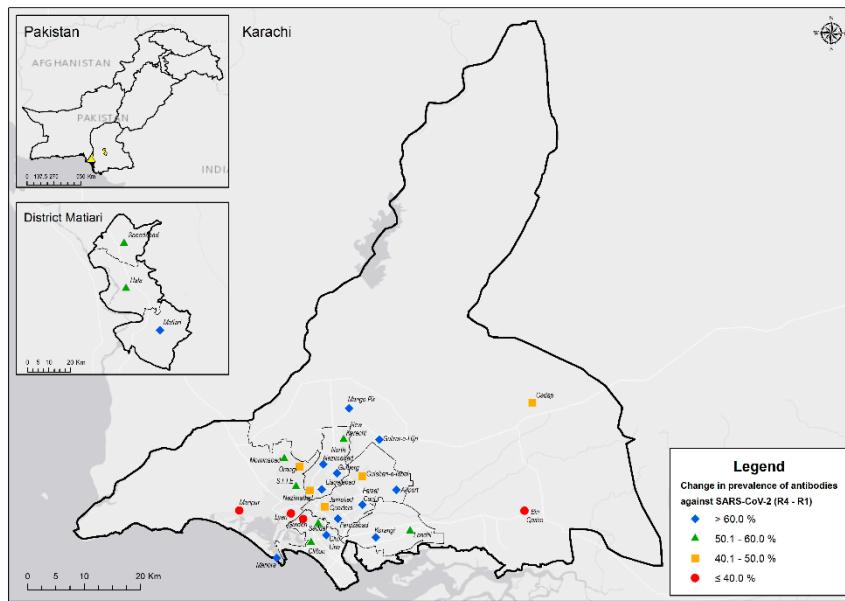
Data from COVID-19 seroprevalence studies conducted in Pakistan mainly focused on cross-sectional sampling at a single time point in specific populations [14–17]. There is limited data from rural regions with one nationwide study showing differing COVID-19 antibody positivity in urban and rural regions [14]. Pakistan has a predominantly young population, up to 50% of individuals under 40. The recent census shows it is primarily agricultural, with about 37% urban and 63% rural populations [18]. Therefore, to fully understand COVID-19 rates and disease transmission, it is necessary to study both urban and rural populations. Longitudinal sampling allows one to study antibody dynamics in the population, particularly in COVID-19 waves. We focused on the province of Sindh, comparing the population of Karachi, approximately 17.6 million [19], to the population of Matiari, about 0.85 million [20]. The study aimed to determine the prevalence of SARS-CoV-2 antibodies in the general population through serial serosurveys conducted between July 2020 and November 2021 and to associate these with population demographics and health parameters. Sampling was conducted at four-time points within a nested cohort in the same community. This longitudinal approach enabled us to observe temporal changes and trends, providing a good understanding of the community's response over 18 months. We assessed risk factors for infection by comparing the exposures of antibody-positive and antibody-negative individuals using a standardized questionnaire. We also investigated the presence of SARS-CoV-2 infection by testing respiratory samples in a subset of participants by PCR and COVID-19 antigen testing. Further, during the first survey, we tested blood parameters such as hemoglobin (Hb), serum C-reactive protein (CRP), vitamin D, and zinc concentrations in the study population.

## Methods

The study was approved by the Aga Khan University (AKU) 's Ethical Review Committee (ERC), Pakistan. Before data collection and serological sampling, written informed consent or assent, in the case of minors, was obtained.

### Study Design

Serial, cross-sectional Sero epidemiological surveys were carried out in urban (Karachi) and rural (Matiari) areas of Sindh, Pakistan (Figure 1). The study spanned 16 months, from July 2020 to November 2021, with sampling conducted at four time points in the same population. We adapted the WHO 30 by 7 cluster methodology to ensure population representation. In Karachi, the data was collected from its six districts, i.e., Central, East, West, South, Korangi, and Malir. In the Matiari region, data was collected from both the Hala and Matiari sub-districts. Seven union councils were randomly selected from the available list in each district, which served as primary sampling units. We randomly selected 20 Households from each union council to achieve the required sample size. We enrolled individuals who were residents of the respective districts for at least six months, regardless of age, with no stated intent to migrate.



**Figure 1.** Map of study sites and change in antibody prevalence against SARS-CoV-2.

Data collection and blood sampling were done by six research teams, three in Karachi and three in Matiari. Each research team comprised a phlebotomist, a data collector, and a team leader. Once selected, the same households were revisited at subsequent rounds. The research staff received training on personal hygiene procedures, the use of personal protective equipment, and the safe handling of laboratory samples. After each interview, it was provided with personal protective equipment (aprons, gloves, surgical face masks, and shoe and hair covers) disposed of as hospital waste.

Data were collected from each participant using a structured questionnaire through a computer-assisted personal interview (CAPI) approach. The questionnaire included sections on demographic information, exposure assessment, underlying comorbidities, and a history of any clinical symptoms like chest pain, sore throat, and fever in the past two weeks, as well as a history of travel outside their local region in the past two weeks.

### *Blood Sample Collection*

After administration of the questionnaire, trained phlebotomists collected 3 ml of whole blood from each participant through venipuncture. The blood samples were transported to the Nutrition Research Laboratory (NRL) at AKU, Karachi, under a cold chain. These samples were used for the detection of SARS-CoV-2 antibodies, measurement of hemoglobin, biochemical assessment of vitamin D, C-reactive protein (CRP), and micronutrient (zinc) concentrations.

### *Measurement of Anti SARS-CoV-2 Antibodies*

Antibodies against SARS-CoV-2 were measured in sera through Roche Cobas e411 automated analyzer using Roche Elecsys Anti-SARS-CoV-2 assay kit (Roche, Basel, Switzerland) per manufacturer's instructions. The assay qualitatively detects total polyclonal antibodies (IgA, IgG, and IgM) against SARS-CoV-2 nucleocapsid (N) antigen. Before each batch, the assay was validated using positive and negative quality controls. Assay values above the cutoff of 1.0 were considered reactive for the presence of anti-SARS-CoV-2 antibodies. Quantitative serum 25-OH vitamin D was determined using the LIASION 25 OH Vitamin D TOTAL assay (DiaSorin, Saluggia, Italy). Zinc levels in plasma were measured using a Thermo scientific atomic absorption spectrometer. Hemoglobin levels were measured using the HemoCue Hb 301 analyzer (HemoCue, CA, USA), while CRP levels were quantified using a Roche CRP (CRPLX) kit on the Cobas c311 analyzer (Roche, Basel, Switzerland).

### *Detection of SARS-CoV-2 RNA Using RT-PCR*

Nasopharyngeal swabs were collected by inserting a sterile swab (Virus RNA collection kit, Beaver, China) into a single nostril and rotated 3-4 times against the nasopharyngeal surface. Respiratory specimens were stored at -80°C until shipped to the National SARS-CoV-2 Reference Lab of the National Institute, Islamabad (NIH-Islamabad) on dry ice. RNA was extracted from 200 $\mu$ l of the media using MagMax Viral/Pathogen II Nucleic Acid Isolation Kit (Thermo Fisher Scientific Inc. Waltham, Massachusetts, US). Real-time PCR was performed using TaqPath COVID-19 CE-IVD RT-PCR Kit on Applied Biosystems 7500 Fast Dx Real-Time PCR Instrument (Thermo Fisher Scientific Inc. Waltham, Massachusetts, US).

### *COVID-19 Antigen Testing of Respiratory Samples*

According to the manufacturer's guidelines, the rapid antigen test was performed using the SARS-CoV-2 Rapid Antigen Test kit (Roche, Basel, Switzerland). Briefly, the nasopharyngeal swab was collected and inserted into extraction buffer vials and rotated a few times to transfer contents into the buffer, after which the suspension was loaded on the cartridge, and results were read after 15 minutes. A Positive test was recorded when the test line was visible on the cartridge, and the visible control line confirmed the validity for each record. Quality control for each batch run was ensured by testing the commercially available Roche SARS-CoV-2 Antigen Control kit, including positive and negative controls (Roche, Basel, Switzerland).

### *Sample Size Estimation and Statistical Analysis*

We estimated that at least 2974 individuals (at least three individuals per household) stratified by age were needed to estimate an expected seroprevalence of 36% with 5% precision at a 95% level of confidence, with 80% power and a non-response rate of 20%. Reference calculations were based on serological data available at the time [21]. Categorical variables were reported as frequencies and proportions. Seroprevalence was reported by age, gender, socioeconomic status, clinical symptoms, type of residence (rural/ urban), and district for baseline and each follow-up round. Socioeconomic status was assessed using a wealth index derived through principal component analyses of household assets.

Analysis was performed using an intention-to-treat approach. The Pearson Chi-square test was used to establish an association between categorical variables, and *trend p-values* were used to compare seroprevalence over time by baseline characteristics.

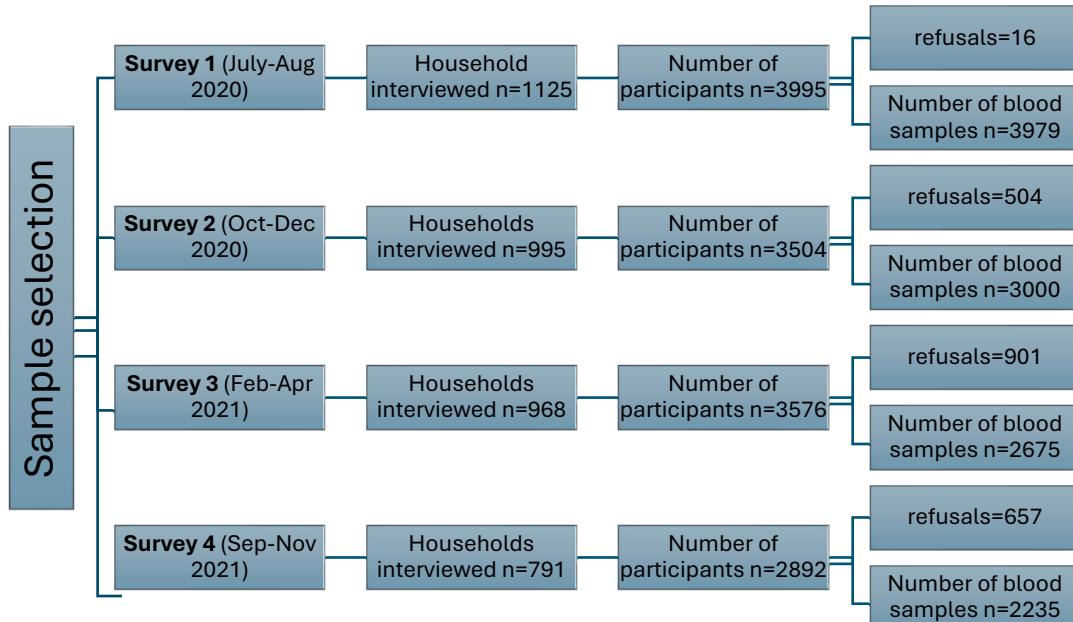
Mixed effect logistic regression was used to explore the impact of time on the seropositive status of the respondent. Individuals were incorporated as a random effect to model individual heterogeneity within seropositive status. The time interaction was tested to measure the impact of change in seropositive status. Furthermore, the analysis adjusted for confounding variables like age, gender, socioeconomic status, type of residence, district, area, medical symptoms, travel history, vitamin D and zinc concentrations, and vaccination status, keeping a positive COVID-19 antibody test as the outcome.

Adjusted odds ratios (OR) with 95% confidence intervals were reported. Covariates examined included age, gender, socioeconomic status, type of residence, district, area, medical symptoms, travel history, vitamin D and zinc levels, and vaccination status. The Cox proportional regression model was used to estimate hazard ratios (HR) and the corresponding 95% confidence intervals for positive cases for all possible risk factors (including area, age, sex, mother tongue, household size, etc.). A *p*-value of  $<0.05$  was considered statistically significant. Analysis was carried out using STATA Version 17 (StataCorp LLC, College Station, Texas, USA).

## Results

### Description of Serosurveys

The study comprised four successive household serosurveys conducted between July 2020 and November 2021 (Figure 2). As a result, 11,889 blood samples were collected and tested over the 16 months. The first survey of 1125 households was conducted during July and August 2020, and 3979 subjects consented to interviews and submitted blood samples. The second survey round was conducted from October until December 2020, whereby 995 households were interviewed, recruiting 3000 individuals. The third survey round was conducted between February and April 2021 and included 968 households, from whom 2675 individuals were recruited. The final survey round was conducted between September and November 2021, comprising 791 households, of which 2235 participants were recruited.



**Figure 2.** Flow diagram of sampling method and timeline of the study.

In each round, a single blood sample was collected from study participants. Antibodies to SARS-CoV-2 were measured in the sera obtained from each individual across all four rounds of the

serosurvey. For every individual recruited to the study, blood samples were tested for health-related parameters, including hemoglobin, CRP, vitamin D, and zinc levels. The tests were performed only once, in Round 1, on the first blood sample collected from each participant.

At each recruitment, demographic and socio-economic data related to any COVID-19-associated symptoms and clinical history were collected. In addition, data associated with any recent travel history was collected during the first survey round. In contrast, information on COVID-19 vaccinations was collected during the fourth survey round, following the introduction of the Sinopharm vaccine in Sindh, Pakistan, for vulnerable adults and front-line workers in March 2021. In each round of the serosurvey, a subset of individuals was selected and sampled using nasal swabs. These swabs were tested for SARS-CoV-2 RNA by PCR in rounds 1 (n=606), 2 (n=742), 3 (n=316), and 4 (n=383), and COVID-19 antigen testing in rounds 2 (n=718), 3 (n=315) and 4 (n=382).

#### Demographic and Socio-Economic Description of Study Subjects

The study subjects included 43.6% rural and 56.4% urban residents, comprising 60.4% females (Table 1). Sampling was conducted in equivalent age-segregated bands across the four rounds of the serosurvey. Most participants resided in households with six or more individuals (81.7%). Most individuals were identified as belonging to those classified as poor (39.2%). Around half (52.4%) of the sample were of Sindhi ethnicity, likely due to primary sampling within the rural province.

By round 4, COVID-19 vaccinations had been introduced across the country. Therefore, information on vaccination status was collected, with about 50% of participants reporting being vaccinated. Very few individuals (n=113, 3%) reported traveling outside the country in the previous 2 weeks, and very few (n=71, 2%) had visited a health facility in the last two weeks.

**Table 1.** Description of study subjects according to sociodemographic characteristics.

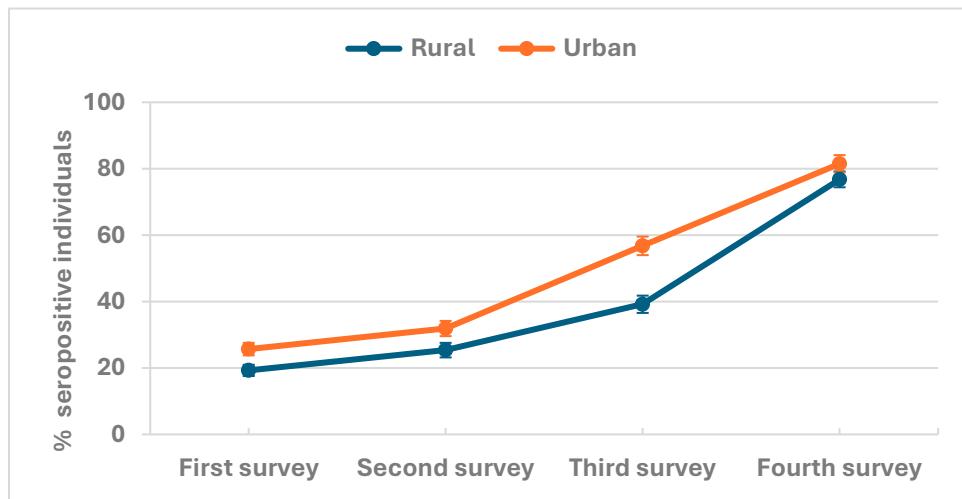
	Overall	First survey (July-Aug 2020)	Second survey (Oct-Dec 2020)	Third survey (Feb-April 2021)	Fourth survey (Sep-Nov 2021)	P- value
	N=11889	N=3979	N=3000	N=2675	N=2235	
<b>Location</b>						
Rural	5,187 (43.6%)	1,550 (39.0%)	1,340 (44.7%)	1,176 (44.0%)	1,121 (50.2%)	<0.00 1
Urban	6,702 (56.4%)	2,429 (61.0%)	1,660 (55.3%)	1,499 (56.0%)	1,114 (49.8%)	
<b>Gender</b>						
Male	4,711 (39.6%)	1,629 (40.9%)	1,158 (38.6%)	1,048 (39.2%)	876 (39.2%)	0.200
Female	7,178 (60.4%)	2,350 (59.1%)	1,842 (61.4%)	1,627 (60.8%)	1,359 (60.8%)	
<b>Age (Years)</b>						
0-4	595 (5.0%)	224 (5.6%)	136 (4.5%)	131 (4.9%)	104 (4.7%)	0.930
5-9	1,171 (9.8%)	401 (10.1%)	283 (9.4%)	273 (10.2%)	214 (9.6%)	
10-19	2,876 (24.2%)	932 (23.4%)	729 (24.3%)	657 (24.6%)	558 (25.0%)	

<b>Demographic and Socio-Economic Variables</b>						
<b>Age Group</b>		<b>Gender</b>		<b>Education Level</b>		
Age Group	Count (%)	Male (%)	Female (%)	Primary (%)	Secondary (%)	Tertiary (%)
20-29	2,159 (18.2%)	739 (18.6%)	562 (18.7%)	463 (17.3%)	395 (17.7%)	
30-39	1,899 (16.0%)	619 (15.6%)	472 (15.7%)	437 (16.3%)	371 (16.6%)	
40-49	1,474 (12.4%)	481 (12.1%)	384 (12.8%)	334 (12.5%)	275 (12.3%)	
50-59	876 (7.4%)	298 (7.5%)	224 (7.5%)	184 (6.9%)	170 (7.6%)	
60-69	539 (4.5%)	179 (4.5%)	132 (4.4%)	126 (4.7%)	102 (4.6%)	
70-79	245 (2.1%)	84 (2.1%)	64 (2.1%)	58 (2.2%)	39 (1.7%)	
80 and above	55 (0.5%)	22 (0.6%)	14 (0.5%)	12 (0.4%)	7 (0.3%)	
<b>Household size</b>						
1	28 (0.2%)	10 (0.3%)	5 (0.2%)	6 (0.2%)	7 (0.3%)	0.270
2	439 (3.7%)	143 (3.6%)	114 (3.8%)	93 (3.5%)	89 (4.0%)	
3	228 (1.9%)	81 (2.0%)	54 (1.8%)	53 (2.0%)	40 (1.8%)	
4-5	1,485 (12.5%)	542 (13.6%)	386 (12.9%)	311 (11.6%)	246 (11.0%)	
6 and above	9,709 (81.7%)	3,203 (80.5%)	2,441 (81.4%)	2,212 (82.7%)	1,853 (82.9%)	
<b>Wealth Index(quintiles)</b>						
Poorest	2,179 (18.3%)	756 (19.0%)	545 (18.2%)	452 (16.9%)	426 (19.1%)	0.053
Poor	2,468 (20.8%)	830 (20.9%)	645 (21.5%)	559 (20.9%)	434 (19.4%)	
Middle	2,230 (18.8%)	771 (19.4%)	567 (18.9%)	469 (17.5%)	423 (18.9%)	
Rich	2,313 (19.5%)	761 (19.1%)	595 (19.8%)	534 (20.0%)	423 (18.9%)	
Richest	2,699 (22.7%)	861 (21.6%)	648 (21.6%)	661 (24.7%)	529 (23.7%)	
<b>Mother tongue</b>						
Urdu	2,209 (18.6%)	716 (18.0%)	538 (17.9%)	530 (19.8%)	425 (19.0%)	<0.001
Punjabi	840 (7.1%)	376 (9.4%)	223 (7.4%)	146 (5.5%)	95 (4.3%)	
Sindhi	6,271 (52.7%)	1,936 (48.7%)	1,596 (53.2%)	1,462 (54.7%)	1,277 (57.1%)	
Pashto	790 (6.6%)	287 (7.2%)	206 (6.9%)	144 (5.4%)	153 (6.8%)	
Balochi	453 (3.8%)	166 (4.2%)	111 (3.7%)	97 (3.6%)	79 (3.5%)	
Saraiki	90 (0.8%)	41 (1.0%)	17 (0.6%)	24 (0.9%)	8 (0.4%)	
Hindko	90 (0.8%)	36 (0.9%)	18 (0.6%)	19 (0.7%)	17 (0.8%)	
Others	1,146 (9.6%)	421 (10.6%)	291 (9.7%)	253 (9.5%)	181 (8.1%)	

**Vaccinated against COVID-19** 1113 (49.8%) <0.001

## Antibody seroprevalence in rural and urban populations

Higher seropositivity in urban areas than in rural areas was observed across the four survey rounds. COVID-19 antibody testing in study subjects revealed that at the time of the first survey (July-August 2020), there was an overall seroprevalence of 23%, with 25.7% positivity in urban areas compared to 19.3% in the rural areas (Table 2, Figure 3A).



**Figure 3ASu.** Time trends in seropositivity by urban and rural population across four survey rounds.

**Table 2.** COVID-19 antibody seroprevalence in study subjects according to sociodemographic characteristics.

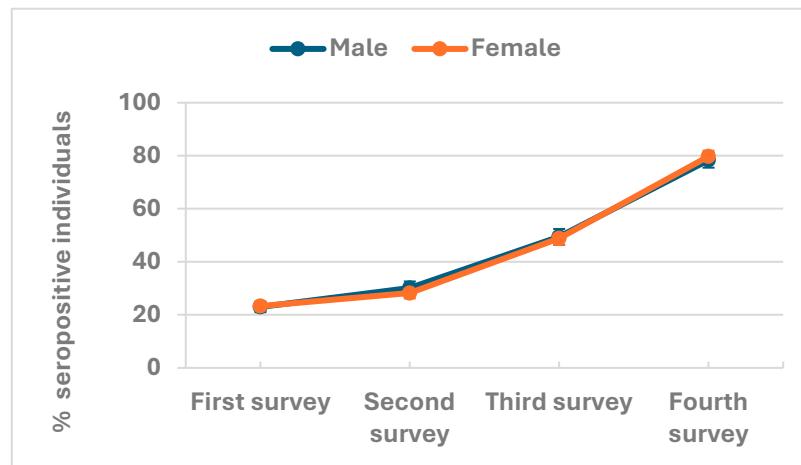
		27 /224	12.1% (8.4 - 17.0)	24 /136	17.6% (12.1 - 25.0)	42 /131	32.1% (24.6 - 40.5)	49 /104	47.1% (37.7 -56.7)
0-4		59 /401	14.7% (11.6 - 18.5)	54 /283	19.1% (14.9 - 24.1)	94 /273	34.4% (29.0 - 40.3)	143/21	66.8% (60.2 -72.8)
5-9		218 /932	23.4% (20.8 - 26.2)	217 /729	29.8% (26.6 - 33.2)	320 /657	48.7% (44.9 - 52.5)	431/55	77.2% (73.6 -80.5)
10-19		174 /739	23.5% (20.6 - 26.7)	169 /562	30.1% (26.4 - 34.0)	233 /463	50.3% (45.8 - 54.9)	322 /395	81.5% (77.4 -85.0)
20-29		171 /619	27.6% (24.2 - 31.3)	148 /472	31.4% (27.3 - 35.7)	237 /437	54.2% (49.5 - 58.9)	318 /371	85.7% (81.8 -88.9)
30-39		135 /481	28.1% (24.2 - 32.3)	140 /384	36.5% (31.8 - 41.4)	188 /334	56.3% (50.9 - 61.5)	242 /275	88.0% (83.6 -91.3)
40-49		73 /298	24.5% (19.9 - 29.7)	58 /224	25.9% (20.6 - 32.0)	96 /184	52.2% (45.0 - 59.3)	149 /170	87.6% (81.8 -91.8)
50-59		45 /179	25.1% (19.3 - 32.0)	40 /132	30.3% (23.1 - 38.7)	67 /126	53.2% (44.4 - 61.7)	77 /102	75.5% (66.2 -82.9)
60-69		17 /84	20.2% (13.0 - 30.2)	16 /64	25.0% (15.9 - 37.0)	31 /58	53.4% (40.7 - 65.8)	32 /39	82.1% (66.9 -91.2)
70-79		4 /22	18.2% (7.0 - 39.6)	3 /14	21.4% (7.1 - 49.4)	4 /12	33.3% (13.1 - 62.4)	6 /7	85.7% (41.9 -98.0)
<b>District</b>									
Matiari		299 /1550	19.3% (17.4 - 21.3)	340 /1340	25.4% (23.1 - 27.8)	461 /1176	39.2% (36.4 - 42.0)	861 /1121	76.8% (74.2 -79.2)
Karachi Central		113 /498	22.7% (19.2 - 26.6)	94 /345	27.2% (22.8 - 32.2)	196 /306	64.1% (58.5 - 69.2)	236 /281	84.0% (79.2 -87.8)
Karachi East		133 /529	25.1% (21.6 - 29.0)	92 /324	28.4% (23.7 - 33.6)	152 /280	54.3% (48.4 - 60.0)	175 /220	79.5% (73.7 -84.4)
Karachi South		85 /323	26.3% (21.8 - 31.4)	62 /244	25.4% (20.3 - 31.2)	116 /221	52.5% (45.9 - 59.0)	89 /124	71.8% (63.2 -79.0)
Karachi West		182 /587	31.0% (27.4 - 34.9)	179 /455	39.3% (35.0 - 43.9)	224 /401	55.9% (51.0 - 60.7)	275 /325	84.6% (80.3 -88.1)
Korangi		57 /212	26.9% (21.3 - 33.3)	59 /147	40.1% (32.5 - 48.3)	79 /143	55.2% (47.0 - 63.2)	82 /95	86.3% (77.8 -91.9)
Malir		54 /280	19.3% (15.1 - 24.3)	43 /145	29.7% (22.8 - 37.6)	84 /148	56.8% (48.7 - 64.5)	51 /69	73.9% (62.3 -82.9)
<b>Household size</b>									
1		1 /10	10.0% (1.4 - 46.7)	1 /5	20.0% (2.7 - 69.1)	2 /6	33.3% (8.4 - 73.2)	4 /7	57.1% (23.0 -85.6)
2		38 /143	26.6% (20.0 - 34.4)	38 /114	33.3% (25.3 - 42.5)	43 /93	46.2% (36.4 - 56.4)	73 /89	82.0% (72.6 -88.7)

3	15 /81	18.5% (11.5 - 28.5)	15 /54	27.8% (17.5 - 41.1)	27 /53	50.9% (37.7 - 64.0)	30 /40	75.0% (59.4 -86.0)
4-5	135 /542	24.9% (21.4 - 28.7)	109 /386	28.2% (24.0 - 32.9)	158 /311	50.8% (45.3 - 56.3)	200 /246	81.3% (75.9 -85.7)
6 and above	734 /3203	22.9% (21.5 - 24.4)	706 /2441	28.9% (27.2 - 30.8)	1082 /2212	48.9% (46.8 - 51.0)	1462 /1853	78.9% (77.0 -80.7)
<b>Wealth Quintile</b>								
Poorest	154 /756	20.4% (17.6 - 23.4)	133 /545	24.4% (21.0 - 28.2)	182 /452	40.3% (35.8 - 44.9)	320 /426	75.1% (70.8 -79.0)
Poor	172 /830	20.7% (18.1 - 23.6)	167 /645	25.9% (22.7 - 29.4)	251 /559	44.9% (40.8 - 49.1)	340 /434	78.3% (74.2 -82.0)
Middle	192 /771	24.9% (22.0 - 28.1)	183 /567	32.3% (28.6 - 36.2)	247 /469	52.7% (48.1 - 57.2)	345 /423	81.6% (77.6 -85.0)
Rich	203 /761	26.7% (23.7 - 29.9)	188 /595	31.6% (28.0 - 35.4)	291 /534	54.5% (50.2 - 58.7)	349 /423	82.5% (78.6 -85.8)
Richest	202 /861	23.5% (20.7 - 26.4)	198 /648	30.6% (27.1 - 34.2)	341 /661	51.6% (47.8 - 55.4)	415 /529	78.4% (74.7 -81.7)
<b>Mother tongue</b>								
Urdu	163 /716	22.8% (19.8 - 26.0)	137 /538	25.5% (22.0 - 29.3)	286 /530	54.0% (49.7 - 58.2)	333 /425	78.4% (74.2 -82.0)
Punjabi	105 /376	27.9% (23.6 - 32.7)	83 /223	37.2% (31.1 - 43.8)	83 /146	56.8% (48.7 - 64.6)	76 /95	80.0% (70.8 -86.9)
Sindhi	379 /1936	19.6% (17.9 - 21.4)	432 /1596	27.1% (24.9 - 29.3)	647 /1462	44.3% (41.7 - 46.8)	994 /1277	77.8% (75.5 -80.0)
Pashto	87 /287	30.3% (25.3 - 35.9)	74 /206	35.9% (29.7 - 42.7)	82 /144	56.9% (48.7 - 64.8)	128 /153	83.7% (76.9 -88.7)
Balochi	53 /166	31.9% (25.3 - 39.4)	35 /111	31.5% (23.6 - 40.7)	52 /97	53.6% (43.7 - 63.3)	66 /79	83.5% (73.7 -90.2)
Saraiki	10 /41	24.4% (13.7 - 39.7)	7 /17	41.2% (21.0 - 64.8)	9 /24	37.5% (20.8 - 57.8)	5 /8	62.5% (28.5 -87.5)
Hindko	10 /36	27.8% (15.6 - 44.4)	6 /18	33.3% (15.8 - 57.1)	8 /19	42.1% (22.6 - 64.4)	11 /17	64.7% (40.4 -83.2)
Others	116 /421	27.6% (23.5 - 32.0)	95 /291	32.6% (27.5 - 38.2)	145 /253	57.3% (51.1 - 63.3)	156 /181	86.2% (80.4 -90.5)
<b>COVID-19</b>								
<b>vaccination status</b>								
Vaccinated						965/11	86.7%	
						13	(80.2-90.5)	
Not vaccinated						804/11	71.7% (63.2	
						22	-79.0)	

By the second round, overall seroprevalence increased to 29% with 31.9% positivity in urban areas versus 25.4% in rural areas. With, a further increase to 49% by the third round and higher (56.8%) seropositivity in urban areas compared to rural areas (39.2%). By the final round, overall seroprevalence was 79%, with 81.5% positivity in urban areas compared to 76.8% in rural areas. The four survey rounds observed higher seropositivity in urban areas than rural ones (Supplementary Table 1).

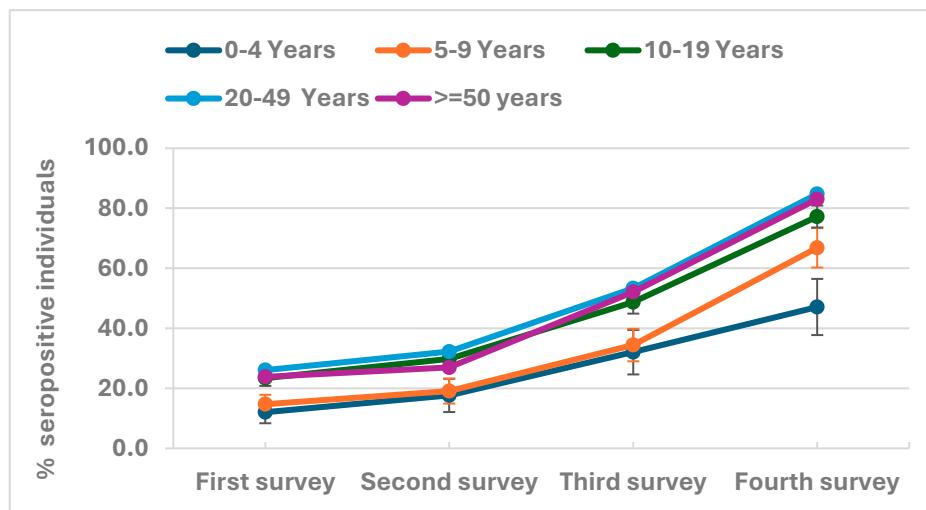
#### Factors Associated with SARS-CoV-2 Seropositivity

We investigated factors associated with COVID-19 antibody seroprevalence in the population. Seropositivity increased in all individuals throughout the four rounds of the survey and was similar in males and females throughout the study (Figure 3B, Table 2).



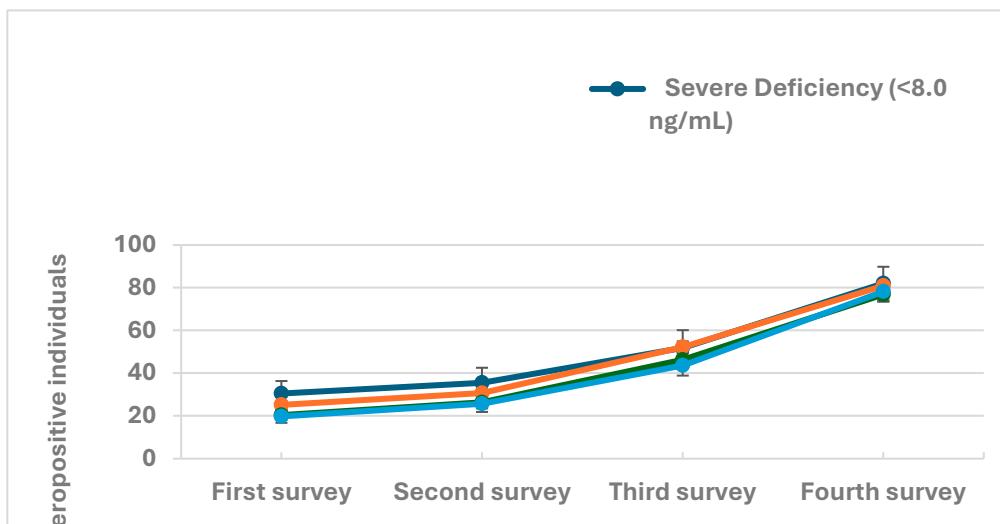
**Figure 3B.** Time trends in seropositivity by gender across four survey rounds.

Children aged less than 10 years of age had a lower seroprevalence than older age groups (Table 2, Supplementary Table 1). Examined in age bands of 0-4, 5-9, 10-19, 20-49 years, and those aged 50 years and older, it was apparent that seropositivity increased in all age groups and vitamin D levels across the four serosurveys (Figure 3C); however, those aged below five years had lower COVID-19 antibody seroprevalence.



**Figure 3C.** Time trends in seropositivity by age across four survey rounds.

Figure 3D shows the seropositivity in participants with different vitamin D levels, it was apparent that seropositivity increased across the four serosurveys. However, those with severe vitamin D deficiency had higher COVID-19 antibody seropositivity.



**Figure 3D.** Time trends in seropositivity by vitamin D status across four survey rounds.

Seropositivity was lower in rural (Matiari) districts than in urban Karachi districts (Table 2, Supplementary Table 1). Seroprevalence across the districts did not show any substantial variation throughout all rounds of surveys.

Individuals living alone were less likely to be antibody-positive than individuals living in households of two or greater. Lower antibody seroprevalence was observed in the poorest households compared with the better-off households (Supplementary Table 1). By round 4, higher seropositivity was associated with vaccinated (86.7%) individuals as compared with unvaccinated (71.7%) individuals (Table 2).

Further investigation revealed that certain symptoms, such as fever or loss of smell, were only associated with COVID-19 antibody seropositivity in the univariable model. Variations in hemoglobin levels, CRP, or zinc levels were not associated with COVID-19 seropositivity (Supplementary Table 2). Neither outside travel nor visiting a health facility were strongly associated with seropositivity.

In a multivariable analysis, the odds of being seropositive increased with each round of the serosurvey (Table 3). Further, these were 2.6 times (95% CI 1.9-3.6) higher among urban residents than rural residents. Individuals aged 5-9, 10-19, 20-49, and 50 years and older had 1.8 (95% CI: 1.0, 3.1), 5.1 (95% CI: 3.1, 8.6), 7.5 (95% CI: 4.6, 12.4), and 6.4 (95% CI: 3.7, 10.9) times the risk of being seropositive, respectively, compared to those aged 0-4 years, after adjusting for other variables. Pushto-speaking individuals had a higher risk (OR 2.1, 95% CI 1.3-3.3) than Urdu-speaking individuals of being seropositive. Individuals classified as middle or higher wealth quintiles had a higher risk of seropositivity than those in the poorest quintiles.

Having conjunctivitis was found to be associated with a 1.6 times increased risk of COVID-19 seropositivity. Reported contact with a COVID-19-positive individual in the last two weeks was also associated with higher odds of seropositivity (OR 3.2, 95% CI 1.5-6.8). The risk of being seropositive was 2.1 times higher (95% CI 1.3-3.5) among those with severe vitamin D deficiency compared to those with sufficient vitamin D levels, with an additional risk of 1.7 observed in those with deficient vitamin D levels. Contact with a confirmed case of COVID-19 in the previous two weeks was associated with higher seropositive rates in the study subjects.

**Table 3.** Factors associated with COVID-19 antibody seroprevalence amongst study participants.

Variables	Adjusted	OR (95% CI)	P-values
<b>Round</b>			
1	Ref.		
2	1.8 (1.5,2.1)		<0.001
3	10 (8.3,11.9)		<0.001
4	128.6 (99,167)		<0.001
<b>Area</b>			
Rural	Ref.		
Urban	2.6 (1.9,3.6)		<0.001
<b>Age</b>			
0-4 Years	Ref.		
5-9 Years	1.8 (1.0,3.1)		0.052
10-19 Years	5.1 (3.1,8.6)		<0.001
20-49 Years	7.5 (4.6,12.4)		<0.001
>=50 years	6.4 (3.7,10.9)		<0.001
<b>Mother tongue</b>			
Urdu	Ref.		
Punjabi	1.8 (1.2,2.7)		0.007
Sindhi	1.5 (1.0,2.2)		0.028
Pashto	2.1 (1.3,3.3)		0.001
Balochi	1.9 (1.1,3.3)		0.022
Saraiki	1.4 (0.5,4.2)		0.508
Hindko	0.8 (0.3,2.3)		0.615
Others	1.5 (1.0,2.2)		0.054
<b>Wealth Index(quintiles)</b>			
Poorest	Ref.		
Poor	1.3 (1.0,1.8)		0.07
Middle	1.8 (1.3,2.4)		<0.001
Rich	1.9 (1.4,2.7)		<0.001
Richest	1.5 (1.1,2.0)		0.018
<b>Conjunctivitis</b>			
Yes	1.6 (1.1,2.2)		0.007
No	Ref.		
<b>Contact with a confirmed COVID-19 case in last 2 weeks</b>			
Yes	3.2 (1.5,6.8)		0.003
No	Ref.		
<b>Vitamin D Level (μmol/L)</b>			
Severe Deficiency (<8.0 ng/mL)	2.1 (1.3,3.5)		0.003
Deficiency (8.0 - 20.0 ng/mL)	1.7 (1.3,2.3)		0.001

Desirable (>20.0 - 30.0 ng/mL)	1.2 (0.9,1.7)	0.211
Sufficient (>30.0 ng/mL)	Ref.	

### Assessing Risk of COVID-19 Seroprevalence

We used the Cox proportional hazard model to assess time to seroconversion among study subjects, of which 79% were seropositive by the fourth round (September – November 2021). Table 4 lists the hazard ratios for the risk of seroconversion throughout the 16-month study period across different characteristics. Individuals aged 5–9, 10–19, 20–49, and 50 years and older had an adjusted hazard ratio (AHR) of 1.3 (95% CI: 1.0, 1.7), 1.9 (95% CI: 1.5, 2.5), 2.2 (95% CI: 1.7, 2.7), and 2.2 (95% CI: 1.7, 2.8), respectively, indicating a higher risk of seroconversion compared to those aged 0–4 years. Punjabis had an AHR of 1.4 (95% CI 1.2-1.6), Balochis had 1.3 (95% CI 1.0-1.6), and Sindhis had 1.2 (95% CI 1.1-1.3) higher risk of seroconversion as compared to the Urdu-speaking individuals.

**Table 4.** Cox proportional regression model to study association with COVID-19 antibody seropositivity of study participants.

Variables	Adjusted (HR 95% CI)	P-values
<b>Age</b>		
0-4 Years	Ref.	
5-9 Years	1.3 (1.0,1.7)	0.035
10-19 Years	1.9 (1.5,2.5)	<0.001
20-49 Years	2.2 (1.7,2.7)	<0.001
>=50 years	2.2 (1.7,2.8)	<0.001
<b>Mother tongue</b>		
Urdu	Ref.	
Punjabi	1.4 (1.2,1.6)	<0.001
Sindhi	1.2 (1.1,1.3)	0.002
Pashto	1.0 (0.8,1.2)	0.835
Balochi	1.3 (1.0,1.6)	0.035
Saraiki	1.5 (0.9,2.3)	0.105
Hindko	0.8 (0.5,1.3)	0.399
Others	1.2 (1.1,1.5)	0.006
<b>Wealth Index(quintiles)</b>		
Poorest	Ref.	
Poor	1.2 (1.1,1.4)	0.003
Middle	1.2 (1.1,1.4)	0.002
Rich	1.3 (1.1,1.5)	<0.001
Richest	1.1 (1.0,1.3)	0.048
<b>Vitamin D Level (<math>\mu</math>mol/L)</b>		
Severe Deficiency (<8.0 ng/mL)	1.4 (1.1,1.7)	0.001
Deficiency (8.0 - 20.0 ng/mL)	1.2 (1.1,1.4)	<0.001
Desirable (>20.0 - 30.0 ng/mL)	1.1 (1.0,1.2)	0.12
Sufficient (>30.0 ng/mL)	Ref.	

All wealth quintiles were significantly at higher risk of seroconversion than the poorest quintile, but no pattern of risk was observed with increasing wealth quintiles. Severe vitamin D deficiency was associated with an adjusted hazard ratio (AHR) of 1.4 (95% CI 1.1-1.7), while vitamin D deficiency was associated with an AHR of 1.2 (95% CI 1.1-1.4) for seropositivity (Table 4, Supplementary Table 3).

### Investigation of COVID-19 in Study Subjects

Antibody positivity to SARS-CoV-2 may be due to natural infection, vaccinations, or cross-reactivity to other coronaviruses. [22]. We wanted to determine how the seroprevalence observed may be associated with COVID-19 in the population. Hence, during the serosurveys, nasopharyngeal swab samples were collected from a subset of 2,047 study participants: 606 in Round 1, 742 in Round 2, 316 in Round 3, and 383 in Round 4 (Table 5). Of the 2,047 swabs tested by PCR, 37 (1.8%) were found to be COVID-19 positive. From these 2,346 nasal swabs, we also tested 1,415 samples from all rounds except for Round 1 using the COVID-19 rapid antigen assay. Among the 1,451 individuals tested, 26 (1.8%) were identified positive for SARS-CoV-2 antigens.

**Table 5.** Summary of COVID-19 confirmed through PCR or antigen testing of respiratory specimens of individuals tested in four serosurveys conducted from July 2020 to November 2021.

Survey round	Number of respiratory swabs tested (PCR + antigen, n)	% of COVID-19 positive	% of COVID-19 positive	Number of PCR tests conducted (n)	% of Positive specimen	% of Positive specimen	Number of Antigen tests conducted (n)	% of Positive specimen	% of Antigen positive specimen
Round d- 1	606	10	1.7	606	10	1.7	-	-	-
Round d- 2	1460	50	3.4	742	26	3.5	718	24	3.3
Round d- 3	631	1	0.2	316	0	0.0	315	1	0.3
Round d- 4	765	2	0.3	383	1	0.3	382	1	0.3
Total	3462	63	1.8	2047	37	1.8	1415	26	1.8

The table describes the number of individuals from whom respiratory samples were tested for SARS-CoV-2 RNA by RT-PCR and COVID-19 antigen during the study sero survey rounds.

### Discussion

SARS-CoV-2 seroprevalence in two areas of Sindh based on four repeated cross-sectional surveys between July 2020 and November 2021 show a steady increase in the prevalence of individuals with COVID-19 antibodies over the first year of the pandemic. Our study revealed a constant rise in seropositivity over time in all the study districts, increasing from 23% in July 2020 to 79% by November 2021, with a particularly sharp rise between the third and fourth survey rounds, despite no significant increase in clinically symptomatic and positive cases. There was some difference in seropositivity between rural (from 19% to 77%) and urban areas (from 26% to 82%) across the study period of 16 months. No difference was found in seropositivity associated with

gender, although younger age (<05 years) was associated with lower COVID-19 seropositivity. Increased household sizes and a higher wealth index were associated with higher seroprevalence rates, as were having deficient serum vitamin D levels. Notably, only 1.8% of respiratory samples tested from a subset of participants tested by RT-PCR or COVID-19 antigen testing showed the presence of SARS-CoV-2, indicating asymptomatic or sub-clinical infection in these individuals. Protective immunity against SARS-CoV-2 is driven by host humoral immunity as indicated by the measurement of antibody seroprevalence. Therefore, our study presents evidence of a population-wide increase in immunity against SARS-CoV-2 infections through the early pandemic period before the introduction of COVID-19 vaccination.

Of the four COVID-19 waves experienced in Pakistan during the study period, the first occurred in May 2020, shortly before the survey began in July 2020. Three subsequent peaks followed this in December 2020, April 2021, and August 2021 [23,24]. The results of COVID-19 seroprevalence we observed during the first survey were broadly consistent with others conducted around the same period. A large seroprevalence study conducted in July 2020 in metropolitan cities of Pakistan reported 17.5% seropositivity. [15], while another study conducted by the National Institute of Blood Diseases (NIBD) in Karachi in July 2020 reported an antibody prevalence of 34% in the community [21]. A study conducted in Lahore reported a seroprevalence of 15.6% among policemen in May 2020 [25]. By December 2020, a healthy blood donor population in Karachi seropositivity was found to be 53% [26].

The relatively minor increase in seroprevalence seen between the first and second survey rounds (between July and November 2020) might be explained by the nationwide “smart” lockdown measures and infection mitigation measures implemented by the government from November 26<sup>th</sup>, 2020 [27]. From February 2021 onwards, seroprevalence increased, as seen between the third and fourth survey rounds until November, revealing 56.8 to 81.5% seroprevalence in urban settings and 39.2 – 76.8% seroprevalence in rural settings. This increase may be attributable to the easing of lockdown measures in August 2021, with people coming back to work, poor compliance with preventive measures, and the reopening of educational institutions [28].

The early phase of the COVID-19 pandemic was associated with the wild-type Wuhan or S clade strains of SARS-CoV-2, which were predominantly circulating in the population, which was followed by the introduction of G clade strains by the end of 2020 [29,30]. In January 2021, alpha, the first variant of concern (VOC), was introduced in Pakistan, followed by delta variants in May 2021 [31]. COVID-19 vaccinations were rolled out on February 22<sup>nd</sup>, 2021, initially only for healthcare workers, followed by extended to groups starting with the oldest age groups [32]. Therefore, both an increase in viral transmission and vaccinations would have contributed to the increased seroconversion observed between survey rounds 3 and 4. Of note, COVID-19 vaccinations were not available for children (12 – 18 years) until 2022, which was after this study period. Therefore, the increasing seroprevalence (approximately 20 – 70 %) in the 0 – 19-year age group was unlikely due to COVID-19 vaccinations.

Our study observed higher odds of seropositivity among urban dwellers, which is consistent with various regional and international studies. [33–35]. The trend also fits with increased seropositivity associated with increased household size, likely pointing to higher transmission levels, such as in Karachi, which has a population of 17.6 million.

Our observation of higher odds of seropositivity among people 20–49 years old is consistent with age-related seroprevalence patterns in some studies [36,37]. This contrasts with other studies that have found the advancing age of 60–80 years to be one of the predictors of high seroprevalence [38,39]. Such differences may be due to unique population dynamics and group mobility. Sindhi ethnicity was predominant in the study samples as our rural cohort was from Sindh province. Two population-based seroprevalence studies have reported 3.2% seropositivity in Balochi individuals versus 6.2% in Pashtuns [14] in a community-based sample of 5000 individuals in Oct – Nov 2020 and around 43% seroprevalence among Pashtuns in another conducted in July 2020 [17]. Identifying Balochi and Pushto ethnicities with higher odds of having detectable antibodies in our study is likely reflective of the demographic nature of Karachi, a multi-ethnic city.

Contrary to other studies, belonging to a higher wealth quintile was considered seropositive in our research. This contrasts with a South African study that showed a higher risk of detectable antibodies among people living in informal household settings with low socioeconomic status. [40], and a population-based study in Peru reported higher seroprevalence in socioeconomically deprived strata [41]. Differences observed in our population may be associated with the increased mobility of higher-income populations, which increases their risk of exposure to circulating SARS-CoV-2 strains.

We did not find any association between zinc micronutrients and seroconversion; however, our study found vitamin D deficiency associated with seropositivity. Given that the majority of individuals in Pakistan are found to have deficient vitamin D levels [42], this association is likely representative of the population rather than associated with COVID-19 antibody seroprevalence. Vitamin D plays a key role in regulating the immune activation of cells in response to infection. A recent study in Pakistan reported that vitamin D-deficient individuals who tested positive for COVID-19 experienced more extended hospital stays with slower recovery and poor outcomes, whereas those with sufficient levels of vitamin D were less likely to require invasive treatments and fewer complications [43]. However, our data do not suggest whether lower vitamin D concentrations increase the risk of COVID-19 seropositivity or clinical illness in our population.

Testing of respiratory samples from study subjects across the four survey rounds revealed that 1.8% of individuals have COVID-19; this number is relatively low and cannot account for the high rates of seropositivity observed. Therefore, our results describe increasing seroprevalence in both urban and rural regions of Sindh, Pakistan, which are most likely associated with COVID-19 immunity. These data align with reports from our center that have identified increasing IgG antibodies to SARS-CoV-2 Spike in healthy uninfected controls during the early pandemic period, likely associated with pre-pandemic immunity in the cohort [44]. The expansion of antibody responses observed through the study surveys is likely driven by memory B cells triggered by natural infection and cross-reactivity to circulating alpha and beta coronaviruses [22].

The COVID-19 antibodies measured here targeted the SARS-CoV-2 nucleocapsid protein. While most information available focuses on antibodies to the Spike glycoprotein, which is thought to be primarily associated with protection [45]. Antibodies reactive to the nucleocapsid protein also can have neutralizing activity against SARS-CoV-2 [46]. Further, multi-parameter analysis of antibody subtypes to both spike and nucleocapsid proteins can inform on protective immunity based on both cross-reactive and SARS-CoV-2 driven immunity [47,48].

This study was the first large-scale population survey that followed households over 16 months from the early pandemic period of July 2020 through 4 survey rounds. Its representation of baseline and subsequent seropositivity across age groups enables us to understand population trends and the significance of the relatively low COVID-19 morbidity and mortality observed. One limitation of the study is that there were several refusals to participate across rounds; therefore, not all individuals were tested longitudinally. We could not conduct virus-neutralizing assays against SARS-CoV-2 or other related coronaviruses. As we could not distinguish between antibodies produced in response to natural infection and those made by COVID-19 vaccinations, the results of the final survey in November 2021 included seropositivity due to both factors. Finally, convenience sampling and enrollment from only two areas of Sindh compromised the generalizability of the study to the national level.

## Conclusions

This study describes rising seroprevalence in urban Karachi and rural Matiari between July 2020 and November 2021. It provides data from both urban and rural areas in Sindh province showing a similar trend. There was rising population seroprevalence coincident with COVID-19 waves in the country, but limited disease was observed in the study population. This fits with increasing rates of seropositivity indicating 'herd' immunity following sub-clinical spread of the virus or cross-protection from other seasonal human coronaviruses [49,50]. Further studies are required to fully understand the nature of the seroprevalence observed through testing against different coronaviruses.

### Figure Legends

**Figure 1. Map of study sites and change in antibody prevalence against SARS-CoV-2:** The figure illustrates the spatial distribution and variation in seroprevalence changes across the selected study sites. The main map details Karachi city, the upper inset shows a map of Pakistan highlighting the locations of Karachi city, while the lower inset zooms in on district Matiari.

The colored symbols on the map indicate the change in prevalence of antibodies against SARS-CoV-2 between survey rounds (R4 - R1) across different areas.

**Figure 2. Flow diagram of sampling method and timeline of the study:** The chart describes the periods of surveys 1, 2, 3 and 4, respectively which were conducted between July 2020 and November 2021. The number of households interviewed as well as the number of participants requested to participate in the study are indicated. The final number of individuals who consent to participate in each round of the study are also listed.

**Figure 3 (A, B, C & D).** Time trends in seropositivity by urban and rural population, gender, age and vitamin D status across four survey rounds: These figures provide a visual representation of how seropositivity evolved in different demographic and health-related subgroups throughout the study period. It presents four line-graphs depicting the time trends in seropositivity (y-axis) across four survey rounds (x-axis) by urban and rural population, gender, age (0-4, 5-9, 10-19, 20-49 and >50 years) and vitamin D status (severely deficient, deficient, desirable and sufficient)

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**Author Contributions:** ZAB conceptualized the study, assisted with interpreting the results, and reviewed the manuscript. MAH and SBS designed the survey and provided senior supervision. IH drafted the first version. JI & ZH wrote the subsequent final version of the manuscript. AAM & MU oversaw data collection activities. KF managed the data, and SM performed the statistical analysis. SC provided senior support for statistical analysis. JI, KB & RZ performed the laboratory analysis. JI, ZH, SBS, AI, SC & ZAB critically reviewed the manuscript. All authors reviewed and approved the final manuscript for submission.

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