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Article

Umbilical Cord Connective Tissue Allograft Applications with EPAT and Class IV Lasers for Defects of the Plantar Fascia: A Case Series

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Abstract: Foot pain related to the plantar fascia is common in adults regardless of athletic background. Many pathologies can lead to the diagnosis of plantar enthesopathy, but the most relevant to this case study is related to structural defects within the plantar fascia. Due to the variety and sometimes unclear etiology of plantar fasciosis, no single standard of care treatment protocol is recognized. Often, patients receive NSAIDs, night splints, taping, physical therapy, foot orthosis, and extracorporeal shock wave therapies. This study presents Wharton Jelly (WJ) tissue allografts as an additional intervention for patients who fail standard-care treatments. WJ allografts are applied to supplement connective tissue defects directly, unlike other therapies, which aim to reduce swelling and symptomatic pain. This retrospective study includes seven patients who have previously failed standard-of-care treatment. Each received extracorporeal pulse-activated therapy (EPAT), WJ, laser therapy, and an orthotic. Patient progress was tracked using a visual analog scale, 0-10 scoring, reported by the patient at the initial visit and approximately thirteen weeks following, reporting an average improvement of 50%. The study's limitations include a small cohort size and a non-blinded retrospective design. These promising results provide evidence for a more extensive, randomized study to define dosage protocols further and confirm safety and efficacy.

Keywords: Plantar Fasciosis; Enthesopathies; Wharton's jelly; laser therapy; EPAT; regenerative medicine

1. Introduction

Nearly one in ten people will experience plantar fasciopathy in their lifetime [1]. Several diverse pathologies, including neurologic, arthritic, traumatic, neoplastic, infectious, or vascular, may lead to plantar enthesopathy [2]. Enthesopathy experienced in the plantar aspect of the foot may be Plantar Fasciosis (PF), a self-limiting condition often associated with chronic pain and tightness upon standing in the morning and is exacerbated by long periods of standing or walking. A diagnosis is made based on patient history, risk factors, and physical examination. Risk factors often include excessive running, high arch, obesity, and a sedentary lifestyle [3]. A study by Rano (2001) found that BMI (body mass index) plays a more significant role in plantar enthesopathy than foot structure [4]. Commonly associated with a high BMI are metabolic syndrome and diabetes, which complicates the diagnosis by adding compression of Baxter's nerve, the first branch off of the lateral plantar nerve, and tarsal tunnel syndrome, mimicking fascial pain. Another leading factor in Plantar Fasciosis is defects in the collagen matrix within the plantar fascia. The body's most common protein is collagen, the main structural protein in connective tissues, including plantar fascia [5]. Micro and larger tears can occur in the plantar fascia band after prolonged strain or repetitive shocks, which, if the stress persists, can ultimately result in the inability of the body to repair itself naturally, resulting in irritation and inflammation.

Primarily due to the unclear etiology of plantar fasciosis, several standard-of-care treatments are available. Still, the most beneficial treatment can only be determined by accurate diagnosis with

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differentials such as nerve entrapments, micro tears, fibro-lipomas (frequently misdiagnosed as bursae), microfractures of the calcaneus, exostosis, enthesopathy, and systemic inflammatory disorders. Three categories exist in which standard, nonsurgical treatments are classified. Treatments are typically divided into reducing pain and inflammation, reducing tissue stress, and restoring muscle strength and flexibility of involved tissues [6]. Standard-of-care treatment options for plantar fasciosis often include corticosteroid injections, non-steroidal anti-inflammatory drugs (NSAIDs), night splints, taping, stretching, exercise, foot orthosis, and extracorporeal shock wave therapy [7]. Regarding pain treatment, a study by Nahin (2018) found that most patients use over-the-counter medications, such as NSAIDs, whereas approximately 40% of patients use prescription medications [8]. The number of PF cases has more than doubled from 2010 to 2018 and is likely to continue to increase, ultimately raising the annual economic burden of PF. Each year, nearly \$600 is spent per person on NSAIDs alone. Including additional costs of standard treatment, the annual cost associated with PF is \$284 million [9]. However, most PF studies follow patients up to less than one year. Given the chronic and relapsing nature of PF, more research needs to be conducted to understand the best treatment mode and better estimate the overall economic burden of PF [10]. If a patient has attempted standard care of treatment with no relief after 6 to 12 months, they may qualify for partial or complete plantar fasciotomy. Of the patients who have failed standard-of-care treatments and qualify for plantar fasciotomy, patients with no previous foot trauma and only unilateral symptoms attain the best results from an endoscopic plantar fascia release [11]. While surgical interventions have shown some success, the removal of greater than 40% of the plantar fascia may have detrimental effects on other ligamentous and bony structures in the foot [12]. Another study showed that during an open partial release of the plantar fascia, an incision of the fascia greater than 50% was associated with increased pain [13]. With the increased risk of detrimental effects after surgery and a potential increase in pain, especially in the lateral column, there is a clear need for additional treatment options.

Given the limited treatment options for defects of the plantar fascia, we propose using Wharton's Jelly (WJ) to supplement the damaged tissue to minimize the negative symptoms of plantar fasciosis when used alongside non-surgical standard-of-care practices. Specifically, Wharton's jelly contains collagen types I, III, and V and fibrous structures comparable to the extracellular matrices (ECM) of human articular cartilage, tendons, and dermal tissues [14]. WJ protects vessels in the umbilical cord from external forces. A recent study shows that when WJ is used, significant defects in the articular cartilage scaffold can be mitigated [15]. As there is a clear need for an alternative intervention for plantar enthesopathy, we present a retrospective analysis of Wharton's jelly used with shockwave therapy and class IV lasers for defects in the plantar fascia.

2. Materials and Methods

2.1. Materials and Methods

All methods complied with the FDA and American Association of Tissue Banks (AATB) standards. This study was conducted under an Institute of Regenerative and Cellular Medicine IRB-approved protocol (RL-UCT-001), and informed consent was obtained from the study participants. The Wharton's jelly tissue allografts were processed and distributed by Regenative Labs. CryoText Plus is a minimally manipulated WJ allograft tissue product for homologous use only. The Wharton's jelly is aseptically dissociated from the rinsed umbilical cord. After dissociation, 100 mg of Wharton's Jelly is suspended in approximately 2mL of sterile Sodium Chloride 0.9% solution (normal saline)(Regenative Labs, Pensacola, FL, USA). FDA guidelines specify minimal manipulation as a human tissue product is not combined with any article except saline and FDA-approved cryopreservatives. The regulations of the human cell and tissue product (HCT/P) require extensive testing to ensure that there are no clinical safety concerns for tissue products. The allografts were purchased by the clinic from Regenative Labs. Patient recruitment, allograft application, and patient tracking were performed at Parker Foot and Ankle.

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2.2. Case Presentation

This study included seven consenting individuals who presented with either left or right plantar fasciosis. All individuals had previously exhausted standard-of-care treatment options. The study sample was 57% male and 43% female. The age of the sample ranged from 47 to 66- years- old. Of the sample, five individuals received WJ to the defect site on their left foot, leaving the two remaining individuals to receive WJ to the defect on their right foot. Each individual received a single application of 1cc CryoTextPlus, class IV laser therapy, extracorporeal pulse-activated therapy (EPAT), and a pneumatic boot by Dr. Parker at his clinic, Parker Foot and Ankle, in Houston, Texas. All patients were prescribed optional medication to help combat discomfort. After the initial application, all individuals were assessed at a follow-up visit approximately 11 weeks later to evaluate pain improvement and to ensure no adverse side effects. This series aims to present improvements in patient-reported pain scales after the application of WJ to the site of tissue defect, including laser therapy, EPAT, and a pneumatic boot.

Patient Number	Gender	Age	Affected Foot
1	Female	47	left
4	Male	50	left
5	Male	50	right
6	Female	57	right
7	Female	64	left
8	Male	63	left
9	Male	66	left

2.3. Patient Care Procedures

The multi-step procedure includes EPAT, applying an umbilical cord tissue matrix known as Wharton's Jelly, class IV laser therapy, and a walking boot. The lower extremity was prepped and draped in the standard sterile technique. Before applying the tissue allograft, most patients received EPAT at 11 Hrtz, 3.0 bars, and 3231 to 3432 pulses to the affected tissue. One patient received EPAT at 11 Hrtz, 1.4 bars, and 3532 pulses according to the patient's tolerance. The WJ product used in this study was 1cc of CryoTextPlus, a minimally manipulated tissue allograft. While the patient received EPAT, CryoTextPlus was thawed slowly in a 35-degree bath per laboratory guidelines. The allograft was transplanted along the plantar medial origin of plantar fascia throughout the inflamed tissues utilizing MyLab 15.0 MHz real-time diagnostic ultrasound guidance with a 4 cm transducer head (Figure 1). Further "needling" in a pin-cushion technique with a 22 gauge needle was performed to encourage neovascularization. At the end of the procedure, the patients received a prefabricated pneumatic boot that was examined for proper fitting. The patient was shown and instructed in detail on how to properly wear and care for the device and demonstrate the ability to apply the device correctly and ambulate without distress. Six patients were prescribed acetaminophen, and one was prescribed hydrocodone for pain management. The patients received class IV laser treatments twice a week for two weeks. The patient's pain was determined using a visual analog scale (VAS), scoring numerically zero through ten at the initial visit and then again at an average of thirteen weeks after the start of care.

Figure 1. Diagram of Wharton's jelly application to the fascia insertion and along the defects.

3. Results

The sample's initial average VAS score was 6.88. With a 50% improvement, the final average VAS score was 3.44. When comparing gender improvement, females had an initial VAS of 7.66 and a final VAS of 2, which improved 74%. In comparison, males had an initial VAS of 7 and a final VAS of 3.5, improving 50%.

4. Discussion

The results show significant improvement in patient-reported pain relief after utilizing umbilical cord tissue allografts in combination with laser therapy, EPAT, and a pneumatic boot (Figure 2). The umbilical cord tissue allografts applied in a homologous fashion function as a scaffolding matrix to supply structural support to the damaged tissue. In the umbilical cord, Wharton's jelly provides structural support and cushioning against compressive forces to ensure the vessels it encases do not tear, stretch, or be subject to excess pressure. Components such as growth factors, cytokines, hyaluronic acid, and extracellular vesicles are found in WJ, contributing to WJ's regenerative effects [16]. The tissue allograft is minimally manipulated and immune privileged, so when it is transplanted into the defect of tissue with the same basic function, it supplements the missing or damaged tissue without eliciting an immune response from the recipient.

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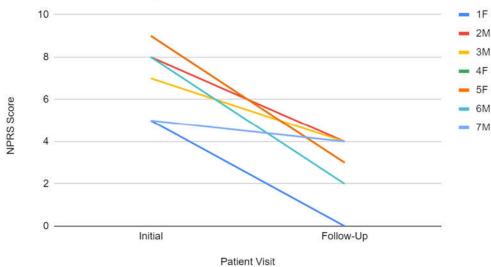


Figure 2. Change in patient-reported NPRS scores from the initial application to the follow-up visit. A low score correlates to low pain.

On a molecular level, the similarities in the structure of WJ and the plantar fascia allow for proper and effective supplementation of tissue. Scanning electron microscope (SEM) images of WJ tissue product's preserved collagen structure compared to an SEM image analysis of healthy plantar fascia reveals homologous crosslinked collagen structures (Figures 3 and 4) [17]. Plantar fascia and WJ are both primarily composed of type 1 collagen [16]. With structural similarity and makeup at a molecular level, the homologous implementation of WJ into damaged plantar fascia provides equivalent tissue for successful transplantation. SEM imaging of WJ tissue allografts showcases its ability to function as an architectural scaffold for ECM supplementation not only in the fascia but in many other connective tissues around the body.

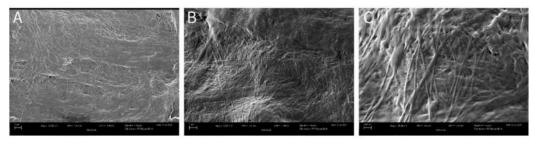


Figure 3. SEM imaging of the crosslinked collagen structures in the WJ tissue allograft product used with maintained integrity post-processing. (A, B) Scale bar $1\mu m$ (C) Scale Bar 300nm. [17].

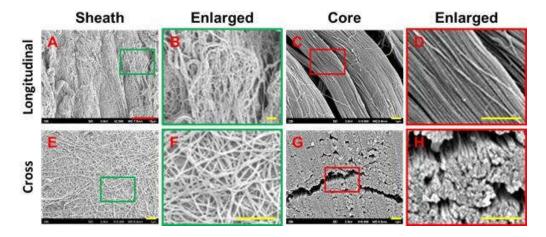


Figure 4. Characterization of human PF tissue tested by Scanning Electron Microscope (SEM). A-D: Longitudinal tissue sections; E-H: Cross sections. SEM images show that human PF tissue has loose net-like mesh of sheath region outlined by a green box (A, B, E, F) and high-density collagen fiber bundles are found in core region outlined by a red box (C, D, G, H). The enlarged images of the sheath and core tissues show the diameter of collagen fibers in the sheath is thinner than that in the core tissues. Red bar: 10 mm; Yellow bars: 1 mm. [17].

With the tissue allograft being applied in a fanning technique, it is evenly distributed, allowing optimal coverage of the defective tissue and surrounding area. A pin-cushion technique was utilized to create micro-tears in the tendon, which sends messages to the body to excrete its own growth factors and cause neovascularization. New neovascularization accelerates the nitric oxide pathway, begins transcription, and helps with anti-inflammatory activity, which catalyzes the body's natural repair process.

EPAT application before WJ injection functions as a modern physiotherapeutic method in treating musculoskeletal conditions [18]. A study by Saxena (2011) demonstrated safe, viable, and successful outcomes in treating Achilles tendinopathy utilizing EPAT as an independent treatment option [19]. The study found that 78.38% of tendons showed clinically significant improvement by at least one year post-treatment [19]. No adverse reactions were reported within the study, making EPAT a safe, viable option in the treatment of musculoskeletal conditions.

Laser therapy provides photobiomodulation as a pain-reducing, anti-inflammatory, and tissue-improving modality. Class IV high-intensity laser therapy (HILT) decreases erythrocyte deformability and platelet coagulation, resulting in membrane revitalization, viscosity reduction, and erythrocyte stress adaptation [20]. With more efficient blood flow, the body's natural healing factors can be administered to the defective site quicker. High-power class IV laser therapy was used as a pain relief option for patients with oral mucositis [21]. The study reported an immediate decrease in pain after 94% of sessions, over 50% pain reduction in 61%, and complete elimination of initial pain in 35% of sessions. There were zero reports of increased pain following laser therapy. High-power laser therapy provides non-pharmacologic, patient-friendly, long-lasting, rapid pain relief [21]. Given the success of independent laser therapy, strong reasoning stands to utilize laser therapy in conjunction with other modalities to increase the success rate of treating plantar fasciosis.

The treatment plan's final component is applying a pneumatic walking boot. The function of the boot is to restrict and limit motion, provide stabilization, immobilize, and add compression to the affected area. Combining the application of WJ tissue allograft, EPAT, laser therapy, and boot application lays the foundation for a new, promising patient care protocol for plantar fasciosis. The results of this study provide data that suggest combining the four modalities improves the symptoms of plantar fasciosis. The 50% improvement in VAS scores reported in this study warrants further research with a larger cohort. The continuation of this research will include grading the thickness of the plantar fascia before and after the applications and additional follow-up visits at 30 days and 120

days post-care procedures with more specified lower extremity pain questionnaires. would further evaluate the efficacy and safety of this protocol in addition to assisting in defining dosage protocols.

5. Conclusions

In conclusion, the observational data obtained from the seven patients presenting with defects of the Plantar Fascia leading to Plantar Fasciosis reports WJ in combination with EPAT, laser therapy, and a pneumatic boot demonstrates statistically significant improvement in pain. Provided that this study has shown success, the reason exists to complete further research to compare this alternative protocol with the current non-surgical standard of care options. Future implications for the use of WJ in conjunction with standard care practices could significantly improve patient outcomes and potentially prevent or postpone invasive surgical procedures in many musculoskeletal defects.

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Informed Consent Statement: Written informed consent has been obtained from the patient to publish this paper

Data Availability Statement: All relevant data in this study is reported within the manuscript.

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