

Case Report

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Posted Date: 11 September 2024

doi: 10.20944/preprints202409.0844.v1

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## Case Report

# Performance Evaluation of Hydrological Models Using GR4J Rainfall-Runoff Model for Developed Watersheds in Java Island

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**Abstract:** Abstract: GR4J (Genie Rural a 4 parametres Journalier) is a rainfall-runoff model widely used to modelling rainfall into discharge. In this model, the input data used are daily rainfall and evapotranspiration data, calibrated using daily observed discharge data. This model seeks the optimum parameter value that produces the minimum deviation or error. The Nash-Sutcliffe Coefficient and Relative Volume Error (RVE) are used to estimate the errors that occur. One of the modelling parameters, namely  $X_4$  (peak time), has a value that does not match the observed hydrograph peak time ( $T_p$ ). For this reason, it is necessary to adjust the modelling equation so that the unit hydrograph of the model appropriately in terms of value and shape with observed unit hydrograph. This study examines ten watersheds in Java Island so that it is expected to represent catchment conditions in tropical areas and developing countries. A relationship was obtained between the difference peak time from modelling ( $X_4$ ) and observed  $T_p$ . Adjustments Formula can be made to the general GR4J modelling equation for unit hydrograph in Java Island watersheds.

**Keywords:** GR4J rainfall-runoff model; observed unit hydrograph; synthetic unit hydrograph; Nash-Sutcliffe coefficient; Java Island watershed

## 1. Background

Discharge data is needed to design flood control infrastructure. This discharge data is often very limited in the field, so rainfall data is usually used to calculate flood discharge. Changing rainfall data into discharge can be done by using the general flood equation, where rainfall intensity is multiplied by the basin area and land cover constant. If rainfall data is unavailable, synthetic hydrograph analysis can be used. Synthetic hydrograph analysis is a method that calculate flood from watershed parameter, such as area, length of the river, and so on. Synthetic hydrograph analysis commonly used in Indonesia is Snyder-Alexeejev, SCS, Nakayasu, and HSS ITB (Dantje, 2010).

This research examines the analysis of unit hydrographs from GR4J rainfall-runoff model. GR4J, developed by Perrin (2001) has proven to have good results and is efficient in modelling. The GR4J modelling is a development of the GR3J model, where GR3J is a modelling developed by Edijatno and Mitchel (1989).

The study location used is ten watersheds located on Java Island. This model uses input data from daily rainfall and potential evapotranspiration data, calibrated using daily observation discharge data. This study aims to optimise the GR4J modelling parameters with the smallest deviation.

Modelling is done with two stages of activities, namely calibration and model validation. The first five years of data are used for model calibration to obtain the most optimum parameters. In comparison, the second five years of data are used for model validation using previously obtained parameters. The Nash-Sutcliffe Coefficient equation is used to measure the similarity of modelling results with actual hydrographs. This study began by building the GR4J modelling program using

Matlab 7.0 software. The input rainfall data is regional rainfall calculated using Thiessen polygons, and potential evapotranspiration data is obtained using the Modified Penman method. The modelling optimises four free parameters, namely Maximum Production Store Capacity ( $X_1$ ), Ground Water Change Coefficient ( $X_2$ ), Maximum Routing Store Capacity ( $X_3$ ), and The peak time of the unit hydrograph ( $X_4$ ).  $X_4$  is an important parameter that will be compared with the peak time of observed unit hydrograph.

## 2. Research Objectives

This study aims to improve the unit hydrograph based on the results of the GR4j rainfall-runoff modelling in the river basin area in Java Island so that it can be similar to the actual unit hydrograph, both in terms of the peak time of the hydrograph and the shape of the hydrograph.

## 3. Study Location

Java Island, stands out with its unique geography. Stretching from west to east, it spans about 1,000 km and reaches a maximum width of approximately 210 km. The island is filled with active volcanoes.

The total area of Java Island is 128,297 km<sup>2</sup>, the most populous island in Indonesia. Around 56% of Indonesia's total population is recorded as living on the island of Java (156,927,800 people). This population is concentrated in major cities such as Jakarta (the capital of Indonesia), Surabaya, Bandung, and Yogyakarta, making Java Island a center of economic activity.

The topography of Java Island is varied with a combination of lowlands, highlands, and mountains. There are extensive highlands that often have an excellent and fertile climate suitable for agriculture.

## 4. Model Description

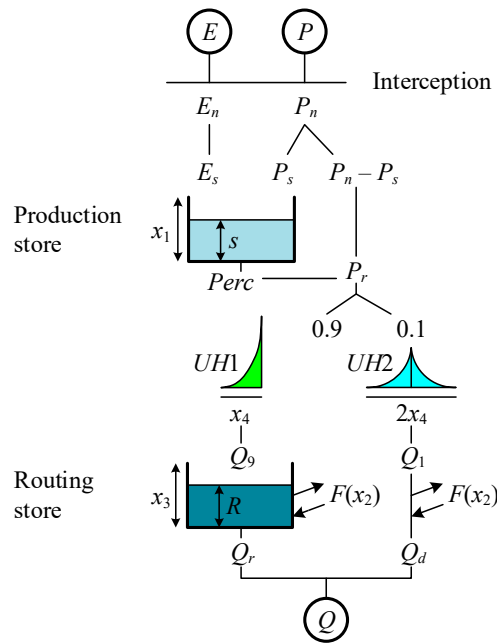
GR4J is rainfall-runoff modelling that optimizes four free parameters, namely maximum production storage capacity ( $X_1$ ), groundwater change coefficient ( $X_2$ ), maximum route storage capacity ( $X_3$ ), and peak discharge time of UH1 hydrograph unit ( $X_4$ ). This modelling was developed by Perrin in 2001 based on the GR3J method pioneered by Edijatno and Mitchel in 1989.

Previous research by Perrin et al. has shown the performance of the GR4J model to be better than other rainfall-runoff models such as TOPMODEL, Tank model, IHACRES, HBV, SMAR, and others (Hydrology Journal 279 pages 275-289, 2003). Perrin's research was conducted in 429 watersheds with diverse climate conditions, including tropical climates. This makes GR4J relevant for use in areas such as Indonesia, which has the same tropical climate.

The physical description of the GR4J modelling from the process of rainfall to the discharge collected in the river can be described as follows:

1. Production Store ( $X_1$ ) is a reservoir on the surface that can hold water from rainfall. This reservoir sustains evapotranspiration and percolation processes. The soil type influences the size of the production storage in a watershed. The smaller the soil porosity, the larger the existing production store.
2. Groundwater change coefficient ( $X_2$ ) is a function of groundwater changes that affect the size of the routing store. When it has a negative value, the water enters the aquifer, and when it has a positive value, the water from the aquifer comes out and goes into production storage.
3. Routing storage ( $X_3$ ) is the water capacity stored in the ground.
4. Peak time ( $X_4$ ) is the time required to reach the peak of the unit hydrograph ordinate. This unit hydrograph is generated from the direct runoff, where 10% of the flow becomes a fast flow going to the river, and 90% becomes a slow flow that holds up or enters the ground.

Figure 1 shows all the water quantity calculations in mm. The first step is to enter the daily rainfall ( $P$ ) and potential evapotranspiration ( $E$ ) data.  $P$  is defined as net rainfall  $P_n$  and  $E$  as net evapotranspiration  $E_n$ . Below are the equations for obtaining  $P_n$  and  $E_n$ .



**Figure 1.** This is a figure. Schemes follow the same formatting.

$$\text{If } P \geq E, \text{ then } P_n = P - E, \text{ and } E_n = 0. \quad (1)$$

$$\text{If } P \leq E, \text{ then } E_n = E - P, \text{ and } P_n = 0. \quad (2)$$

The next step is to find the  $P_n$  value, formulated as follows:

$$P_s = \frac{x_1 \left( 1 - \left( \frac{S}{x_1} \right)^2 \right) \tanh \left( \frac{P_n}{x_1} \right)}{1 + \frac{S}{x_1} \tanh \left( \frac{P_n}{x_1} \right)} \quad (3)$$

Another case of  $P < E$  is formulated as follows:

$$E_s = \frac{S \left( 2 - \frac{S}{x_1} \right) \tanh \left( \frac{E_n}{x_1} \right)}{1 + \left( 1 - \frac{S}{x_1} \right) \tanh \left( \frac{E_n}{x_1} \right)} \quad (4)$$

$E_n$  reduces production store, and production store  $S$  never exceeds  $X_1$ . So, the production store is formulated as follows:

$$S_i = S_{i-1} - E_s + P_s \quad (5)$$

In the production store, Percolation is formulated as follows:

$$Perc = S \left\{ 1 - \left[ 1 + \left( \frac{4}{9} \frac{S}{x_1} \right)^4 \right]^{-\frac{1}{4}} \right\} \quad (6)$$

$Perc$  is always considered to be lower than  $S$ . So the storage value can be formulated as follows:

$$S_i = S_{i-1} - Perc \quad (7)$$

Part of  $P_n - P_s$  from  $P_n$  and part of the percolation from the production store combine and reach the routing store  $P_r$ .

$$P_r = Perc + (P_n - P_s) \quad (8)$$

The amount of water reaching the routing store is divided into fast and slow flow. Fast flows are routed with the UH1 hydrograph unit and slow flows with UH2. The UH1 hydrograph unit routes 90% of  $P_r$ , and UH2 routes the remainder.

UH1 is defined based on time,  $t$  as follows:

$$\text{For } t \leq 0, SH_1(t) = 0 \quad (9)$$

$$\text{For } 0 < t < X_4, SH_1(t) = \left( \frac{t}{X_4} \right)^{\frac{5}{2}} \quad (10)$$

$$\text{For } t \geq X_4, SH_1(t) = 1 \quad (11)$$

Like  $SH_1$ ,  $SH_2$  is searched as follows:

$$\text{For } t \leq 0, SH_2(t) = 0 \quad (12)$$

$$\text{For } 0 < t < X_4, SH_2(t) = \frac{1}{2} \left( \frac{t}{X_4} \right)^{\frac{5}{2}} \quad (13)$$

$$\text{For } 0 < t < 2X_4, SH_2(t) = 1 - \frac{1}{2} \left( 2 - \frac{t}{X_4} \right)^{\frac{5}{2}} \quad (14)$$

$$\text{For } t \geq X_4, SH_2(t) = 1 \quad (15)$$

UH1 and UH2 are formulated as follows:

$$UH1 = SH_{1j} - SH_{1j-1} \quad (16)$$

$$UH2 = SH_{2j} - SH_{2j-1} \quad (17)$$

Groundwater change,  $F$ , is formulated as follows:

$$F = X_2 \left( \frac{R}{X_2} \right)^{\frac{7}{2}} \quad (18)$$

Where  $R$  is the height of the routing store, provided that  $R$  never exceeds  $X_3$ , the height of the routing store changes as  $Q_9$  increases from UH1 and  $F$ , so it can be formulated as follows:

$$R = \max (0; R + Q_9 + F) \quad (19)$$

The discharge  $Q_r$  from the storage can be calculated with the equation:

$$Q_r = R \left\{ 1 - \left[ 1 + \left( \frac{R}{x_3} \right)^4 \right]^{-\frac{1}{4}} \right\} \quad (20)$$

Where it is always lower than  $R$ , the height of the reservoir can then be calculated using the equation:

$$R = R - Q_r \quad (21)$$

Water originating from routing/tracing is called  $Q_d$  and is calculated using the equation:

$$R = \max (0; R + Q_1 + F) \quad (22)$$

The total discharge,  $Q$ , can be calculated with the equation:

$$Q = Q_r + Q_d \tag{23}$$

5. Model Calibration

Daily discharge data at the outlet is needed to calibrate the model so that the optimum value of four parameters is obtained. This modelling process uses the MATLAB 7.0 program.

The Nash-Sutcliffe Coefficient and Relative Volume Error (RVE) methods are used to calculate the deviation between the observed discharge and the modelled discharge. The general Nash-Sutcliffe Coefficient equation is as follows:

$$NSE = 1 - \frac{\sum_{i=1}^n (X_i - Y_i)^2}{(\sum_{i=1}^n (X_i - \bar{X}_i)^2)} \tag{24}$$

- with:
- $X_i$  = observation data (actual data)
  - $Y_i$  = estimation data (estimation result data)
  - $\bar{X}_i$  = average observation data
  - $N$  = estimation data (estimation result data)

The Nash-Sutcliffe Coefficient method can show the similarity of observed discharge compared to modelled discharge and the best result for NS value is one.

Table 1. Criteria of Nash-Sutcliffe Efficiency (NSE) value.

NSE Value	Interpretation
$NSE > 0.75$	Good
$0.36 < NSE < 0.75$	Qualified
$NSE < 0.36$	Not Qualified

Source: Motovilov. et al. 1999.

General Equation for Relative Volume Error,

$$VE = \frac{\sum (Q_{sim} - Q_{obs})}{\sum (Q_{obs})} \tag{25}$$

The median and approximate 80% confidence intervals of the GR4J parameters are expressed in Table 2 below:

Table 2. Value of median model parameters and approximate 80% confidence intervals.

	Median value	80% Confidence interval
$x_1$ (mm)	350	100 – 200
$x_2$ (mm)	0	-5 – 3
$x_3$ (mm)	90	20 – 300
$x_4$ (day)	1.7	1.1 – 2.9

Source: C. Perrin et.al. (2003).

6. Analysis and Discussion

Daily discharge from 1825 observations data (5 years, 2008–2012) was used as calibration data to obtain the optimum GR4J parameter. The NS coefficient measures how well the model predicts the observed data.

To get the most optimum results we do iteration, each parameter must be evaluated by selecting the parameter that produces the minimum error. If a new parameter is obtained with minimum error, the previous parameter is replaced with a new one. So, if there is no better parameter value, the value will not change. The calculations obtained the deviation values, as seen in Table 3.

Table 3. Optimum values of GR4J parameters and their deviations.



Coefficient		(2008 – 2012)		(2013 – 2017)	
		NS	RVE (%)	NS	RVE (%)
$\chi_1$	462.76				
$\chi_2$	3.34	0.82	0.07	0.65	32.38
$\chi_3$	19.55				
$\chi_4$	1.23				

From the results above, it can be seen that the deviation using the Nash-Sutcliffe coefficient method gives a value of 0.82 for calibration data and 0.65 for validating data, which means the model has good results. Figure 2 shows the observed discharge compared to the modelling discharge calculated using the parameter values that produce the smallest deviation.

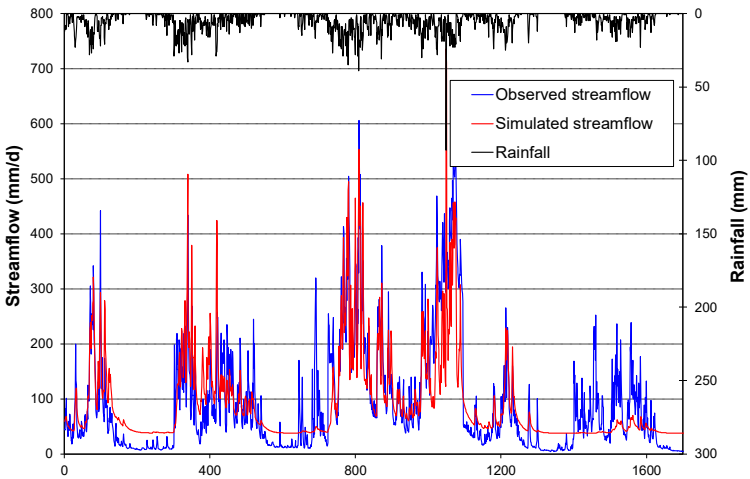


Figure 2. Graph of observed discharge vs modeled discharge (2008–2012).

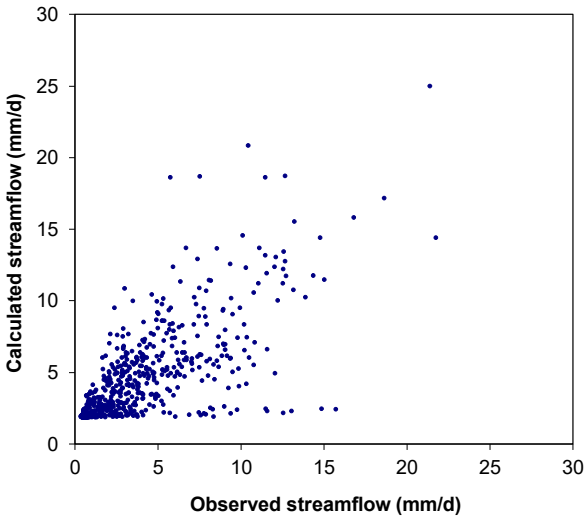
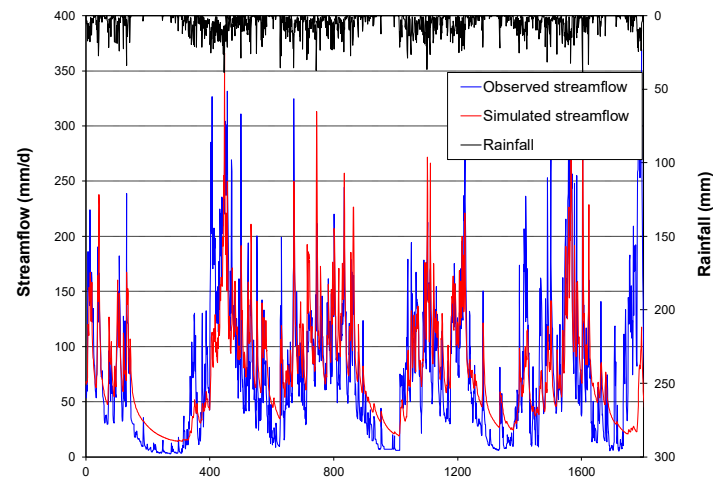


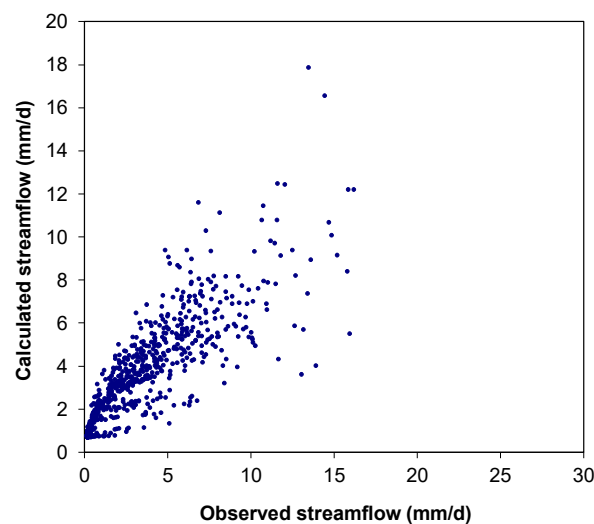
Figure 3. Flow XY plot compares observed and modelled discharge (2008–2012).

Validate Model

To validate the model, optimum parameters obtained from the previous modelling are used to model the next five years of data, namely 2013–2017. Figure 4 compares observed discharge and modelling discharge obtained using data for 2013–2017.



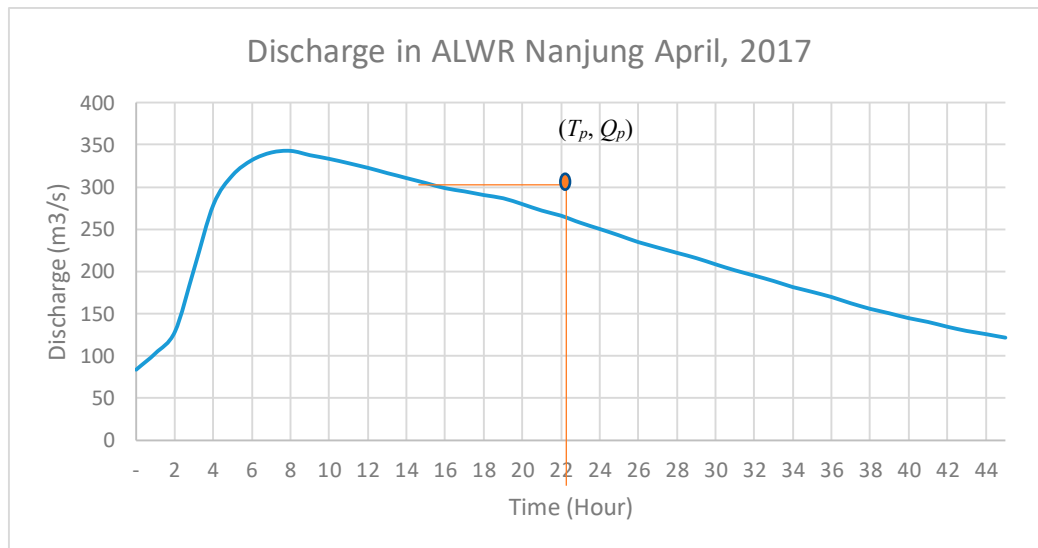
**Figure 4.** Graph of Observed Discharge vs Modeled Discharge (2013–2017).



**Figure 5.** Flow XY plot compares observed and calculated flows (2013–2017).

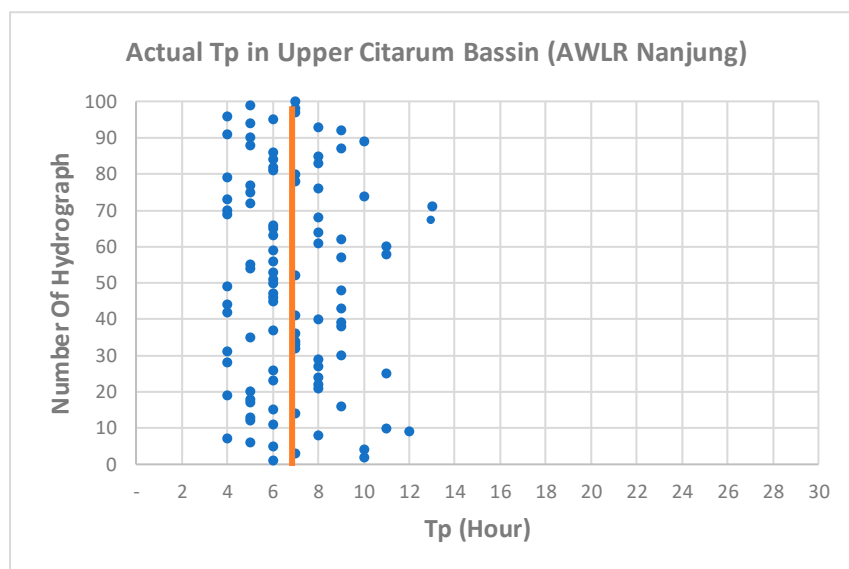
To find out that our GR4J model gets results in accordance with field conditions, the  $X_4$  parameter is compared with the average actual hydrograph value in each AWLR. In this study, no less than 100 real hydrographs were taken and analysed that met the requirements of one hydrograph cycle in terms of time and hydrograph form. Figure 6 is an example of the hydrograph in the Upper Citarum basin.





**Figure 6.** Example of a hydrograph at AWLR Nanjung (April, 2017).

The figure below shows the  $T_p$  value from 100 hydrograph taken that can represent the actual  $T_p$  in AWLR Nanjung for the Upper Citarum Basin.



**Figure 7.** Actual  $T_p$  in Upper Citarum basin (AWLR Nanjung).

From the image above, it can be seen the average value of  $T_p$  from 100 Hydrographs reviewed is 6.85. The normal distribution method is used to find out what percentage of  $T_p$  is outside the range of 5.85–7.85. The following is the normal distribution calculation to calculate the probability of the  $T_p$  value.

Average  $T_p$  ( $\mu$ ) = 6.85.

Deviation ( $\sigma$ ) = 1.

The probability of  $T_p$  value below 5.85 is:

$$P[x < 5.85] = P[Z < Z_i]$$

$$Z_i = \frac{x_i - \mu}{\sigma} = \frac{5.85 - 6.85}{1} = -1$$

so  $P[x < 1] = P[Z < -1]$  from the normal distribution table we get  $z = 0.1587$ .

The probability of  $T_p$  below 5.85 is 15.87%.

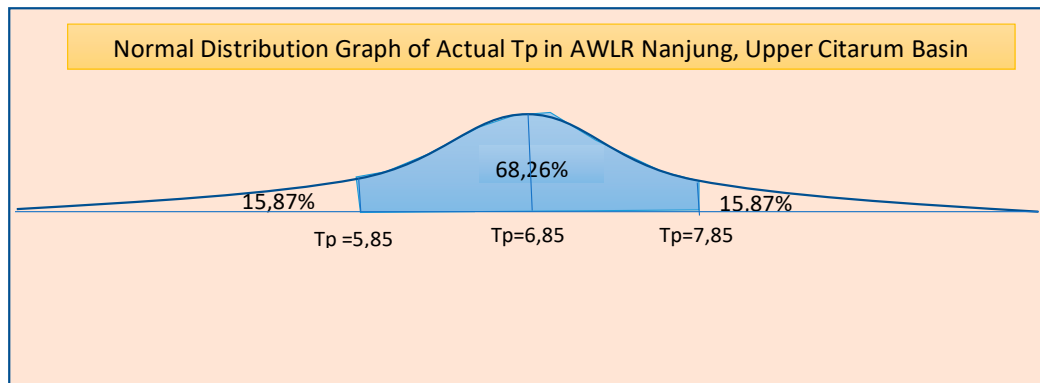
The probability of  $T_p$  value above 7.85 is:

$$P[x > 7.85] = P[Z > Z_i]$$

$$Z_i = \frac{x_i - \mu}{\sigma} = \frac{7.85 - 6.85}{1} = 1$$

so  $P[x < 1] = P[Z < -1]$  from the normal distribution table we get  $z = 0.8413$ .

The probability of  $T_p$  above 7.85 is  $100 - 84.13 = 15.87\%$ . Figure 8 shows the normal distribution of a population of 100  $T_p$  as below:



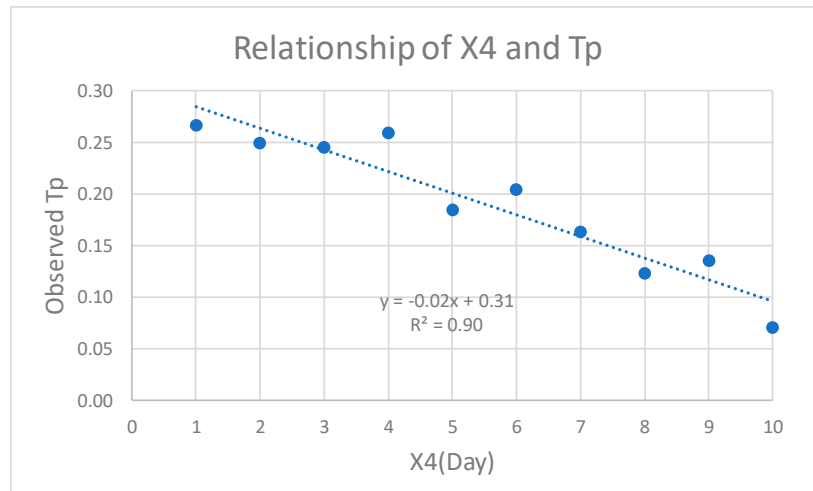
**Figure 8.** Normal distribution for  $T_p$  in AWLR Nanjung, Upper Citarum watershed.

Following the normal distribution pattern, this result shows that the  $T_p$  value for the Upper Citarum watershed is between 5.85 and 7.85 hours with the probability is 68.26%. The  $T_p$  value representing the Upper Citarum basin is average value, which is 6.85. Table 4 last column shows the  $T_p$  values for other watershed in Java Island obtained by the same method.

**Table 4.** Modelling results for the watershed studied.

No.	River Basin	Area (km <sup>2</sup> )	NS	RVE	X <sub>1</sub> (mm)	X <sub>2</sub> (mm)	X <sub>3</sub> (mm)	X <sub>4</sub> (days)	$T_p$ (hour)
1	Cibeka	434.06	0.63	2.88E-06	2797.63	1.90	76.87	1.01	6.47
2	Cukangleuleus	552.85	0.64	-4.60E-08	515.55	13.99	71.91	1.12	7.05
3	Cimuntur	621.00	0.71	-9.14E-08	913.98	2.89	20.37	1.14	6.72
4	Guwo	241.96	0.47	-1.44E-06	1652.43	2.04	10.35	1.09	3.54
5	Girimargo	104.61	0.39	1.19E-07	466.38	3.19	38.81	1.93	3.28
6	Jengglong	70.45	0.36	-4.06E-08	1409.51	1.75	6.81	0.50	3.12
7	Majalaya	204.62	0.53	-3.85E-07	1352.89	4.12	33.30	1.07	4.74
8	Komplek Radio	111.19	0.50	2.30E-08	35.63	33.69	86.14	0.99	4.86
9	Dayeuh Kolot	1350.14	0.73	5.99E-08	1339.43	3.78	14.46	1.27	4.98
10	Nanjung	1756.42	0.82	-6.99E-02	462.76	3.34	19.55	1.23	6.73

From the modelling results above,  $T_p$  modelling results is different from actual  $T_p$  that obtained from observed hydrographs. Figure 9 shows the relationship between  $X_4$  and the  $T_p$  observed.



**Figure 9.** Relationship between  $X_4$  and the average actual peak time.

An interesting relationship exists between the difference  $T_p$  from modelling results and  $T_p$  observed, namely  $y = -0.02x + 0.31$ . From this relationship, adjustments can be made to the general GR4J modelling equation for unit hydrograph.

Hydrograph unit adjustment procedure from GR4J modelling:

1.  $X_4$  is obtained from the results of GR4J modelling.
2. The relationship between  $X_4$  and the peak observation time is  $y = -0.02x + 0.31$ .
3. To get UH from GR4J modelling (Perrin et al, 2023),

$$\text{For } 0 < t < X_4 \rightarrow SH_{GR4J} = \frac{1}{2} \left( \frac{t}{X_4} \right)^{\frac{5}{2}} \quad (26)$$

$$\text{For } 0 < t < 2X_4 \rightarrow SH_{GR4J} = 1 - \frac{1}{2} \left( 2 - \frac{t}{X_4} \right)^{\frac{5}{2}} \quad (27)$$

$$UH_{GR4J}(t) - SH_{GR4J}(t) - SH_{GR4J}(t-1) \quad (28)$$

4. To get a suitable new UH (Munajat, 2024),

$$\text{For } t \leq T_p \rightarrow y(t) = 10^{\left( \frac{-1}{\left( \frac{t}{T_p} \right)} \left( 1 - \left( \frac{t}{T_p} \right) \right) \right)^2} \quad (29)$$

$$\text{For } t > T_p \rightarrow y(t) = 10^{\left( (-0.0431) \left[ 1 - \left( \frac{t}{T_p} \right) \right]^2 \right)} \quad (30)$$

To get the discharge at flood,

$$Q_p = \frac{A_{DAS}}{35.9T_p} \quad (31)$$

To develop new UH,

$$Q(t) = y(t) \cdot Q_p \quad (32)$$

$$A_{HSS} = \sum_{t=1}^{100} Q(t) \quad (33)$$

$$UH_{new}(t) = \frac{Q(t)}{A_{HSS}} \quad (34)$$

Below is an example of adjusting the unit hydrograph from the GR4J modeling results into one that approaches the actual hydrograph form obtained from observed hydrographs.

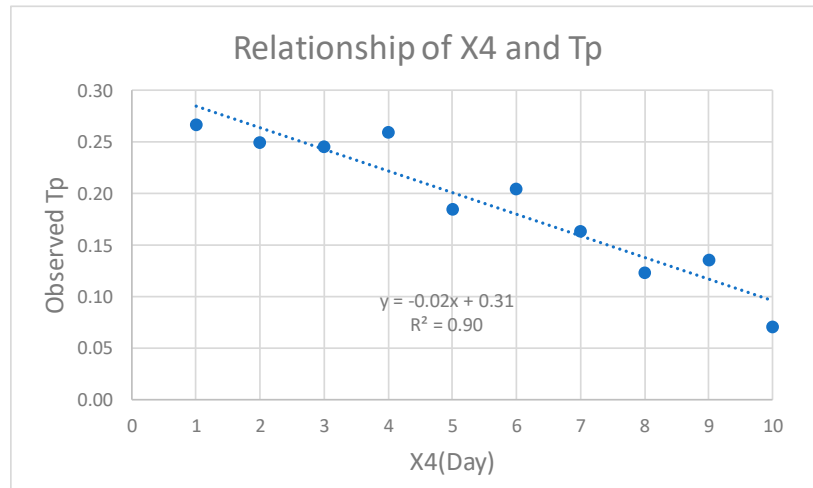


Figure 10. Modeled GR4J UH vs modified UH in Upper Citarum basin.

## 7. Conclusions and Suggestions

The conclusions that can be drawn from the research carried out are as follows:

- GR4J is a rainfall-runoff modelling that has been proven to have good results with four independent parameters. One of the resulting parameters is  $X_4$ , namely the peak time flood.
- For the modelling case on the island of Java, the unit hydrograph result from the modelling does not match the  $T_p$  observed. The improvement is needed to make  $T_p$  modeled similar with  $T_p$  observed.
- There is a special relationship between the peak time from the  $T_p$  modelled and the  $T_p$  observed, namely  $y = -0.02x + 0.31$ , where the unit of  $T_p$  is days.

Suggestions from this research:

- For more general results, research needs to be carried out more watersheds, and their locations can be outside Java Island to get different watershed characteristics. Due to the limited data obtained, this research only uses data from 10 watersheds on the island of Java.
- Research should be carried out in natural river watersheds so that the observation data matches the characteristics of actual river watersheds without taking or adding enormous amounts of water discharge.

**Author Contributions:** Conceptualization, Cecep M. Munajat, and Dhemi Harlan; methodology, Cecep M. Munajat; software, Cecep M. Munajat; validation, Cecep M. Munajat and Dhemi Harlan; formal analysis, Cecep M. Munajat; investigation, Cecep M. Munajat; resources, Cecep M. Munajat; data Cecep M. Munajat; writing—original draft preparation, Cecep M. Munajat; writing—review and editing, Cecep M. Munajat, Sri Legowo W. Darsono and Dhemi Harlan; visualization, Cecep M. Munajat; supervision, Sri Legowo W. Darsono; project administration, Cecep M. Munajat; funding acquisition, Cecep M. Munajat. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research received no external funding.

**Data Availability Statement:** Further inquiries for data used in writing this paper can be directed to the corresponding author.

**Acknowledgments:** The authors would like to thank the support received from the Citarum River Basin Center and Pemali Juana River Basin Center for completing this study.

**Conflicts of Interest:** The authors declare no conflict of interest in writing this paper.

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