

**Title: SeXX and COVID-19: Tussle between the Two****Authors and affiliations**

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## SeXX and COVID-19: Tussle between the Two

### Abstract

Novel coronavirus disease (COVID-19) has affected nearly 7 million individuals and claimed more than 0.4 million lives to date. There are several reports of gender differences related to infection and death due to COVID-19. This raises important questions such as “Whether there are differences based on gender in risk and severity of infection or mortality rate?” and “What are the biological explanation and mechanisms underlying these differences?” Emerging evidences have proposed sex-based immunological, genetic, and hormonal differences to explain this ambiguity. Besides biological differences, women have also faced social inequities and economic hardships due to this pandemic. Several recent studies have shown that independent of age males are at higher risk for severity and mortality in COVID-19 patients. Although, susceptibility to SARS-CoV-2 was found to be similar across both genders in several disease cohorts, disproportionate death ratio in men can be partly explained by the higher burden of pre-existing diseases and occupational exposures among men. At immunological point of view, females can engage a more active immune response, which may protect them and counter infectious diseases as compared to men. This attribute of better immune responses towards pathogens is thought to be due to high estrogen levels in females. Here we review the current knowledge about sex differences in susceptibility, the severity of infection and mortality, host immune responses and role of sex hormones in COVID-19 disease.

## Introduction

Novel coronavirus disease (COVID-19) that emerged in Wuhan China during December 2019, was declared as a pandemic by the World Health Organization (WHO) on 11th March 2020 [1]. COVID-19 is caused by severe acute respiratory syndrome coronavirus -2 (SARS-CoV-2), a single-stranded RNA virus that belongs to the Betacoronavirus genus of Coronaviridae family [2]. As of 10<sup>th</sup> June 2020, COVID-19 has infected more than 7 million individuals claiming 0.4 million deaths worldwide [3]. One of the key risk factors for disease and death due to COVID-19 is sex. Previous epidemics of coronaviruses such as the severe acute respiratory syndrome (SARS) and the Middle East respiratory syndrome (MERS) also reported worse clinical outcomes among the male sex. (4, 5). Series of animal experiments have proven the increased susceptibility of males to SARS and MERS infections with a degree of severity further increasing with advancing age [6]. Sex disaggregated data is essential to understand sex differences in severity and mortality in the current pandemic as well. Public health policies need to address the sex impact of this pandemic so that targeted interventions can be implemented. Women seem to have a similar risk of COVID-19 infection, with conflicting evidence from different sub-groups of population and different countries. But there is consistent evidence of higher death rates recorded among men compared to women. Emerging evidence has proposed sex-based immunological and hormonal differences to explain these differences. Gendered differences, such as the prevalence of smoking and other co-morbidities also explain differences in mortality.

These sex-based differences in COVID-19 need a deeper understanding of the basic difference between the two sexes. Herein, we have comprehensively reviewed the scientific literature on sex differences in COVID-19, in terms of their epidemiological, immunological, and hormonal responses. Moreover, implications of the immune differences between both the sexes on vaccine and other treatment modalities have also been discussed.

## Sex differences in COVID-19: susceptibility, severity and mortality

Several recent studies have shown that gender is a risk factor for severity and mortality in patients with COVID-19, especially the male gender, independent of age (Figure 1 and 2). The number of men is 2.4 times that of women in the deceased patients [7]. In six countries (China, France, Germany, Iran, Italy, South Korea) reporting mortality by sex, the proportion of deaths among confirmed cases is higher in men than women, the difference is greater than 50% in four of these

countries (China, Italy, France and South Korea). An observational study from Wuhan found that males over the age of 50 years with non-communicable diseases are at highest risk of dying from COVID-19 [8]. However, many countries have not yet released their sex-disaggregated data, especially country like the United States of America having the highest number of cases in the world.

This disproportionate death ratio in men can be partly explained by the higher burden of pre-existing diseases (i.e., hypertension, diabetes, and chronic lung disease), higher risk behaviors (i.e., smoking and alcohol use), and occupational exposure among men [9,10]. Behavioral and social differences suggesting that women are more likely than men to follow hand-hygiene practices and seek preventive care are believed to be protective for women [11,12]. Previous epidemics of coronaviruses such as the severe acute respiratory syndrome (SARS) in Hong Kong and the Middle East respiratory syndrome (MERS) also reported worse clinical outcomes among the male sex. Coronaviruses including SARS-CoV-2 seem to less commonly affect children and cause fewer symptoms and less severe disease in this age group compared with adults, and are associated with much lower case-fatality rates [13]. However, gender disaggregated data is limited in this age group. Besides severity and mortality, susceptibility to SARS-CoV-2 was found to be similar across both gender in several disease cohorts [7,9]. These differences in susceptibility, severity and mortality across gender could be attributed to immunological, hormonal and genetic differences which have been explained below [14,15].

If we look at the social aspect, women tend to bear the brunt of the pandemic. Women form a large share of the health care sector, and as home and family caregivers, which makes them more exposed to the virus. Inequities disproportionately affect the wellbeing and economic resilience of the female sex during lockdown. Also, the complete shift in the priorities of the health system in addressing this pandemic has disrupted key health services for women and girls, such as reproductive and sexual health services. Pregnant women can be particularly vulnerable in this context. With strained economic resources, girls are likely to be deprived of education. Women are likely to experience a significant burden of household work including child care, elderly care and care of the sick. An increase in gender-based violence (and its severity and frequency) due to confinement has been observed across countries [16].

## **SARS-CoV-2 infection and Host responses**

Most of the viral infections are tackled well by the host immune system. However, some viral properties allow the infection to persist in the host. The knowledge of viral structure and genome is essential to understand these aspects of virology. Like other betacoronaviruses, SARS-CoV-2 has an outer envelope encapsulating the viral genome. (Figure 3a) Genomic analysis has shown that SARS-CoV-2 has 79.6% sequence identity to SARS-CoV and 96% identity with bat coronavirus (BatCoV RaTG13) [17,18]. The viral genome encodes for replicase and viral structural proteins like spike protein (S), nucleocapsid (N), membrane protein (M), and envelope protein (E). Life cycle of SARS-cov2 in the host involves five steps: viral binding/entry, fusion, translation, assembly and release [19]. Viral entry into the host cell is mediated by spike glycoprotein (S) that is embedded in the capsule. S protein has two subunits S1 and S2. The S1 subunit has a receptor binding domain (RBD) which attaches to ACE2 on the host cell [20]. Indeed, SARS CoV-2 has 10 times more affinity for ACE2 receptor than SARS- CoV [21] and the expression of ACE2 in epithelial cells of lung explains the lung damage seen in severe COVID-19 infection [22]. A cellular serine protease TMPRSS2 and endosomal cysteine proteases cathepsin B and L expressed on host cells mediates S protein priming [23]. This interaction triggers a conformational change in S protein that helps in endocytosis of the virus [23]. S2 subunit helps in membrane fusion and release of viral RNA into the cytoplasm [20]. The viral genome translates replicase polyproteins that subsequently get cleaved and assembled with other cellular proteins to form replicase transcriptase complex [24]. The complex helps in viral protein translation followed by viral assembly and release. Subsequently the mature viruses are released into the host system leaving behind a pyroptotic cell that triggers an immune mechanism in the host body [25].

The COVID-19 infection can be divided in different stages: Stage 1 (Asymptomatic), Stage 2 (Upper airway and conducting airway response) and Stage 3 (Hypoxia, ground glass infiltrates, and progression to ARDS) [26]. Low pathogenic strains of SARS-CoV-2 cause mild upper respiratory infection while highly pathogenic strains cause severe infection especially in patients with impaired immune system [27]. The immune response to COVID-19 is divided in two phases. During Phase 1, specific adaptive immunity helps in elimination of virus in early & mild cases. Phase 2 is seen in severe cases where damaged pyroptotic cells induce pulmonary inflammation mediated by pro-inflammatory cells and subsequent cytokine storm that may also lead to multiorgan failure [28]. Innate immunity detects viral components through pattern recognition

receptors (PRRs) like Toll like receptors (TLRs), Retinoic acid inducible genes like receptors (RLRs), NOD like receptors (NLRs) & cytosolic DNA sensors [29]. TLRs and RLRs are responsible for the production of Type 1 Interferon, proinflammatory cytokines and chemokines and stimulates the production of CD40, CD80 and CD86 [30]. Recognition of SARS-CoV-2 proteins by TLRs leads to release of proinflammatory cytokines like interleukin (IL)-1 $\beta$  and IL-6. TLR7 and TLR8 recognizes ssRNA while TLR 2 mediates recognition of S protein [31,32]. NLRs are responsible for production of Interleukin-1 Beta, through the activation of caspase-1 [33]. Type 1 interferons and complement proteins help in limiting the spread of virus during early stages of infection. IFNs- $\alpha/\beta$  thus are ideal candidates for antiviral therapy in viral infection like COVID-19 [34]. Indeed, inhaled IFN- $\alpha$ 2b therapy has been shown to reduce duration of viral shedding and inflammatory markers IL-6 and CRP in COVID-19 [35]. In case, if viral replication outpaces innate immunity, the second line of defense “adaptive immunity” comes into play. Adaptive immune response mainly consists— antibody-producing B cells and cytotoxic T cells that destroy virus-infected cells. Increased neutrophil-to-lymphocyte ratio and marked lymphopenia with decreased T cells have been documented in severe COVID-19 [36]. Thus, SARS CoV-2 damages the T lymphocytes impairing the adaptive immune response while the dysregulated innate immune response leads to excessive inflammation and cytokine storm [36]. Proinflammatory cytokines like IL2, IL 6, IL8, IL10, GCSF, IP10, MCP1, MIP1A, and TNF $\alpha$  are increased in COVID-19 cases [37]. IL 6 and IL 10 upregulate expression of NK group 2 member A (NKG2A) receptor while IL 6 and 8 impair NK cells function [38,39]. Further, enhanced NKG2A expression functionally exhausts CD8+ cells and NK cells in severe cases leading to severely compromised innate immune response [40].

### **Gender biased immunity and COVID 19**

The sex-wise demographics across the world depicts that in some countries, males are more susceptible to COVID-19 than females, while females are more infected than males in other countries (Figure 2a) [9,41]. Many countries (Scotland, England, Netherland, Italy, Sweden, Belgium) have reported higher mortality rate in men as compared to women among the confirmed cases of COVID-19 (Figure 2b). Prevalence and severity of viral infections differs depending upon biological factors like age, sex and immune status. Sexual dimorphism forms the basis for variant anatomical, physiological and immunological responses. Sex differences in disease outcomes

following virus infections could be attributed to X-linked genes, production of sex-dependent steroid hormones, and the presence of disease susceptibility genes in males and females [14].

X-chromosome contains a high density of immune-related genes; therefore, women generally mount stronger innate and adaptive immune responses than men [42]. X chromosome contains largest number of genes that regulate immune system. Females have advantage of having 2 X chromosomes offering them 2 allelic options for these genes. Mosaicism due to X inactivation also offers immune advantage to female over males [43]. Indeed, X chromosomal variation has been shown to increase the survival in HIV infection [44]. While genetic variation in Y chromosome is involved in susceptibility of males to viral infection [45]. X chromosome inactivation in females is not uniform across all the immune cells and there are chances of bi-allelic expression of genes. Since the X chromosomes comes from different parent it offers more immune diversity in females as compared to males [43]. This results in faster clearance of pathogens but also contributes to their increased susceptibility to inflammatory and autoimmune diseases.

The rule of 'Sexual dimorphism' is followed by both innate and acquired immunity. It has been identified that male gender is more prone to all infections such as bacterial, viral and fungal. The increased infection rates with reduced immune response in males is probably a side effect of sex-specific positive selection process that gives more weightage to reproductive functions than immunity [46]. In one study related to HIV infection, it was identified that viral load in females is 40% less as compared to males [47]. Females tend to have higher innate and adaptive immune responses to viral infection [48]. Females have lower levels of IL-8 and C-X-C motif chemokine 10 (CXCL10) than males during an acute viral infection [49]. These chemokines attract cells like neutrophils, macrophages, T cells, NK cells, and dendritic cells at the infected site further augmenting the immune response. High levels of CXCL10 are seen in severe COVID-19 cases with lung damage [50]. Furthermore, anti IL8 therapy trial is been tried as treatment modality for COVID-19 [51]. Higher levels of IL 6, a pro inflammatory cytokine linked with exacerbation of viral disease, is seen in males as compared to female mice following lipopolysaccharide challenge [52]. Thus, using tocilizumab an IL6 receptor blocker can be beneficial in reducing severity in COVID-19 [53]. Innate immunity of a male and a female are very different suggesting a germline evolution. For example, TLR7 has lower gene expression in males than females [54]. This results in increased IFN $\alpha$  production following exposure to TLR7 ligands in women compared to men [55,56]. Previous reports have also suggested that gene expression related to TLR or inflammatory



pathway are always higher in females than males, which suggest that females are much more capable or stronger to combat the infectious diseases [57,58] Previous research has also revealed that men have lower innate antiviral immune responses to a range of infections including hepatitis C and HIV [48] Antigen presentation efficiency of females are far much better than their counterparts [14] Except for natural killer (NK) cells, females also have highly active macrophages and neutrophils [59]. Females exhibit higher expression of TLRs 3, 7 and 9 which can recognise viral RNA or DNA [54,60]. However, TLRs 2 and 4 which have higher expression in males, only attach to bacterial cell wall proteins [61,62]. These dimorphic innate immune responses can certainly be attributed to different sex steroids [57].

Similar to innate immunity, adaptive immunity also is influenced by gender (Figure 3b and 3c). Females tend to have a higher number of T helper cells as compared to males who have increased counts of cytotoxic T cells [63]. Moreover, after stimulation women produce larger quantities of activated CD4<sup>+</sup> and CD8<sup>+</sup> T cells [64,65]. Previous studies have also observed an increased expression of antiviral (such as IFNG, SPINK5 and RIGI) and pro-inflammatory (IL16, IL1F5 and CXCL2) genes in females compared to males [66]. Notably, various lymphoid tissue cells, lymphocytes, macrophages, and DCs express estrogen receptors. Antibody responses of females are also better than males as they have a higher number of B cells and increased basal levels of immunoglobulins [64,67,68]. Females generally exhibit higher Th2 response to viral infection as compared to males [63]. Thus, by mounting an effective adaptive response at the same time limiting the pro-inflammatory mediators protects the females from developing severe disease.

### **Role of Sex hormones in COVID-19**

Sex hormones also play a vital role in regulation of immune response to infection. Male sex hormone i.e. testosterone has immunosuppressive effects, on the contrary the estrogen (female sex hormone) is known for its immunoenhancing effect [69]. Such differential regulation of immunity by sex hormones provides an evolutionary advantage for survival during reproductive years. Estrogen receptors are expressed on various immune cells like dendritic cells, macrophages, B and T lymphocytes. The two subtypes of estrogen receptors ER-alpha and ER-beta are differential expressed on T and B cells respectively. Estrogen via its surface receptors has been shown to be influence the downstream signaling pathways of innate immunity ad adaptive immunity. Various experiments on mice models suggest that estrogen signaling protects female mice from severe/fatal



forms of infection [6]. Estrogen increased the activity of NK cells and in high doses reduces the secretion of pro-inflammatory cytokines from monocytes and macrophages [69]. Estrogens inhibit the production of Th1 mediated proinflammatory cytokines and stimulate the production of Th2 mediated anti-inflammatory cytokines [70]. Furthermore, varying levels of estrogen during menstrual phase also influence immune response with shift towards Th2-type response seen during luteal phase [71]. Low levels of estrogen during menstruation enhance the activity of proinflammatory cytokines while increased levels of estrogen during follicular phase suppresses these cytokines [71]. Estrogen treatment has been shown to reduce the inflammatory reaction and decrease viral load. Thus, conjugate estrogens that have similar action to endogenous estrogen provide a promising therapy option for both gender in COVID-19 [72]. Estrogen also helps in B cell development and antibody response to infection. Several genes required for B cell activation and survival are up regulated by estrogen [73]. High levels of estrogen just before ovulation positively correlates with B cells count and antibody levels [74]. Thus, estrogen in post pubertal females provides an added advantage in reducing unwarranted inflammation during acute viral infections.

Since both immunity and reproduction are energy consuming processes the nature has decided to be sex biased with males utilizing more resources for reproduction than immunity. High levels of testosterone and dihydrotestosterone levels in post pubertal males are generally immunosuppressant in mammals. Androgens mediate immunosuppression by reducing the activity of NK cells and macrophages. Testosterone decreases pro-inflammatory cytokine mediators while increasing the anti-inflammatory mediators like IL-10 and transforming growth factor- $\beta$  (TGF $\beta$ ) [75]. A recent study found that most of the critical ill COVID 19 patients suffer from testosterone and dihydrotestosterone deficiencies [76]. Thus, the immunosuppressant effects of androgens are reduced in severe cases. Indeed, low levels of testosterone are linked with increased level of inflammatory cytokines, reduced T regulatory cells and increased NK cell activity [75]. Moreover, testosterone therapy has been shown to improve the survival rate in young gonadectomized male mice infected with influenza [77]. However, such therapy does not alter the viral replication or pulmonary pathology. Since, androgen receptor activity is important in regulation of TMPRSS2 and also for ACE 2, receptors that help in SARS-Cov2 entry into the host cell [78,79]. Low testosterone levels lead to up regulation of androgen receptors that may augment the expression of ACE2 and TMPRSS2 receptors on host cells.

## **Implication of gender differences on COVID 19 vaccine and treatment modalities**

Sex and gender have an important role to play in vaccine responses and outcomes [58]. Females, once vaccinated, develop higher antibody responses to vaccines than males. Vaccination against influenza, yellow fever, rubella, measles, mumps, hepatitis A and B, herpes simplex 2, rabies, smallpox, and dengue viruses have reported protective antibody responses twice as high in adult females than in males [58]. Cell-mediated immunity following vaccination are also higher in females than in males for some vaccines [80,81]. Females also develop more frequent and severe adverse reactions due to enhanced immune activation and inflammatory responses to vaccines compared to their male counterparts [58,68]. Because reporting of adverse events following vaccination is often done via passive reporting, it is also believed that differences in reporting might reflect a gender difference, in which females might be more likely than males to report adverse side effects. Sex and gender also interact to alter vaccine responses and outcomes by affecting exposures to microbial infection, our diet, and even the composition of our microbiome, each of which can affect vaccine responses and efficacy [82]. Thus, men and women tend to react differently to potential vaccines and treatments, so having access to sex-disaggregated data is crucial for conducting safe clinical/vaccine trials.

Potential immunotherapy like plasma therapy, specific immunoglobulins, thymosin and tocilizumab are widely being used or tested for COVID-19. However, given the differential immune response and related severity in both the sexes, sex-disaggregated data needs to be collected for these immunotherapy to better understand COVID-19 immunopathology. The primary drug being used to treat COVID-19 patients is hydroxychloroquine (HCQ) which works by suppressing immunity. We know that men and women differ in their immune responses, but we don't know how sex differences influence the efficacy of HCQ, or whether sex-specific dosing might produce fewer side effects and more positive outcomes. This calls for further research.

## **Conclusion**

The immune response for any foreign pathogen is different among male and females. Evolutionary selection has given an immunological advantage to females promoting their survival in adversity. The observed sex differences in severity against viral infection can be attributed to immune variation due to X chromosome, production of sex-dependent steroid hormones, and variation in prevalence of co-morbidities. Females are protected from developing a severe viral infection by

mounting an effective innate and acquired immune response while at the same time limiting the pro-inflammatory cytokines. Estrogen and its cyclic variation during the menstrual cycle helps in maintaining an immune-enhanced state during viral infection; while low testosterone levels in males fail to suppress the cytokine storm. Vaccine and immunotherapy for COVID-19 need to consider these sex-based immune differences and there is urgent need of in-depth research studies to understand the sex-bias observed in coronavirus infections.

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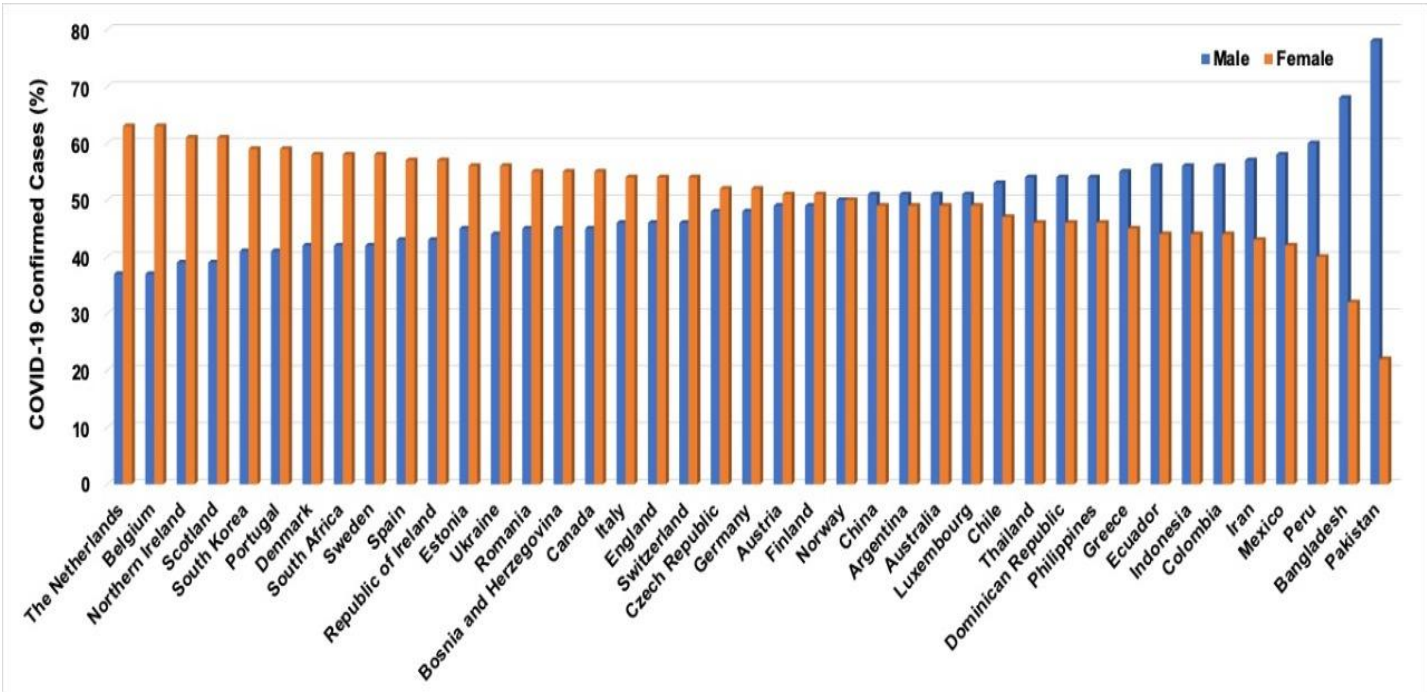


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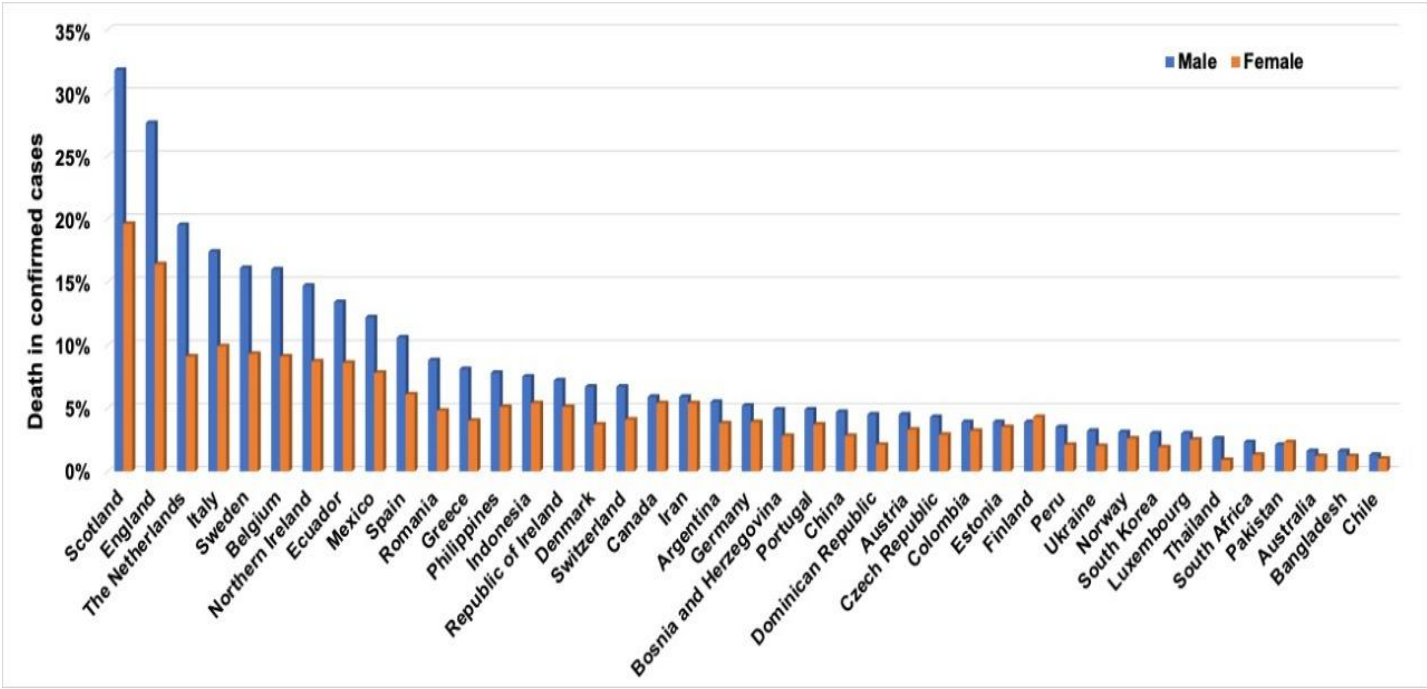
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**Figure 1:** Sex differences in COVID-19 cases across different countries



Source: Global Health 50/50. <https://globalhealth5050.org/covid19/>

**Figure 2:** Sex differences in COVID-19 mortality across different countries



Source: Global Health 50/50. <https://globalhealth5050.org/covid19/>

**Figure 3:** (a) Diagrammatic representation of the structure of SARS CoV. SARS CoV-2 has outer envelope encapsulating the viral genome. Spike & membrane protein are embedded in the envelope; (b) Immunes responses to COVID-19. Decreased T cell count and uninhibited proinflammatory response is seen in severe disease; (c) Gender biased immunity in COVID-19: Females have better immune responses to viral infection and the Th2 bias reduces the pro-inflammatory cytokines.

