1 2	Pertussis Immunisation in Pregnancy Safety (PIPS) Study: A retrospective cohort study of safety outcomes in infants exposed to Tdap vaccine in utero
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25 26	Key Words: Post-marketing surveillance, vaccine safety, pertussis, Tdap, pregnancy, infant

27 **Abstract** 28 29 We aimed to evaluate the safety of maternal Tdap we assessed health events by examining 30 the difference in birth and hospital-related outcomes of infants with and without fetal 31 exposure to Tdap. 32 This was a retrospective cohort study using linked administrative datasets. The study 33 population were all live-born infants in New Zealand (NZ) weighing at least 400 grams at 34 delivery and born to women who were eligible for the government funded, national-level 35 vaccination program in 2013. Infants were followed from birth up to one year of age. 36 There were a total of 69,389 eligible infants in the cohort. Of these, 8,299 infants were born 37 to 8,178 mothers exposed to Tdap (12%), primarily between 28-38 weeks gestation as per the 38 national schedule. Among the outcomes, we found a reduced risk for moderate to late preterm 39 birth, low birth weight, small for gestational age, large for gestational age, respiratory distress 40 syndrome, transient tachypnea of newborn, tachycardia or bradycardia, haemolytic diseases, other neonatal jaundice, anaemia, syndrome of infant of mother with gestational diabetes, and 41 42 hypoglycemia in infants born to vaccinated mothers. There was no association between 43 maternal Tdap and stillbirth, infant Apgar score at 5 minutes after birth, microcephaly, 44 asphyxia, sepsis or infection, or hypoxic ischemic encephalopathy. Infant exposure to Tdap 45 during pregnancy was associated with a higher mean birthweight (not clinically significant) 46 and higher odds for ankyloglossia and neonatal erythema toxicum diagnoses. There were 47 insufficient observations to allow examination of the effect of Tdap on extreme preterm and 48 very preterm birth, and infant death. 49 Overall, we found no outcomes of concern associated with the administration of Tdap during 50 pregnancy. 51

NZ Health and Disability Ethics Committee Approval #14/NTA/169/AM05

Introduction

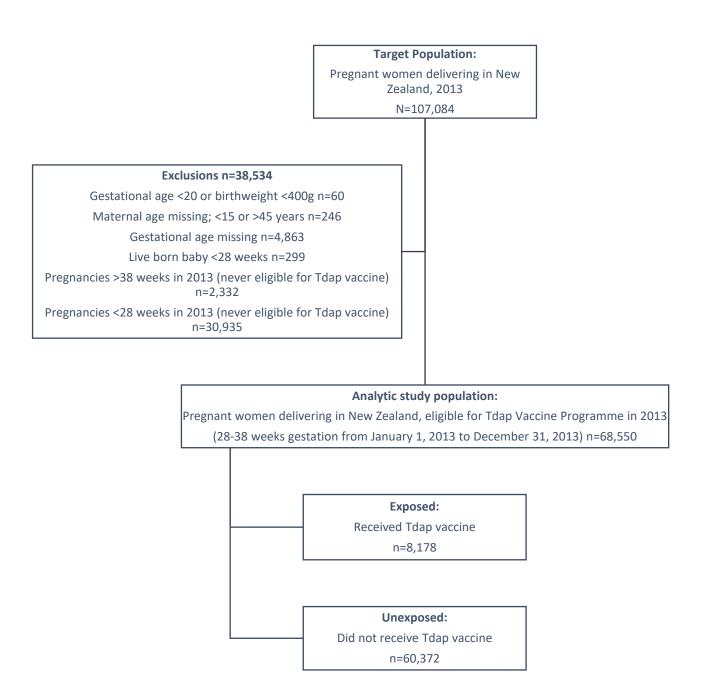
- 53 54 Pertussis vaccination programmes have had a dramatic impact on pertussis morbidity and mortality, particularly for infants. The burden of severe morbidity and mortality now falls 55 56 primarily on infants too young to be vaccinated. However, a resurgence in disease is being 57 observed in many countries using acellular vaccines [1] and, to a lesser extent, countries 58 using whole-cell vaccines [2]. 59 Natural immunity to pertussis varies in terms of completeness and duration. Furthermore, immunity via current acellular vaccines, while preventing clinical disease [3], does not 60 61 prevent carriage or transmission [4, 5]. These issues pose challenges for the control of 62 pertussis. Maternal immunisation as a strategy to prevent neonatal and infant mortality has been well 63 illustrated with the success of the World Health Organization (WHO)/UNICEF Neonatal 64 65 Tetanus Elimination programme in low-income settings. Following the implementation of maternal tetanus immunisation programmes in at-risk populations, mortality from neonatal 66 67 tetanus declined by 94% [95% CI: 80, 98)] [6]. Evidence for the effectiveness of maternal 68 influenza vaccination in preventing influenza for the first months of life has also supported 69 the move to a maternal vaccination approach [7]. Since 2011, some countries, such as the 70 UK, have begun maternal pertussis immunisation [8, 9] and the strategy has proved highly 71 effective [10-13]. 72 While there are no theoretical safety concerns about using inactive or subunit vaccines in 73 pregnant women [14] there were few empirical data available during the early years of these 74 programmes [15]. 75 Between 2011 and 2013, New Zealand (NZ) experienced the largest pertussis epidemic since 76 2000. The number of notified cases of pertussis rose dramatically from July 2011 and 77 remained high throughout 2012 and 2013, with rates of over 270/100,000 infants under one 78 year of age. Among notified cases in the less than six weeks of age group, 56% were 79 hospitalised, with 23% of these requiring multiple hospitalisations. Because of this disease 80 burden, a booster dose of acellular pertussis vaccine was recommended in 2012 and then 81 funded in 2013 for women between 28-38 weeks gestation.
- 82 This is the second paper that reports on outcomes from a retrospective data-linking study that
- 83 aimed to assess the safety of Tdap vaccine administered to pregnant women in NZ in 2013.

- We previously reported the maternal outcomes [16]; here, we report infant outcomes by
- 85 examining the difference in birth and hospital-related outcomes of infants with and without
- 86 fetal exposure to Tdap.

87 Methods 88 *Study population and variables* The study population included all live-born infants in NZ weighing at least 400 grams at 89 90 delivery and born to women who were eligible for the NZ Ministry of Health (MoH)-funded, 91 national-level vaccination program in 2013 (that is, between 28-38 weeks gestation). Infants 92 were followed from birth up to one year of age (Figure 1.). 93 The independent binary variable was exposure to Tdap during the mother's pregnancy. 94 Study outcomes 95 The study outcomes were prioritized according to the categories presented in the assessment of vaccine safety in pregnant women, as defined by WHO and Brighton Collaboration 96 97 taskforce, and termed 'priority outcomes', 'outcomes', and 'suggested outcomes' [17]. We 98 used these outcomes as a guide and linked them to International Classification of Disease 10, 99 Australian Modification (ICD-10-AM) codes from relevant chapters A, B, E, F, G, J, P, Q, R 100 and Z to identify outcomes potentially associated with exposure to maternal Tdap 101 vaccination. 102 Each outcome variable is dichotomous, with possible values of yes or no. Where an infant 103 experienced the same outcome on multiple occasions during the study period, only the first episode was considered. Priority outcomes were stillbirth, perinatal death, neonatal death, 104 105 infant death, preterm birth, small for gestational age (SGA), congenital anomalies (major and 106 minor), asphyxia, infection, and sudden infant death syndrome. Other outcomes with 107 significant findings are also reported. We included all codes across 99 possible diagnosis 108 fields except the Q-codes (congenital anomalies) where only the primary codes were used. 109 Additional covariates include demographic and clinical characteristics and the model of care 110 variable (midwife, obstetrician, general practitioner). 111 Data sources Our data sources for this study have been previously described in detail [16]. They consisted 112 of: the National Health Index Database of demographic information; National Minimum Data 113 114 Set of all hospital discharges in NZ following inpatient episodes of care; Mortality Data Set of underlying causes of all deaths registered in NZ, including fetal deaths (stillbirths); 115 116 National Maternity Collection of data on primary maternity services and inpatient and day117 patient health event data from nine months before and three months after a birth for mothers and infants; and the Immunisation Subsidies Collection of data on the fee-for-service 118 119 payments made to general practitioners for providing government-funded immunisations. 120 Statistical methods 121 For all infants, follow-up began at birth and infants were censored at the first event outcome 122 of: death, first birthday, or loss to follow-up (no record in any of the data sources). 123 Demographics and clinical characteristics of infants and mothers were first summarised descriptively, overall and by infants who did and did not have fetal exposure to Tdap. 124 125 Continuous variables were described as mean, standard deviation (SD), median and inter-126 quartile range (Q1, Q3). Categorical variables were described as frequency and percentage. Each reported outcome (with at least one event) was next described quantitatively with 127 128 frequencies and incidence rates, for exposed and unexposed infant groups separately. The median and interquartile range (Q1, Q3) of infants' age at the time of each outcome was also 129 130 reported. 131 The relationship between fetal exposure to Tdap and infant outcomes were investigated using adjusted regression models appropriate to the distribution of outcome. Adjusted regression 132 133 analyses accounted for pre-defined confounding variables and were used to support the main conclusions. Each model was adjusted for: birth status (single live birth, other birth); 134 135 maternal ethnicity (Māori, Pacific, Asian, NZ European or other); NZ Deprivation Index 2013 (deciles grouped into quintiles); maternal age (in years); history of antenatal care (total 136 137 number of lead maternity carer visits); maternal body mass index (kg/m2); history of chronic 138 disease (yes, no); parity (0, 1+); model of care (District Health Board (DHB), midwife, 139 obstetrician/general practitioner, no lead maternity carer/other); and influenza vaccination (yes, no) during the same pregnancy. Outcomes were excluded if the proportion of events 140 141 was <0.1% in either exposed or unexposed group, or the number of events in the exposed 142 group was <10. 143 Continuous outcomes (birthweight and Apgar score at 5 minutes after birth) were analysed using linear regression models. The effect of fetal Tdap exposure was estimated as mean 144 145 difference with 95% confidence intervals. Those outcomes diagnosed at delivery with no 146 follow-up time were considered as a binary variable and analysed using logistic regression models. Odds ratios (ORs) and 95% confidence intervals (CIs) were reported accordingly. An 147

- OR of <1 indicated lower odds of having the outcome with fetal exposure to Tdap and was
- statistically significant if the CI didn't include 1.
- 150 Statistical analysis was performed using SAS version 9.4 (SAS Institute Inc., Cary, NC,
- USA). All statistical tests were two-sided at 5% significance level (p <0.05).

152	Results
153	Study cohort
154 155	There were a total of 69,389 eligible infants in the cohort. Of these, 8,299 infants were born to 8,178 mothers exposed to Tdap (12%) (Figure 1).
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161	Of infants born to women eligible to receive the vaccine, 51.2% were male. Infants of
162	European ethnicity comprised 67.0% of the vaccine-exposed group, while infants of Māori
163	ethnicity comprised 13.2%. The deprivation quintile of exposed infants ranged between
164	20.4% and 21.7% for the first four deprivation quintiles. The most deprived quintile
165	contributed 15.2% of exposed infants (Table 1).
166	The effect of maternal Tdap on hospital-related infant outcomes diagnosed at birth, on
167	eligible maternities, by Tdap exposure are summarised in Tables 2 and 3.
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TABLE 1. Demographics of infants born to women* who were eligible† to receive funded vaccination during pregnancy between 01 January and 31 December 2013, New Zealand

	N	lother Tda	ap vaccinatio	on		
	Expo		Unexp		Total	
	N	%	N	%	N	%
Total	8,299	12.0	61,090	88.0	69,389	100.0
Infant characteristics						
Gender						
Male	4,249	51.2	31,283	51.2	35,532	51.2
Female	4,050	48.8	29,805	48.8	33,855	48.8
Missing	0	0.0	2	0.0	2	0.0
Infant ethnicity						
Maori	1,098	13.2	17,271	28.3	18,369	26.5
Pacific	420	5.1	7,022	11.5	7,442	10.7
Asian	1,216	14.7	8,629	14.1	9,845	14.2
European/Other	5,563	67.0	28,142	46.1	33,705	48.6
Missing	2	0.0	26	0.0	28	0.0
NZ Deprivation Index 2013						
Mean (SD)	5.2 ((2.8)	6.4 (2.8)	6.3 (2.8)
Median (Q1,Q3)		.0,8.0)	7.0 (4.0		7.0 (4.0,9.0)	
4.2 (least density of)	4.005	24.7	7.027	42.0	0.742	440
1–2 (least deprived)	1,805	21.7	7,937	13.0	9,742	14.0
3–4	1,783	21.5	9,216	15.1	10,999	15.9
5–6	1,697	20.4	10,861	17.8	12,558	18.1
7–8	1,751	21.1	14,106	23.1	15,857	22.9
9–10 (most deprived)	1,263	15.2	18,963	31.0	20,226	29.1
Missing	0	0.0	7	0.0	7	0.0
DHB						
Northland	216	2.6	2,293	3.8	2,509	3.6
Waitemata	894	10.8	8,151	13.3	9,045	13.0
Auckland	1,130	13.6	6,253	10.2	7,383	10.6
Counties Manukau	730	8.8	8,773	14.4	9,503	13.7
Waikato	292	3.5	5,858	9.6	6,150	8.9
Lakes	95	1.1	1,582	2.6	1,677	2.4
Bay of Plenty	267	3.2	2,977	4.9	3,244	4.7
Tairawhiti	92	1.1	746	1.2	838	1.2
Hawke's Bay	162	2.0	2,379	3.9	2,541	3.7
Taranaki	145	1.7	1,629	2.7	1,774	2.6
Mid Central	241	2.9	2,257	3.7	2,498	3.6
Whanganui	99 861	1.2	865 2.470	1.4	964	1.4
Capital and Coast	861	10.4	3,479	5.7	4,340	6.3
Hutt	251 79	3.0	1,997	3.3	2,248	3.2
Wairarapa Nelson Marlhorough	79 398	1.0 4.8	474 1,404	0.8	553 1 802	0.8 2.6
Nelson Marlborough West Coast	10	4.8 0.1	430	2.3 0.7	1,802 440	0.6
Canterbury	1,511	18.2	5,444	8.9	6,955	10.0
South Canterbury	1,511 154	1.9	5,444 606	8.9 1.0	760	1.1
Southern	667	8.0	3,370	5.5	4,037	5.8
	5	0.1	123	0.2	128	0.2
Overseas	Э	U.I	123	0.2	128	0.2

	N	1other Tda	p vaccination	n		
		Exposed Unexposed			Total	
	N	%	N	%	N	%
Apgar score at 5 minutes after birth						
Mean (SD)	9.5	(0.9)	9.5 (0.9)	9.5 (0	0.9)
Median (Q1,Q3)		.0,10.0)	10.0 (9.0		10.0 (9.0	
Poor (Apgar 1–3)	17	0.2	116	0.2	133	0.2
Moderate concern (Apgar 4–6)	109	1.3	720	1.2	829	1.2
Normal (Apgar 7–10)	7,534	90.8	52,969	86.7	60,503	87.2
Missing	639	7.7	7,285	11.9	7,924	11.4
Birth weight (g)						
Mean (SD)	3,467.3	(532.5)	3,429.0	(592.5)	3,433.6	(585.6)
Median (Q1,Q3)		85.0	3,45		3,45	
	(3,140.0	,3,800.0)	(3,090.0,	3,795.0)	(3,100.0,3	3,800.0)
Less than 1,000g	1	0.0	31	0.1	32	0.0
1,000 – 2,499g	315	3.8	3,208	5.3	3,523	5.1
2,500g or above	7,747	93.3	55,098	90.2	62,845	90.6
Missing	236	2.8	2,753	4.5	2,989	4.3
Mother characteristics						
Maternal ethnicity						
Maori	955	11.5	16,095	26.3	17,050	24.6
Pacific	394	4.7	7,034	11.5	7,428	10.7
Asian	1,197	14.4	8,484	13.9	9,681	14.0
European/Other	5,751	69.3	29,470	48.2	35,221	50.8
Missing	2	0.0	7	0.0	9	0.0
Age (years) at last menstrual period		(= a)			20.54	(C.4)
Mean (SD)		(5.4)	28.3 (28.6 (
Median (Q1,Q3)	31.0 (2.	7.0,35.0)	28.0 (24.	0,33.0)	29.0 (24.	.0,33.0)
15–20 years	236	2.8	4,984	8.2	5,220	7.5
20–24 years	850	10.2	12,590	20.6	13,440	19.4
25–29 years	2,168	26.1	16,802	27.5	18,970	27.3
30–34 years	2,951	35.6	16,310	26.7	19,261	27.8
35–39 years 40–45 years	1,763 331	21.2 4.0	8,629 1,775	14.1 2.9	10,392 2,106	15.0 3.0
Gravidity						
Mean (SD)	2.3	(1.4)	2.6 (1.8)	2.6 (2	1.8)
Median (Q1,Q3)		.0,3.0)	2.0 (1.0		2.0 (1.0	
0	1	0.0	3	0.0	4	0.0
1	2,793	33.7	17,030	27.9	19,823	28.6
2	2,597	31.3	16,455	26.9	19,052	27.5
3	1,400	16.9	10,670	17.5	12,070	17.4
4	717	8.6	5,989	9.8	6,706	9.7
5	303	3.7	3,307	5.4	3,610	5.2
6+	269	3.2	4,070	6.7	4,339	6.3
Missing	219	2.6	3,566	5.8	3,785	5.5

	Mother Tdap vaccination						
	Expo		Unexp		Tot		
Double	N	%	N	%	N	%	
Parity Mean (SD)	0.87	0.9)	1.1 (1 2\	1.1 (1 2)	
Median (Q1,Q3)		0.9)	1.0 (0.0		1.0 (0.0		
Wedian (Q1,Q3)	1.0 (0.	0,1.0)	1.0 (0.1	0,2.0)	1.0 (0.1	3,2.0)	
0	3,642	43.9	21,916	35.9	25,558	36.8	
1	2,915	35.1	19,085	31.2	22,000	31.7	
2	1,023	12.3	8,840	14.5	9,863	14.2	
3	266	3.2	3,652	6.0	3,918	5.6	
4	71	0.9	1,529	2.5	1,600	2.3	
5	25	0.3	783	1.3	808	1.2	
6+	16	0.2	752	1.2	768	1.1	
Missing	341	4.1	4,533	7.4	4,874	7.0	
History of stillbirth							
Yes	77	0.9	596	1.0	673	1.0	
No	8,222	99.1	60,494	99.0	68,716	99.0	
History of protorm hirth							
History of preterm birth Yes	214	2.6	1,961	3.2	2,175	3.1	
No	8,085	97.4	59,129	96.8	67,214	96.9	
	3,000	57	33,123	30.0	07,221	50.5	
History of chronic disease			0=0				
Yes	111	1.3	956	1.6	1,067	1.5	
No	8,188	98.7	60,134	98.4	68,322	98.5	
History of antenatal care (no. of LMC visits)							
Mean (SD)	9.7 (3.5)		9.2 (3.8)		9.3 (3		
Median (Q1,Q3)	10.0 (7.	0,12.0)	9.0 (7.0	,12.0)	9.0 (7.0	,12.0)	
0 (none)	113	1.4	761	1.2	874	1.3	
1–4 (insufficient)	390	4.7	4,439	7.3	4,829	7.0	
5–13 (approximately sufficient)	6,175	74.4	42,774	70.0	48,949	70.5	
14–16 (greater than sufficient)	694	8.4	3,756	6.1	4,450	6.4	
>16 (very high care/complex pregnancy)	342	4.1	2,138	3.5	2,480	3.6	
Missing	585	7.0	7,222	11.8	7,807	11.3	
Model of care							
DHB	325	3.9	3,388	5.5	3,713	5.4	
MWF	6,615	79.7	51,181	83.8	57,796	83.3	
GP	111	1.3	442	0.7	553	0.8	
OBS	1,024	12.3	2,878	4.7	3,902	5.6	
Other	13	0.2	28	0.0	41	0.1	
No LMC	211	2.5	3,173	5.2	3,384	4.9	
Mother BMI							
Mean (SD)	25.4	(5.4)	26.5 ((6.2)	26.3 ((6.1)	
Median (Q1,Q3)	24.0 (22	.0,28.0)	25.0 (22.	.0,30.0)	25.0 (22.	0,29.0)	
Underweight (<18.5)	209	2.5	1,619	2.7	1,828	2.6	
Healthy weight (18.5–24)	4,136	49.8	25,073	41.0	29,209	42.1	
Overweight (25–29)	2,308	27.8	16,277	26.6	18,585	26.8	
Obese (30+)	1,417	17.1	14,513	23.8	15,930	23.0	
Missing	229	2.8	3,608	5.9	3,837	5.5	
Current tobacco use							
Yes	550	6.6	11,823	19.4	12,373	17.8	
No	7,749	93.4	49,267	80.6	57,016	82.2	
	,		•		•		

	Mother Tdap vaccination					
	Ехро	Exposed		Unexposed		al
	N	%	N	%	N	%
Influenza vaccination						
Yes	3,833	46.2	5,187	8.5	9,020	13.0
No	4,466	53.8	55,903	91.5	60,369	87.0

^{*} Women with a surviving fetus at 20 weeks gestation or who delivered an infant weighing at least 400 grams

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^{† 28–38} weeks gestation during 2013

NOTES: SD = standard deviation; Q1 = first quartile; Q3 = third quartile; DHB = district health board; MWF = midwife; GP = general practitioner; OBS = obstetrician; LMC = lead maternity carer; BMI = body mass index

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TABLE 2. Effect of maternal Tdap on hospital-related infant outcomes diagnosed at birth, on eligible maternities, * by Tdap exposure, New Zealand (N_{Exposed} = 8,299; N_{Unexposed} = 61,090; N_{Total} = 69,389)

Outcome†	Description	Tdap (Exposed=1, Unexposed=0)	N (%)	Unadjusted OR‡ (95% CI)	P value	Adjusted OR‡§ (95% CI)	P value
P00	Fetus and newborn affected by maternal conditions	1 0	14 (0.2) 95 (0.2)	1.085 (0.619,1.902)	0.7760	1.062 (0.558,2.020)	0.8550
P01	Fetus and newborn affected by maternal complications of pregnancy	1 0	19 (0.2) 140 (0.2)	0.999 (0.618,1.614)	0.9968	0.906 (0.526,1.561)	0.7229
P02	Fetus and newborn affected by abnormality of membranes	1 0	27 (0.3) 171 (0.3)	1.163 (0.774,1.746)	0.4670	0.911 (0.557,1.490)	0.7112
P03	Fetus and newborn affected by complications of labor and delivery	1 0	131 (1.6) 827 (1.4)	1.169 (0.971,1.407)	0.0999	0.931 (0.750,1.155)	0.5141
P05.12	Small for gestational age (SGA)	1 0	117 (1.4) 1,204 (2.0)	0.711 (0.587,0.861)	0.0005	0.721 (0.574,0.905)	0.0047
P05.29	Other fetal malnutrition	1 0	92 (1.1) 812 (1.3)	0.832 (0.670,1.034)	0.0969	1.036 (0.807,1.329)	0.7833
P07.13	Low birth weight (LBW): 1500 to <2500 g	1 0	186 (2.2) 1,800 (2.9)	0.755 (0.648,0.880)	0.0003	0.784 (0.653,0.941)	0.0089
P07.323	Moderate to late preterm: 32 to <37 weeks	1 0	398 (4.8) 3,412 (5.6)	0.852 (0.766,0.947)	0.0031	0.831 (0.729,0.947)	0.0055
P08.0	High birth weight	1 0	45 (0.5) 375 (0.6)	0.883 (0.647,1.204)	0.4303	1.157 (0.824,1.625)	0.3995
P08.1	Large for gestational age infants	1 0	31 (0.4) 335 (0.5)	0.680 (0.470,0.983)	0.0403	0.567 (0.359,0.894)	0.0147
P12	Scalp injury due to birth trauma	1 0	84 (1.0) 529 (0.9)	1.171 (0.929,1.475)	0.1819	0.940 (0.720,1.228)	0.6514
P15.4	Birth trauma to face	1 0	28 (0.3) 191 (0.3)	1.079 (0.726,1.606)	0.7063	0.772 (0.484,1.232)	0.2781
P15.8	Other specified birth trauma	1 0	10 (0.1) 95 (0.2)	0.775 (0.404,1.487)	0.4430	0.670 (0.308,1.458)	0.3122
P20	Intrauterine hypoxia	1 0	28 (0.3) 295 (0.5)	0.698 (0.473,1.029)	0.0692	0.670 (0.429,1.047)	0.0788
P21	Asphyxia	1 0	49 (0.6) 318 (0.5)	1.135 (0.839,1.535)	0.4104	1.374 (0.968,1.951)	0.0751
P22.0	Respiratory distress syndrome	1 0	121 (1.5) 1,392 (2.3)	0.635 (0.526,0.765)	<0.0001	0.652 (0.524,0.811)	0.0001
P22.1	Transient tachypnea of newborn	1 0	247 (3.0) 2,034 (3.3)	0.891 (0.779,1.018)	0.0906	0.839 (0.721,0.975)	0.0224

Outcome†	Description	Tdap (Exposed=1, Unexposed=0)	N (%)	Unadjusted OR‡ (95% CI)	P value	Adjusted OR‡§ (95% CI)	P value
P22.89_P28.2589	Respiratory distress	1 0	230 (2.8) 1,600 (2.6)	1.060 (0.921,1.219)	0.4165	0.998 (0.850,1.171)	0.9775
P23	Congenital pneumonia	1 0	24 (0.3) 203 (0.3)	0.870 (0.569,1.329)	0.5192	1.010 (0.629,1.622)	0.9675
P24.0	Meconium aspiration syndrome	1 0	11 (0.1) 110 (0.2)	0.736 (0.396,1.369)	0.3330	0.977 (0.497,1.921)	0.9461
P25	Interstitial emphysema and related conditions	1 0	43 (0.5) 236 (0.4)	1.344 (0.970,1.861)	0.0754	1.240 (0.860,1.787)	0.2495
P28.34	Apnea	1 0	70 (0.8) 731 (1.2)	0.702 (0.549,0.899)	0.0049	0.777 (0.585,1.031)	0.0803
P29.1	Tachycardia or bradycardia	1 0	55 (0.7) 515 (0.8)	0.785 (0.594,1.037)	0.0888	0.691 (0.501,0.954)	0.0245
P29.82	Benign and innocent cardiac murmurs in newborn	1 0	34 (0.4) 210 (0.3)	1.193 (0.830,1.715)	0.3415	1.098 (0.724,1.667)	0.6598
P36.89	Bacterial sepsis of newborn, specified or unspecified	1 0	37 (0.4) 339 (0.6)	0.803 (0.571,1.128)	0.2052	0.872 (0.599,1.270)	0.4763
P37.5	Candidiasis	1 0	17 (0.2) 178 (0.3)	0.702 (0.427,1.156)	0.1645	0.656 (0.381,1.131)	0.1294
P38	Omphalitis	1 0	29 (0.3) 181 (0.3)	1.180 (0.797,1.748)	0.4085	1.399 (0.875,2.236)	0.1606
P39.1	Neonatal conjunctivitis and dacryocystitis	1 0	82 (1.0) 628 (1.0)	0.961 (0.763,1.211)	0.7383	0.841 (0.641,1.103)	0.2111
P39.4	Neonatal skin infection	1 0	13 (0.2) 83 (0.1)	1.153 (0.642,2.070)	0.6329	1.352 (0.706,2.593)	0.3631
P54	Neonatal hemorrhage	1 0	18 (0.2) 145 (0.2)	0.914 (0.560,1.492)	0.7180	0.981 (0.571,1.683)	0.9435
P55	Haemolytic diseases	1 0	39 (0.5) 416 (0.7)	0.689 (0.496,0.957)	0.0263	0.663 (0.444,0.990)	0.0445
P59	Other neonatal jaundice	1 0	308 (3.7) 2,722 (4.5)	0.827 (0.733,0.932)	0.0019	0.869 (0.757,0.998)	0.0466
P61.0	Thrombocytopenia	1 0	13 (0.2) 151 (0.2)	0.633 (0.359,1.116)	0.1141	0.830 (0.440,1.567)	0.5657
P61.234	Anaemia	1 0	20 (0.2) 256 (0.4)	0.574 (0.364,0.905)	0.0170	0.461 (0.270,0.786)	0.0045
P70.0	Syndrome of infant of mother with gestational diabetes	1	50 (0.6)	0.682 (0.510,0.912)	0.0099	0.683 (0.487,0.960)	0.0281

Outcome†	Description	Tdap (Exposed=1, Unexposed=0)	N (%)	Unadjusted OR‡ (95% CI)	P value	Adjusted OR‡§ (95% CI)	P value
		0	538 (0.9)				
P70.1	Syndrome of infant of a diabetic mother	1	18 (0.2)	0.633 (0.391,1.025)	0.0631	0.601 (0.278,1.300)	0.1957
		0	209 (0.3)				
P70.34	Hypoglycemia	1	236 (2.8)	0.792 (0.691,0.907)	0.0008	0.795 (0.681,0.929)	0.0038
		0	2,178 (3.6)				
P74.1	Dehydration of newborn	1	58 (0.7)	1.155 (0.875,1.525)	0.3091	1.093 (0.794,1.503)	0.5868
		0	370 (0.6)				
P74.23	Electrolyte anomalies (Na, K)	1	57 (0.7)	0.781 (0.594,1.028)	0.0778	0.844 (0.621,1.147)	0.2786
		0	536 (0.9)				
P80	Hypothermia	1	73 (0.9)	0.861 (0.675,1.099)	0.2297	0.964 (0.726,1.279)	0.7996
		0	623 (1.0)				
P81	Other disturbances of temperature regulation of	1	59 (0.7)	1.287 (0.975,1.699)	0.0744	1.297 (0.947,1.775)	0.1052
	newborn	0	338 (0.6)				
P83.1	Neonatal erythema toxicum	1	47 (0.6)	1.583 (1.154,2.171)	0.0044	1.661 (1.163,2.372)	0.0052
		0	219 (0.4)				
P83.5	Congenital hydrocele	1	11 (0.1)	0.771 (0.414,1.436)	0.4123	0.782 (0.396,1.543)	0.4779
		0	105 (0.2)				
P83.89	Other conditions of integument	1	20 (0.2)	0.909 (0.571,1.447)	0.6862	0.986 (0.594,1.637)	0.9572
		0	162 (0.3)				
P90	Seizure	1	18 (0.2)	0.974 (0.596,1.594)	0.9179	1.059 (0.602,1.862)	0.8422
		0	136 (0.2)				
P91.6	Hypoxic Ischemic Encephalopathy	1	12 (0.1)	0.874 (0.480,1.592)	0.6606	0.786 (0.390,1.585)	0.5011
		0	101 (0.2)				
P92.0	Vomiting	1	36 (0.4)	1.228 (0.862,1.749)	0.2549	0.928 (0.612,1.406)	0.7231
		0	216 (0.4)				
P92.123589	Difficulty feeding	1	369 (4.4)	1.239 (1.107,1.386)	0.0002	1.054 (0.924,1.203)	0.4344
		0	2,212 (3.6)				
P94.2	Congenital hypotonia	1	15 (0.2)	0.898 (0.525,1.535)	0.6929	0.788 (0.413,1.504)	0.4703
		0	123 (0.2)				
P96.81	Jittery baby	1	32 (0.4)	1.197 (0.823,1.740)	0.3473	1.104 (0.705,1.728)	0.6666
		0	197 (0.3)				
Q38.1	Ankyloglossia	1	221 (2.7)	1.545 (1.334,1.789)	<0.0001	1.241 (1.044,1.474)	0.0143
		0	1,063 (1.7)				
Q66	Talipes equinovarus, metatarsus varus, or other	1	33 (0.4)	0.880 (0.613,1.263)	0.4873	0.963 (0.612,1.516)	0.8707
	congenital deformities of feet	0	276 (0.5)	,		•	

179 180 181 182 183	† Table includes outcomes diagnosed at delivery where N ≥ 10 and % ≥ 0.1 (please see Appendix 2 for ICD-10-AM code map). ‡ OR = odds ratio, which compares mothers exposed to Tdap with those unexposed. OR > 1 indicates greater likelihood of exposed group having the outcome if p-value < 0.05. § Logistic regression model adjusted for: birth status (single live birth, other birth); maternal ethnicity (Maori, Pacific, Asian, NZ European or other); NZ Deprivation Index 2013 (1-10); maternal age (in years); history of antenatal care (total no. of lead maternity carer visits); body mass index (kg/m2); history of chronic disease (yes, no); parity (0, 1+); model of care (DHB, midwife, obstetrician/general practitioner, no lead maternity carer visits). On a first greatile CL a period experience into only ICD 10 AM a International Classification of Diseases. Teath Position Analysis on Australian Medification.
184 185	NOTES: Q1 = first quartile; Q3 = third quartile; CI = confidence interval; ICD-10-AM = International Classification of Diseases, Tenth Revision, Australian Modification

TABLE 3 Effect of maternal Tdap on infant's Apgar score at 5 minutes after birth and birthweight at delivery, on eligible maternities,* by Tdap exposure, New Zealand (Nexposed = 8,299; Nunexposed = 61,090; Ntotal = 69,389)

Outcome†	Tdap	N	Mean (SD)	Unadjusted mean difference (95% CI)	P value	Adjusted mean difference (95% CI)	P value
Apgar score at 5 minutes after birth	Exposed	7,660	9.537 (0.879)	0.005 (-0.015,0.026)	0.6246	0.000 (-0.022,0.023)	0.9775
	Unexposed	53,810	9.531 (0.870)				
Birthweight (g)	Exposed	8,063	3,467 (532)	38.275 (24.640,51.911)	<0.0001	35.585 (21.392,49.778)	<0.0001
	Unexposed	58,337	3,429 (592)				

^{* 28–38} weeks gestation during 2013

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NOTES: SD = standard deviation; CI = confidence interval

[†] Generalized linear model adjusted for: birth status (single live birth, other birth); maternal ethnicity (Maori, Pacific, Asian, NZ European or other); NZ Deprivation Index 2013 (1-10); maternal age (in years); history of antenatal care (total no. of lead maternity carer visits); body mass index (kg/m2); history of chronic disease (yes, no); parity (0, 1+); model of care (DHB, midwife, obstetrician/general practitioner, no lead maternity carer/other); and influenza vaccination (yes, no)

195 Events of delivery 196 There were insufficient number of cases in the vaccine-exposed group to assess the 197 association between Tdap exposure and stillbirth (n=9); extreme (n=0) and very preterm birth 198 (n=9), and extreme (n=0) and very low birth weight (n=9). 199 We found a reduced risk associated with exposure to vaccine for moderate to late preterm 200 birth (OR 0.83; 95% CI [0.73, 0.95]). 201 Physical examination and anthropometric measurements 202 There were insufficient observations available to allow examination of the effect of Tdap on 203 extreme low birth weight (LBW) and very LBW. 204 There was no mean difference in Apgar score between vaccine-exposed and unexposed groups (Table 3). A small but significantly higher mean birthweight was observed in the 205 206 vaccine-exposed group with a mean difference of 35.59g (95% CI [21.39, 49.78]). 207 We found protective effects of Tdap exposure for LBW (OR=0.78; 95% CI [0.65, 0.94]), 208 SGA (OR=0.721; 95% CI [0.57, 0.91]), and large for gestational age (LGA) (OR=0.567; 95% 209 CI (0.36, 0.89). 210 Congenital anomalies 211 Two congenital anomalies that had enough cases to include in the regression models were 212 deformities of feet and ankyloglossia (tongue-tie). There was no association with deformities 213 of feet (OR=0.963; 95% CI [0.61, 1.52]). There was an increased odds associated with ankyloglossia (OR=1.241; 95% CI [1.04, 1.47]). Among the infants in the restricted cohort 214 215 (eligible to receive the vaccine) born to mothers eligible to receive Tdap, there were three 216 infants in the cohort with microcephaly, none was born to mothers exposed to Tdap. There 217 were insufficient observations in both the exposed and unexposed groups to explore other 218 congenital anomalies 219 Neonatal conditions classified by organ system There were insufficient observations available to allow examination of the effect of Tdap on 220 221 neonatal sepsis due to Streptococcus, group B, other and unspecified streptococci, 222 Staphylococcus aureus, other and unspecified staphylococci, Escherichia coli, anaerobes, 223 congenital viral infections, congenital infectious and parasitic diseases, neonatal infective

224	mastitis, and neonatal urinary tract infection or infection specific to the perinatal period,
225	specified or unspecified.
226	We found no association between exposure to vaccine and asphyxia, specified or unspecified
227	sepsis, candidiasis, omphalitis, neonatal conjunctivitis and dacryocystitis, neonatal skin
228	infection, or hypoxic ischemic encephalopathy. We found a protective effect of Tdap vaccine
229	for respiratory distress syndrome (OR=0.65; 95% CI [0.52, 0.81]), transient tachypnea of
230	newborn (OR=0.84; 95% CI [0.72, 0.98]), tachycardia or bradycardia (OR=0.69; 95% CI
231	[0.50,0.95]), haemolytic diseases (OR= 0.66; 95% CI [0.44, 0.99]), other neonatal jaundice
232	(OR=0.87; 95% CI [0.76, 0.10]), syndrome of infant of mother with gestational diabetes
233	(OR=0.68; 95% CI [0.49, 0.96]), and hypoglycaemia OR=0.80; 95% CI [0.68, 0.93]).
234	There was one other infant outcome not elsewhere described that was significantly associated
235	with exposure to Tdap, neonatal erythema toxicum. After adjustment the association r
236	emained significant (OR=1.66; 95% CI [1.16, 2.37]).
237	<u>Infant death</u>
238	There were insufficient events (n=4) in the exposed group of the restricted cohort to allow
239	examination of the effect of Tdap on infant death.

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Discussion This study sought to examine the safety for the infant after their mothers received Tdap during the pregnancy. We examined the difference in rates of key outcomes between those infants exposed and not exposed. Among the outcomes we found a reduced risk for moderate to late preterm birth, LBW, SGA, LGA, respiratory distress syndrome, transient tachypnea of newborn, tachycardia or bradycardia, haemolytic diseases, other neonatal jaundice, anaemia, syndrome of infant of mother with gestational diabetes, and hypoglycemia in infants born to vaccinated mothers. There was no association between maternal Tdap and stillbirth, infant Apgar score at 5 minutes after birth, microcephaly, asphyxia, sepsis or infection, or hypoxic ischemic encephalopathy. Infant exposure to Tdap during pregnancy was associated with a higher mean birthweight (not clinically significant) and higher odds for ankyloglossia and neonatal erythema toxicum diagnoses. There were insufficient observations to allow examination of the effect of Tdap on extreme preterm and very preterm birth, and infant death. Overall, we found no outcomes of concern associated with the administration of Tdap during pregnancy. Interpretation Since the implementation of maternal Tdap programmes internationally there are limited data on infants beyond birth outcomes. Most recently a Vaccine Safety Datalink (VSD) study assessed 413,034 live births from 2004 to 2014 for the risk of hospitalisation and showed no overall increased risk (adjusted OR=0.94; 95% CI [0.88, 1.01]) or death (adjusted OR=0.44; 95% CI [0.17, 1.13]) in the first six months of life associated with maternal pertussis [18]. While we did not measure overall hospitalisation, our findings support this study. We found no increased risk for stillbirth among our cohort of infants exposed to maternal Tdap, consistent with other cohort studies [19, 20] from Texas, USA and the UK. While we had insufficient observations for infants born extremely preterm or very preterm, we found no increased risk for moderate to late preterm birth. The previous evidence regarding the relationship between Tdap vaccination and preterm birth is mixed. Several VSD studies have found no relationship between Tdap vaccination during pregnancy and preterm birth [21, 22]. The Texas-based study reported a non-significant trend towards a protective effect against preterm birth (>37 weeks) with an adjusted OR for preterm delivery of 0.68 (95% CI: 0.45, 1.03)) [23], and a retrospective cohort study found infants from unvaccinated mothers were more likely to be born preterm (<37 weeks), 6% compared with 12% (p=0.001) [19].

272 While we had insufficient observations available to allow examination of the effect of Tdap on extreme LBW and very LBW, we found a reduced risk for LBW associated with exposure 273 274 to Tdap in pregnancy. In the Texas retrospective record review study, the adjusted OR for LBW was 0.76 (0.51–1.14) and very LBW was 0.24 (0.05–1.20) [23]. Likewise a VSD study 275 276 found no association with LBW with an adjusted RR of 0.92 (0.78–1.09) [22]. In contrast the 277 Texan study found greater risk for lower birthweights among decliners for Tdap in the third, 278 fifth and tenth percentiles (p=0.004, 0.002, and 0.032 respectively) [19]. 279 We found a significant association with reduction in SGA, in line with a maternal influenza 280 study in which the protective effect remained even after consideration of time-dependent 281 biases and confounding from baseline [24]. Unlike preterm birth, for which the protective effect of the vaccine disappeared after adjustments, the associations between vaccination and 282 283 SGA remained consistent in all analytical approaches [24]. Previous published studies have not shown any association between maternal Tdap vaccination and SGA [21-23]. Our finding 284 285 of no association between Tdap and 5-minute Appar score is consistent with other studies 286 [19, 26, 23]. 287 While we examined many birth defects, all but two were too rare for analysis. Other studies 288 have not identified any increased risk for birth defects associated with maternal Tdap [19, 289 23]. Due to the increased cases of microcephaly reported in Brazil and their temporal 290 association with the recommendations for maternal Tdap we specifically assessed this as an 291 outcome. As with a VSD analysis that assessed this [25], we found no association between 292 maternal Tdap and microcephaly, with no cases in the Tdap-exposed group. 293 While most of our outcomes had a reduced risk or no association with maternal Tdap, we did 294 find increased odds of ankyloglossia and neonatal erythema toxicum diagnoses among infants 295 born to vaccinated mothers. Both are likely a result of residual confounding, or spurious association to the large number of endpoints. In NZ, both the diagnosis and management of 296 297 ankyloglossia is controversial with opposing views on the need for treatment and a strong link to the diagnosis and management approaches for lactation disorders. The reported 298 299 incidence in NZ has increased more than five-fold between 2007 and 2013 with variability in 300 rates of diagnosis and management by region, ethnicity and socioeconomic group. This 301 suggests an inconsistent diagnostic approach, which therefore impacts the reliability of these 302 results. Erythema toxicum is a common rash in neonates and a diagnosis is strongly linked to

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health-seeking behaviour. We did not consider either of these outcomes to be related to maternal Tdap. While we adjusted for important confounding variables, including maternal age, ethnicity, socioeconomic status, ANC history, BMI, history of chronic disease, and parity, there may be residual confounding due to important variables not being included in administrative health datasets, such as maternal educational level or other provider/patient characteristics. For example, provider recommendation is an important predictor of a woman receiving Tdap vaccination during pregnancy [26]. Providers that recommend Tdap vaccination during pregnancy are likely to have other differences, such as the characteristics of their patients, type of patient selection and patient care. Measurement error and misclassification of binary confounders can also contribute to residual confounding. Further, we examined many exposures and did not consider confounders on an outcome-by-outcome basis. This analysis approach may have contributed to residual confounding leading to biased estimates. There are other limitations of health administrative datasets. The NZ National Minimum Data Set is limited to hospital inpatient diagnostic codes for which the validity cannot be assessed, with the risk of a false positive and bias towards the null hypothesis; as such, the incidence rates of adverse infant outcomes may be underestimated. Additionally, a hospitalization diagnosis code does not necessarily reflect an incident outcome, as some outcomes may have occurred or presented earlier in pregnancy and only present in later pregnancy with severity requiring hospitalization. As previously reported [16], we conducted a small validation study of the Tdap exposure variable, comparing and primary healthcare organisation (PHO) data and found the immunisation dataset Tdap exposure had high specificity (98.8–99.7%), but low sensitivity (9–61%) among 22,710 pregnant women across seven PHOs, indicating 64% of pregnant women receiving Tdap were incorrectly classified as unvaccinated in the immunisation dataset. In the current study, differential misclassification of the Tdap exposure could be caused by differential quality of data across PHOs and hospitals and could lead to either over- or under-estimation of the effects of Tdap on neonatal outcomes. This potential exposure misclassification means that study results should be cautiously interpreted. In the current study, we only examined data for the first year after implementation (2013), which led to small numbers of outcomes. In addition, we did not account for infant primary vaccinations in our analysis for the longer-term follow-up period. However, the current approach will allow for repeated analyses in future years using the same databases, which are expected to improve over time.

Conclusions

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Results from this study of adverse outcomes following exposure to maternal Tdap vaccination among the infants of pregnant women in NZ are consistent with other studies and provide further support for the safety of Tdap vaccination during pregnancy. This study evaluated a comprehensive range of infant outcomes in a national population cohort with up to one year follow up. Our findings support the safety of administration of pertussis immunisation during pregnancy.

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