

# The characteristic equation of the exceptional Jordan algebra: its eigenvalues, and their possible connection with mass ratios of quarks and leptons

Tejinder P. Singh

*Tata Institute of Fundamental Research, Homi Bhabha Road, Mumbai 400005, India*

e-mail: [tpsingh@tifr.res.in](mailto:tpsingh@tifr.res.in)

*v1 Submitted for publication to Adv. App. Clifford Algebras on Dec. 29, 2020*

*v2, January 6, 2021 Remarks on fine structure constant added on p. 21*

*v3. January 22, 2021 : Added :*

*‘Karolyhazy correction to the asymptotic fine structure constant’, p. 24*

*v4. February 7, 2021: Added :*

*‘Tentative remarks on the Weinberg (weak) mixing angle’ p.27*

*v5. February 21, 2021: Added :*

*‘More Jordan eigenvalues for quarks and charged leptons’, p. 28-31*

*This version: v6. March 16, 2021; Added:*

*‘Update: Evidence of correlation between the Jordan eigenvalues and the mass ratios of quarks and charged leptons’ p. 30-35*

## Abstract

The exceptional Jordan algebra [also known as the Albert algebra] is the finite dimensional algebra of  $3 \times 3$  Hermitean matrices with octonionic entries. Its automorphism group is the exceptional Lie group  $F_4$ . These matrices admit a cubic characteristic equation whose eigenvalues are real and depend on the invariant trace, determinant, and an inner product made from the Jordan matrix. Also, there is some evidence in the literature that the group  $F_4$  could play a role in the unification of the standard model symmetries, including the Lorentz symmetry. The octonion algebra is known to correctly yield the electric charge values  $(0, 1/3, 2/3, 1)$  for standard model fermions, via the eigenvalues of a  $U(1)$  number operator, identified with  $U(1)_{em}$ . In the present article, we use the same octonionic representation of the fermions to compute the eigenvalues of the characteristic equation of the Albert algebra, and compare the resulting eigenvalues with the known mass ratios for quarks and

leptons. We find that the ratios of the eigenvalues correctly reproduce the [square root of the] known mass ratios for up, charm and top quark. We also propose a diagrammatic representation of the standard model bosons, Higgs and three fermion generations, in terms of the octonions, exhibiting an  $F_4$  symmetry. We motivate from our Lagrangian as to why the eigenvalues computed in this work could bear a relation with mass ratios of quarks and leptons. In conjunction with the trace dynamics Lagrangian, the Jordan eigenvalues also provide a first principles theoretical derivation of the low energy value of the fine structure constant, yielding the value  $1/137.04006$ . The Karolyhazy correction to this value gives an exact match with the measured value of the constant, after assuming a specific value for the electro-weak symmetry breaking energy scale.

## I. INTRODUCTION

The possible connection between division algebras, exceptional Lie groups, and the standard model has been a subject of interest for many researchers in the last few decades [1–23]. Our own interest in this connection stems from the following observation [24]. In the pre-geometric, pre-quantum theory of generalised trace dynamics, the definition of spin requires 4D space-time to be generalised to an 8D non-commutative space. In this case, an octonionic space is a possible, natural, choice for further investigation. We found that the additional four directions can serve as ‘internal’ directions and open a path towards a possible unification of the Lorentz symmetry with the standard model, with gravitation arising only as an emergent phenomenon. Instead of the Lorentz transformations and internal gauge transformations, the symmetries of the octonionic space are now described by the automorphisms of the octonion algebra. Remarkably enough, the symmetry groups of this algebra, namely the exceptional Lie groups, naturally have in them the desired symmetries [and *only those* symmetries, or higher ones built from them] of the standard model, including Lorentz symmetry, without the need for any fine tuning or adjustments. Thus the group of automorphisms of the octonions is  $G_2$ , the smallest of the five exceptional Lie groups  $G_2, F_4, E_6, E_7, E_8$ . The group  $G_2$  has two intersecting maximal sub-groups,  $SU(3)XU(1)$  and  $SU(2)XSU(2)$ , which between them account for the fourteen generators of  $G_2$ , and can possibly serve as the symmetry group for one generation of standard model fermions. The complexified Clifford algebra  $Cl(6, C)$  plays a very important role in establishing this con-

nection. In particular, motivated by a map between the complexified octonion algebra and  $Cl(6, C)$ , electric charge is defined as one-third the eigenvalue of a  $U(1)$  number operator, which is identified with  $U(1)_{em}$  [3, 5].

Describing the symmetries  $SU(3)XU(1)$  and  $SU(2)XSU(2)$  of the standard model [with Lorentz symmetry now included] requires two copies of the Clifford algebra  $Cl(6, C)$  whereas the octonion algebra yields only one such independent copy. It turns out that if boundary terms are not dropped from the Lagrangian of our theory, the Lagrangian describes three fermion generations, with the symmetry group now raised to  $F_4$ . This admits three intersecting copies of  $G_2$ , with the  $SU(2)XSU(2)$  in the intersection, and a Clifford algebra construction based on the three copies of the octonion algebra is now possible [25]. Attention thus shifts to investigating the connection between  $F_4$  and the three generations of the standard model.

$F_4$  is also the group of automorphisms of the exceptional Jordan algebra [11, 26, 27]. The elements of the algebra are 3x3 Hermitean matrices with octonionic entries. This algebra admits an important cubic characteristic equation with real eigenvalues. Now we know that the three fermion generations differ from each other only in the mass of the corresponding fermion, whereas the electric charge remains unchanged across the generations. This motivates us to ask: if the eigenvalues of the  $U(1)$  number operator constructed from the octonion algebra represent electric charge, what is represented by the eigenvalues of the exceptional Jordan algebra? Could these eigenvalues bear a connection with mass ratios of quarks and leptons? This is the question investigated in the present paper. Using the very same octonion algebra which was used to construct a state basis for standard model fermions, we calculate these eigenvalues. Remarkably, the eigenvalues are very simple to express, and bear a simple relation with electric charge. We comment on how they could relate to mass ratios. In particular we find that the ratios of the eigenvalues match with the square root of the mass ratios of up quark, charm, and the top. [These eigenvalues are invariant under algebra automorphisms.]

Subsequently in the paper we propose a diagrammatic representation, based on octonions and  $F_4$ , of the fourteen gauge bosons, and the  $(8 \times 2) \times 3 = 48$  fermions of three generations of standard model, along with the four Higgs. We attempt to explain why there are not three generations of bosons, and re-express our Lagrangian in a form which explicitly reflects this fact. We hint at how this Lagrangian might directly lead to the characteristic equation of

the exceptional Jordan algebra, and reveal why the eigenvalues might be related to mass.

## II. EIGENVALUES FROM THE CHARACTERISTIC EQUATION OF THE EXCEPTIONAL JORDAN ALGEBRA

The exceptional Jordan algebra [EJA]  $J_3(\mathbb{O})$  is the algebra of 3x3 Hermitean matrices with octonionic entries [12, 21, 22, 26]

$$X(\xi, x) = \begin{bmatrix} \xi_1 & x_3 & x_2^* \\ x_3^* & \xi_2 & x_1 \\ x_2 & x_1^* & \xi_3 \end{bmatrix} \quad (1)$$

It satisfies the characteristic equation [12, 21, 22]

$$X^3 - Tr(X)X^2 + S(X)X - Det(X) = 0; \quad Tr(X) = \xi_1 + \xi_2 + \xi_3 \quad (2)$$

which is also satisfied by the eigenvalues  $\lambda$  of this matrix

$$\lambda^3 - Tr(X)\lambda^2 + S(X)\lambda - Det(X) = 0 \quad (3)$$

Here the determinant is

$$Det(X) = \xi_1\xi_2\xi_3 + 2Re(x_1x_2x_3) - \sum_{i=1}^3 \xi_i x_i x_i^* \quad (4)$$

and  $S(X)$  is given by

$$S(X) = \xi_1\xi_2 - x_3x_3^* + \xi_2\xi_3 - x_1x_1^* + \xi_1\xi_3 - x_2^*x_2 \quad (5)$$

The diagonal entries are real numbers and the off-diagonal entries are (real-valued) octonions. A star denotes an octonionic conjugate. The automorphism group of this algebra is the exceptional Lie group  $F_4$ . Because the Jordan matrix is Hermitean, it has real eigenvalues which can be obtained by solving the above-given eigenvalue equation.

In the present Letter we suggest that these eigenvalues carry information about mass

ratios of quarks and leptons of the standard model, provided we suitably employ the octonionic entries and the diagonal real elements to describe quarks and leptons of the standard model. Building on earlier work [3, 4, 19] we recently showed that the complexified Clifford algebra  $Cl(6, C)$  made from the octonions acting on themselves can be used to obtain an explicit octonionic representation for a single generation of eight quarks and leptons, and their anti-particles. In a specific basis, using the neutrino as the idempotent  $V$ , this representation is as follows [3, 24]. The  $\alpha$  are fermionic ladder operators of  $Cl(6, C)$  (please see Eqn. (34) of [24]).

$$\begin{aligned}
 V &= \frac{i}{2}e_7 && [V_\nu \text{ Neutrino}] \\
 \alpha_1^\dagger V &= \frac{1}{2}(e_5 + ie_4) \times V = \frac{1}{4}(e_5 + ie_4) && [V_{ad1} \text{ Anti-down quark}] \\
 \alpha_2^\dagger V &= \frac{1}{2}(e_3 + ie_1) \times V = \frac{1}{4}(e_3 + ie_1) && [V_{ad2} \text{ Anti-down quark}] \\
 \alpha_3^\dagger V &= \frac{1}{2}(e_6 + ie_2) \times V = \frac{1}{4}(e_6 + ie_2) && [V_{ad3} \text{ Anti-down quark}] \\
 \alpha_3^\dagger \alpha_2^\dagger V &= \frac{1}{4}(e_4 + ie_5) && [V_{u1} \text{ Up quark}] \\
 \alpha_1^\dagger \alpha_3^\dagger V &= \frac{1}{4}(e_1 + ie_3) && [V_{u2} \text{ Up quark}] \\
 \alpha_2^\dagger \alpha_1^\dagger V &= \frac{1}{4}(e_2 + ie_6) && [V_{u3} \text{ Up quark}] \\
 \alpha_3^\dagger \alpha_2^\dagger \alpha_1^\dagger V &= -\frac{1}{8}(i + e_7) && [V_{e+} \text{ Positron}]
 \end{aligned} \tag{6}$$

The anti-particles are obtained from the above representation by complex conjugation [3].

In the context of the projective geometry of the octonionic projective plane  $\mathbb{O}P^2$  it has been shown by Baez [14] that upto automorphisms, projections in EJA take one of the following four forms, having the respective invariant trace 0, 1, 2, 3.

$$p_0 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \tag{7}$$

$$p_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \tag{8}$$

$$p_2 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (9)$$

$$p_3 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (10)$$

Since it has earlier been shown by Furey [3] that electric charge is defined in the division algebra framework as one-third of the eigenvalue of a  $U(1)$  number operator made from the generators of the  $SU(3)$  in  $G_2$ , we propose to identify the trace of the Jordan matrix with the sum of the charges of the three identically charged fermions across the three generations. Thus the trace zero Jordan matrix will have diagonal entries zero, and will represent the (neutrino, muon neutrino, tau-neutrino). The trace one Jordan matrix will have diagonal entries  $(1/3, 1/3, 1/3)$  and will represent the (anti-down quark, anti-strange quark, anti-bottom quark). [Color is not relevant for determination of mass eigenvalues, and hence effectively we have four fermions per generation: two leptons and two quarks, after suppressing color]. The trace two Jordan matrix will have entries  $(2/3, 2/3, 2/3)$  and will represent the (up quark, charm, top). Lastly, the trace three Jordan matrix will have entries  $(1, 1, 1)$  and will represent (positron, anti-muon, anti-tau-lepton).

We have thus identified the diagonal real entries of the four Jordan matrices whose eigenvalues we seek. We must next specify the octonionic entries in each of the four Jordan matrices. Note however that the above representation of the fermions of one generation is using complex octonions, whereas the entries in the Jordan matrices are real octonions. So we devise the following scheme for a one-to-one map from the complex octonion to a real octonion. Since we are ignoring color, we pick one out of the three up quarks, say  $(e_4 + ie_5)$ , and one of three anti-down quarks, say  $(e_5 + ie_4)$ . Since the representation for the electron and the neutrino use  $e_7$  and a complex number, it follows that the four octonions we have picked form the quaternionic triplet  $(e_4, e_5, e_7)$  [we use the Fano plane convention shown in the figure below]. Hence the four said octonions are in fact complex quaternions, thus belonging to the general form

$$(a_0 + ia_1) + (a_2 + ia_3)e_4 + (a_4 + ia_5)e_5 + (a_6 + ia_7)e_7 \quad (11)$$

where the eight  $a$ -s are real numbers. By definition, we map this complex quaternion to the following real octonion:

$$a_0 + a_1e_1 + a_5e_2 + a_3e_3 + a_2e_4 + a_4e_5 + a_7e_6 + a_6e_7 \quad (12)$$

Note that the four real coefficients in the original complex quaternion have been kept in place, and their four imaginary counterparts have been moved to the octonion directions ( $e_1, e_2, e_3, e_6$ ) now as real numbers. Clearly, the map is reversible, given the real octonion we can construct the equivalent complex quaternion representing the fermion. We can now

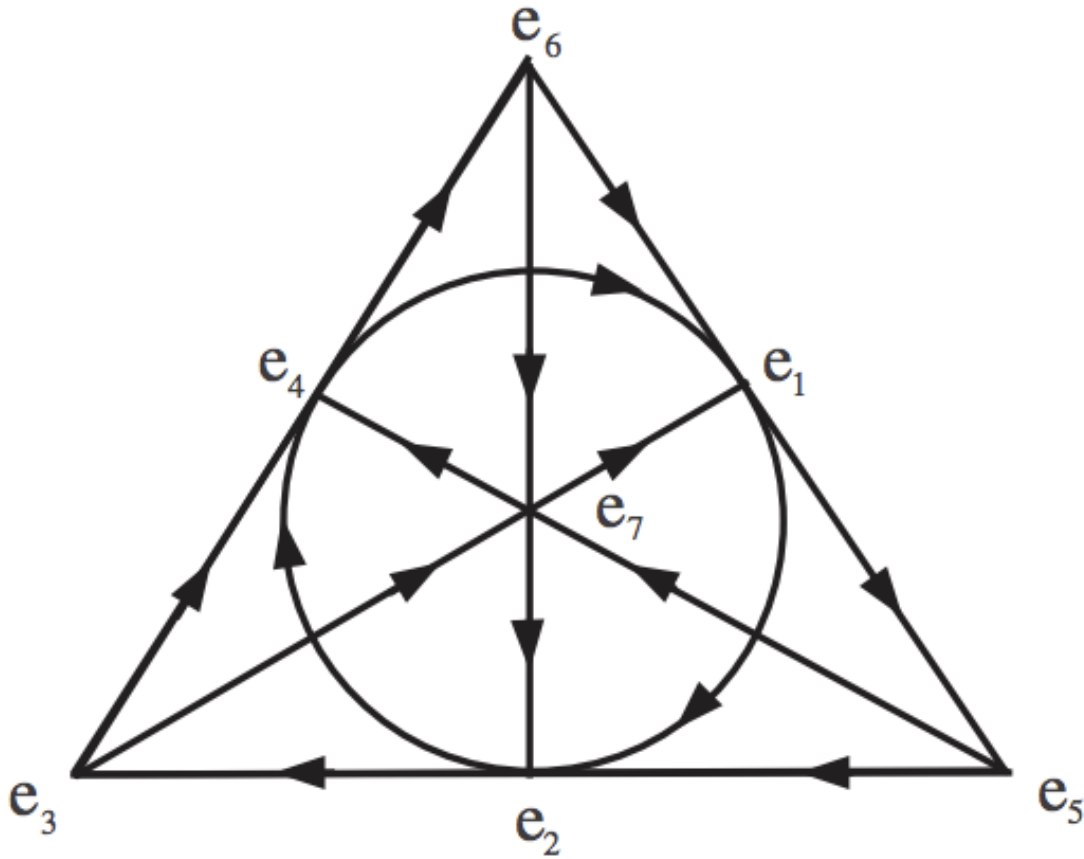


FIG. 1. The Fano plane.

use this map and construct the following four real octonions for the neutrino, anti-down quark, up quark and the positron, respectively, after comparing with their complex octonion

representation above.

$$V_\nu = \frac{i}{2}e_7 \longrightarrow \frac{1}{2}e_6 \quad (13)$$

$$V_{ad} = \frac{1}{4}e_5 + \frac{i}{4}e_4 \longrightarrow \frac{1}{4}e_5 + \frac{1}{4}e_3 \quad (14)$$

$$V_u = \frac{1}{4}e_4 + \frac{i}{4}e_5 \longrightarrow \frac{1}{4}e_4 + \frac{1}{4}e_2 \quad (15)$$

$$V_{e^+} = -\frac{i}{8} - \frac{1}{8}e_7 \longrightarrow -\frac{1}{8} - \frac{1}{8}e_7 \quad (16)$$

These four real octonions will go, one each, in the four different Jordan matrices whose eigenvalues we wish to calculate. Next, we need the real octonionic representations for the four fermions [color suppressed] in the second generation and the four in the third generation. We propose to build these as follows, from the real octonion representations made just above for the first generation. Since  $F_4$  has the inclusion  $SU(3) \times SU(3)$ , one  $SU(3)$  being for color and the other for generation, we propose to obtain the second generation by a  $2\pi/3$  rotation on the first generation, and the third generation by a  $2\pi/3$  rotation on the second generation. By this we mean the following construction, for the four respective Jordan matrices:

Up quark / Charm / Top: The up quark is  $(e_4/4 + e_2/4)$  We think of this as a ‘plane’ and rotate this octonion by  $2\pi/3$  by left multiplying it by  $e^{2\pi e_4/3} = -1/2 + \sqrt{3}e_4/2$ . This will be the charm quark  $V_c$ . Then we left multiply the charm quark by  $e^{2\pi e_4/3}$  to get the top quark  $V_t$ . Hence we have,

$$V_c = (-1/2 + \sqrt{3}e_4/2) \times V_u = (-1/2 + \sqrt{3}e_4/2) \times \left( \frac{1}{4}e_4 + \frac{1}{4}e_2 \right) = -\frac{1}{8}e_4 - \frac{1}{8}e_2 - \frac{\sqrt{3}}{8} - \frac{\sqrt{3}}{8}e_1 \quad (17)$$

We have used the conventional multiplication rules for the octonions, which are reproduced below in Fig. 2, for ready reference. Similarly, we can construct the top quark by a  $2\pi/3$  rotation on the charm:

$$\begin{aligned} V_t &= (-1/2 + \sqrt{3}e_4/2) \times V_c = (-1/2 + \sqrt{3}e_4/2) \times \left( -\frac{1}{8}e_4 - \frac{1}{8}e_2 - \frac{\sqrt{3}}{8} - \frac{\sqrt{3}}{8}e_1 \right) \\ &= -\frac{1}{8}e_4 - \frac{1}{8}e_2 + \frac{\sqrt{3}}{8} + \frac{\sqrt{3}}{8}e_1 \end{aligned} \quad (18)$$

Next, we construct the anti-strange  $V_{as}$  and anti-bottom  $V_{ab}$ , by left-multiplication of the



	e0	e1	e2	e4	e3	e5	e6	e7
e0	1	e1	e2	e4	e3	e5	e6	e7
e1	e1	-1	e4	-e2	e7	e6	-e5	-e3
e2	e2	-e4	-1	e1	e5	-e3	e7	-e6
e4	e4	e2	-e1	-1	-e6	e7	e3	-e5
e3	e3	-e7	-e5	e6	-1	e2	-e4	e1
e5	e5	-e6	e3	-e7	-e2	-1	e1	e4
e6	e6	e5	-e7	-e3	e4	-e1	-1	e2
e7	e7	e3	e6	e5	-e1	-e4	-e2	-1

FIG. 2. The multiplication table for two octonions. Elements in the first column on the left, left multiply elements in the top row.

anti-down quark  $V_{ad}$  by  $e^{2\pi e_3/3}$ .

$$\begin{aligned}
 V_{as} &= \left( -\frac{1}{2} + \frac{\sqrt{3}}{2}e_3 \right) \times V_{ad} = \left( -\frac{1}{2} + \frac{\sqrt{3}}{2}e_3 \right) \times \left( \frac{1}{4}e_5 + \frac{1}{4}e_3 \right) \\
 &= -\frac{1}{8}e_5 - \frac{1}{8}e_3 + \frac{\sqrt{3}}{8}e_2 - \frac{\sqrt{3}}{8}
 \end{aligned} \tag{19}$$

$$\begin{aligned}
 V_{ab} &= \left( -\frac{1}{2} + \frac{\sqrt{3}}{2}e_3 \right) \left( -\frac{1}{8}e_5 - \frac{1}{8}e_3 + \frac{\sqrt{3}}{8}e_2 - \frac{\sqrt{3}}{8} \right) \\
 &= -\frac{1}{8}e_5 - \frac{\sqrt{3}}{8}e_2 - \frac{1}{8}e_3 + \frac{\sqrt{3}}{8}
 \end{aligned} \tag{20}$$

Next, we construct the octonions for the anti-muon  $V_{a\mu}$  and anti-tau-lepton  $V_{a\tau}$  by left

multiplying the positron  $V_{e^+}$  by  $e^{2\pi e_1/3}$

$$\begin{aligned} V_{a\mu} &= \left(-\frac{1}{2} + \frac{\sqrt{3}}{2}e_1\right) \times \left(-\frac{1}{8}e_1 - \frac{1}{8}e_7\right) \\ &= \frac{1}{16}e_1 + \frac{1}{16}e_7 + \frac{\sqrt{3}}{16} + \frac{\sqrt{3}}{16}e_3 \end{aligned} \quad (21)$$

$$\begin{aligned} V_{a\tau} &= \left(-\frac{1}{2} + \frac{\sqrt{3}}{2}e_1\right) \times \left(\frac{1}{16}e_1 + \frac{1}{16}e_7 + \frac{\sqrt{3}}{16} + \frac{\sqrt{3}}{16}e_3\right) \\ &= \frac{1}{16}e_7 - \frac{\sqrt{3}}{16} + \frac{1}{16}e_1 - \frac{\sqrt{3}}{16}e_3 \end{aligned} \quad (22)$$

Lastly, we construct the octonions  $V_{\nu\mu}$  for the muon neutrino and  $V_{\nu\tau}$  for the tau neutrino, by left multiplying on the electron neutrino  $V_\nu$  with  $e^{2\pi e_6/3}$

$$\left(-\frac{1}{2} + \frac{\sqrt{3}}{2}e_6\right) \times \frac{1}{2}e_6 = -\frac{1}{4}e_6 - \frac{\sqrt{3}}{4} \quad (23)$$

$$V_{\nu\tau} = \left(-\frac{1}{2} + \frac{\sqrt{3}}{2}e_6\right) \times \left(-\frac{1}{4}e_6 - \frac{\sqrt{3}}{4}\right) = -\frac{1}{4}e_6 + \frac{\sqrt{3}}{4} \quad (24)$$

We now have all the information needed to write down the four Jordan matrices whose eigenvalues we will calculate. Diagonal entries are electric charge, and off-diagonal entries are octonions representing the particles. Using the above results we write down these four matrices explicitly. The neutrinos of three generations

$$X_\nu = \begin{bmatrix} 0 & V_\nu & V_{\nu\mu}^* \\ V_\nu^* & 0 & V_{\nu\tau} \\ V_{\nu\mu} & V_{\nu\tau}^* & 0 \end{bmatrix} \quad (25)$$

The anti-down set of quarks of three generations [anti-down, anti-strange, anti-bottom]:

$$X_{ad} = \begin{bmatrix} \frac{1}{3} & V_{ad} & V_{as}^* \\ V_{ad}^* & \frac{1}{3} & V_{ab} \\ V_{as} & V_{ab}^* & \frac{1}{3} \end{bmatrix} \quad (26)$$

The up set of quarks for three generations [up, charm, top]

$$X_u = \begin{bmatrix} \frac{2}{3} & V_u & V_c^* \\ V_u^* & \frac{2}{3} & V_t \\ V_c & V_t^* & \frac{2}{3} \end{bmatrix} \quad (27)$$

The positively charged leptons of three generations [positron, anti-muon, anti-tau-lepton]

$$X_{e+} = \begin{bmatrix} 1 & V_{e+} & V_{a\mu}^* \\ V_{e+}^* & 1 & V_{a\tau} \\ V_{a\mu} & V_{a\tau}^* & 1 \end{bmatrix} \quad (28)$$

Next, the eigenvalue equation corresponding to each of these Jordan matrices can be written down, after using the expressions given above for calculating the determinant and the function  $S(X)$ . Tedious but straightforward calculations with the octonion algebra give the following four cubic equations:

Neutrinos: We get  $Tr(X) = 0$ ,  $S(X) = -3/4$ ,  $Det(X) = 0$ , and hence the cubic equation and roots

$$\lambda^3 - \frac{3}{4}\lambda = 0 \quad \text{ROOTS: } \left( -\sqrt{2} \sqrt{\frac{3}{8}}, 0, \sqrt{2} \sqrt{\frac{3}{8}} \right) \quad (29)$$

Anti-down-quark + its higher generations [anti-down, anti-strange, anti-bottom]: We get  $Tr(X) = 1$ ,  $S(X) = -1/24$ ,  $Det(X) = -19/216$ , and the following cubic equation and roots

$$\lambda^3 - \lambda^2 - \frac{1}{24}\lambda + \frac{19}{216} = 0 \quad (30)$$

$$\text{ROOTS: } \frac{1}{3} - \sqrt{\frac{3}{8}}, \frac{1}{3}, \frac{1}{3} + \sqrt{\frac{3}{8}}$$

Up quark + its higher generations [up, charm, top]: We get  $Tr(X) = 2$ ,  $S(X) = 23/24$ ,  $Det(X) = 5/108$  and the following cubic equation and roots:

$$\lambda^3 - 2\lambda^2 + \frac{23}{24}\lambda - \frac{5}{108} = 0 \quad (31)$$

$$\text{ROOTS: } \frac{2}{3} - \sqrt{\frac{3}{8}}, \frac{2}{3}, \frac{2}{3} + \sqrt{\frac{3}{8}}$$

Positron + its higher generations [positron, anti-muon, anti-tau-lepton]: We get  $Tr(X) =$

3,  $S(X) = 3 - 3/32$ ,  $Det(X) = 1 - 3/32$  and the following cubic equation and roots:

$$\lambda^3 - 3\lambda^2 + \left(3 - \frac{3}{32}\right)\lambda - \left(1 - \frac{3}{32}\right) = 0 \quad (32)$$

$$ROOTS : 1 - \frac{1}{2}\sqrt{\frac{3}{8}}, 1, 1 + \frac{1}{2}\sqrt{\frac{3}{8}}$$

As expected from the known elementary properties of cubic equations, the sum of the roots is  $Tr(X)$ , their product is  $Det(X)$ , and the sum of their pairwise products is  $S(X)$ . Interestingly, this also shows that the sum of the roots is equal to the total electric charge of the three fermions under consideration in each of the respective cases. Whereas  $S(X)$  and  $Det(X)$  are respectively related to an invariant inner product and an invariant trilinear form constructed from the Jordan matrix, their physical interpretation in terms of fermion properties remains to be understood.

The roots exhibit a remarkable pattern. In each of the four cases, one of the three roots is equal to the corresponding electric charge, and the other two roots are placed symmetrically on both sides of the middle root, which is the one equal to the electric charge. All three roots are positive in the up quark set and in the positron set, whereas the neutrino set and anti-down quark set have one negative root each, and the neutrino also has a zero root. It is easily verified that the calculation of eigenvalues for the anti-particles yields the same set of eigenvalues., upto a sign.

One expects these roots to relate to masses of quarks and leptons for various reasons, and principally because the automorphism group of the complexified octonions contains the 4D Lorentz group as well, and the latter we know relates to gravity. Since mass is the source of gravity, we expect the Lorentz group to be involved in an essential way in any theory which predicts masses of elementary particles. And the group  $F_4$ , besides being related to  $G_2$ , and a possible candidate for the unification of the four interactions, is also the automorphism group of the EJA. We have motivated how the four projections of the EJA relate naturally to the four generation sets of the fermions. Thus there is a strong possibility that the eigenvalues of the characteristic equation of the EJA yield information about fermion mass ratios, especially it being a cubic equation with real roots. We make the following preliminary observations about the known mass ratios, in the hope that they might help give some further insight into the possible relevance of these eigenvalues.

For the set (positron, anti-muon, anti-tau-lepton), the three respective masses are known to satisfy the following empirical relation, known as the Koide formula:

$$\frac{m_e + m_\mu + m_\tau}{(\sqrt{m_e} + \sqrt{m_\mu} + \sqrt{m_\tau})^2} = 0.666661(7) \approx \frac{2}{3} \quad (33)$$

For the three roots of the corresponding cubic equation (32) we get that

$$2 \frac{\lambda_1^2 + \lambda_2^2 + \lambda_3^2}{(\lambda_1 + \lambda_2 + \lambda_3)^2} = 2 \frac{[Tr(X)]^2 - 2S(X)}{[Tr(X)]^2} = \frac{2}{3} \left(1 + \frac{1}{16}\right) \approx 0.70833 \quad (34)$$

The factor  $1/16$  comes from the sum of the absolute values of the three octonions which go into the related Jordan matrix. This observation suggests that the eigenvalues bear some relation with the square roots of the masses of the three charged leptons, though simply comparing square roots of their mass-ratios does not seem to yield any obvious relation with the eigenvalues. Further investigation is in progress. Rather, we get the following logarithmic ratios for masses of the charged leptons [taken as 0.5 MeV, 105 MeV, 1777 MeV] and for the roots

$$\ln \left( \frac{105}{0.5} \right)^{1/4} \sim 1.34; \quad \frac{1 + \sqrt{\frac{3}{32}}}{1} \sim 1.31 \quad (35)$$

$$\ln \left( \frac{1777}{0.5} \right)^{1/4} \sim 2.04; \quad \frac{1 + \sqrt{\frac{3}{32}}}{1 - \sqrt{\frac{3}{32}}} \sim 1.88 \quad (36)$$

$$\ln \left( \frac{1777}{105} \right)^{1/4} \sim 0.70; \quad \frac{1 + \sqrt{\frac{3}{32}}}{1 - \sqrt{\frac{3}{32}}} - \frac{1 + \sqrt{\frac{3}{32}}}{1} \sim 0.57 \quad (37)$$

For the up quark set though, we see a correlation in terms of square roots of masses.

In the case of the up quark set, the following approximate match is observed between the ratios of the eigenvalues, and the mass square root ratios of the masses of up, charm and top quark. For the sake of this estimate we take these three quark masses to be [2.3, 1275, 173210] in MeV [28]. The following ratios are observed:

$$\sqrt{\frac{1275}{2.3}} \sim 23.55; \quad \frac{\frac{2}{3} + \sqrt{\frac{3}{8}}}{\frac{2}{3} - \sqrt{\frac{3}{8}}} \approx 23.56 \quad (38)$$

$$\sqrt{\frac{173210}{1275}} \sim 11.66; \quad \frac{\frac{2}{3}}{\frac{2}{3} - \sqrt{\frac{3}{8}}} \approx 12.28 \quad (39)$$

$$\sqrt{\frac{173210}{2.3}} \sim 274.42; \quad \left( \frac{\frac{2}{3} + \sqrt{\frac{3}{8}}}{\frac{2}{3} - \sqrt{\frac{3}{8}}} \right) \times \left( \frac{\frac{2}{3}}{\frac{2}{3} - \sqrt{\frac{3}{8}}} \right) \approx 289.23 \quad (40)$$

Within the error bars on the masses of the up set of quarks, the two sets of ratios are seen to agree with each other upto second decimal place.

Considering that one of the roots is negative in the anti-down-quark set, we have not succeeded in identifying any discernible correlation with mass ratios here. The same is true for the neutrino set, where one root is negative and one root is zero. Nonetheless, the case of the neutrino is instructive, and shows how non-zero mass could arise fundamentally, even when the electric charge is zero. In this case, the non-zero contribution comes from the inner product related quantity  $S(X)$ , and therein from the absolute magnitude of the octonions in the Jordan matrix, which necessarily has to be non-zero. We thus see that masses are derivative concepts, obtained from the three more fundamental entities, namely the electric charge, and the geometric invariants  $S(X)$  and  $Det(X)$ , with the last two necessarily being defined commonly for the three generations. And since mass is the source of gravity, this picture is consistent with gravity and space-time geometry being emergent from the underlying geometry of the octonionic space which algebraically determines the properties of the elementary particles. We note that there are no free parameters in the above analysis, no dimensional quantities, and no assumption has been put by hand. Except that we identify the octonions with elementary fermions. The numbers which come out from the above analysis are number-theoretic properties of the octonion algebra.

These observations suggest a possible fundamental relation between eigenvalues of the EJA and particle masses. In the next section, we provide preliminary, modest, evidence for such a connection, based on our proposal for unification based on division algebras and a matrix-valued Lagrangian dynamics.

### III. AN OCTONIONIC LAGRANGIAN FOR THE STANDARD MODEL

The action and Lagrangian for the three generations of standard model fermions, fourteen gauge bosons, and four potential Higgs bosons, are given by [24]

$$\frac{S}{C_0} = \int d\tau \mathcal{L} \quad ; \quad \mathcal{L} = \frac{1}{2} Tr \left[ \frac{L_P^2}{L^2} \dot{\tilde{Q}}_1^\dagger \dot{\tilde{Q}}_2 \right] \quad (41)$$

Here,

$$\dot{\tilde{Q}}_1^\dagger = \dot{\tilde{Q}}_B^\dagger + \frac{L_P^2}{L^2} \beta_1 \dot{\tilde{Q}}_F^\dagger; \quad \dot{\tilde{Q}}_2 = \dot{\tilde{Q}}_B + \frac{L_P^2}{L^2} \beta_2 \dot{\tilde{Q}}_F \quad (42)$$

and

$$\dot{\tilde{Q}}_B = \frac{1}{L} (i\alpha q_B + L\dot{q}_B); \quad \dot{\tilde{Q}}_F = \frac{1}{L} (i\alpha q_F + L\dot{q}_F) = \quad (43)$$

By defining

$$q_1^\dagger = q_B^\dagger + \frac{L_P^2}{L^2} \beta_1 q_F^\dagger \quad ; \quad q_2 = q_B + \frac{L_P^2}{L^2} \beta_2 q_F \quad (44)$$

we can express the Lagrangian as

$$\begin{aligned} \mathcal{L} &= \frac{L_P^2}{2L^2} Tr \left[ \left( \dot{q}_1^\dagger + \frac{i\alpha}{L} q_1^\dagger \right) \times \left( \dot{q}_2 + \frac{i\alpha}{L} q_2 \right) \right] \\ &= \frac{L_P^2}{2L^2} Tr \left[ \dot{q}_1^\dagger \dot{q}_2 - \frac{\alpha^2}{L^2} q_1^\dagger q_2 + \frac{i\alpha}{L} q_1^\dagger \dot{q}_2 + \frac{i\alpha}{L} \dot{q}_1^\dagger q_2 \right] \end{aligned} \quad (45)$$

We now expand each of these four terms inside of the trace Lagrangian, using the definitions of  $q_1$  and  $q_2$  given above:

$$\begin{aligned} \dot{q}_1^\dagger \dot{q}_2 &= \dot{q}_B^\dagger \dot{q}_B + \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_2 \dot{q}_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger \dot{q}_B + \frac{L_P^4}{L^4} \beta_1 \dot{q}_F^\dagger \beta_2 \dot{q}_F \\ q_1^\dagger q_2 &= q_B^\dagger q_B + \frac{L_P^2}{L^2} q_B^\dagger \beta_2 q_F + \frac{L_P^2}{L^2} \beta_1 q_F^\dagger q_B + \frac{L_P^4}{L^4} \beta_1 q_F^\dagger \beta_2 q_F \\ \dot{q}_1^\dagger \dot{q}_2 &= q_B^\dagger \dot{q}_B + \frac{L_P^2}{L^2} q_B^\dagger \beta_2 \dot{q}_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger q_B + \frac{L_P^4}{L^4} \beta_1 q_F^\dagger \beta_2 \dot{q}_F \\ \dot{q}_1^\dagger q_2 &= \dot{q}_B^\dagger q_B + \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_2 q_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger q_B + \frac{L_P^4}{L^4} \beta_1 \dot{q}_F^\dagger \beta_2 q_F \end{aligned} \quad (46)$$

In our recent work, we suggested this Lagrangian, having the symmetry group  $F_4$ , as a candidate for unification. There are fourteen gauge bosons (equal to the number of generators of  $G_2$ ). These are the eight gluons, the three weak isospin vector bosons, the photon, and the two Lorentz bosons. These bosons, along with one Higgs, can be accounted for by the four

bosonic terms which form the first column in the above four sub-equations. The remaining twelve terms were proposed to describe three fermion generations and three Higgs, with the three generations being motivated by the triality of  $SO(8)$ . However, one important question which has not been addressed there is: why does triality not give rise to three copies of the bosons?! In the framework of the present approach we tentatively explore the following answer. We know that the even-grade Grassmann numbers which form the entries of the bosonic matrices are made from even-number products of odd-grade (fermionic) Grassmann numbers, and the latter are in a sense more basic. Could it then be that bosonic degrees of freedom are made from fermionic degrees of freedom? If this were to be so, it could prevent the tripling of bosons, if we think of them as arising at the ‘intersections’ of the octonionic directions which represent fermions.

The seven imaginary unit octonions are used to make the Fano plane, which has seven points and seven lines [adding to fourteen elements; points and lines have equal status]. If we include the real direction [we have assumed  $\dot{q}_{B0}$  to be self-adjoint] also, we get an equivalent of a 3-D cube where the eight vertices now stand for the eight octonions, with one of them [the ‘origin’] standing for the real line. As explained by Baez: “The Fano plane is the projective plane over the 2-element field  $Z_2$ . In other words, it consists of lines through the origin in the vector space  $Z_2^3$ . Since every such line contains a single nonzero element, we can also think of the Fano plane as consisting of the seven nonzero elements of  $Z_2^3$ . If we think of the origin in  $Z_2^3$  as corresponding to 1 in  $\mathbb{O}$ , we get the following picture of the octonions”. This picture is Fig. 3 below, borrowed from Baez [14]. Considering points, lines and faces together, this structure has 26 elements [ $8+12+6 = 26$ ]. Motivated by this representation of the octonion, and the triality of  $SO(8)$ , we propose the following diagrammatic representation of the standard model fermions, gauge bosons, and Higgs as shown in Fig. 4. It motivates us to think of bosons as arising as ‘intersections’ of the elements representing fermions. We have taken four copies of the Baez cube, with the central one at the intersection of the other three, and used them to represent the elementary particles. We now attempt to describe Fig. 4 in some detail. There is a central black-colored cube (henceforth a cube is an octonion) in the front, which represents the fourteen gauge bosons and the four Higgs bosons; we will return to this cube shortly. Then there are three more (colored) cubes: one to the left, one at the back, and one at the bottom. These are marked as Gen I, Gen II and Gen III, and represent the three fermion generations. Let us focus first on the octonion on the left,



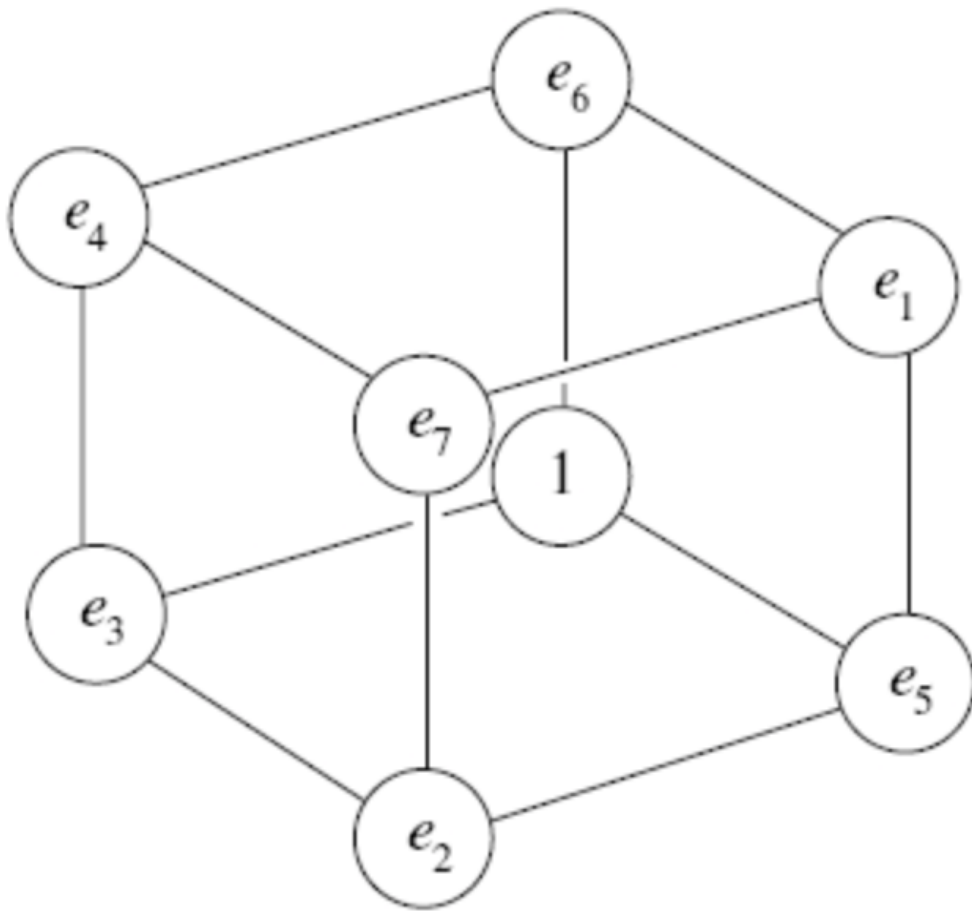


FIG. 3. The octonions [From Baez [14]].

which is Gen I, and where the eight vertices have been marked  $(e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7)$  just as in the Baez cube. If  $e_0$  were to be excluded, this cube becomes the Fano plane [Fig. 1 above] and the arrows marked in the Gen. I cube follow the same directions as in the Fano plane. In this Gen I cube, leaving out all those elements which are at the intersection with the central bosonic cube, and leaving out the face on the far left, we are left with sixteen elements: four points, eight lines, and four faces. The four points are shown in blue and are  $(e_3, e_5, e_6, e_7)$ . The eight lines are:  $(e_4e_3, e_7e_2, e_3e_7, e_7e_6, e_5e_6, e_6e_4, e_5e_0, e_6e_1)$ . The four planes are:  $(e_4e_3e_7e_2), (e_0e_5e_6e_1), (e_7e_2e_1e_6), (e_3e_4e_0e_5)$ . Between them, these sixteen elements represent the eight fermions and their anti-particles in one generation, one particle / anti-particle per octonionic element.

The up quark, the down quark, and their anti-particles of one particular color are (marked

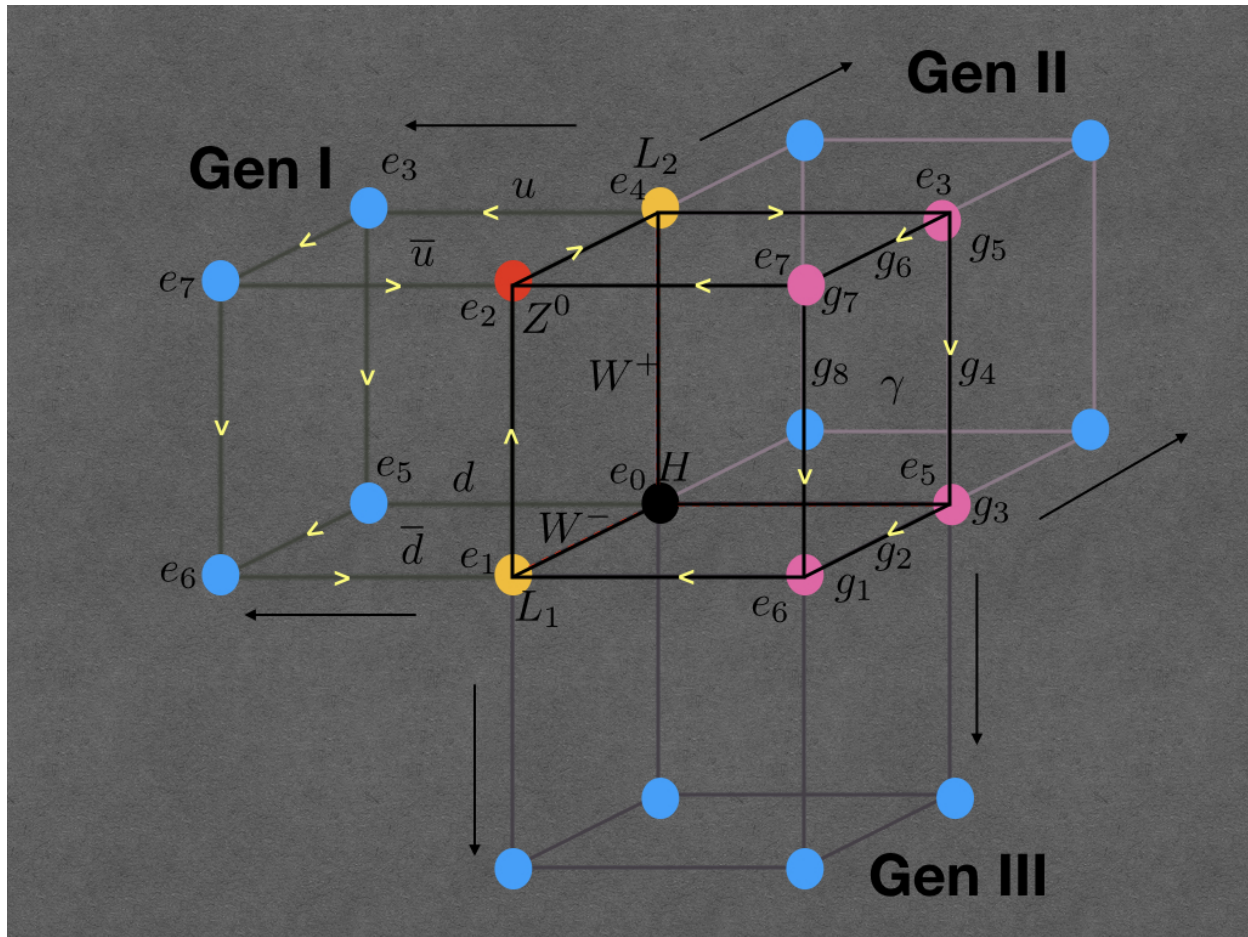


FIG. 4. The elementary particles of the standard model with three generations, represented through octonions in an  $F_4$  diagram.. Please see text for a detailed explanation.

by) the four lines  $(e_4e_3, e_7e_2, e_0e_5, e_6e_1)$ . The points  $(e_3, e_5, e_6, e_7)$  mark  $u, d$  of a second color, and the lines  $(e_3e_7, e_7e_6, e_3e_5, e_5e_6)$  mark the  $u, d$  of the third color. The four planes mark the electron, the neutrino, and their anti-particles. Between them, these sixteen elements have an  $SU(3)$  symmetry: they can be correlated to the  $(8+8)D$  particle basis constructed by Furey, from the  $SU(3)$  in  $G_2$ . Next, the Gen II and Gen III along with Gen I has another  $SU(3)$  symmetry, which is responsible for the three generations. These three fermionic cubes represent three intersecting copies of  $G_2$  each cube having an  $SU(3)$  symmetry. The three-way intersection is  $SU(2)XSU(2)$ , this being the black central cube, and the bosons lie on this cube. At the same time the fermionic cubes make contact with the bosonic cube, enabling the bosons to act on the fermions.

We now try to understand the central bosonic cube. First we count the number of its elements: it gets a total of  $3 \times 10 = 30$  elements from the three side cubes, which when added

to its own 26 elements gives a total of 56. But there are a lot of common elements, so that the actual number of independent elements is much smaller, and we enumerate them now. Three points are shared two-way and three points shared three-way and the point  $e_0$  is shared four-way; that reduces the count to 44. Nine lines are shared: three of them three way, and six of them two way, reducing the count to 32. The shared three planes reduce the count to 29. We now account for the assignment of bosons to these 29 locations.

The eight gluons are on the front right, marked by the pink points, and lines labelled  $g_1$  to  $g_8$ , and the photon is assigned to the plane  $(e_3e_7e_6e_5)$  on the front right enclosed by the gluons. The two Lorentz bosons are the yellow points  $e_4$  and  $e_1$  also marked  $L_2$  and  $L_1$ . The three vector bosons are marked by the lines  $e_0e_1$ ,  $e_0e_4$  and the point  $e_2$ , also marked  $Z^0$ . The Higgs  $H$  is at the four way real point  $e_0$ . Three more Higgs are shown as follows: two planes per Higgs, e.g. the plane  $e_0e_4e_2e_1$  and the mirror fermionic plane  $e_3e_5e_6e_7$  on the far left in Gen I. Analogously, another Higgs is given by the bosonic plane  $e_0e_1e_6e_5$  and its mirror fermionic plane at the front bottom in Gen III. The third Higgs is given by the bosonic plane  $e_0e_4e_3e_5$  and its mirror fermionic plane at the back in Gen II. This way 21 elements are used up. The remaining 8 un-used elements (six lines and two planes) are assigned to eight terms in the Lagrangian representing the action of the spacetime symmetry on the gluons: these are the terms  $\dot{q}_B q_B^\dagger$  and  $\dot{q}_B^\dagger q_B$  in (46).

The bosonic cube lies in the intersection of the three  $G_2$  and hence does not triplicate during the  $SU(3)$  rotation which generates the three fermion generations. The symmetry group of the theory is the 52 dimensional group  $F_4$ , with  $8 \times 3 = 24$  generators coming from the three fermionic cubes, and the rest 28 from the bosonic sector [ $14 + 2 \times 3 + 8 = 28$ ]. This diagram does suggest that one could investigate bosonic degrees of freedom as made from pairs of fermion degrees of freedom. With this tentative motivation, we return to our Lagrangian, and seek to write it explicitly as for a single generation of bosons, and three generations of fermions. Upon examination of the sub-equations in Eqn. (46) we find that the last column has terms bilinear in the fermions, and we would like to make it appear just as the second and third column do, so that we can explicitly have three fermion generations. With this intent, we propose the following assumed definitions of the bosonic degrees of

freedom, by recasting the four terms in the last column of Eqn. (46):

$$\begin{aligned}
\frac{L_P^4}{L^4} \beta_1 \dot{q}_F^\dagger \beta_2 \dot{q}_F &\equiv \frac{L_P^2}{L^2} \dot{q}_B \beta_2 \dot{q}_F + \frac{\alpha^2}{L^2} A \\
\frac{L_P^4}{L^4} \beta_1 q_F^\dagger \beta_2 q_F &\equiv \frac{L_P^2}{L^2} q_B \beta_2 q_F + A \\
\frac{L_P^4}{L^4} \beta_1 \dot{q}_F^\dagger \beta_2 \dot{q}_F &\equiv \frac{L_P^2}{L^2} q_B^\dagger \beta_1 \dot{q}_F^\dagger + B \\
\frac{L_P^4}{L^4} \beta_1 \dot{q}_F^\dagger \beta_2 q_F &\equiv \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_1 q_F^\dagger - B
\end{aligned} \tag{47}$$

where  $A$  and  $B$  are bosonic matrices which drop out on summing the various terms to get the full Lagrangian, With this redefinition, the sub-equations Eqn. (46) can be now written in the following form after rewriting the last column:

$$\begin{aligned}
\dot{q}_1^\dagger \dot{q}_2 &= \dot{q}_B^\dagger \dot{q}_B + \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_2 \dot{q}_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger \dot{q}_B + \frac{L_P^2}{L^2} \dot{q}_B \beta_2 \dot{q}_F \\
q_1^\dagger q_2 &= q_B^\dagger q_B + \frac{L_P^2}{L^2} q_B^\dagger \beta_2 q_F + \frac{L_P^2}{L^2} \beta_1 q_F^\dagger q_B + \frac{L_P^2}{L^2} q_B \beta_2 q_F \\
q_1^\dagger \dot{q}_2 &= q_B^\dagger \dot{q}_B + \frac{L_P^2}{L^2} q_B^\dagger \beta_2 \dot{q}_F + \frac{L_P^2}{L^2} \beta_1 q_F^\dagger \dot{q}_B + \frac{L_P^2}{L^2} q_B^\dagger \beta_1 \dot{q}_F^\dagger \\
\dot{q}_1^\dagger q_2 &= \dot{q}_B^\dagger q_B + \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_2 q_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger q_B + \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_1 q_F^\dagger
\end{aligned} \tag{48}$$

The terms now look harmonious and we can see a structure emerging - the first column are bosonic terms and these are not triples. The remaining terms are four sets of three each [to which their adjoints will eventually get added] which can clearly describe three generations of the four sets, which is what we had in the Jordan matrices in the previous section. Putting it all together, we can now rewrite the Lagrangian so that it explicitly looks like the one for gauge bosons and four sets of three generations of fermions, as in the Jordan matrix:

$$\begin{aligned}
\mathcal{L} &= \frac{L_P^2}{2L^2} \text{Tr} \left[ \left( \dot{q}_1^\dagger + \frac{i\alpha}{L} q_1^\dagger \right) \times \left( \dot{q}_2 + \frac{i\alpha}{L} q_2 \right) \right] \\
&= \frac{L_P^2}{2L^2} \text{Tr} \left[ \dot{q}_1^\dagger \dot{q}_2 - \frac{\alpha^2}{L^2} q_1^\dagger q_2 + \frac{i\alpha}{L} q_1^\dagger \dot{q}_2 + \frac{i\alpha}{L} \dot{q}_1^\dagger q_2 \right] \\
&\equiv \frac{L_P^2}{2L^2} \text{Tr} [\mathcal{L}_{bosons} + \mathcal{L}_{set1} + \mathcal{L}_{set2} + \mathcal{L}_{set3} + \mathcal{L}_{set4}]
\end{aligned} \tag{49}$$

where

$$\mathcal{L}_{bosons} = \dot{q}_B^\dagger \dot{q}_B - \frac{\alpha^2}{L^2} q_B^\dagger q_B + \frac{i\alpha}{L} q_B^\dagger \dot{q}_B + \frac{i\alpha}{L} \dot{q}_B^\dagger q_B \tag{50}$$

$$\mathcal{L}_{set1} = \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_2 \dot{q}_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger \dot{q}_B + \frac{L_P^2}{L^2} \dot{q}_B \beta_2 \dot{q}_F \quad (51)$$

$$\mathcal{L}_{set2} = -\frac{\alpha^2}{L^2} \left( \frac{L_P^2}{L^2} q_B^\dagger \beta_2 q_F + \frac{L_P^2}{L^2} \beta_1 q_F^\dagger q_B + \frac{L_P^2}{L^2} q_B \beta_2 q_F \right) \quad (52)$$

$$\mathcal{L}_{set3} = \frac{i\alpha}{L} \left( \frac{L_P^2}{L^2} q_B^\dagger \beta_2 \dot{q}_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger \dot{q}_B + \frac{L_P^2}{L^2} q_B^\dagger \beta_1 \dot{q}_F^\dagger \right) \quad (53)$$

$$\mathcal{L}_{set4} = \frac{i\alpha}{L} \left( \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_2 q_F + \frac{L_P^2}{L^2} \beta_1 \dot{q}_F^\dagger q_B + \frac{L_P^2}{L^2} \dot{q}_B^\dagger \beta_1 q_F^\dagger \right) \quad (54)$$

We see that each of these four fermionic sets could possibly be related to a Jordan matrix, after including the adjoint part. We also see that different coupling constants appear in different sets with identical coupling in third and fourth set and no coupling in the first set. The first set could possibly describe neutrinos, charged leptons and quarks (gravitational and weak interaction), the second set charged leptons and quarks, and the third and fourth set the quarks. To establish this explicitly, equations of motion remain to be worked out and then related to the eigenvalue problem. As noted earlier,  $L$  relates to mass, and this approach could reveal how the eigenvalues of the EJA characteristic equation relate to mass. This investigation is currently in progress.

*The Jordan eigenvalues and the low energy limiting value of the fine structure constant :* If we examine the Lagrangian term for the charged leptons in Eqn. (52), the dimensionless coupling constant  $C$  in front of it is (upto a sign):

$$C \equiv \alpha^2 \frac{L_P^4}{L^4} \quad (55)$$

[The operator terms of the form  $q_B q_F$  etc. in (52) have been correspondingly made dimensionless by dividing by  $L_P^2$ ]. We assume that  $\ln \alpha$  is linearly proportional to the electric charge, and that the proportionality constant is the Jordan eigenvalue corresponding to the anti-down quark. The electric charge 1/3 of the anti-down quark seems to be the right choice for determining  $\alpha$ , it being the smallest non-zero value [and hence possibly the fundamental value] of the electric charge, and also because the constant  $\alpha$  appears as the coupling in front of the supposed quark terms in the Lagrangian, as in Eqns. (53) and (54). We hence

define  $\alpha$  by

$$\ln \alpha \equiv \lambda_{ad} q_{ad} = \left[ \frac{1}{3} - \sqrt{\frac{3}{8}} \right] \times \frac{1}{3} \quad \Rightarrow \quad \alpha^2 \approx 0.83025195149 \quad (56)$$

where  $\lambda_{ad}$  is the Jordan eigenvalue corresponding to the anti-down quark, as given by Eqn. (30) and  $q_{ad}$  is the electric charge of the anti-down quark ( $=1/3$ ). In order to arrive at this relation for  $\alpha$ , we asked in what way  $\alpha$  could vary with  $q$ , if it was allowed to vary? We then made the assumption that  $d\alpha/dq \propto \alpha$ . In the resulting linear dependence of  $\ln \alpha$  on  $q$ , we froze the value of  $\alpha$  at that given by the smallest non-zero charge value  $1/3$ , taking the proportionality constant to be the corresponding Jordan eigenvalue. This dependence also justifies that had we fixed  $\alpha$  from the zero charge of the neutrino,  $\alpha$  would have been one, as it in fact is, in our Lagrangian. We are investigating if this way of constructing  $\alpha$  can be further justified from the Lagrangian dynamics.

As for the value of  $L_P/L$ , we identify it with that part of the Jordan eigenvalue which modifies the contribution coming from the electric charge. Thus from the eigenvalues found above, we deduce that for neutrinos, quarks and charged leptons, the quantity  $L_P^2/L^2$  takes the respective values  $(3/4, 3/8, 3/32)$ . These values are also the respective octonionic magnitudes. Thus the coupling constant  $C$  defined above can now be calculated, with  $\alpha^2$  as given above, and  $L_P^2/L^2 = 3/32$ . Furthermore, since the electric charge  $q$ , the way it is conventionally defined, has dimensions such that  $q^2$  has dimensions (Energy  $\times$  Length), we measure  $q^2$  in Planck units  $E_{Pl} \times L_P = \hbar c$ . We hence define the fine structure constant by  $C = \alpha^2 L_P^4/L^4 \equiv e^2/\hbar c$ , where  $e$  is the electric charge of electron / muon / tau-lepton in conventional units. We hence get the value of the fine structure constant to be

$$C = \alpha^2 L_P^4/L^4 \equiv e^2/\hbar c = \exp \left[ \left[ \frac{1}{3} - \sqrt{\frac{3}{8}} \right] \times \frac{2}{3} \right] \times \frac{9}{1024} \approx 0.00729713 = \frac{1}{137.04006} \quad (57)$$

The CODATA 2018 value of the fine structure constant is

$$0.0072973525693(11) = 1/137.035999084(21) \quad (58)$$

Our calculated value differs from the measured value in the seventh decimal place. In the next section, we show how incorporating the Karolyhazy length correction gives an exact

match with the CODATA 2018 value, if we assume a specific value for the electro-weak symmetry breaking energy scale.

With this value of  $\alpha$ , the magnitude of the corresponding dimensionless coupling for the supposed quark terms (53) and (54) is given by, with  $L_P^2/L^2 = 3/8$ ,

$$\alpha^2 \frac{L_P^4}{L^4} = 0.8302 \times \left(\frac{3}{8}\right)^2 \approx 0.1167 \quad (59)$$

This compares well with the measured QCD coupling constant at about 90 GeV. The possible relevance of this result to the running coupling of QCD remains to be understood. We note that the relative strength of the electromagnetic coupling and the QCD coupling [for these limiting values] is  $(9/1024)/(9/64) = 1/16$ .

Once a theoretical derivation of the asymptotic fine structure constant is known, one can write the electric charge  $e$  as

$$e = (3/32) \exp[1/9 - 1/\sqrt{24}] (\hbar L_P/t_P)^{1/2} \quad (60)$$

where  $L_P$  and  $t_P$  are Planck length and Planck time respectively - obviously their ratio is the speed of light. In our theory, there are only three fundamental dimensionful quantities: Planck length, Planck time, and a constant with dimensions of action, which in the emergent quantum theory is identified with Planck's constant  $\hbar$ . We now see that electric charge is not independent of these three fundamental dimensionful constants. It follows from them. Planck mass is also constructed from these three, and electron mass will be expressed in terms of Planck mass, if only we could understand why the electron is some  $10^{22}$  times lighter than Planck mass. Such a small number cannot come from the octonion algebra. In all likelihood, the cosmological expansion up until the electroweak symmetry breaking is playing a role here.

Thus electric charge and mass can both be expressed in terms of Planck's constant, Planck length and Planck time. This encourages us to think of electromagnetism, as well the other internal symmetries, entirely in geometric terms. This geometry is dictated by the  $F_4$  symmetry of the exceptional Jordan algebra.



#### IV. CONCLUDING REMARKS

We have not addressed the question as to how these discrete order one eigenvalues might relate to actual low values of fermion masses, which are much lower than Planck mass. We speculatively suggest the following scenario, which needs to be explored further. The universe is eight-dimensional, not four. The other four internal dimensions are not compactified; rather the universe is very ‘thin’ in those dimensions but they are expanding as well. There are reasons having to do with the so-called Karolyhazy uncertainty relation [29], because of which the universe expands in the internal dimensions at one-third the rate, on the logarithmic scale, compared to our 3D space. That is, if the 4D scale factor is  $a(\tau)$ , the internal scale factor is  $a_{int}^{1/3}(\tau)$ , in Planck length units. Taking the size of the observed universe to be about  $10^{61}$  Planck units, the internal dimensions have a width approximately  $10^{20}$  Planck units, which is about  $10^{-13}$  cm, thus being in the quantum domain. Classical systems have an internal dimension width much smaller than Planck length, and hence they effectively stay in [and appear to live in] four dimensional space-time. Quantum systems probe all eight dimensions, and hence live in an octonionic universe.

The universe began in a unified phase, via an inflationary 8D expansion possibly resulting as the aftermath of a huge spontaneous localisation event in a ‘sea of atoms of space-time-matter’ [30]. The mass values are set, presumably in Planck scale, at order one values dictated by the eigenvalues reported in the present paper. Cosmic inflation scales down these mass values at the rate  $a^{1/3}(\tau)$ , where  $a(\tau)$  is the 4D expansion rate. Inflation ends after about sixty e-folds, because seeding of classical structures breaks the color-electro-weak-Lorentz symmetry, and classical spacetime emerges as a broken Lorentz symmetry. The electro-weak symmetry breaking is actually a electro-weakLorentz symmetry breaking, which is responsible for the emergence of gravity, weak interaction being its short distance limit. There is no reheating after inflation; rather inflation resets the Planck scale in the vicinity of the electro-weak scale, and the observed low fermion mass values result. The electro-weak symmetry breaking is mediated by the Lorentz symmetry, in a manner consistent with the conventional Higgs mechanism. It is not clear why inflation should end specifically at the electro-weak scale: this is likely dictated by when spontaneous localisation becomes significant enough for classical spacetime to emerge. It is a competition between the strength of the electro-colour interaction which attempts to bind the fermions, and the inflationary



expansion which opposes this binding. Eventually, the expanding universe cools enough for spontaneous localisation to win, so that the Lorentz symmetry is broken. It remains to prove from first principles that this happens at around the electro-weak scale and also to investigate the possibly important role that Planck mass primordial black holes might play in the emergence of classical spacetime. I would like to thank Roberto Onofrio for correspondence which has influenced these ideas. See also [31].

*The Karolyhazy correction to the asymptotic value of fine structure constant :* In accordance with the Karolyhazy uncertainty relation (Eqn. (9) of [29]) a measured length  $l$  has a ‘quantum gravitational’ correction  $\Delta l$  given by

$$(\Delta l)^3 = L_P^2 l \quad (61)$$

For the purpose of the present discussion we shall assume an equality sign here, i.e. that the numerical constant of proportionality between the two sides of the equation is unity. And, for the sake of the present application to the fine structure constant, we rewrite this relation as

$$\delta \equiv \frac{L_P}{\Delta l} = \left( \frac{L_P}{l} \right)^{1/3} \quad (62)$$

We set  $l \equiv l_f$  where  $l_f$  is the length scale ( $\approx 10^{-16}$  cm) associated with electro-weak symmetry breaking, where classical space-time emerges from the prespacetime, prequantum theory. The assumption being that when the universe evolves from the Planck scale to the electro-weak scale [while remaining in the unbroken symmetry phase], the inverse of the octonionic length associated with the charged leptons (this being  $\sqrt{3/32}$ ) is reset, because of the Karolyhazy correction, to

$$\sqrt{\frac{3}{32}} \longrightarrow \sqrt{\frac{3}{32}} + \delta_f \equiv \sqrt{\frac{3}{32}} + \left( \frac{L_P}{l_f} \right)^{1/3} \quad (63)$$

We can also infer this corrected length as the four-dimensional space-time measure of the length, which differs from the eight dimensional octonionic value  $\sqrt{3/32}$  by the amount  $\delta_f$ . If we take  $l_f$  to be  $10^{-16}$  cm, the correction  $\delta_f$  is of the order  $2 \times 10^{-6}$ . The correction to

the asymptotic value (57) of the fine structure constant is then

$$C = \alpha^2 L_P^4 / L^4 \equiv e^2 / \hbar c = \alpha^2 \left[ \sqrt{\frac{3}{32}} + \left( \frac{L_P}{l_f} \right)^{1/3} \right]^4 \quad (64)$$

For  $l_f = 10^{-16}$  cm = 198 GeV<sup>-1</sup>, we get the corrected value of the fine structure constant to be 0.00729737649, which overshoots the measured CODATA 2018 value at the eighth decimal place. The electroweak scale is generally assumed to lie in the range 100 - 1000 GeV. The value  $l_f = 1.3699526 \times 10^{-16}$  cm = 144.530543605 GeV<sup>-1</sup> reproduces the CODATA 2018 value 0.0072973525693 of the asymptotic fine structure constant. The choice  $l_f^{-1} = 246$  GeV gives the value 0.00729739452, whereas the choice  $l_f^{-1} = 159.5 \pm 1.5$  GeV gives the range (0.00729736049, 0.00729735908). 100 GeV gives the value 0.00729732757 which is smaller than the measured value. 1000 GeV gives 0.00729754842. Thus in the entire 100 - 1000 GeV range, the derived constant agrees with the measured value at least to the sixth decimal place, which is reassuring. The purpose of the present exercise is to show that the Karolyhazy correction leads to a correction to the asymptotic value of the fine structure constant which is in the desired range - a striking fact by itself. In principle, our theory should predict the precise value of the electroweak symmetry breaking scale. Since that analysis has not yet been carried out, we predict that the ColorElectro-WeakLorentz symmetry breaking scale is 144.something GeV, because only then the theoretically calculated value of the asymptotic fine structure constant matches the experimentally measured value.

The above discussion of the asymptotic low energy value of the fine structure constant should not be confused with the running of the constant with energy. Once we recover classical spacetime and quantum field theory from our theory, after the ColorElectro-WeakLorentz symmetry breaking, conventional RG arguments apply, and the running of couplings with energy is to be worked out as is done conventionally. Such an analysis of running couplings will however be valid only up until the broken symmetry is restored - it is not applicable in the prespacetime prequantum phase. In this sense, our theory is different from GUTs. Once there is unification, Lorentz symmetry is unified with internal symmetries - the exact energy scale at which that happens remains to be worked out.

How then does the Planck scale prespacetime, prequantum theory know about the low energy asymptotic value of the fine structure constant? The answer to this question lies in the Lagrangian given in (49) and in particular the Lagrangian term (52) for the charged

leptons. In determining the asymptotic fine structure constant from here, we have neglected the modification to the coupling that will come from the presence of  $q_B$  and  $q_F$ . This is analogous to examining the asymptotic, flat spacetime limit of a spacetime geometry due to a source - gravity is evident close to the source, but hardly so, far from it. Similarly, there is a Minkowski-flat analog of the octonionic space, wherein the effect of  $q_B$  and  $q_F$  (which in effect ‘curve’ the octonionic space) is ignorable, and the asymptotic fine structure can be computed. The significance of the non-commutative, non-associative octonion algebra and the Jordan eigenvalues lies in that they already determine the coupling constants, including their asymptotic values. This is a property of the algebra, even though the interpretation of a particular constant as the fine structure constant comes from the dynamics, i.e. the Lagrangian, as it should, on physical grounds.

*Tentative remarks on the Weinberg (weak) mixing angle and  $\sin^2 \theta_W$ :* The proposed symmetry group for the three-generation Lagrangian (49) is  $F_4$  [please see Figs. 2 and 3 in [24]]. When we look at its sub-parts, we associate different symmetry groups [these being sub-groups of  $F_4$ ] with different terms. Thus, the Lorentz-Weak sector has the symmetry  $SU(2) \times SU(2)$  and is described by the term (51) [i.e. (set1)]. From the Jordan eigenvalues analysis, the associated octonion length squared for this Lorentz-Weak sector is  $3/4$  (i.e. the value for the neutrino family). We *assume* that this squared length is equally divided between the Lorentz sector and the weak sector; so that we associate a length  $3/8$  with the weak sector, and  $3/8$  also for the Lorentz sector. The number  $3/8$  is then a measure of the coupling strength of the weak sector, conventionally denoted as  $g$ : we will use this  $3/8$  while calculating the value for the weak mixing angle below. The octonionic squared lengths for the quark/color sectors (53) and (54) are also  $3/8$  each. The squared length for the electro sector, given by the Lagrangian term in Eqn. (52) is  $3/32$ , and as we have seen above, relates to the fine structure constant  $C \equiv e^2/\hbar c = \alpha^2(3/32)^2$  with the numerical value of the only coupling constant  $\alpha$  in our theory given above by Eqn. (56). Curiously then, the octonionic squared magnitudes for the Lorentz, Weak, Color and Electro sectors are respectively  $3/8$ ,  $3/8$ ,  $3/8$ ,  $3/32$ . Tentatively, we propose to define the weak mixing angle  $\theta_W$ , and hence  $\sin^2 \theta_W$  by the ratio  $(\alpha \times \text{electro} - \text{squared length})/(\text{weak squared length})$ . Thus we have

$$\sin^2 \theta_W = \frac{\alpha \times (3/32)}{3/8} = \frac{1}{4} \exp \left[ (1/3 - \sqrt{3/8}) \times 1/3 \right] \approx 0.22780 \quad (65)$$

The CODATA 2018 value for the weak mixing angle is given as  $\sin^2 \theta_W = 0.22290(30)$ . Curiously, the ratio  $3/8$  also arises in an early discussion of the weak mixing angle in a gauge theory model based on  $E_6$  [32]. This tentative calculation of the weak mixing angle remains to be justified by a careful understanding of the relation between the exceptional Jordan algebra and the equations of motion coming from the Lagrangian. As per conventional notation, the weak isospin coupling is given by  $g = e/\sin \theta_W$  and the weak hypercharge coupling by  $g' = e/\cos \theta_W$ .

On a related note about this approach to unification, we recall that the symmetry group in our theory is  $U(1) \times SU(3) \times SU(2) \times SU(2)$ . This bears resemblance to the study of a left-right symmetric extension of the standard model by Boyle [33] in the context of the complexified exceptional Jordan algebra. This  $L - R$  model has exceptional phenomenological promise, and it appears that the unbroken phase [prior to the ColorElectro-WeakLorentz symmetry breaking] of the L-R model is well-described by our Lagrangian (49) for three generations. This gives further justification for exploring the phenomenology of this Lagrangian.

*More Jordan eigenvalues for quarks and charged leptons:* Assuming that the mechanism for mass generation of neutrinos is different from that for the electrically charged fermions, we can set aside the neutrinos for the time being, and calculate additional new eigenvalues of the exceptional Jordan algebra in yet another way. We club the three charged fermions of the first generation to make a  $3 \times 3$  Jordan matrix, with the octonionic entries assigned as:  $x_1$  is the anti-down quark,  $x_2$  is the up quark, and  $x_3$  is the positron. Analogously, the octonionic entries for the second generation are such that  $x_1$  is the anti-strange quark,  $x_2$  is the charm quark, and  $x_3$  is the anti-muon. For the third generation Jordan matrix,  $x_1$  is the anti-bottom quark,  $x_2$  is the top quark, and  $x_3$  is the anti-tau-lepton. For each of the three Jordan matrices, the diagonal entries are the electric charges i.e.  $(1/3, 2/3, 1)$ , so that the trace is 2 for each of the three Jordan matrices.  $S(X)$  is also the same for each generation, and is equal  $271/288$ . The determinant is different in each of the three cases and is given by

$$Det(GenI) = \frac{19}{288} + \frac{1}{64} ; \quad Det(GenII) = \frac{19}{288} + \frac{2\sqrt{3}-1}{256} ; \quad Det(GenIII) = \frac{19}{288} - \frac{2\sqrt{3}+1}{256} \quad (66)$$

The three Jordan matrices for which we are now calculating the eigenvalues are hence given

as follows, one for each generation of two quarks and one charged lepton:

$$GenI : \begin{bmatrix} 1 & V_{e+} & V_{up}^* \\ V_{e+}^* & 2/3 & V_{ad} \\ V_{up} & V_{ad}^* & 1/3 \end{bmatrix} \quad (67)$$

$$GenII : \begin{bmatrix} 1 & V_{a\mu} & V_c^* \\ V_{a\mu}^* & 2/3 & V_{as} \\ V_c & V_{as}^* & 1/3 \end{bmatrix} \quad (68)$$

$$GenIII : \begin{bmatrix} 1 & V_{a\tau} & V_t^* \\ V_{a\tau}^* & 2/3 & V_{ab} \\ V_t & V_{ab}^* & 1/3 \end{bmatrix} \quad (69)$$

The notation and octonionic representation is the same as earlier in the paper. For each of the three generations the eigenvalues are given by the following set of three real roots, each of which is positive (hence a total of nine unequal roots):

$$\begin{aligned} \lambda_1 &= \frac{2}{3} + 2\sqrt{-Q} \cos\left(\frac{\theta}{3}\right) \\ \lambda_2 &= \frac{2}{3} + 2\sqrt{-Q} \cos\left(\frac{\theta + 2\pi}{3}\right) \\ \lambda_3 &= \frac{2}{3} + 2\sqrt{-Q} \cos\left(\frac{\theta + 4\pi}{3}\right) \end{aligned} \quad (70)$$

Here, the angle  $\theta$  is defined by

$$\theta \equiv \cos^{-1}\left(\frac{R}{\sqrt{-Q^3}}\right) \quad (71)$$

and the function  $Q$  is the same for each of the three generations:

$$Q = \frac{3S(X) - Tr^2(X)}{9} = -\frac{113}{864} \quad (72)$$

whereas the function  $R$  differs slightly amongst the three generations because the determinant is different for each of them:

$$R = -\frac{1}{6}Tr(X)S(X) + \frac{1}{27}Tr^3(X) + \frac{1}{2}Det(X) = -\frac{1}{3} \times \frac{271}{288} + \frac{8}{27} + \frac{1}{2}Det(X) \quad (73)$$

The angle  $\theta$  in the case of the three generations can thus be calculated, and is given in radians by

$$\theta_I = 1.0524 ; \quad \theta_{II} = 1.1240 ; \quad \theta_{III} = 1.4243 \quad (74)$$

The roots can now be computed and have the following set of three values each, for each of the three generations, respectively:

$$\begin{aligned} \lambda_{1I} &= 2/3 - 0.5549 = 0.1118; & \lambda_{2I} &= 2/3 - 0.1244 = 0.5423; & \lambda_{3I} &= 2/3 + 0.6784 = 1.3451 \\ \lambda_{1II} &= 2/3 - 0.5658 = 0.10087; & \lambda_{2II} &= 2/3 - 0.1073 = 0.55938; & \lambda_{3II} &= 2/3 + .6731 = 1.33974 \\ \lambda_{1III} &= 2/3 - 0.6081 = 0.0586; & \lambda_{2III} &= 2/3 - 0.0352 = 0.6314; & \lambda_{3III} &= 2/3 + 0.6432 = 1.3099 \end{aligned} \quad (75)$$

As is evident, for every generation, the roots are shifted around the middle electric charge value of  $2/3$ , as if undergoing a rotation determined by  $\theta$ , with one root coming out larger than  $2/3$ , and the other two roots smaller than  $2/3$ .

In combination with the nine eigenvalues found earlier in the paper for the six quarks and three charged leptons, we now have a total of 18 unequal roots, only one of which is negative. The nine roots found earlier could be labeled as ‘horizontal’ roots, calculated across three generations in three sets, one set each for the three fermions with identical non-zero electric charge. The nine roots found now could be labeled as ‘vertical’ roots, calculated per generation, using the three fermions with non-zero charge. The only negative root is the horizontal root for the anti-down quark. The full set of 18 roots are shown in the table below [Figure 5], two per charged fermion. In each of the nine cells of the table, the upper entry is a horizontal root, and the lower entry is a vertical root. Using the up quark as a benchmark, eight ratios can be defined from the nine vertical roots, and another eight ratios from the nine horizontal roots. It remains to be understood if these 16 ratios hide within them one or more general formulas for the experimentally observed eight mass ratios.

*Update: Evidence of correlation between the Jordan eigenvalues and the mass ratios of quarks and charged leptons:* In the first generation, we note the positron mass to be 0.511 MeV, the up quark mass to be  $2.3 \pm 0.7 \pm 0.5$  MeV, and the down quark mass to be  $4.8 \pm 0.5 \pm 0.3$  MeV. The uncertainties in the two quark masses permit us to make the following proposal: the square-roots of the masses of the positron, up quark, and down quark possess the

	Gen I	Gen II	Gen III
	<b>Anti-Down 4.8 1.44 3/8</b>	<b>Anti-Strange 95 6.43 3/8</b>	<b>Anti-Bot 4180 42.63 3/8</b>
<b>1/3</b>	$1/3 - \sqrt{3/8} = -0.2790$ $\lambda_{1I} = 2/3 - 0.1244 = 0.5423$	$1/3$ $\lambda_{1II} = 2/3 - 0.1073 = 0.55938$	$1/3 + \sqrt{3/8} = 0.9457$ $\lambda_{1III} = 2/3 - 0.0352 = 0.6314$
	<b>Up 2.3 1 3/8</b>	<b>Charm 1275 23.55 3/8</b>	<b>Top 173210 274.42 3/8</b>
<b>2/3</b>	$2/3 - \sqrt{3/8} = 0.0543$ $\lambda_{2I} = 2/3 + 0.6784 = 1.3451$	$2/3$ $\lambda_{2II} = 2/3 + 0.6731 = 1.33974$	$2/3 + \sqrt{3/8} = 1.2790$ $\lambda_{2III} = 2/3 + 0.6432 = 1.3099$
	<b>Positron 0.5 0.47 3/32</b>	<b>Anti-Muon 105 6.76 3/32</b>	<b>Anti-Tau 1277 27.80 3/32</b>
<b>1</b>	$1 - \sqrt{3/32} = 0.6938$ $\lambda_{3I} = 2/3 - 0.5549 = 0.1118$	$1$ $\lambda_{3II} = 2/3 - 0.5658 = 0.10087$	$1 + \sqrt{3/32} = 1.3062$ $\lambda_{3III} = 2/3 - 0.6081 = 0.0586$

**The Jordan Eigenvalues**

FIG. 5. The eighteen Jordan eigenvalues for the six quarks and three charged leptons. In each cell, at the top is shown the name of the particle, its mass in MeV, square-root of mass ratio with respect to up quark, and the octonionic magnitude. The three eigenvalues in any given row are calculated by making a triplet of like charges. These eigenvalues, dubbed as the horizontal roots, are shown as the first entry in each of the nine cells. The three eigenvalues in any given column are calculated by making a triplet of like generation charged fermions. These are the vertical roots, shown as the lower entry in each cell. There are two roots for every charged fermion. Only one out of the 18 roots is negative - this is the upper entry for the anti-down quark.

ratio 1 : 2 : 3 and hence they can be assigned the ‘square-root-mass numbers’ (1/3, 2/3, 1) respectively, these being in the inverse order as the ratios of their electric charge. The  $e/\sqrt{m}$  ratios for the three particles then have the respective values (3, 1, 1/3), whereas  $e\sqrt{m}$  has the respective values (1/3, 4/9, 1/3). The choice of square-root of mass as being more fundamental than mass is justified by recalling that in our approach, gravitation is derived from ‘squaring’ an underlying spin one Lorentz interaction [24]. It is reasonable then to assume that the spin one Lorentz interaction is sourced by  $\sqrt{m}$ , and to try to understand the origin of the square-root of the mass ratios, rather than origin of the mass ratios themselves.

At this stage, the above proposed quantised root-mass-ratios for the first generation are only an assumption; we do not have a proof for this assumption. A justification might come from the following. The automorphism group  $G_2$  of the octonions has the two maximal subgroups  $SU(3)$  and  $SO(4)$ . These two groups have an intersection  $U(2) \sim SU(2) \times U(1)$ . The  $SU(3)$  is identified with  $SU(3)_c$ , the  $SU(2)$  with the weak symmetry, and the  $U(1)$  with  $U(1)_{em}$ . Thus the  $U(1)_{em}$  is a subset also of the maximal sub-group  $SO(4)$  which led us to propose the Lorentz-Weak-Electro symmetry, and hence this  $U(1)$  might also determine the said quantised root-mass-ratios  $(1/3, 2/3, 1)$  for the positron, up quark, and down quark respectively. For now, we take these quantised root-mass-ratios as a working hypothesis. This implies, assuming a mass 0.511 MeV for the electron, a consequent predicted mass of 2.044 MeV for the up quark, and a predicted mass 4.599 MeV for the down quark.

If we assume that the  $e/\sqrt{m}$  ratios for the first generation of the charged fermions are absolute values [valid prior to the enormous scaling down of mass] then we can assign a root-mass number  $e/3$  to the positron [and hence a mass number  $e^2/9$ ], where the electric charge  $e$  is as given in Eqn. (76). Hence the mass-number for the positron/electron is

$$\sqrt{G_N} m_{e+} = (1/1024) \exp[2/9 - 1/\sqrt{6}] (\hbar L_P/t_P)^{1/2} \quad (76)$$

where  $G_N$  is Newton's gravitational constant. Thus the mass number of the electron is  $1/(137 \times 9)$  of Planck mass and has to be scaled down by the factor  $f = 2 \times 10^{19}$  before it acquires the observed mass of 0.5 MeV. This then is also the universal factor by which the assigned mass number of every quark and charged lepton must be scaled down to get it to its current value. This is not far from the twenty orders of mass-scale-down by the Karolyhazy effect in cosmology, proposed earlier in this section. The initial ratio of the electrostatic to gravitational attraction between an electron and a positron is  $e^2/(e^4/81) \sim 137 \times 81 \sim 10^4$ .

Now, to deduce the observed mass-ratios for the second and third generations, we recall from above that the three generations are respectively characterised by these three angles

$$\theta_I = 1.0524 \sim 60.30^\circ ; \quad \theta_{II} = 1.1240 \sim 64.40^\circ ; \quad \theta_{III} = 1.4243 \sim 81.61^\circ. \quad (77)$$

These three angles can be taken to be the defining characteristic of the three generations. All the three angles lie in the first quadrant and hence have a positive cosine; therefore the largest



root  $\lambda_1$  in (70) for each of the three generations is identified with the quark having  $2/3$  charge [i.e. up, charm, top]. In Eqn. (75) these are roots  $(\lambda_{3I}, \lambda_{3II}, \lambda_{3III})$  for the up, charm and top respectively. In Gen I, the next root is derived by taking the angle  $(\theta_I + 2\pi)/3 = 2.45 \sim 140^\circ$  which lies in the second quadrant, and gives the smallest root  $\lambda_{1I}$  which is assigned to the positron. The third root  $\lambda_{2I}$  comes from taking the angle  $(\theta_I + 4\pi)/3 = 4.54 \sim 260^\circ$  which lies in the third quadrant. So one moves from the up quark to the positron to the anti-down quark while going from the first to the second to the third quadrant. In Gen II, the second root  $\lambda_{1II}$  comes from the angle  $(\theta_{II} + 2\pi)/3 = 2.47 \sim 141^\circ$  and is assigned to the anti-muon, whereas the third root  $\lambda_{2II}$  coming from the angle  $(\theta_{II} + 4\pi)/3 = 4.56 \sim 261^\circ$  is assigned to the anti-strange quark. In GenIII the second root  $\lambda_{1III}$  coming from the angle  $(\theta_{III} + 2\pi)/3 = 2.57 \sim 147^\circ$  is assigned to the tau-lepton, whereas the third root coming from the angle  $(\theta_{III} + 4\pi)/3 = 4.66 \sim 267^\circ$  is for the anti-bottom quark.

We can place the six quarks and three charged leptons on a two-torus, and identify each one of them with a pair of angles on the torus (one angle along each of the two independent directions). We have already identified these angles corresponding to the second set of eigenvalues, in the previous paragraph. Similarly, we can evaluate the angles corresponding to the first set of eigenvalues, found in Section II, and listed in the table in Fig. 5, by comparing those roots with their equivalent angular form given in Eqn. (70). For the three neutrinos, we conclude from the roots given in (29), that the three angles are  $(\pi/6, 5\pi/6, 9\pi/6)$ . The same angles also arise for the charged fermions, with the first angle for the GenIII particle, next one for GenI and largest angle for GenII. Also, in each case,  $R = 0$ , while  $-Q = 1/32$  for the charged leptons and  $1/8$  for the quarks. The table in Figure 6 below shows these Jordan angles, along with the measured mass values, as well the square-root of the mass ratio taken with respect to mass of the anti-down quark. We now see that the nine fermions are placed symmetrically on the torus, as far as the angles are concerned. And yet these angles manage to give rise to strange-looking mass ratios.

Since the square-root-mass ratio of the anti-down quark has been set to unity, and predicted above to be 4.599 MeV ( $= 9 \times 0.511$  MeV), we will calculate the square-root-mass ratios of the other particles with respect to the anti-down-quark, and demonstrate a correlation of these ratios with the Jordan eigenvalues:

- Anti-muon : Take the ratio of the angle  $(\theta_{II} + 2\pi)$  [which represents the anti-muon] with respect to the angle  $\theta_I = 1.0524$  for the first generation, and multiply it by the ratio

	Gen I	Gen II	Gen III
<b>1/3</b>	<b>Anti – down quark</b> 4.599   1 $5\pi/6$ $(\theta_I + 4\pi)/3$	<b>Anti – strange quark</b> $95 \pm 5$ 4.55 $9\pi/6$ $(\theta_{II} + 4\pi)/3$	<b>Anti – bottom quark</b> $4180 \pm 30$ 30.15 $\pi/6$ $(\theta_{III} + 4\pi)/3$
<b>2/3</b>	<b>Up quark</b> 2.044   2/3 $5\pi/6$ $\theta_I/3$	<b>Charm quark</b> $1275 \pm 25$ 16.65 $9\pi/6$ $\theta_{II}/3$	<b>Top Quark</b> $173210 \pm 510 \pm 710$ 194.07 $\pi/6$ $\theta_{III}/3$
<b>1</b>	<b>Positron</b> 0.511   1/3 $5\pi/6$ $(\theta_I + 2\pi)/3$	<b>Anti – muon</b> 105.7   4.79 $9\pi/6$ $(\theta_{II} + 2\pi)/3$	<b>Anti – tau Lepton</b> 1777   19.66 $\pi/6$ $(\theta_{III} + 2\pi)/3$

**The Jordan Angles**

FIG. 6. The Jordan angles for the six quarks and the three charged leptons. In each cell the first row shows the mass of the particle in MeV and the square-root of the mass ratio taken with respect to the anti-down quark. The second row in each cell shows the Jordan angle from which the first set of eigenvalues are made [by clubbing like charges]. This eigenvalue is obtained by taking the cosine of the shown angle, multiplying it by  $2\sqrt{-Q}$ , and adding the result to the electric charge value. The last row in each cell shows the angle using which the second set of eigenvalues [made by clubbing fermions of a given generation] are made. Here also the cosine of the angle is taken, multiplied by  $2\sqrt{-Q}$  and the result added to 2/3. In terms of these two angles the nine fermions are placed symmetrically on a 2-torus; yet the angles manage to give rise to the measured mass ratios which appear to be quite random otherwise.

of the first set of Jordan eigenvalues for the electron and the muon [see the table in Fig. 5]. Then compare the resulting value with the square-root mass ratio of the muon mass with respect to the down quark mass:

$$\frac{\theta_{II} + 2\pi}{\theta_I} \times \frac{1 - \sqrt{3/32}}{1} = \frac{1.1240 + 2\pi}{1.0524} \times 0.6938 = 4.88 ; \quad \sqrt{\frac{105.7}{4.599}} = 4.79 \quad (78)$$

- Anti-tau lepton : Using the first set of eigenvalues for the charged leptons, we get the

ratio:

$$\frac{\theta_{III} + 2\pi}{\theta_I} \times \left( \frac{1 + \sqrt{3/32}}{1 - \sqrt{3/32}} \right) \times \left( \frac{1}{1 - \sqrt{3/32}} \right) = \frac{1.4243 + 2\pi}{1.0524} \times (1.3062/0.6938^2) = 19.87; \sqrt{\frac{1777}{4.599}} = 19.66 \quad (79)$$

• Charm quark : This ratio is analogous to the ratio of charm / up in Eqn. (38), with an additional factor of 2/3 for the up /down ratio.

$$\frac{\theta_{II}}{\theta_I} \times 2/3 \times \frac{2/3 + \sqrt{3/8}}{2/3 - \sqrt{3/8}} = \frac{1.1240}{1.0524} \times 2/3 \times \frac{2/3 + \sqrt{3/8}}{2/3 - \sqrt{3/8}} = 16.77; \sqrt{\frac{1275}{4.599}} = 16.65 \quad (80)$$

• Top quark: Again this ratio is analogous to the one for top / up in Eqn. (40).

$$2/3 \times \left( \frac{\frac{2}{3} + \sqrt{\frac{3}{8}}}{\frac{2}{3} - \sqrt{\frac{3}{8}}} \right) \times \left( \frac{\frac{2}{3}}{\frac{2}{3} - \sqrt{\frac{3}{8}}} \right) = 192.84; \sqrt{\frac{173210}{4.599}} = 194.07 \quad (81)$$

• Anti-strange quark:

$$\frac{\theta_{II} + 4\pi}{\theta_I} \times \frac{1/3}{1/3 + \sqrt{3/8}} = 4.59; \sqrt{\frac{95}{4.599}} = 4.55 \quad (82)$$

• Anti-bottom quark:

$$\frac{\theta_{III} + 4\pi}{\theta_I} \times 2/3 \times \left( \frac{1/3 + \sqrt{3/8}}{|1/3 - \sqrt{3/8}|} \right) = \frac{1.4243 + 4\pi}{1.0524} \times 2/3 \times \frac{1/3 + \sqrt{3/8}}{|1/3 - \sqrt{3/8}|} = 30.04; \sqrt{\frac{4180}{4.599}} = 30.15 \quad (83)$$

These ratios made from the Jordan eigenvalues show a possible correlation with the square-root mass ratios. It remains to be understood how the Lagrangian dynamics leads to a relation between the mass-ratios and the Jordan angles and the electric charge.

*Quantum non-locality* : Additional internal spatial dimensions which are not compact, yet very thin, offer a promising resolution to the quantum non-locality puzzle, thereby lifting the tension with 4D special relativity. Let us consider once again Baez's cube of Fig. 3. Any of the three quaternionic spaces containing the unit element 1 can play the role of the emergent 4D classical space-time in which classical systems evolve. Let us say this classical universe is the plane  $(1e_6e_1e_5)$ . Now, the true universe is the full 8D octonionic universe, with the four

internal dimensions being probed [only by] quantum systems. Now we must recall that these four internal dimensions are extremely thin, of the order of Fermi dimensions, and along these directions no point is too far from each other, even if their separation in the classical 4D quaternion plane is billions of light years! Consider then, that Alice at 1 and Bob at  $e_1$  are doing space-like separated measurements on a quantum correlated pair. Whereas the event at  $e_1$  is outside the light cone of 1, the correlated pair is always within each other's quantum wavelength along the internal directions, say the path  $(1e_3e_2e_7e_1)$ . The pair influences each other along this path acausally, because this route is outside the domain of 4D Lorentzian spacetime and its causal light-cone structure. The internal route is classically forbidden but allowed in quantum mechanics. This way neither special relativity nor quantum mechanics needs to be modified. It is also interesting to ask if evolution in Connes time in this 8D octonionic universe obeying generalised trace dynamics can violate the Tsirelson bound.

The exceptional Jordan algebra is of significance also in superstring theory, where it has been suggested that there is a relation between the EJA and the vertex operators of superstrings, and that the vertex operators represent couplings of strings [34, 35]. This intriguing connection between the EJA, string theory and aikyon theory deserves to be explored further.

Lastly we mention that the Lagrangian (45) that we have been studying closely resembles the Bateman oscillator [36] model, for which the Lagrangian is

$$L = m\dot{x}\dot{y} + \gamma(\dot{x}y - x\dot{y}) - kxy \quad (84)$$

I thank Partha Nandi for bringing this fact to my attention. Considering that the Bateman oscillator represents a double oscillator with relative opposite signs of energy for the two oscillators undergoing damping, it is important to understand the implications for our theory. In particular, could this imply a cancellation of zero point energies between bosonic and fermionic modes, thus annulling the cosmological constant? And also whether this damping is playing any possible role in generating matter-anti-matter asymmetry?

**Acknowledgements:** I would like to thank Carlos Perelman for discussions and helpful correspondence, and for making me aware of the beautiful work of Dray and Manogue on the Jordan eigenvalue problem. I also thank Tanmoy Bhattacharya, Cohl Furey, Niels Gresnigt,

Garrett Lisi, Nehal Mittal, Roberto Onoforio and Robert Wilson for useful correspondence and discussions.

## REFERENCES

---

- [1] Geoffrey M. Dixon, *Division algebras, octonions, quaternions, complex numbers and the algebraic design of physics* (Kluwer, Dordrecht, 1994).
- [2] C. H. Tze and F. Gursey, *On the role of division, Jordan and related algebras in particle physics* (World Scientific Publishing, 1996).
- [3] Cohl Furey, “Standard model physics from an algebra? Ph. D. thesis, university of Waterloo,” **arXiv:1611.09182 [hep-th]** (2015).
- [4] Cohl Furey, “Three generations, two unbroken gauge symmetries, and one eight-dimensional algebra,” *Phys. Lett. B* **785**, 1984 (2018).
- [5] Cohl Furey, “ $SU(3)_C \times SU(2)_L \times U(1)_Y (\times U(1)_X)$  as a symmetry of division algebraic ladder operators,” *Euro. Phys. J. C* **78**, 375 (2018).
- [6] J. Chisholm and R. Farwell, “Clifford geometric algebras: with applications to physics, mathematics and engineering,” (Birkhauser, Boston, 1996 Ed. W. R. Baylis) p. 365.
- [7] G. Trayling and W. Baylis, “A geometric basis for the standard-model gauge group,” *J. Phys. A: Math. Theor.* **34**, 3309 (2001).
- [8] Michel Dubois-Violette, “Exceptional quantum geometry and particle physics,” *Nuclear Physics B* **912**, 426–449 (2016).
- [9] Ivan Todorov, “Exceptional quantum algebra for the standard model of particle physics,” *Nucl. Phys. B* **938**, 751 arXiv:1808.08110 [hep-th] (2019).
- [10] Michel Dubois-Violette and Ivan Todorov, “Exceptional quantum geometry and particle physics II,” *Nucl. Phys. B* **938**, 751–761 arXiv:1808.08110 [hep-th] (2019), arXiv:1808.08110 [hep-th].
- [11] Ivan Todorov and Svetla Drenska, “Octonions, exceptional Jordan algebra and the role of the group  $F_4$  in particle physics,” *Adv. Appl. Clifford Algebras* **28**, 82 arXiv:1911.13124 [hep-th] (2018), arXiv:1805.06739 [hep-th].
- [12] Ivan Todorov, “Jordan algebra approach to finite quantum geometry,” in *PoS*, Vol.

- CORFU2019 (2020) p. 163.
- [13] Rafal Ablamowicz, “Construction of spinors via Witt decomposition and primitive idempotents: A review,” in *Clifford algebras and spinor structures*, edited by Rafal Ablamowicz and P. Lounesto (Kluwer Acad. Publ., 1995) p. 113.
  - [14] John C. Baez, “The octonions,” *Bull.Am.Math.Soc.* **39** (2002), arXiv:math/0105155 [math.RA].
  - [15] John C. Baez, “Division algebras and quantum theory,” *Foundations of Physics* **42**, 819–855 (2011).
  - [16] John C. Baez and John Huerta, “The algebra of grand unified theories,” (2009 arXiv:0904.1556 [hep-th]), arXiv:0904.1556 [hep-th].
  - [17] Carlos Castro Perelman, “ $R \times C \times H \times O$  valued gravity as a grand unified field theory,” *Advances in Applied Clifford Algebras* **29**, 22 (2019).
  - [18] Adam B. Gillard and Niels G. Gresnigt, “Three fermion generations with two unbroken gauge symmetries from the complex sedenions,” *The European Physical Journal C* **79**, 446, arXiv:1904.03186 [hep-th] (2019).
  - [19] Ovidiu Cristinel Stoica, “The standard model algebra (Leptons, quarks and gauge from the complex algebra  $Cl(6)$ ),” *Advances in Applied Clifford Algebras* **28**, 52 arXiv:1702.04336 [hep-th] (2018).
  - [20] Ichiro Yokota, “Exceptional Lie groups,” **arXiv:0902.043 [math.DG]** (2009).
  - [21] Tevian Dray and Corinne Manogue, “The exceptional Jordan eigenvalue problem,” *Int. J. Theo. Phys.* **28**, 2901 arXiv:math-ph/9910004v2 (1999).
  - [22] Tevian Dray and Corinne Manogue, “Octonions,  $E_6$  and particle physics,” *J.Phys.Conf.Ser.* **254**, 012005 arXiv:0911.2253 (2010).
  - [23] A. Garrett Lisi, “An exceptionally simple theory of everything,” **arXiv:0711.0770 [hep-th]** (2007).
  - [24] Tejinder P. Singh, “Trace dynamics and division algebras: towards quantum gravity and unification.” *Zeitschrift für Naturforschung A* **76**, 131, DOI: <https://doi.org/10.1515/zna-2020-0255>, arXiv:2009.05574v44 [hep-th] (2020).
  - [25] Adam B. Gillard and Niels Gresnigt, “The  $Cl(8)$  algebra of three fermion generations with spin and full internal symmetries,” **arXiv:1906.05102** (2019).
  - [26] A. Adrien Albert, “On a certain algebra of quantum mechanics,” *Annals of Mathematics* **35**,

- 65 (1933).
- [27] P. Jordan, John von Neumann, and E. Wigner, “On an algebraic generalisation of the quantum mechanical formalism,” *Ann. Math.* **35**, 65 (1933).
  - [28] K. A. Olive; et al. (Particle Data Group) (2014), “Review of particle properties,” *Chinese Physics C*. 38 (9) **38**, 1 (2014).
  - [29] Tejinder P. Singh, “Quantum gravity, minimum length and holography,” *Pramana - J. Phys.* **95**, 40, arXiv:1910.06350 (2021).
  - [30] Maithresh Palemkota and Tejinder P. Singh, “Proposal for a new quantum theory of gravity III: Equations for quantum gravity, and the origin of spontaneous localisation,” *Zeitschrift für Naturforschung A* **75**, 143 (2019 DOI:10.1515/zna-2019-0267 arXiv:1908.04309).
  - [31] Roberto Onofrio, “High energy density implications of a gravitoweak unification scenario,” *Mod. Phys. Letts. A* **29**, 1350187 (2014).
  - [32] F. Gursey, P. Ramond, and P. Sikivie, “A universal gauge theory model based on  $E_6$ ,” *Phys. Lett. B* **60**, 177 (1976).
  - [33] Latham Boyle, “The standard model, the exceptional Jordan algebra, and triality,” e-print , arXiv:2006.16265v1 [hep-th] (2020).
  - [34] E. Corrigan and T. J. Hollowood, “Exceptional Jordan algebra and the superstring,” *Commun. Math. Phys.* **122**, 393 (1989).
  - [35] P. Goddard, W. Nahm, D. Olive, H. Ruegg, and A. Schwimmer, “Fermions and octonions,” *Commun. Math. Phys.* **112**, 385 (1987).
  - [36] Shinichi Deguchi, Yuki Fujiwara, and Kunihiro Nakano, “Two quantization approaches to the Bateman oscillator model,” *Ann. Physics* **403**, 34 arXiv:1807.04403 [quant-ph] (2019).