

Article

Not peer-reviewed version

Planckian Quantization to Superconductivity: A Two-Step Path

[Xiuging Huang](#)*

Posted Date: 29 July 2025

doi: 10.20944/preprints202507.2467.v1

Keywords: Planckian ground state; No-glue mechanism; Two-step phase transition; Superconducting transition temperature formula; Unified high-temperature superconducting phase diagram



Preprints.org is a free multidisciplinary platform providing preprint service that is dedicated to making early versions of research outputs permanently available and citable. Preprints posted at Preprints.org appear in Web of Science, Crossref, Google Scholar, Scilit, Europe PMC.

Copyright: This open access article is published under a Creative Commons CC BY 4.0 license, which permit the free download, distribution, and reuse, provided that the author and preprint are cited in any reuse.

Disclaimer/Publisher's Note: The statements, opinions, and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions, or products referred to in the content.

Article

Planckian Quantization to Superconductivity: A Two-Step Path

Xiuqing Huang ^{1,2}

¹ Department of Telecommunications Engineering ICE, Army Engineering University of PLA, Nanjing 210007, China; xiuqing_huang@163.com

² National Laboratory of Solid State Microstructures of Physics, Nanjing University, Nanjing 210093, China

Abstract

High-temperature superconductivity remains a key challenge in modern physics. This study identifies its superconducting state as the Planckian ground state, proposing a no-glue theory based on symmetry breaking of localized electrons in polyhedral quantum wells. Unlike conventional direct metal-to-superconductor transitions, we reveal a two-step pathway: an internal temperature-induced metal-insulator transition, followed by an electric-field-driven insulator-to-superconductor transition. The analytically derived, crystal-structure-determined formula $T_c = \lambda/\xi^2$ accurately predicts T_c for all cuprate and iron-based superconductors. It uniformly resolves puzzles: 4×4 nematic phase, $1/8$ anomaly, pseudogap-superconductivity competition, linear pseudogap decay with hole doping, and strange-metal linear resistivity. Our result yields a universal cuprate phase diagram, matching experiments and advancing the unified theory of strongly correlated many-body systems.

Keywords: Planckian ground state; no-glue mechanism; two-step phase transition; superconducting transition temperature formula; unified high-temperature superconducting phase diagram

Nearly four decades of research on copper oxide high-temperature superconductors (HTSCs) has yielded a rich and complex phase diagram [1]. Fundamentally, the primary distinction between cuprates and conventional superconductors lies in their doped nature [2,3]. Remarkably, subtle adjustments to the doped carrier concentration can trigger nearly all known condensed matter phase transitions [4,5]. These phenomena defy explanation within the framework of existing solid-state theories, presenting a severe challenge to traditional electron theory [6–8]. Undoubtedly, there is an urgent need for a universal mechanism that enables both explanation and prediction. This mechanism must not only consistently describe the zero electrical resistance and Meissner effect of the superconducting state but also elucidate various anomalous phenomena in the normal state [9–16]. It should account for not only copper-based superconductors but also emerging classes of superconducting materials [17,18].

Recent experimental insights enable a universal, self-consistent high-temperature superconductivity (HTS) theory with explanatory and predictive power, underpinned by four key observations:

(1) In correlated superconductors, the electron mean free path is subatomic (theoretically infinite), violating the Mott-Ioffe-Regel (MIR) limit and breaking well-defined quasiparticle excitations [19,20].

(2) Shot noise measurements in strange metals challenge discrete quasiparticle current models, showing electric and superconducting currents arise without quasiparticles [21].

(3) In overdoped HTSCs and FeSe, the positive T_c -resistivity coefficient correlation [22,23] shows higher resistivity may enhance T_c , while overly low resistivity hinders it. This paradox implies superconductivity arises from an insulating (not metallic) background, consistent with good conductors (e.g., Au, Ag, Cu) lacking superconductivity, while HTS occurs in non-metallic cuprates.

(4) In topological insulator YbB₆, STM/STS studies reveal nanoscale coexistence of insulating and conducting domains [24]. Analogous to charge-ordered structures in doped high- T_c superconductors (parented by Mott insulators), this coexistence further confirms that current/supercurrent is non-carrier-driven.

This paper defines the dissipation-free superconducting state as a Planck energy-quantized ground state, realized via the Planckian limit $\hbar/\tau = k_B T$ [25,26]. Unlike conventional theories describing direct metal-superconductor transitions below T_c , our framework reveals a two-step pathway: (1) Metal-insulator transition at $T < T_c$, where ultralow temperatures localize electrons in polyhedral quantum wells via coherent condensation to form an insulating Wigner crystal; (2) Insulator-superconductor transition, where an external electric field induces collective electron micro-displacement relative to ionic lattices, forming a polarization capacitor (Coulomb-attractive electron-hole pairing, requiring no additional "glue" [6]) that triggers symmetry breaking and Maxwell's displacement current. This mechanism generates dissipation-free current without quasiparticle motion, consistent with the four key experimental findings above.

We derive the critical formula $T_c = \lambda/\xi^2$, where ξ is the quantum well depth determined by the lattice constant, and λ represents the electron-lattice correlation strength in quantum well states. This formula precisely predicts T_c for cuprate and iron-based superconductors using lattice constants, aligning excellently with experimental data and implying universal applicability. We uncover dualistic relationships between electron-ordered structures and doping [27], as well as superconducting and pseudogap phases [28]. Our analytical derivation of the HTSC phase diagram comprehensively accounts for all observed phase transitions. Remarkably, the theory resolves two enduring enigmas: the linear decline of pseudogap magnitude with doping in underdoped regions [29] and the linear temperature dependence of resistivity in overdoped strange metals [30].

Quantized Polyhedral Quantum-Well and Planckian Limit

Blackbody radiation, a foundational physics phenomenon that spurred the quantum revolution and reshaped understanding of the microcosm, may also resolve the HTS puzzle. Blackbody experiments show electrons emit radiation and dissipate energy at $T \neq 0$, yet superconductors exhibit zero energy dissipation for $0 < T < T_c$. Thus, superconducting research hinges on explaining how the thermodynamically stable superconducting state avoids spontaneous energy radiation in temperature fields.

The first high-temperature superconductor was achieved by doping insulator La_2CuO_4 with Sr/Ba [31]. In the undoped parent compound (Figure 1A), quantum well ions show perfect xyz -directional symmetry, causing complete overlap of positive and negative charge centers. This fully shields localized octahedral electrons via the $+e$ shell (right inset) or renders them undetectable, making them unresponsive to external fields; these are termed insulating electrons. When Sr is doped, Sr^{2+} substitutes La^{3+} in two ways. Upper-position doping (Figure 1B) breaks charge balance at the vertices and the octahedron's mirror symmetry, pushing electrons upward from the CuO_2 plane to a new equilibrium position above and leaving a hole at their original site. Lower-position doping (Figure 1C) similarly pushes electrons downward from the CuO_2 plane, forming a hole. Doping induces symmetry breaking, generating upward and downward electron-hole electric dipoles (right insets of Figure 1B and C) that can be depicted by spin-up and spin-down states. These electrons, no longer shielded, respond to external fields and are called metallic or superconducting electrons.

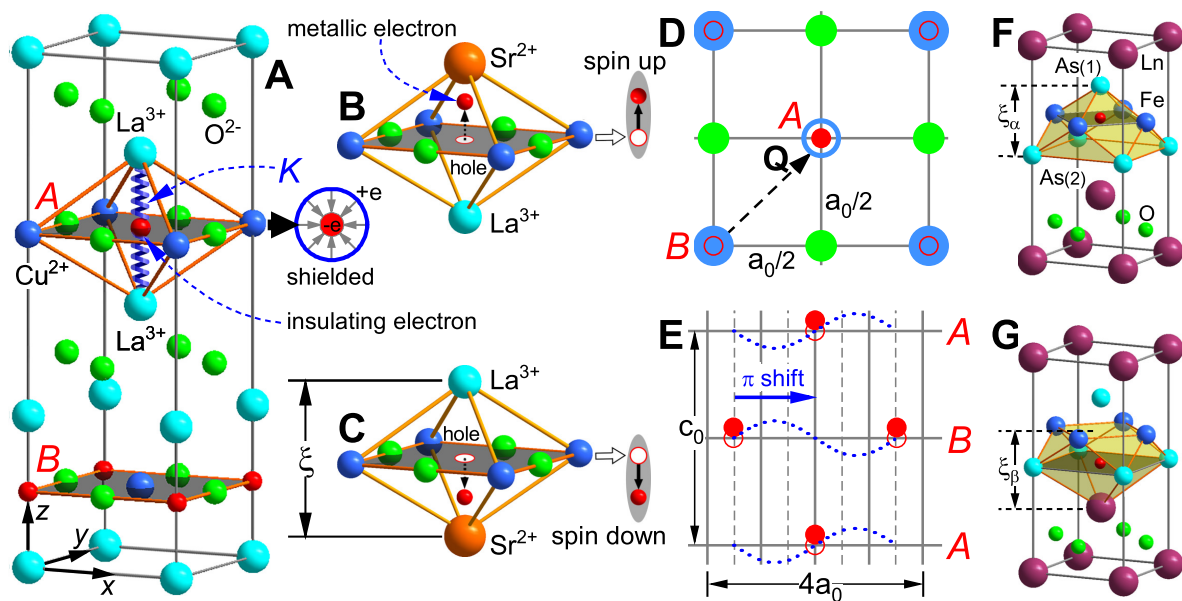


Figure 1. Localized electrons in quasi-2D high-temperature superconductors. (A) Undoped La_2CuO_4 features mirror-symmetric octahedral quantum wells with shielded insulating electrons, modeled as Planck-quantized harmonic oscillators. (B and C) Doping breaks mirror symmetry, generating holes and electron-hole pairs; ξ denotes quantum well depth. (D) CuO_2 layers show A/B-type displacement by $\mathbf{Q} = (a_0/2, a_0/2)$. (E) π -shifted ABA-stacked CuO_2 layers form a $4a_0 \times c_0$ Wigner crystal on the nonsuperconducting xz -plane for doped electrons. (F and G) Iron-based LnFeAsO ($\text{Ln} = \text{La}, \text{Sm}, \text{Ce}, \text{Nd}, \text{Gd}, \text{etc.}$) superconductors have two polyhedral quantum well types: electrons localize in Fe (F) or As (G) layers, differing from flat CuO_2 in cuprates. The puckered FeAs structure enables dual superconducting transitions, with the transition between the two superconducting phases achievable via direct transitions of localized electrons between Fe and As layers. In the theory, well depths ξ , ξ_α , ξ_β directly determine T_c .

Return to Figure 1A again, according to Planck's quantum hypothesis, the localized electron in the figure can be simplified as a quasi-one-dimensional harmonic oscillator. Here, K represents the stiffness coefficient, which is determined by the Coulomb confinement strength of ions at the two vertices of the octahedral quantum well. The intrinsic frequency ν of the harmonic oscillator can then be expressed as:

$$\nu = \frac{\omega}{2\pi} = \frac{1}{2\pi} \sqrt{\frac{2K}{m_e^*}} \quad (1)$$

where ω is the angular frequency and m_e^* is the effective mass of electron.

Eq. (1) represents the minimum vibration frequency or the natural frequency of Planck's quantization theory. For a given material, it has a definite value of K and a definite Planck energy quantum $E = h\nu$. An electron harmonic oscillator must absorb and radiate a complete energy quantum through resonance. However, if the thermal energy provided externally is less than the minimum energy quantum, the thermal vibrations of all electrons will be suppressed entirely. At this point, the electrons will condense into a insulating state with zero entropy and no energy consumption.

Based on the thermodynamic principle of energy equipartition, a superconductor with parameter K may have a specific critical temperature T_c satisfying the following relationship:

$$h\nu = \hbar\omega = \frac{\hbar}{\tau} = k_B T_c \quad (2)$$

where k_B is the Boltzmann constant and τ represents the relaxation time of the harmonic oscillator or the scattering rate.

The relationship described by Eq. (2) universally exists as the Planckian limit in various superconducting materials, with its physical significance readily interpretable. First, T_c embodies a

quintessential quantum effect: were Planck's constant $h = 0$, T_c would necessarily vanish. Second, T_c serves as an intrinsic material property, precisely characterizing the inherent frequency of superconductors. Therefore, increasing the K -value (stiffness constant) is the sole method to enhance T_c . Harder and more brittle materials (e.g., ceramics) with larger K values are more likely to achieve higher T_c , while more ductile materials (e.g., good conductors like gold, silver, and copper) have smaller K values, leading to low or no superconductivity. Based on the definition of resistivity, we can also derive the relationship between the intrinsic resistivity of superconducting materials and their corresponding T_c :

$$\rho_c = \frac{A_c}{\tau} = \frac{A_c k_B}{\hbar} T_c \quad (3)$$

where A_c is a material-dependent constant.

As shown in Eq. (3), ρ_c correlates positively with T_c : poorer conductivity implies stronger superconductivity or a higher transition temperature, contradicting conventional solid-state electron conduction theory. However, recent experiments found $T_c \propto \sqrt{A_1}$ [22,23], where A_1 is the linear resistance coefficient, a finding that perfectly validates the physical meaning of Eq. (3). Despite their apparent opposition, this relation reveals an intrinsic unity between the dissipationless superconducting transition temperature and dissipative resistance. This ubiquitous parameter relation in various systems suggests a universal mechanism underlies resistivity and unconventional superconductivity.

Our research, based entirely on the single-electron approximation, posits that indistinguishability of electron states is prerequisite for the superconducting electron state as a stable thermodynamic system free from thermal fluctuations. That is, clarifying one local electron's properties reveals all electrons' behavior. This assumption simplifies the quantum many-body problem to a single-particle one and addresses coherent condensation in the superconducting state. Achieving this requires accounting for structural specifics of high-temperature superconductor crystals. As Figure 1A shows, each unit cell typically contains two copper oxide planes (A and B) with xy -plane projections differing by vector $\mathbf{Q} = (a_0/2, a_0/2)$ (Figure 1D). This enables superconducting electrons to form a π -shifted triangular stable Wigner symmetric structure in the non-superconducting xz -plane (Figure 1E). Notably, many high-quality copper oxide superconductors have lattice constants with $c_0/a_0 \approx 4$, e.g., $\text{Bi}_2\text{Sr}_2\text{CuO}_{6+\text{ff}}$ (Bi2201, $c_0/a_0 = 4.13$ [32]) and $\text{Ca}_2\text{CuO}_2\text{Cl}_2$ (CCOC, $c_0/a_0 = 3.89$ [33]). Subsequent sections will further show that all observed charge-ordered phases, exotic phase transitions (especially STM results with four-unit-cell periodicity) derive fundamentally from Figure 1E.

Universal Inverse-Square Law of T_c

From Eqs. (1) and (2), the magnitude of K directly determines that of T_c . The parameter K , characterizing material ductility or brittleness, is fundamentally determined by lattice structure. This explains why doping, pressure, etc., affect T_c in superconductors by altering lattice parameters. As shown in Figure 1C, the depth ξ of the polyhedral quantum well is the key factor influencing T_c : smaller ξ enhances Coulomb confinement of local electrons by ions at both vertices, leading to synchronous increases in K and T_c . For simplification, assuming the effective charge of polyhedral vertex ions is $Q_1 = Q_2 = +e$ and the electric field energy density at the electron's position is P , we have:

$$K = \sigma P = \frac{\sigma \varepsilon_0}{2} E^2 = \frac{\sigma e^2}{2\pi^2 \varepsilon_0 \xi^4} \quad (4)$$

where σ is an undetermined constant related to the properties of the material. Substituting Eq. (4) into Eq. (2), we can immediately obtain:

$$T_c = \frac{2e\hbar}{\pi k_B} \sqrt{\frac{\sigma}{m_e^* \varepsilon_0}} \frac{1}{\xi^2} = \frac{\lambda}{\xi^2} \quad (5)$$

where λ represents the coupling strength between electrons and quantum wells, which is called the correlation strength factor.

Table 1. The relationship between T_c and the depth ξ of localized electron quantum well in Cu- and Fe-based superconductors. 1st column: Superconductor compounds. 2nd column: Corresponding superconducting transition temperature (T_c). 3rd to 5th columns: Depths of different quantum wells in the superconductors (bold values denote superconducting phases corresponding to T_c). 6th column: Correlation strength factor calculated by $\lambda = T_c \xi^2$.

Compound	T_c (K)	ξ_α (Å)	ξ_β (Å)	ξ_γ (Å)	λ
YBa ₂ Cu ₃ O _{7-ffi}	93	3.6720			1254
Bi ₂ Sr ₂ Ca ₂ Cu ₃ O _{10+ffi}	110	3.1511	3.3272		1217
TlBa ₂ CaCu ₂ O _{7+ffi}	103	3.5771			1317
TlBa ₂ Ca ₃ Cu ₄ O _{11+ffi}	112	3.2453	3.5025		1373
TlBa ₂ Ca ₂ Cu ₃ O _{10+ffi}	120	3.2381	3.5305		1258
Tl ₂ Ba ₂ Ca ₂ Cu ₃ O _{10+ffi}	128	3.3053	3.5252		1393
HgBa ₂ CuO _{4+ffi}	94	3.8241			1374
HgBa ₂ Ca ₂ Cu ₃ O _{8+ffi}	134	3.2108	3.4241		1380
LaFeAsO _{1-x} F _x	43	2.6723	3.0749		406
SmFeAsO _{1-x} F _x	43	2.7291	3.0792		407
SmFeAsO _{1-x} F _x	55	2.7149	3.0433		405
TbFeAsO _{1-x} F _x	45	2.7567	3.0501		418
GdFeAsO _{1-x} F _x	53.5	2.7454	3.0568		403
PrFeAsO _{1-x} F _x	52	2.6857	3.0591		375
NdFeAsO _{1-x} F _x	50	2.7227	3.0418		371
CeFeAsO _{1-x} F _x	41	2.6930	3.0777		388
LaFeAsO	41	2.6608	3.0912		392
LaYFeAsO	42	2.6840	3.0912		401
BaKFe ₂ As ₂	38	2.7650	3.3242		419
CaKFe ₄ As ₄	35	2.5617	2.9276	3.3874	402
KCa ₂ Fe ₄ As ₄ F ₂	33	2.8406	3.0301	3.4353	390
K _{0.8} Fe _y Se ₂	32		3.534		400
	48.7	2.836			393

Table 1 shows the experimentally measured superconducting transition temperature T_c of cuprate and iron-based superconductors versus the depth ξ of polyhedral quantum wells, both following the inverse-square relationship in Eq. (5). The difference lies in the correlation strength factors, with average values of $\lambda(Cu) \simeq 1300$ and $\lambda(Fe) \simeq 400$, three times higher for cuprates, indicating stronger electron correlation and higher T_c . Notably, the record T_c of cuprates (164 K) [34] is also three times that of iron-based superconductors (55 K) [35]. It has been revealed that the same superconductor can typically form two quantum well structures, corresponding to the frequently observed two superconducting domes with distinct T_c values, such as in cuprates [36] and iron-based compounds [37]. In particular, two groups independently identified superconducting phases at 43 K and 55 K in SmFeAsOF (Rows 10-11 of Table 1) in 2008 [35,38], and our theory predicted T_c values of 42.2 K and 54.3 K, which are in close agreement with the experiments. Sun et al. observed dual transitions at 32 K and 48.7 K in high-pressure KFeSe [37], and our model predicted 32.1 K and 49 K (last two rows of Table 1). Additionally, quantum wells act as quantum resonators where the resonance energy of localized electrons follows the same inverse-square law. For iron-based superconductors, $E_R \simeq 115/\xi^2$ (meV). Xie et al. found three resonance peaks at 9.5, 13.0, and 18.3 meV in CaKFe₄As₄ via neutron spin scattering [39], while our calculations based on the three resonator heights (fourth last row of Table 1) yield 9.9, 13.4, and 17.6 meV, consistent with the experimental results.

Superconductivity in La₃Ni₂O₇ with $T_c \simeq 80$ K under pressures above 14 GPa [18] has reignited global interest in Ni-based superconductors [40–42]. A pivotal question remains: Can Ni-based materials exceed Cu-based T_c limits? Theories suggest Ni-based T_c may surpass Cu-based values and even approach room temperature [40]. Our analysis shows Ni- and Cu-based superconductors share octahedral quantum well structures (Figure 1A, Cu²⁺ $\rightarrow$ Ni^{2.5+}). Using $\xi = 3.631$ Å [18] in Eq. (5), we predict a maximum $T_c = 100$ K for Ni-based systems. Recent work by Wang et al. confirms this trend: La₂PrNi₂O_{7-ffi} exhibits $T_c = 82.5$ K (2.5 K higher than La₃Ni₂O₇) due to Pr-induced lattice contraction

($R(\text{La}^{3+}) = 103 \text{ pm}$, $R(\text{Pr}^{3+}) = 99 \text{ pm}$). The theoretical $\Delta T_c \simeq 3.1 \text{ K}$ aligns well with experimental results [42].

To the best of our knowledge, Eq. (5) represents the first formula capable of accurately predicting the superconducting transition temperature exclusively from crystal structure parameters. This theoretical framework not only enhances our mechanistic understanding of superconducting phenomena but also paves the way for unraveling the enigmatic behavior of matter at the microscale.

Doping Concentration, Charge Order, Symmetry Breaking and Superconducting Transition

Increasing evidence suggests that layered superconductors exhibit striped superconductivity, where the charge stripe phase is quasi-one-dimensional. Experiments further indicate that superconductivity originates from charge stripes extending along the crystal's b -axis, with significantly higher superfluid density than in the a -axis direction [43]. As shown in Figure 1E, the charge π -shift structure on the non-superconducting ac -plane is fixed, confining doping concentration changes to the b -axis direction. Based on the indistinguishability of superconducting electrons and electron crystal stability, the quasi-one-dimensional doping along the b -axis is assumed periodically uniform, such that the doping concentration can be expressed as:

$$x_m = \frac{2}{4 \times (m + 2)}, \quad m = 0, 1, 2, \dots \quad (6)$$

where m , the doping principal quantum number, determines many critical properties, including high-temperature superconducting charge order, pseudogap, strange metal behavior, and phase diagrams.

The physical meaning of Eq. (6) is straightforward: the numerator 2 denotes two types of CuO_2 planes (A and B) along the c -axis, the denominator 4 represents the charge stripe spacing along the a -axis, and m can be written as p/q (where p is the number of undoped vacancies and q is the number of doped sites in quasi-one-dimensional stripes). Figure 2, A to D depicts four characteristic real-space charge-ordered patterns in cuprate superconductors governed by Eq. (6). Figure 2A depicts the overdoped regime ($m = 0$, $p = 0$, $x = x_0 = 1/4$) with complete filling of doping sites (see the top view on the right); any additional doping destabilizes the charge order and quenches superconductivity. Figure 2B illustrates optimal doping ($m = 1$, $p = q$, $x = x_1 = 1/6$), where one-dimensional superconducting chains reach half-filling to maximize T_c . Figure 2C demonstrates the $1/8$ anomaly [44] at $m = 2$ ($x = x_2 = 1/8$), where equal charge stripe periodicities along the a - and b -axes trigger an LTO $\rightarrow$ LTT phase transition. This transition pins electrons into stable yellow octahedra, forming an isotropic checkerboard pattern. The resulting structure exhibits insulating behavior, suppressing the superconducting phase transition. Finally, Figure 2D shows the underdoped state ($m = 3$, $x = x_3 = 1/10$), where sparse carriers reduce interelectronic coupling, weakening ordered-state stiffness and K values, thus lowering T_c .

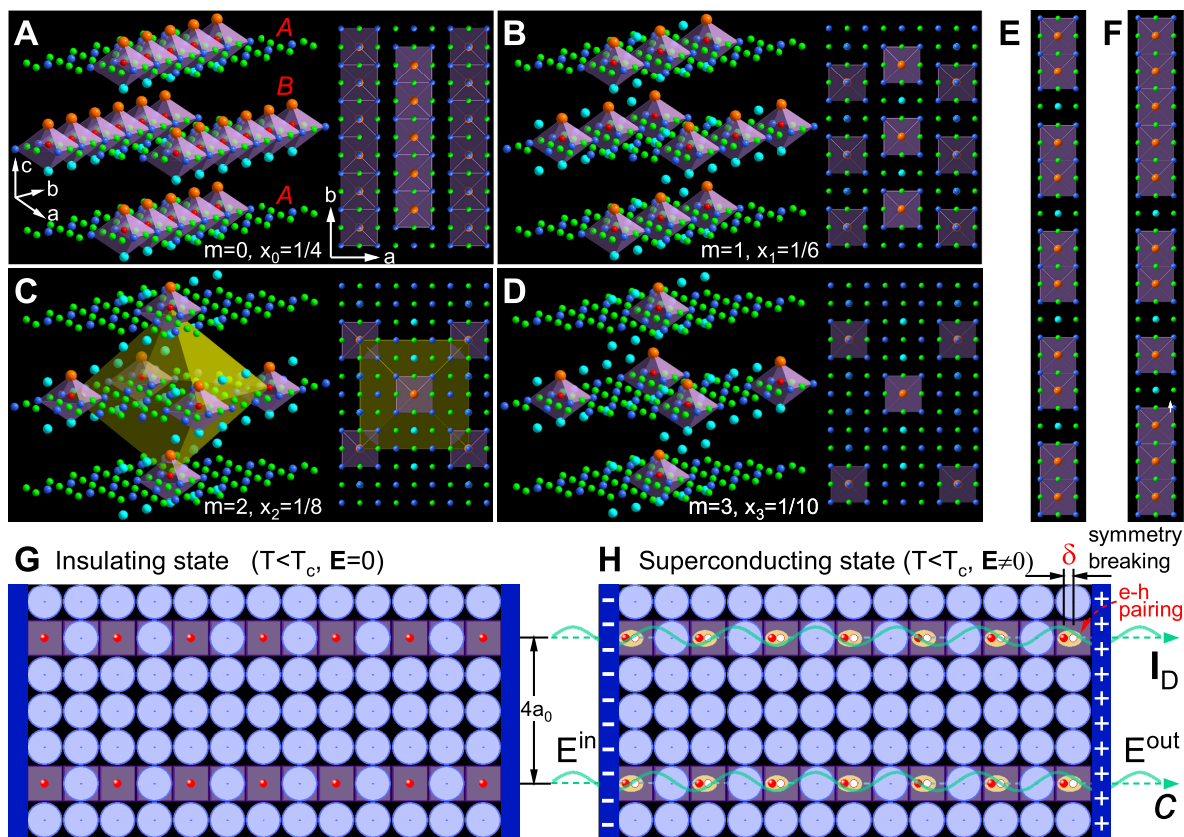


Figure 2. Evolution of charge-ordered structures with doping and two-step superconducting transitions in cuprates. (A) At $m = 0$: Highest-doped superconducting state with saturated quasi-1D charge stripes as inset. (B) At $m = 1$ (optimal doping): Semi-filled charge stripes; inset shows coexisting doped conducting and undoped insulating domains [24]. (C) At $m = 2$ (magic doping): Electrons form non-superconducting 4×4 checkerboard charge order via repinning. (D) At $m = 3$ (underdoped). (E) At fractional $m = 1/2, x = 1/5$. (F) Disordered-doped quasi-1D stripes. (G) Below T_c , electrons in optimally doped CuO_2 (A) condense into a rigid insulating state; coherent condensation occurs without superconducting transition. (H) External electric fields induce symmetry breaking and e-h pairing, forming polarization capacitors for lossless Maxwell displacement currents (Electromagnetic field energy propagating at speed c).

It should be noted that Figure 2, A to D show the ideal theoretical results of long-range charge-ordered structures with integer doping. In experiments, fractional doping (Figure 2E), disordered doping (Figure 2F), mixed doping, and local inhomogeneous doping occur due to variations in doping concentration, temperature, etc. Different dopings induce commensurate/incommensurate and long/short-range electron orders, jointly forming the rich high-temperature superconducting phase diagram [1]. Regarding the relationship between Figure 2 A to D charge ordering structures and superconductivity: Traditional views suggest that superconducting phase transition occurs spontaneously at $T < T_c$. However, we propose a different perspective: Superconducting phase transition must be accompanied by symmetry breaking, while cooling alone does not induce macroscopic symmetry breaking. Take $m = 1$ as an example: Below T_c , all half-doped quasi-1D electrons in the CuO_2 plane freeze at equilibrium positions (Figure 2G). Due to the lattice field symmetry shielding effect, electrons condense into a rigid insulating state. Despite coherent condensation, the superconducting phase transition has not yet occurred.

Figure 2H shows that an external electric field induces collective electron displacement δ and symmetry breaking, which microscopically resembles electron-hole pairing (requiring no additional "glue" [6]) or the generation of quantized capacitance (yellow ellipse). These structures act as capacitive elements in large-scale integrated circuits, and their superposition forms macroscopic polarized charges and total capacitance at the ends of the superconductor. According to Maxwell's hypothesis, a displacement current I_D arises within the superconductor. The superconducting current, essentially

an electromagnetic wave that requires no directional electron motion, can propagate noiselessly and unimpeded during transmission even when the confined quasi-1D quantum channels are not fully doped (with spatially segregated conducting (doped) and insulating (undoped) regions) [21]. Notably, quasi-1D electronic structures serve as a universal guarantee for maintaining superconductivity in various monolayer 2D superconductors [45–47].

The superconducting phase transition is a two-step process, with the intermediate insulating state being crucial. Strictly speaking, superconductivity is impossible without the insulating state. In metals like gold, silver, and copper, electrons cannot completely freeze into an insulating state under existing low-temperature conditions, hence no superconductivity is exhibited. Everything has two sides, though: if the insulating property (locality) is too strong, as in the undoped parent compounds of high-temperature superconductors, an external field cannot drive the symmetry breaking of electron states as shown in Figure 2H, preventing the superconducting phase transition from occurring.

It is well-known that stripe phases with 4-fold lattice periodicity widely exist in cuprate superconductors, though theoretical interpretations of their origin remain controversial. Here, we propose a plausible explanation: these phases arise from intrinsic double CuO_2 layers (A/B) and π -shift stability in high- T_c materials (Figure 1E). In extreme underdoping ($p \gg q$), sparse charge carriers fail to form long-range order due to weak interactions/competition, driving electrons to form short-range $4 \times 4 \times 1$ near-cube incommensurate clusters. Recently, two teams independently observed via STM local nematic states of typical size $4a_0 \times 4b_0$ in (Bi2201) [32] and (CCOC) [33] systems, providing new experimental support for our mechanism.

Figure 3A shows the $4a_0 \times 4b_0$ plaquette cluster in CCOC, where Na^{1+} replaces Ca^{2+} , breaking mirror symmetry and inducing hole doping in octahedral quantum wells. From stability and symmetry, doping at the central position of the upper CuO_2 layer forms three connected octahedral quantum wells (A), whose electrons correspond to the brightest central bar in STM experiments. Doping at $\pm 1.5a_0$ in the lower CuO_2 plane forms symmetrically arranged four-connected octahedral quantum wells (B_1 and B_2). As the lower CuO_2 plane (B) is farther from the cleavage plane ($h_B > h_A$), its STM bars appear darker. Based on Figure 3A, the theoretical DOS peak result is qualitatively shown as the red line in Figure 3B. The intensity ratio of the central peak to secondary peaks is quantitatively determined by h_A/h_B . Taking the central peak as 1, secondary peaks equal $k = h_A/h_B$. For CCOC and Bi2201, h_A and h_B are (2.742 Å, 10.263 Å) and (4.662 Å, 17.175 Å), giving k values of 0.267 and 0.271, respectively. Then, the value of the two secondary peaks is approximately 0.27 (red dotted line in Figure 3B). Experimental results for CCOC (green) and Bi2201 (blue) show good agreement for Bi2201, while CCOC has secondary peak position/intensity errors, likely due to scanning speed and probe-sample distance.

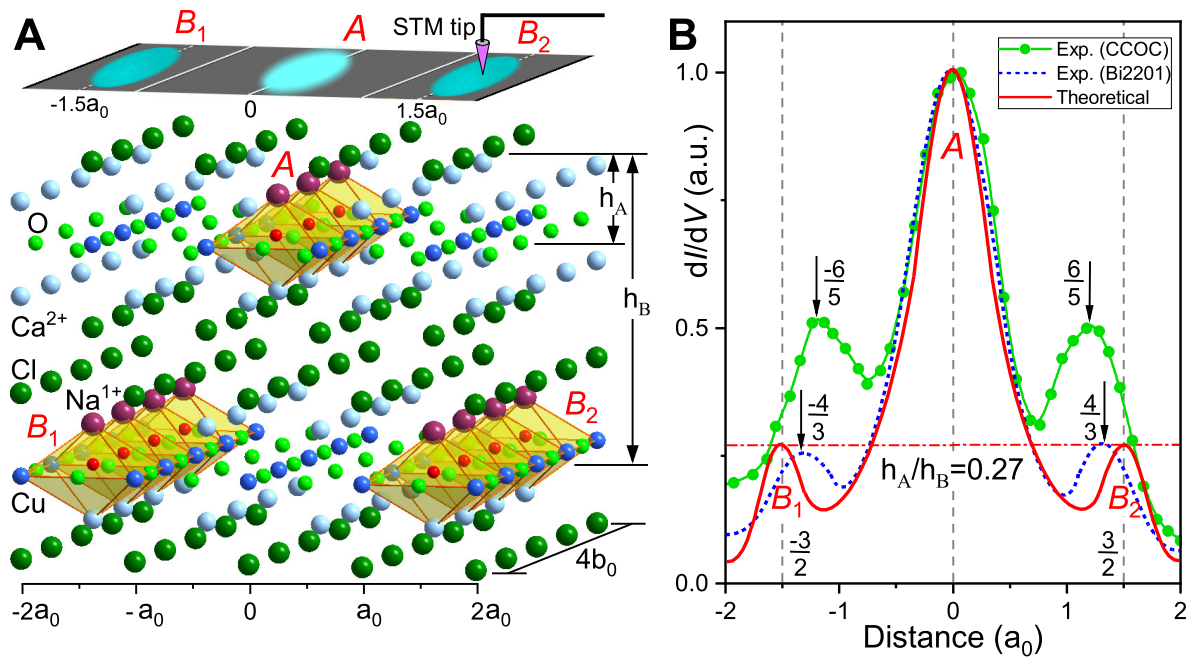


Figure 3. Explanation of local nematic states in Copper-based Superconductors. (A) In the $4a_0 \times 4b_0$ plaquette of CCOC, the metallic electrons from the three quantum wells (A) in the central of the upper CuO₂ layer contribute to the central brightest bar in the STM experiment, while electrons from the four quantum wells (B₁ and B₂) on both sides of the lower layer contribute to the two sidebars. (B) The normalized DOS peaks are represented by red as theoretical results, with smaller peaks appearing at $\pm 1.5a_0$. The experimental results for CCOC and Bi2201 are shown in green and blue, respectively, with smaller peaks around $\pm 1.2a_0$ and $\pm 1.3a_0$. The red dotted line represents the weak peak value of 0.27, which is determined by the distances (h_A and h_B) between the CuO₂ planes and the cleavage plane.

Pseudogap, Strange Metal, Linear Dependence and Phase Diagram

Two perfect linearities represent two major puzzles in condensed matter physics. In cuprate superconductors, experiments such as tunneling spectroscopy and angle-resolved photoemission spectroscopy (ARPES) have shown that the pseudogap decreases linearly with hole-doping concentration, deviating from the λ -shaped evolution of T_c [29]. In the overdoped regime of HTSCs (the strange metal state), the normal-state resistivity exhibits a universal linear dependence on temperature [30]. We propose a theoretical framework to explain these two phenomena within our current model.

The peculiar pseudogap exhibited by HTSCs in the normal state has been extensively verified by experiments. However, its origin and relationship with the superconducting mechanism remain controversial. Notably, the pseudogap strongly depends on the doping concentration. Through analyzing extensive experimental data, Hüfner et al. concluded the coexistence of two energy scales in HTSCs [29], as shown in Figure 4. They found that the superconducting energy scale follows a dome-shaped curve (denoted as $E_{sc} = 42[1 - 82.6(0.16 - x)^2]$ meV), while the pseudogap can be represented by a linear relationship $E_{pg} = 152(0.27 - x)/0.22$ meV.

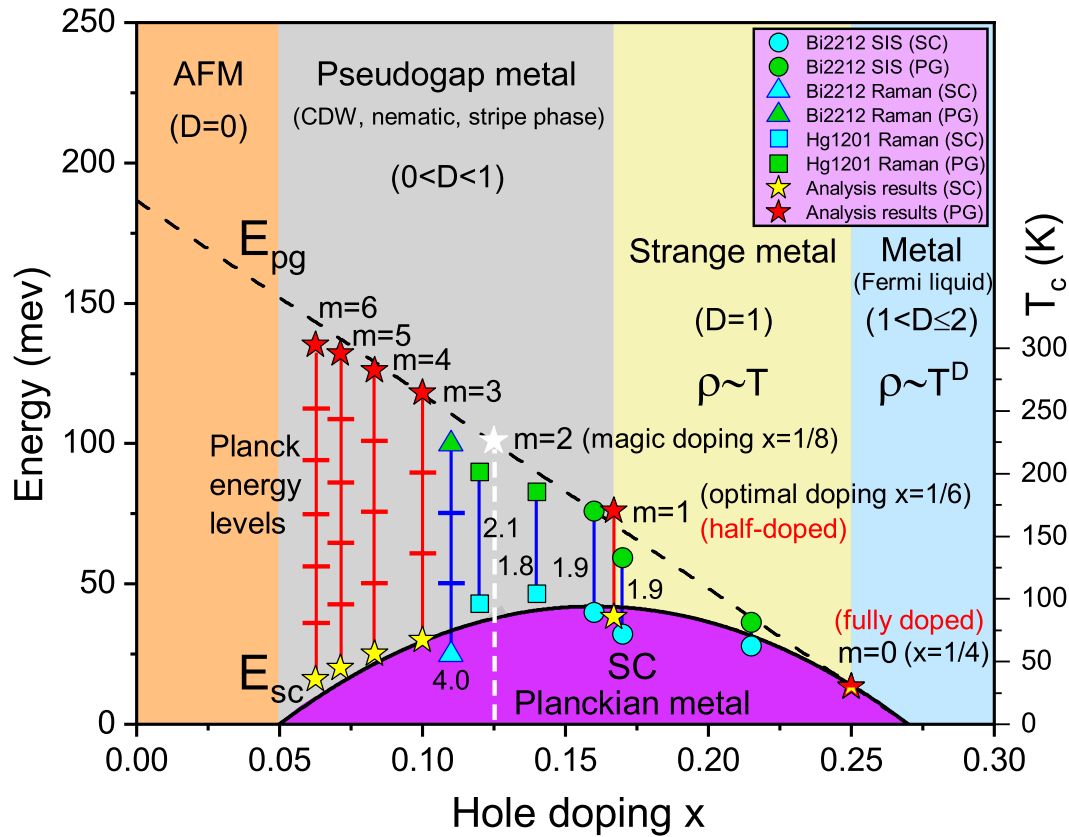


Figure 4. HTSC theoretical phase diagram. The diagram shows five phases: a dome-shaped superconducting phase and four others separated by half-doping ($x = 1/6$) and full-doping ($x = 1/4$): undoped AFM (orange), underdoped pseudogap metal (gray), overdoped strange metal (yellow), and heavily overdoped Fermi liquid (blue). Triangles/squares represent experimental two-gap measurements in HTSCs, consistent with Hüfner et al.'s fitting for the dome-shaped superconducting scale and linear pseudogap [29]. Pentagrams denote theoretical dual gaps: yellow star (Planck ground state) and red pseudogap (Planck excited state related to doping quantum number m), with distinct evolution patterns. In the model, normal-state phenomena depend on real-space electron structure dimension D : $D = 0$ (undoped), $0 < D < 1$ (weak doping, short-range clusters), $D = 1$ (strange metal region, 1D electron states causing linear-in-T resistivity), and $D > 1$ (Fermi liquid, disrupting 1D charge order in Figure 2A and π -shift structure in Figure 1E, leading to failed linear resistivity).

Interestingly, it will be shown that the integer m in Eq. (6) governs the superconducting properties and exhibits a remarkably close correlation with the pseudogap. We find that the pseudogap E_{pg} and the superconducting energy scale E_{sc} satisfy the following quantization relationship:

$$E_{pg} = (m + 1)E_{sc} \quad m = 0, 1, 2, \dots \quad (7)$$

Figure 4 shows E_{sc} exhibits a dome-shaped dependence on doping concentration x (yellow stars), while E_{pg} decreases linearly with x (red stars). This gap discrepancy stems from their origins: E_{sc} originates from the Planck ground state, and E_{pg} from Planck excited states. As in Figure 2A, when $m = 0$ (saturation occupancy), all polyhedral quantum wells in the 1D chain are occupied, closing the pseudogap. Reducing doping creates vacancies, gradually opening the pseudogap. At optimal doping ($m = 1$, half-filling in Figure 2B), E_{pg} completely opens to twice E_{sc} , corresponding to electron transitions from the ground to first excited state. Four sets of experimental data near optimal doping confirm $E_{pg} \approx 2E_{sc}$, indicating half-filling dominance. The $1/8$ anomaly suppresses superconductivity in the underdoped region, preventing $E_{pg} = 3E_{sc}$ (white stars). At $x_3 = 1/10$, three vacancies between quantum wells (Figure 2D) induce a third excited-state pseudogap with $E_{pg} = 4E_{sc}$, matching the quadruple E_{sc} signal (triangles) near $x = 1/10$. As m increases, excited-state energy levels rise, driving the pseudogap to depend linearly on doping. As shown in Figure 2A to D and

Eq. (7), the superconducting phase arises from doped quantum well electrons (conducting domains), while undoped vacancies (insulating domains) form the pseudogap phase. These two phases are a contradictory unity of competition and unity [28], with their proportions summing to one.

As illustrated in Figure 4, besides the purple superconducting region (referred to here as the Planck metallic state), the high-temperature superconducting phase diagram can be divided into four areas based on doping: orange for undoped antiferromagnetic Mott insulator, gray for underdoped pseudogap metal, yellow for strange metal, and blue for Fermi liquid. The dimension D in the phase diagram represents the charge-ordered phase and measures electron behavior in the normal state. Our research focuses on the anomalous resistivity behavior of strange metals, which corresponds to the mixed state between full doping (Figure 2A) and half-doping (Figure 2B), with the doping concentration expressed as:

$$x_s = p_0x_0 + p_1x_1 = \frac{4p_0 + 6p_1}{24} \quad (8)$$

where p_0 and p_1 are the proportions of the two states, satisfying $p_0 + p_1 = 1$.

The most critical feature of the mixed state defined by Eq. (8) is that it can ensure the π -shift stability in Figure 1E and the quasi-one-dimensional charge order and conductivity characteristics (Figure 2, A and B). Consequently, the strange metal arising from this mixed state necessarily satisfies $D = 1$. Generally, the temperature-dependent resistivity can be expressed as: $\rho(T) = aT + bT^2 = T^D$. For the strange metal's mixed state ($b = 0$), naturally leading to the universal linear temperature dependence of electrical resistivity $\rho(T) = aT$. When $x > x_0$ (saturation doping concentration), excess doping disrupts the π -shift stability and quasi-one-dimensional charge order, in this case $b > 0$; as doping increases, the $D = 2$ component emerges and increases, and the resistivity exhibits the conventional Fermi liquid behavior of metals.

Concluding Remarks

This study proposes a novel framework for HTSCs, where superconductivity stems from localized electrons in polyhedral quantum wells. It redefines the superconducting state as symmetry breaking of an external field-induced Planck metal, linking crystal structures intrinsically to the dissipationless Planck ground state. A key derivation, $T_c = \lambda/\xi^2$, accurately predicts T_c for cuprate and iron-based superconductors, matching experimental data. No additional quasiparticle glue is required. The theory addresses several long-standing puzzles in high-temperature superconductivity (HTS), including the Planckian limit of critical temperatures, the linear decay of the pseudogap with hole doping, the competition between pseudogap and superconducting phases, strange metal resistivity, 4×4 nematic phase, and $1/8$ checkerboard anomaly. Leveraging electron indistinguishability in the superconducting state, it simplifies quantum many-body problems to single-electron models, bypassing challenges like pairing mechanisms. It reinterprets the superconducting transition as an insulator transition below T_c , superconductivity emerges under external fields when Wigner crystals (Planck metals) undergo symmetry-breaking displacements, forming polarization capacitors for lossless Maxwell displacement current transmission without quasiparticles. Applications cover Fermi surface topology, d-wave symmetry, spin resonance, and pressure-induced transitions. Current research spans kagome lattices, MgB_2 , hydrogen-rich compounds, twisted bilayer graphene, and the quantum Hall effect, advancing understanding of superconductivity and quantum many-body theory in strongly correlated systems.

Acknowledgments: The author thanks Haihu Wen, Yayu Wang, Liling Sun, and Ningning Wang for sharing and the helpful discussion of their experimental results. The author would like to acknowledge Duan Feng for his invaluable suggestions and helpful discussions at the early stage of this research. The author is grateful to Shusheng Jiang, Changde Gong, and Dingyu Xing for their unwavering encouragement and support of the research process during his stay at Nanjing University.

Conflicts of Interest: The authors declare that they have no competing interests.

Data Availability Statement: All data are available in the main text or the supplementary.

References

1. B. Keimer, S. A. Kivelson, M. R. Norman, S. Uchida, J. Zaanen, *Nature* **518**, 179–186 (2015).
2. P. A. Lee, N. Nagaosa, X. G. Wen, *Rev. Mod. Phys.* **78**, 17–85 (2006).
3. H. Yang, et al., *Science* **344**, 608–611 (2014).
4. P. W. Phillips, et al., *Science* **377**, eabh4273 (2022).
5. P. Giraldo-Gallo, et al., *Science* **361**, 479–481 (2018).
6. P. W. Anderson, *Science* **31**, 1705–1707 (2007).
7. S. Dal Conte, et al., *Science* **335**, 1600–1603 (2012).
8. J. Zaanen, *Nature* **430**, 512–513 (2004).
9. S. Badoux, et al., *Nature* **531**, 210–214 (2016).
10. C. C. Tsui, J. R. Kirtley, *Rev. Mod. Phys.* **72**, 969–1016 (2000).
11. D. Fausti, et al., *Science* **331**, 189–191 (2011).
12. R. Comin, et al., *Science* **343**, 390–392 (2014).
13. E. Dagotto, *Rev. Mod. Phys.* **66**, 763 (1994).
14. J. Fischer, et al., *Rev. Mod. Phys.* **79**, 353–419 (2007).
15. D. N. Basov, T. Timusk, *Rev. Mod. Phys.* **77**, 721–779 (2005).
16. C. M. Varma, *Rev. Mod. Phys.* **92**, 031001 (2020).
17. Y. Kamihara, T. Watanabe, M. Hirano, H. Hosono, *J. Am. Chem. Soc.* **130**, 3296–3297 (2008).
18. H. L. Sun, et al., *Nature* **621**, 493–498 (2023).
19. S. Martin, et al., *Phys. Rev. B* **41**, 846 (1990).
20. O. Gunnarsson, M. Calandra, J. E. Han, *Rev. Mod. Phys.* **75**, 1085–1099 (2003).
21. L. Chen, et al., *Science* **382**, 907–911 (2023).
22. J. Yuan, Q. Chen, K. Jiang, et al., *Nature* **602**, 431–436 (2022).
23. J. Jiang, et al., *Nat. Phys.* **19**, 365–371 (2023).
24. A. Coe, et al., *Phys. Rev. Lett.* **134**, 236205 (2025).
25. S. A. Hartnoll, A. P. Mackenzie, *Rev. Mod. Phys.* **94**, 041002 (2022).
26. G. Grissonnanche, et al., *Nature* **595**, 667–672 (2021).
27. T. Hanaguri, et al., *Nature* **430**, 1001–1005 (2004).
28. M. R. Norman, D. Pines, C. Kallin, *Adv. Phys.* **54**, 715–733 (2005).
29. S. Hüfner, et al., *Rep. Prog. Phys.* **71**, 062501 (2008).
30. A. Legros, et al., *Nat. Phys.* **15**, 142–147 (2019).
31. J. G. Bednorz, K. A. Müller, *Z. Phys. B* **64**, 189–193 (1986).
32. S. S. Ye, et al., Preprint at <https://arxiv.org/abs/2309.09260> (2023).
33. H. Li, et al., *npj Quantum Mater.* **8**, 18 (2023).
34. L. Gao, et al., *Phys. Rev. B* **50**, 4260–4263 (1994).
35. Z. A. Ren, et al., *Chin. Phys. Lett.* **25**, 2215–2216 (2008).
36. R. A. Cooper et al., *Science* **323**, 603–607 (2009).
37. L. Sun, et al., *Nature* **483**, 67–69 (2012).
38. X. H. Chen, et al., *Nature* **453**, 761–762 (2008).
39. T. Xie, et al., *Phys. Rev. Lett.* **120**, 267003 (2018).
40. B. Geisler, et al., *npj Quantum Mater.* **9**, 38 (2024).
41. Y. Zhu, et al., *Nature* **631**, 531–536 (2024).
42. N. Wang, et al., *Nature* **634**, 579–584 (2024).
43. T. Wu, et al., *Nature* **477**, 191–194 (2011).
44. S. Komiyama, H. D. Chen, S. C. Zhang, Y. Ando, *Phys. Rev. Lett.* **94**, 207004 (2005).
45. D. Qiu, et al., *Adv. Phys.* **33**, 2006124 (2021).
46. Y. Yu, et al., *Nature* **575**, 156–163 (2019).
47. V. Fatemi, et al., *Science* **362**, 926–929 (2018).

Disclaimer/Publisher’s Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.