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Remiero

# The Role of TNF and Soluble TNF Receptors (TNFR1/2) as Therapeutic Targets for Inflammation Secondary to Intracerebral Stroke and Hemorrhage

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Abstract: Most neurodegenerative diseases, including Alzheimer's disease, ischemic stroke, subarachnoid hemorrhage, and intracerebral hemorrhage are associated with inflammation. Tumor necrosis factor (TNF) is a pleiotropic pro-inflammatory cytokine that regulates cerebral infarction in stroke pathology, and its action is influenced by the bioavailability of its membrane-bound receptors, TNFR1 and TNFR2, and microglial activation. During the initial onset of these diseases, the soluble variant of the cytokine presents with prolonged and excessive activation of TNFR1, resulting in cell death and long-term neurological impairments. Therapeutic interventions for neurodegenerative diseases have targeted TNF to limit the onset of neuroinflammation. Firstgeneration therapeutics have been demonstrated to inhibit membrane-bound TNF to TNFR2 receptor binding, resulting in severe side effects such as infections and cancer. As such, secondgeneration drugs, including XPro1595, have been developed to selectively inhibit soluble TNF and impede the effects of TNFR1 while still allowing for TNFR2 activation. Early results in murine TBI models demonstrate reduced glial reactivity by 50%, reduced dendritic degeneration by 30%, increased plasticity by 15%, and improved functional outcomes by 20% post-TBI. This scoping review of TNF receptors in various neurodegenerative diseases seeks to evaluate current and future therapeutic strategies as well as highlight potential strategies to eliminate confounding variables present in the current literature.

Keywords: cerebroprotection; ischemia; tumor necrosis factor

# 1. Introduction

Cytokines are part of a network of innate and acquired immune responses to brain injury and disease. Tumor necrosis factor (TNF) is a well-studied cytokine that contributes to neuroinflammation in a range of conditions including subarachnoid hemorrhage (SAH), intracerebral hemorrhage (ICH), and ischemic stroke (IS). Despite being recognized as a proinflammatory cytokine, TNF signaling is linked to diverse outcomes such as cell survival and proliferation. This multifaceted impact is attributed to the distinct downstream effects of TNF receptors, where TNF receptor 1 (TNFR1) activation yields detrimental effects, while TNF receptor 2 (TNRF2) activation can result in beneficial effects. This comprehensive review aims to elucidate the complexities and crosstalk inherent in TNF signaling as well as analyze current therapeutic

interventions designed to limit the threat of excessive inflammation and neuronal cell death associated with TNFR1 activation1. Since TNF can signal in its membrane-bound and soluble conformations, current therapeutic methods include neutralizing antibodies, TNF $\alpha$  converting enzyme (TACE) antagonists, and soluble receptor2–4. Moreover, this review demonstrates the potential of novel treatments such as Xpro1595 and evaluates certain limitations in the current research paradigm. Overall, this review highlights the potential of selectively targeting TNFR1 signaling pathways as a novel therapeutic approach. It also emphasizes the critical need for future research utilizing appropriate models to ensure the accuracy and replicability of results in human trials.

# 2. Materials and Methods

The literature in this review included peer-reviewed publications published between the years 1989 to 2022. The online databases utilized in this literature review included Embase, PubMed, Google Scholar, and Biogen Pipeline patent US20150239951A1. Pertinent search filters included TNF, receptors, neuroinflammation, ischemia, stroke, and cerebral hemorrhage. Selected literature consisted of peer-reviewed publications written in English, spanning preclinical and clinical case studies, cross-sectional studies, retrospective studies, meta-analyses, and systematic literature reviews. Exclusion criteria comprised non-peer-reviewed articles, conference abstracts, editorials, letters, and commentaries. Additionally, studies beyond the specified time period, not in English, solely focusing on cell lines, lacking clear methodological details, or not directly addressing TNF and its receptors in neurodegenerative diseases and injuries were excluded. These criteria were applied to ensure the inclusion of relevant and high-quality studies aligned with the review's objectives. The collected data were tabulated into clinical and preclinical reviews. Data variables gathered from each experimental publication, when applicable, included the species tested, population size, average age, sex predominance, study duration, drug administration, anatomical outcomes, and functional outcomes.

# 3. TNF Receptors

The majority of known cellular responses to TNF are mediated by either TNF receptors 1 (TNFR1) or 2 (TNFR2). Existing literature has focused on TNFR1 interactions due to its higher binding affinity and variable responses compared to TNFR2. TNFR1, expressed in all cell types, can be activated by both membrane-bound TNF (tmTNF) and soluble TNF (sTNF) [1]. TNFR2 is expressed primarily on endothelial cells and predominantly binds to tmTNF. While both variants contribute to cerebral-protection, TNFR2 has demonstrated some capacity in inflammatory reactions as well [2]. Despite this, most cells express both forms of the receptor, and each lead to unique responses [3].

# 3.1. TNFR1

To initiate a signal transduction event, homotrimeric TNF must bind to the cysteine-rich extracellular domains of membrane-bound TNFR homodimers [4]. The binding process can be inhibited by soluble variants of TNF receptors (sTNFR), which compete with membrane-bound receptors for ligands or directly bind to the N-terminal pre-ligand binding assembly domain, preventing the formation of active receptor trimers [5]. Upon binding to its ligand, TNFR1 homotrimerizes and interacts with intracellular signaling proteins through its death domain (**Figure 1**). This leads to the formation of complex I, consisting of TNFR1-associated death domain protein (TRADD), receptor-interacting protein kinase-1 (RIPK1), and TNF receptor-associated factor 2 (TRAF2) or TRAF5. Complex I can activate JUN NH2-terminal kinase (JNK), p38, and nuclear factor  $\kappa$ B (NF $\kappa$ B) transcription by parallel recruitment of TAK1 and the IKK complex [4]. TAK1 activates MAP kinases (MAPK) such as JNK, p38, and ERK, as well as ceramide/sphingomyelinase pathways by recruiting cellular inhibitor apoptosis proteins 1 and 2 (cIAP1/2). The IKK complex, comprising IKK $\alpha$ , IKK $\beta$ , and NF $\kappa$ B essential modulators (NEMO), promotes Jak1, Jak2, STAT3, and STAT5

activation, freeing RelA/p50 for nuclear translocation and the transcription of NF $\kappa$ B inflammatory genes [6]. These pathways can induce cytoprotective or apoptotic effects depending on the duration and intensity of stimulation. However, canonical activation of NF $\kappa$ B via RelA/p50 is typically proinflammatory in nature [4].

Moreover, internalization of the activated TNFR1 complex I results in the dissociation of some adaptor proteins, leading to the binding of the FAS-associated death domain (FADD), recruitment of pro-caspase 8, and formation of executioner caspases, ultimately resulting in cell death [7]. The structure of this new complex, denoted as complex 2, is subject to conflicting evidence, as it may retain proteins such as RIPK1, TRADD, or TRAF2, and gain FLIP-c [6–8]. **Figure 1** depicts one proposed structure of complex II [4].

Activation of caspase 8 leads to the degradation of RIPK1 and RIPK3. However, insufficient concentrations of caspase can result in the accumulation of RIPK1 and RIPK3 in a fibrous structure that promotes programmed necrosis under certain conditions [9]. In chronic inflammatory conditions, TNFR1 has also been shown to inhibit TNFR2 signaling by activating TACE through the iRhom2 and p38 pathways [10]. As TNFR2 is only capable of activation via membrane-bound TNF, TACE cleavage of TNF results in decreased TNFR2 activation.

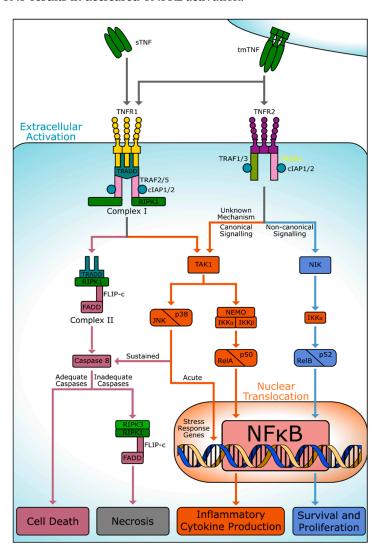


Figure 1. Illustration of TNFR1 and TNFR2 pathways. Current research indicates that TNFR1 primarily promotes cell death events through its TRADD complex whereas TNFR2 signaling primarily promotes cellular survival through non-canonical NFκB activation. Note that the process by which TNFR2 activates TAK1 is currently unknown. Furthermore, adequate and inadequate

caspases refer to the concentration of Caspase 8 relative to RIPK1 and RIPK3, with adequate caspases resulting in the complete degradation of RIPK1 and 3 leading to cell death and inadequate caspases resulting in the accumulation of RIPK1 and 3 and necrosis. Created with BioRender.com.

#### 3.2. TNFR2

In contrast to TNFR1, TNFR2 lacks a death domain but can directly interact with TNF receptor-associated factors 1 and 2 or 3 (TRAF1 and TRAF2/3) using an amino acid motif near the C-terminus (**Figure 1**). The recruitment of TRAF2/3 to the activated complex results in the production of NF- $\kappa$ B-inducing kinase (NIK), which is typically bound to TRAF2/3 or cIAP1/2 in an inhibitory conformation [4]. NIK is capable of non-canonical NF $\kappa$ B activation, leading to the activation of IKK $\alpha$  and subsequent nuclear translocation of RelB/p52, responsible for the transcription of genes relevant to cell survival and proliferation [11–13]. This non-canonical activation generally has a longer duration compared to canonical NF $\kappa$ B activation via TNFR1.

Despite lacking a death domain, TNFR2 has also demonstrated the capability to canonically activate NF $\kappa$ B. The exact mechanism is unknown, but activation is still dependent upon TAK1 and IKK $\beta$  (**Figure 1**) [14]. Dissociation of the TRAF1/2 complex can also bind to cIAP1 and be transported to the endoplasmic reticulum, triggering stress and promoting cell death [6]. Significant crosstalk exists between TNFR2 and TNFR1, as the activation of either complex utilizes common proteins. For instance, TNFR2 activation depletes available TRAF2, inhibiting the formation of the TNFR1 complex and promoting non-canonical NF $\kappa$ B activation, as well as the activation of apoptotic factors [4].

# 4. Inflammatory Response to Stroke

Stroke ranks as the fifth leading cause of death and the primary cause of disability in the United States [15]. At a rate of 40 stroke incidents occurring every second, there are 800,000 new strokes annually in the United, resulting in prolonged cognitive and physiological dysfunction[16]. A stroke is commonly defined as a vascular neurological deficiency lasting longer than 24 hours, and can be classified as ischemic or hemorrhagic based on the mechanism, with ischemic stroke constituting 85% of all acute stroke incidents [16,17].

Ischemic stroke (IS), also referred to as a cerebrovascular accident, occurs due to the occlusion of cerebral vasculature, blocking blood flow and oxygen to brain regions and causing the breakdown of the blood-brain barrier (BBB)[18]. The lack of blood flow suspends the membrane potentials of neurons and glial cells, interrupting energy-dependent mechanisms and ion pumps in cell membranes, leading to the release of harmful extracellular components and cell death [19]. After an IS, the body's natural response involves releasing proinflammatory cytokines such as interleukins IL-1 and IL-6, along with TNF. These cytokines play a crucial role in regulating the size of cerebral infarctions caused by IS, and their levels increase following focal ischemic injury [20,21]. It is crucial to document the modulation of these cytokines over time to understand the mechanism, targets upon their release, and identifying specific treatments at various time points. Existing evidence, utilizing reverse transcription PCR, indicates an 8-fold increase of TNF mRNA-expressing microglial cells and microglia-macrophages as early as 4 hours following neuronal ischemia [22]. TNF mRNA levels typically rise early, at the 4-hour mark post focal ischemia, compared to IL, which instead demonstrates a late increase at 96 hours [22,23].

The occlusion of blood flow to regions in the brain leads to a measurable area of lethally damaged and hypoxic tissue known as the infarct core, surrounded by a reversibly damaged tissue zone that is known as the penumbra [20,24]. Clinical aspects of infarction examined in experimentation and clinical studies include infarct volume, location, and quantity at variable locations. Many studies have explored the measured expression and concentration of TNF mRNA and cytokines concerning these factors to implement therapies controlling the concentration of these highly regulated and pleiotropic ligands. Higher levels of TNF following IS have been suggested to correlate with larger infarct volumes. In a study involving transgenic rats overexpressing the murine TNF gene and subjected to Middle Cerebral Artery Occlusion (MCAO), the transgenic rats exhibited a higher infarct volume at 24 hours ( $p \le 0.05$ ) and 3 days ( $p \le 0.01$ ). Within the first 10 min of MCAO,

cortical perfusion was reduced in the transgenic rats (p $\leq$ 0.05), leading to increased cellular death and DNA denaturation [25]. Preclinical studies have also demonstrated an increase in the concentration of microglia surrounding the penumbra in a time-dependent manner, starting at 12 hours up to 1 day (p $\leq$ 0.01) and 3 days (p $\leq$ 0.005) following transient MCAO [18]. NF $\kappa$ B was also evaluated in this study and was observed to be activated 12 hours after global ischemia in both wild-type and TNF-deficient mice [18]. With increasing levels of TNF under most experimental conditions correlated with cell death and larger infarct volumes, potential therapies for limiting the long-term cognitive and physiological effects of IS involve introducing specific receptors that will bind to and reduce the toxic inflammatory effects [1,26]. **Table 1** and **Table 2** present pre-clinical studies analyzed regarding the effects of TNF stimulation and current clinical studies regarding TNF activation in the context of stroke, respectively.

Table 1. Pre-Clinical Studies Analyzed Regarding the Effects of TNF Stimulation.

	Age, Weight, Species Tested, Population Size, Sex Predominanc e, Duration of Study	Disease Studied & Model	Drugs/Treatments	Anatomical Outcomes	Functional Outcomes
Chen et al. (2019)[18] Microglia-derived TNF-α mediates endothelial necroptosis aggravating blood brain- barrier disruption after ischemic stroke	250-300g Sprague- Dawley rats n=ND 100% M 7d-duration	vascular occlusion Mice sacrifice: 1-	Microglial cells transfected with 10nM siRNA for 24h. Infliximab (anti-TNF) administered by tail vein injection (5mg/kg) at 1d, 2d, and 3d post- reperfusion. 7d survival.	Levels of TNF post-tMCAO consistent with double staining results of rat brain tissue sections.  TNF ELISA analysis shows decreased microglia-secreted TNF after oxygen-glucose deprivation/reoxygenati on (n=6; p<.001 vs. control group p<.0001). Infliximab dramatically reduced microglia migration.	Neurological severity score results revealed that multiple doses of infliximab improved neurological function 3d after tMCAO (p<.05).
King et al. (2013)[27] TNF-alpha receptor antagonist, R- 7050, improves neurological outcomes following intracerebral hemorrhage in mice	8-10wk CD-1 mice n=32 100% M 72h-duration	loaded with 0.04U of bacterial type IV	R-7050 (6–18mg/kg) administered via IP route at the time of injury or up to 2h post-ICH.	R-7050 maintains BBB integrity post-ICH. increased Evans blue brain tissue in shamoperated mice from 12.2±1.5μg to 47.2±5.8μg at 24h post-ICH (p<.01 vs. sham). Decreased Evans blue brain tissue extravasation in R-7050 (6mg/kg) to 28.7±5.9μg and 30.3±1.9μg when administered at 0.5h or 2h post-ICH, respectively (p<.05 and p<.01 vs. ICH). TNF elevated in ICH rats.	R-7050 resulted in protective effect during first 3d post-ICH, as compared to placebo, with complete decrease in neurological deficits (p<.05).
Murakami et al. (2005)[28] Increases in tumor necrosis factor-alpha following transient global cerebral ischemia		Transient Global ischemia: bilateral common carotid arteries occluded by aneurysm clips (75g pressure) for 20min.	ND	Hippocampal TNF mRNA levels increased (sham: 0.16±0.064 vs. ischemia: 0.459±0.096; p<.01) 3h after recirculation from endogenous brain cells, then decreased to sham-	ND

latency to locate

do not contribute to neuron death in mouse hippocampus  Ma et al.		Mice sacrificed 12h post- ischemia.		operated levels by 24h. Increase of TNF mRNA again at 36h (sham: 0.160±0.064 vs. ischemia: 0.760±0.092; p<.01). Up- regulated TNF protein level in the hippocampus only at 6h post-ischemia (sham: 0.946±0.150 vs. ischemia: 6.534±2.646; p<.05).  U0126 injection blocked SAH-induced TNF	
(2018)[29] Anti-TNF-alpha antibody attenuates subarachnoid hemorrhage- induced apoptosis in the hypothalamus by inhibiting the activation of Erk	250-350g Wistar Rats n=153 100% M 7d-duration	DIOOO (U.SHIL)	U0126 (dissolved in PBS; 250 ng/μL, 10μL per rat) microinfused into left lateral cerebral ventricle 30min before SAH at 0.5μL/min.	(p=.001). Apoptotic and anti-	decreased total traveled distance compared to control group at 2d and 7d post-SAH. U0126 SAH rats spent less time in the center of the open field both at 2d (p=.003) and 7d (p=.026) post-surgery.
Pettigrew et al. (2008)[25] Focal cerebral ischemia in the TNF alpha- transgenic rat	carried the	Focal cerebral ischemia: Zea Longa suture-occlusion of MCA for 1h. Cortical perfusion measured by LDF. Reperfusion at 3 or 24h.	ND	TNF-Tg rats had greater infarct volume than non-Tg control at 24h (p≤.05) and 7d (p≤.01). TNF-Tg rats had decreased cortical perfusion within 10min of MCAO (p≤.05). Neural cellular apoptosis increased in transgenic animals with elevated caspase-3 activity (p≤.05) and DNA fragmentation (p≤.001) at 24h.	TNF showed a dose-dependent adverse effect on motor function.
and reverses cognitive deficits induced by	3mo F344 rats (Charles River Labs) n=28 100% M 43d-duration	Chronic neuroinflammatio n via LPS- induced sustained microglia activation.	Artificial cerebrospinal fluid (aCSF; n=11) or lipopolysaccharide (LPS; n=17) loaded into an osmotic minipump at 0.25µl/h; 28d delivery into the fourth brain ventricle.	LPS-vehicle rats had increased TNF mRNA levels (129.97±9.09%; p<.05) and increased TNFR2 expression (124.91±6.25%; p<.05) when compared to aCSF-vehicle rats. DT treatment returned TNF mRNA to control levels (102.18±8.90%; p<.05 vs. LPS-vehicle).	Neuroinflammation decreased novel place recognition, spatial learning, and memory function, but not novel object recognition (aCSF-vehicle: p=.0016; aCSF-DT: p=.0124; LPS-vehicle: p<.0001; LPS-DT: p=.0094). DT treatment restored cognitive function in LPS-infused rats. All treatment groups had similar swim velocity (p=.6878) and similar escape latency to locate

	Low et al. (2014)[31] PI3Kō inhibition reduces TNF secretion and neuroinflammatio n in a mouse cerebral stroke model	n=ND	tMCAO (1h): internal carotid artery occluded with a clip Reperfusion: 24h to 72h	40 mg/kg CAL-101 by 25mL infusion into the femoral vein, 15min before ischemia/reperfusion treatment, or 3h and 6h after reperfusion.	p110δ mice had decreased infarct volume than tMCAO WT (p<.005). PI3Kδ inhibition protects from ischemia. Brain PI3Kδ	the platform (p=.7775).  p110 mice had decreased brain damage and neurological deficit at 72h postischemia/reperfusi on than WT mice.
TNFR1	Barone et al. (1997)[32] Tumor necrosis factor-alpha. A mediator of focal ischemic brain injury	280-340g Spontaneousl y Hypertensive Rat (SHR) n=ND 100% M 3d-duration	IS: induced by permanent or tMCAO for 80m or 160m Reperfusion: 24h	tMCAO at 3h and 6h.	drives ischemia-induced leukocyte infiltration.  Infarct size increase seen in injections of:  - 2.5pmol TNF 24h pre-pMCAO (p<.05)  - 25pmol TNF (p<.01) (greater than 2.5pmol TNF pre-pMCAO)  - tMCAO (80 and 160min) 2.5pmol TNF (p<.05).  Reduced focal ischemic injury seen in treatment before and during 24h of focal ischemia, with repeated ICV mAb or	Neurological deficits not decreased by blocking TNF.
	Nawashiro et al. (1997)[33] Neuroprotective effects of TNF binding protein in focal cerebral ischemia	BALB/C n=67 100% M	dura and arachnoid opened and left MCAO	Treatment groups topically given 3mg/kg TNF-BP or vehicle immediately or 60m post MCAO.	sTNFR1 (p<.05). All treatments resulted in reduced infarct volumes, with TNF-BP treatment most potent (p<.001). Significant neuroprotection when TNF-BP administered 60m post-MCAO. In mice treated with TNF-BP, 3/7 showed no DNA fragmentation.	ND
	Sumbria et al. (2013)[34] Combination stroke therapy in the mouse with blood-brain barrier penetrating IgG- GDNF and IgG- TNF decoy receptor fusion proteins	25-33g C57Bl/6J mice n=38 100% M 7d-duration	tMCAO (1h): electrocoagulatio n of ECA branches Reperfusion: 23h or 7d	After 1h MCAO, 100µl total volume of either saline, rGDNF (170µg/kg), GDNF (1mg/kg), or GDNF and TNFR (1mg/kg each) intravenously injected via tail vein at 45min post arterial occlusion, after 1h MCAO.	treatment reduced hemispheric, cortical, and subcortical stroke volumes. Decreased hemispheric, cortical, and subcortical stroke	ND
	Li et al. (2019)[35] TRAF2 protects against cerebral ischemia-induced brain injury by suppressing necroptosis	25-30g ICR mice	tMCAO (1h): Ligation carried out 1cm to internal and external cervical vascular branch. Reperfusion at 24h.	2 injections of negative control shRNA lentivirus or TRAF2 shRNA lentivirus (1µl 5×10 <sup>8</sup> TU/ml) injected into ipsilateral striatum of the mice 2wk before MCAO.	TRAF2 levels increased in the ipsilateral cortex 24h post-reperfusion. TRAF2 knockdown increased infarct volumes, cell death, and neuroinflammation. Post-ischemic induction of TRAF2 protected	ND

					microglia and neurons	
					against necroptotic cell death.	
					Intracranial artery TNF	
			SAH by		increase in rats with	
	Aoki et al.	7wk	intracranial		advanced stage IA 3mo	
	(2014)[36]	Sprague-	aneurysm		post-aneurysm.	
TNF,	Critical role of	Dawley	ligation of the left carotid artery and		Increased TACE activity	
TNFR1	1	TNFR1-/-	systemic	ND	compared to control	ND
	TNFR1 signaling	mice	hypertension	112	rats. suggesting TNF	112
TNFR2	in intracranial	n=122	induced by salt		production up-	
	aneurysm formation	100% M 5mo-duration	overloading and		TNFR1-deficient mice had decreased IA when	
	ioimation	Jino-duration	left renal artery		compared to WT mice	
			ligation.		(p=.012).	
			MCAO Blood		MCAO: TNF, TNF-R1,	
	Maddahi et al.	MCAO: 300-	MCAO: Blood flow in the right		and TNF-R2 protein	
	(2011)[37]	350g	MCA blocked by		expression in increased	
	The role of tumor	SAH: 300-	an intraluminal		compared to control	
	necrosis factor-α	350g	occluder		group, P<.05, <.01, and	
	and TNF-α	Wistar-	SAH: suture to	ND	<.05, respectively.	ND
	receptors in cerebral arteries	Hanover rats	perforate ICA.		SAH: TNF, TNF-R1, and TNF-R2 protein	
	following	n=24	Protein		expression increased	
	cerebral ischemia	100% M	expression		compared to control,	
	in rat	48h-duration	evaluated after 48h.		P<.05, <.01, and <.05,	
			4011.		respectively.	
	Gary et al.					
	(1998)[38] Ischemic and	3mo	tMCAO (1h):		WT and p75-/- (TNFR2)	-
	excitotoxic brain	25-30g	Middle cerebral	Kainic acid (.3µg in	mice had similar infarct sizes. p55-/- (TNFR1)	structure or behavioral test
	injury is	C57BL/6		0.5 μL) injected into	and p55/p75-/-mice had	performance in
	enhanced in mice	n=129	rounded nylon	the dorsal	increased infarct sizes	p55/p75 -/- mice
	lacking the p55	100% M	thread. Reperfusion: 24h	hippocampus.	when compared to WT	when compared to
	tumor necrosis	2 III daradon	repellusion. 2111		and p75-/- mice.	WT.
	factor receptor					
	Bartsch et al. (2010)[39]				7 to 10 times increased	
	Tumor necrosis				expression of ADAM8	
	factor-alpha	,			mRNA after	
	(TNF-alpha)	wr/+			administration of	ADAM8-deficient
	regulates	Adam8+/+ wr/+			100U/ml TNF.	Wobbler mice showed more
sTNFR	shedding of TNF-	Adam8-/-			Administration of	dramatic decline in
1 and	aipha feceptor i	wr/wr	Motor Neuron	27.4	≥500U/ml of TNF	the forelimb force
sTNFR	by the	Adam8+/-	Disease (CNS)	NA	resulted in decline in ADAM8 mRNA levels.	at P18 and
2	metalloprotease- disintegrin	wr/wr			Concentration of	complete loss of
	ADAM8:	Adam8-/-			sTNFR1 significantly	force at
	evidence for a	n=150			increased in standard	approximately day
	protease-	55d-duration			mice, but not in	24 after birth.
	regulated				homozygous ADAM8	
	feedback loop in				deficient.	
	neuroprotection			Tonical (2.5 mg/ml	Topical XPro1595	
				Topical (2.5 mg/ml for 3d) or ICV	decreased infarct	
	Yli-Karjanmaa et			(1.25mg) at 1µl/h	volume at 1d and 3d	
	al. (2019)[40]			30m post-pMCAO	post-pMCAO.	
	Topical	7-8wk		with saline,	TNF mRNA expression	No difference as in
	administration of a soluble TNF	C57BL/6	MCAO by	XPro1595, or	increased 1d and 3d	No difference in grip strength test
	inhibitor reduces	n=56	electrocoagulatio	etanercept post-	post-pMCAO in mice	for ICV Etanercept
i	infarct volume	100% M	n	pMCAO. Topical	treated topically with	and ICV XPro1595.
	marci voiume	NIP	n			
	after focal	ND		XPro1595	XPro1595 when	
	after focal cerebral ischemia	ND		concentration in	compared to saline and	
	after focal	ND				
	after focal cerebral ischemia	ND		concentration in brain tissue 1d post-	compared to saline and etanercept mice.	

aSAH, aneurysmal subarachnoid hemorrhage; BBB, blood-brain barrier; CI, cerebral infarction; CSF, cerbrospinal fluid; CVA, cerebrovascular accident; CCI, Charlson Comorbidity Index; CHI, closed head injury; CTA, computed tomography angiogram; CCI, cortical controlled impact d, day; DCI, delayed cerebral ischemia; DT, 3,6'-dithiol thalidomide; F, female; FPI, fluid percussion injury; g, gram; h, hour; ICH, intracerebral hemorrhage; IS, ischemic stroke; LFP, lateral fluid percussion; LPS, lipopolysaccharide; M, male; MCA, middle cerebral artery; MCAO, middle cerebral artery occlusion; ms, millisecond; min, minute; mRS, modified Rankin Scale; mAB, monoclonal antibody; mo, month; NIHSS, National Institute of Health Stroke Scale; ND, not discussed; OR, odds ratio; SD, Sprague Dawley rats; SAH, subarachnoid hemorrhage; TACE, tumor necrosis factor-α converting enzyme; tMCAO, transient middle cerebral artery occlusion; TBI, traumatic brain injury; TNF-BP, tumor necrosis factor-binding protein; TRAIL, tumor necrosis factor related apoptosis inducing ligand; wk, week; WT, wildtype; yr, year.

Table 2. Current Clinical Studies Regarding TNF Activation in the Context of Stroke.

	Reference	Mean Age, Population size, Sex, Duration, Location	Exclusion Criteria	Anatomical Outcomes	Functional Outcomes
IS	Zhang et al. (2018)[42] Elevated tumor necrosis factor-a- induced protein 8-like 2 mRNA from peripheral blood mononuclear cells in patients with acute ischemic stroke	IS: 68.00yr n=182 49.4% M Ctrl: 64.50yr n=40 42.5% M 8mo- duration Shandong, China	stroke onset, hemorrhage	mRNA levels of TNF in peripheral blood mononuclear cells elevated compared controls [3.74 (2.40-5.48) vs 2.16 (1.68-3.69); p<.001]. TNF mRNA median levels lower in survivals than non-survivals [TNF, 3.42 (2.20-4.86) vs 5.67 (4.67-7.72); p<.001].	After 3mo follow- up, 33 AIS patients had died.
	Emsley et al. (2007)[43] Clinical outcome following acute ischaemic stroke relates to both activation and autoregulatory inhibition of cytokine production	IS: 69.6±13.0yr n=36 67% M Ctrl: 68.7±12.6yr n=36 67% M 12mo- duration Salford, England	Patients with decreased symptoms since onset, an indeterminant onset of symptoms, or evidence of active malignancy.	Plasma sTNFR1 concentration was correlated with infarct volume in the first week (r=.62, p=.001), 3mo (r=.59)	14 AIS patients died by 12mo. Causes of death: index stroke: 8 recurrent stroke:1 pulmonary embolism: 1 left ventricular failure secondary to myocardial infarction: 1 sepsis: 3 Barthel Index (BI) for Activities of

10		

					Daily Living (ADL) at 3mo (p=.001) and 1yr (p<.001).
Follow-up analy related apoptosi protein and mR peripheral blood	al. (2018)[44] sis of serum TNF- s-inducing ligand NA expression in mononuclear cells th ischemic stroke	69.7yr n=95	Inclusion: Patients admitted to hospital within 24h of stroke onset with no history of previous acute ischemic stroke.	Lower TRAIL levels of stroke patients than healthy control (p<.0001). Serum TRAIL levels were increased 1mo after stroke onset (p=.002). Stroke patients had higher TRAIL mRNA expression as compared to the controls (p<.0001).	ND
Polymorphism of factor alpha (Topomoter, circuitevel, and cardion	(2012)[45] of tumor necrosis 'NF-alpha) gene lating TNF-alpha vascular risk factor mic stroke	n=961 Ctrl: n=821 ND	Non-Chinese Han populations.	Higher serum TNF levels in IS than in control (SMD=2.33, 95%CI=1.85-2.81).	ND
The importance of of inflammation barrier damag	of selected markers a and blood-brain e for short-term	73.11±11.48yr n=138 46.04% M 30d-duration University of Silesia	a previous stroke, admission greater than 24h after symptoms, pre-stroke	NIHSS score of all patients is 3. Data suggests TNF has an sunfavorable effect on stroke infarct	
Leukocyte activ increased pla inflammatory me with ischemic	t al. (1996)[47] ation detected by asma levels of ediators in patients cerebrovascular eases	IS: 70yr n=72 59.7% M TIA: 69yr n=48 54.2% M Ctrl: 68yr n=35 57.1% M ND Malmo, Sweden	Patients with ICH, renal deficit, acute infection, or vascular ischemia.	Increased TNFR1 levels in stroke group than in control (3.1 µg/L vs. 2.1 µg/L, p<.04). Increased TNF in 18% of patient group and 17% of control group. Correlation between TNFR1 and TNF plasma levels (p<.0006).	ND
ICH Svensson et	al. (2017)[48]	ICH: 62yr n=220	Missing plasma samples,	Patients who developed ICH during follow-up	TNFR1 and TNFR2 associated with increased fatal ICH

	Tumor necrosis factor receptor 1 and 2 are associated with risk of intracerebral hemorrhage	48% M Ctrl: 62yr n=244 49% M 48mo- duration Malmö, Sweden	laboratory errors, or missing blood pressure information.	had higher concentrations of TNFR1/2 (TNFR1: OR, 2.28; 95% CI p=.006; TNFR2: OR, 1.77; p=.008).	(TNFR1: OR, 4.42; TNFR2: OR, 2.90) and with poor mRS results.
	Christensen et al. (2002)[49] Plasma cytokines in acute stroke	ICH: 74yr n=17 54% M CI: n=162 3mo- duration Copenhagen, Denmark		Positive correlation between sTNFR1&2 levels and age (p<.001). Plasma levels in pg/mL of cytokines and soluble cytokine receptors on inclusion/3mo: sTNFR1 =1.306/1.469 (p=.044) sTNFR2 =2.307/2.805 (p<.001). Higher levels of sTNFR1&2 correlated to an unfavorable outcome at 3mo.	primary cancer, and urinary tract infection in treatment at 3mo. 3mo fatality rate was 11.2%.
SA	Fragata et al. (2019)[50] Venous and arterial TNF-R1 H predicts outcome and complications in acute subarachnoid hemorrhage	56.7±16.1yr n=58 39.7% M 6mo- duration ND	Inclusion: Patients admitted in the first 72h of SAH symptoms. Exclusion: Patients in very poor clinical condition.	arterial TNFR1 of 1523.7pg/mL had 75% sensitivity/66% specificity for the prediction of hydrocephalus.	outcomes in SAH by GCS and Fisher scales (OR 8.74; p=.018).
	de Torres et al. (2019)[51] Usefulness of TNFR1 as biomarker of intracranial aneurysm in patients with spontaneous subarachnoid hemorrhage	37 9% M	18yr, within the first 72h of acute SAH, imaging studies performed	Venous TNFR1 levels greater than 1658pg/ml had 46.3% sensitivity/94.1% specificity for aneurysms and is independent predictor for its presence [OR=12.03 (1.13-128.16); p=.039].	Higher clinical and radiological severity in the aneurysm group, both on the HH grade (3 vs 1; p=.022) and on the Fisher scale (4 vs 3; p=.019).
	Witkowska et al. (2009)[52] TNF-alpha and sICAM-1 in intracranial aneurismal rupture	SAH: 56yr n=27 51.8% M Ctrl: 55yr n=17	Diagnosed with intracranial aneurismal after 72h of ER arrival.	Concentrations of TNF in patients with SAH were 12.42 ± 9.70 pg/ml, and 11.29 ± 8.80 pg/ml in control. Increased TNF levels in the CSF	74% of patients presented with HH grades 0–1. 81% of patients presented

Beeftink et al. (2011)[53] Relation of serum TNF- $\alpha$ and TNF- $\alpha$ genotype with delayed cerebral ischemia and outcome in subarachnoid hemorrhage	72h-duration Poland TNF mutation: 57yr n=31 26% M Ctrl: 57yr n=67 30% M 3mo- duration Utrecht, The Netherlands	ND	observed 4-10d after SAH.  High-serum TNF levels during days 0–12 showed an adjusted HR of 0.6 for DCI. Non-WT TNF genotype individuals exhibited decreased serum TNF levels with an HR of 0.4 for DCI.	TNF. Patients with the variant allele tended to have a
Lv et al. (2018)[54] Levels of interleukin-1 $\beta$ , interleukin-18, and tumor necrosis factor- $\alpha$ in cerebrospinal fluid of aneurysmal subarachnoid hemorrhage patients may be predictors of early brain injury and clinical prognosis	55yr n=81 42% M 6mo- duration Nanjing, China	central nervous system diseases or are on aspirin/anti-	WFNS grades 4 and 5 were higher than those of patients with WFNS grades 1 and 3 (p<.0001). TNF in the CSF of aSAH patients with Fisher grades 3 and 4 were	correlated with mRS (p<.001). Patients with a poor
Hanafy et al. (2010)[55] Brain interstitial fluid TNF-alpha after subarachnoid hemorrhage	New York, NY	without cerebral micro dialysis or at least 24h	Brain interstitial fluid glucose was associated with TNF (p=.018). Aneurysm size was a strong predictor of the TNF response (p<.001).	ND
Wu et al. (2016)[56] Elevated IL-6 and TNF-α levels in cerebrospinal fluid of subarachnoid hemorrhage patients	SAH: 57.9yr n=57 57.9% M Ctrl: 59.2yr n=65 53.8% M 48h-duration Jilin Univ, China	Patients with infection, heart disease, malignant tumor, and hematonosis.	CSF TNF levels of SAH patients and controls were 49.68±7.02 and 12.47±2.15pg/mL, respectively (p<.001). CSF TNF levels in Caucasian SAH patients were higher than corresponding controls (p<.001).	Higher TNF levels in CSF of SAH patients (HH 1-4) compared to healthy controls (p<.05). HH grade 2 SAH patients showed higher TNF levels in CSF compared to HH grade 1 (p=.008).
Fragata et al. (2020)[57] TNF-R1 correlates with cerebral perfusion and acute ischemia following subarachnoid hemorrhage	54.6yr n=41 48.8% M 18mo- duration Lisbon, Portugal	renal insufficiency, unknown time of SAH	Higher arterial TNF-R1 levels correlated with trends of lower cerebral blood flow (r = -0.262, p = 0.069) and cerebral blood volume (r = -0.24, p = 0.096). TNFR1 levels independent	ND

Hospital predictors of poor admission 72h after.

outcomes.

aSAH, aneurysmal subarachnoid hemorrhage; BBB, blood-brain barrier; CI, cerebral infarction; CSF, cerbrospinal fluid; CVA, cerebrovascular accident; CCI, Charlson Comorbidity Index; CHI, closed head injury; CTA, computed tomography angiogram; CCI, cortical controlled impact d, day; DCI, delayed cerebral ischemia; DT, 3,6'-dithiol thalidomide; F, female; FPI, fluid percussion injury; g, gram; h, hour; ICH, intracerebral hemorrhage; IS, ischemic stroke; LFP, lateral fluid percussion; LPS, lipopolysaccharide; M, male; MCA, middle cerebral artery; MCAO, middle cerebral artery occlusion; ms, millisecond; min, minute; mRS, modified Rankin Scale; mAB, monoclonal antibody; mo, month; NIHSS, National Institute of Health Stroke Scale; ND, not discussed; OR, odds ratio; SAH, subarachnoid hemorrhage; tMCAO, transient middle cerebral artery occlusion; TBI, traumatic brain injury; TNF-BP, tumor necrosis factor-binding protein; TRAIL, tumor necrosis factor related apoptosis inducing ligand; wk, week; WT, wildtype; yr, year.

#### 4.1. sTNFRs and Stroke

Soluble receptors, investigated for their potential to prevent cytokines from binding to membrane receptors and inhibit their biological activity, offer advantages such as high ligand specificity and low immunogenicity [58]. However, they may exhibit lower affinities and half-lives as compared to membrane receptors, making the therapeutic response of sTNFR dependent on TNF concentration as well as TNFR1 and TNFR2 [59,60].

In stroke therapy, soluble cytokine receptors serve as natural antagonists. The increase in sTNFR is achieved through multiple pathways triggered by TNF, interleukins, transforming growth factors, and interferons. Proteolytic release of TNFR1 is regulated mainly by metalloprotease ADAM8, while TNFR2 release is mediated by TACE in a process known as ectodomain shedding [1]. Studies monitoring TNF regulation in CNS diseases, including stroke, have shown that ADAM8 mRNA expression increases in affected regions proportionally to TNF levels. To measure the effect of sTNFR1 levels on neuronal survival, a comparative analysis of ADAM8 deficient neurons and wildtype neurons was conducted in the presence of exogenous TNF. ADAM8 deficiency increases neuronal sensitivity to varying TNF concentrations, suggesting that shedding of TNFR1 has a protective effect on primary neuron survival [39].

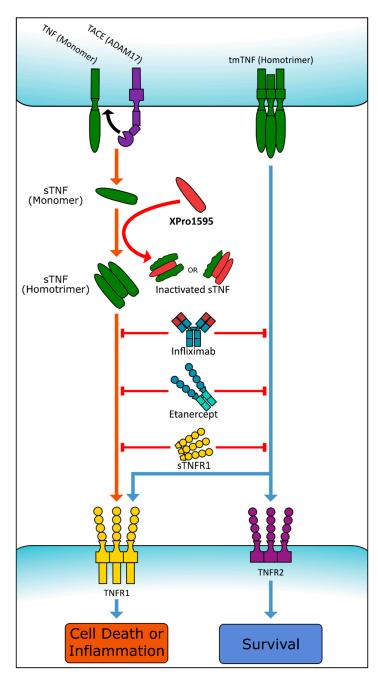
Experimenters have explored alternative methods of anti-cytokine therapy to protect against ischemic damage, including TNF binding protein (TNF-BP) and monoclonal antibodies (mAb). TNF-BP, formed by the proteolytic cleavage of membrane TNF receptors, demonstrated therapeutic capability to modulate TNF toxicity [61,62]. TNF itself induces the release of TNF-BP, self-modulating the toxic effects related to increased concentrations. In experiments examining TNF upregulation in the brain following ischemic injury, TNF-BP administration immediately or 60 minutes after MCAO led to smaller infarct volumes, with animals receiving TNF-BP showing a 25% reduction in infarct volume up to 2 weeks following treatment compared to control groups [33,63-65]. Studies have investigated the protective role of mAb in the context of TNF upregulation in the brain following ischemic injury. Rats were administered exogenous TNF before transient or permanent MCAO. Despite TNF injection leading to increased infarct size, prior mAb injection significantly reduced hemispheric infarct size by 8%. Treatment with mAb prior to exogenous TNF also alleviated cognitive deterioration and mitigated neurological scores in the TNF groups [32].

#### 5. TNF as a Therapeutic Target

The release of TNF by microglia and a subsequent pattern of increased sTNFR suggests an antiinflammatory role of these receptors as they scavenge and decrease the serum concentration of this cytokine. Currently, two commonly used therapeutic options targeting TNF are etanercept and infliximab. These drugs share similar mechanisms of action, aiming to decrease inflammation caused by the pro-inflammatory release of TNF. Etanercept, marketed as Enbrel®, functions as an artificial sTNFR and consists of two TNFR2 receptors joined to the Fc portion of human IgG as demonstrated in Figure 2 [66]. It exhibits significantly greater binding efficiency and half-life compared to sTNFR2

(**Table 3**). The protective role of soluble receptors in physiological and neurological outcomes has been examined through controlled preclinical experiments. Rats subjected to fluid percussion injury (FPI) were treated with etanercept every 12 hours for 3 days. In the etanercept-administered groups, there was a significant decrease in cerebral ischemia area (p<.01), microglial production of TNF (p<.01), and neurological and motor dysfunction (p<.05) [67]. Additionally, etanercept therapy led to a significant reduction in glial scar formation, a major inhibitor of neuronal regeneration. This study suggests etanercept attenuates microglia-associated TNF expression without changes to astrocyte or neuron expression following TBI [67,68]. In a retrospective study of patients with chronic neurological deficits post-TBI, etanercept demonstrated clinical improvements in motor function, spasticity, walking, cognition, sensation, aphasia, and pain, even when administered 115 to 160 months after traumatic brain injury<sup>84</sup>.

Additional TNF-binding drugs include infliximab, a chimeric monoclonal anti-TNF antibody that has been used to reduce inflammation associated with a variety of chronic diseases and conditions [69,70]. Marketed as Remicade®, infliximab functions to target TNF, attenuating inflammation by binding to Fc receptors to decrease the activation of monocyte and caspase-3induced oxidative stress [71,72]. While infliximab has been widely used for chronic diseases, its potential therapeutic role in neuroinflammation, like etanercept, warrants exploration. However, limitations associated with TNF inhibitors, such as etanercept or infliximab, have prompted research for novel TNF blockers. While Etanercept offers therapeutic benefits, unintended side effects have been observed, leading to decreased long-term functional outcomes. The drug's inability to differentiate between sTNF and tmTNF poses challenges, inhibiting baseline activity and causing adverse effects [69]. Exogenous TNF blockers, including etanercept, may increase the risk of serious infections and cancer according to a meta-analysis of clinical trials comparing TNF-binding medications like etanercept and infliximab [73]. Etanercept, in placebo-controlled trials, demonstrated a 35% infection rate over the therapy course, associated with serious bacterial, viral, and fungal infections [69]. Infliximab has similar associations and a close link to tuberculosis development, often reflecting latent infection reactivation. Give the limitations and potential side effects of current TNF blockers like etanercept and infliximab, a second-generation drug, XPro1595, has been developed to address these issues and enhance patient outcomes.



**Figure 2.** Illustration of various sTNF signaling inhibitors. Infliximab, Etanercept, and sTNRF1 prevent the interaction of sTNF and tmTNF homotrimers with their respective receptors. XPro1595 prevents the formation of only the sTNF homotrimer, thereby inhibiting only TNFR1 activation. Created with BioRender.com.

**Table 3.** Brief Overall Comparison of Known Therapeutic Treatments: Range of XPro1595 molecular weight accounts for PEGylation in some studies.

	XPro1595	Etanercept	sTNFR
MW (kDa)	7-20	105	75
Half-life (h)	~9	68	2
Ligands	sTNF monomer/dimer	sTNF trime	er, TNF
IC50 (ng/mL)	12.5±4.0	10.8±1.8	3500

A novel sTNF inhibitor, XPro1595, has demonstrated success in inflammatory disease trials, notably for its ability to penetrate the BBB [74,75]. Also known as INB03, XPro1595 inhibits TNFR1 activation by forming a non-viable heterotrimer with sTNF as shown in **Figure 2** [76]. Xpro1595 mimics the monomeric proteins in sTNF homotrimers and freely exchanges native proteins in a 1:2 or 2:1 ratio. XPro1595 is dominant-negative mutant of TNF, with specific mutations (A145R and Y87H) at the TNFR binding site, selectively inhibiting sTNF activity at TNFR1 receptors [77]. As mentioned earlier, TNFR1 is responsible for downstream neuroinflammatory effects and subsequent cognitive impairments. Unlike other TNF inhibitors, XPro1595 selectively inhibits the effects of TNFR1, ensuring continued TNFR2 function, crucial for neurogenesis and myelination. The newly formed XPro1595 heterotrimer does not interfere with the affinity of transmembrane TNF for TNFR1 or TNFR2. Allowing the continued function of TNFR2 while blocking TNFR1 differentiates XPro1595 from other TNF inhibitors and contributes to the drug's increased effectiveness. To enhance its properties, XPro1595 has been modified via PEGylation, resulting in XENP1595, with increased half-life and reduced immunogenicity [77].

In preclinical studies, XPro1595 administration in mice subcutaneously 1-hour post-TBI reduced decreased glial activity, prevented dendritic degeneration, and improved spatial learning and memory [75]. Similar beneficial results have been demonstrated in focal cerebral ischemia models where topical Xpro1595 decreased infarct volumes 1 and 3 days post MCAO, outperforming Etanercept [40]. Additionally, XPro1595 treatment has shown promise in mitigating the effects of obesogenic diets in a preclinical model of Alzheimer's disease and increased BBB permeability, attenuating inflammation and improving cognitive function [78].

A clinical trial evaluating XPro1595 treatment in patients with Alzheimer's disease was completed in 2021. The open-label dose-identification study of XPro1595 in Alzheimer's disease patient aimed to evaluate the drug's safety, efficacy in reducing neuroinflammation, and impact on cognitive function. Study results are yet to be published but are available in online videos through the study sponsor's website [79]. Results of the phase 1b study showed that weekly treatment with XPro1595 for 3 months in patients with signs of neuroinflammation demonstrated decreased whitematter free water and CSF inflammatory proteins as well as increased axonal fiber density and remyelination [79]. Prompted by these initial results, two phase II studies are currently recruiting with the same primary outcomes in a larger patient population to assess the long-term safety, tolerability, and efficacy of XPro1595.

Proof of concept trials for novel antibody-drug conjugates such as ABBV-3373 may be a potential alternative to current-generation anti-inflammatory therapies such as adalimumab. Composed of a glucocorticoid receptor modulator conjugated with an anti-TNF monoclonal antibody, ABBV-3373 was able to significantly reduce DAS28 (CRP) scores in rheumatoid arthritis patients with greater efficacy when compared to adalimumab (p<.022) [80]. It should be noted that the study lacked sufficient sample size to draw statistical conclusions past 12 weeks and that patients treated with ABBV-3373 received a placebo past 12 weeks but demonstrated similar responses to the 24-week adalimumab regimen. These results warrant continuing research and the potential application of antibody-drug conjugates in a cerebroprotective role.

### 6. Conclusions

This review investigates the role of TNF and potential therapeutic targets in cerebrovascular and neurodegenerative disease. TNF, a major inflammatory cytokine, plays a crucial role in neuroinflammation associated with these conditions. It can signal through its membrane-bound form or the homotrimeric sTNF, formed by cleaving tmTNF from the cell membrane via the proteolytic enzyme TACE. TNF activates two receptors, TNFR1 and TNFR2, with promoting detrimental effects, including cell death and increased inflammation, and TNFR2 demonstrating beneficial effects, such as enhanced neuronal tissue growth and CNS autoimmunity. Selectively targeting the sTNF and TNFR1 signaling pathway may facilitate new mechanisms to combat neuroinflammation.

The generation of sTNF and sTNFRs involved the proteolytic cleavage of tmTNF and TNFR1/2, respectively. Metalloproteinases, particularly ADAM8, preferentially cleave TNFR1 without

affecting TNFR2, providing a potential therapeutic avenue to modulate TNFR1 signaling. Studies suggest a negative feedback mechanism in which TNF signaling leads to ADAM8 activation and therefore TNFR1 shedding. TNFR2 appears to be mainly cleaved through TACE, and further research is required to explore similar feedback mechanisms in sTNFR2 production.

The myriad of chemical and biological reactions that TNF can activate makes it an ideal target for therapeutic interventions. Several drugs, including etanercept and infliximab, have been developed to target TNF signaling. However, these drugs can cause unwanted side effects due to the indiscriminate inhibition of TNFR2 activation. The second-generation TNF inhibitor XPro1595 has been designed to selectively neutralize sTNF without affecting tmTNF or TNFR2, aiming to maintain pathways of neurogenesis and innate immunity [81]. Early results in mice with TBI demonstrated positive effects on dendritic plasticity, reduced neurodegeneration, and glial reactivity [75]. Clinical trials of the drug have demonstrated decreased neuroinflammation and neurodegeneration in Alzheimer's Disease patients and the ability of XPro1595 to cross the BBB has aided in attenuating inflammatory biomarkers in other neurodegenerative diseases [79].

Limitations in using mice models for TNF inhibitors include the unresponsiveness of mouse TNF to human TNF inhibitors [82]. Humanized mouse models expressing human TNF (hTNF) or human TNFR (hTNFR) are utilized to study the molecular mechanisms of these cytokines [83]. However, overexpression of hTNF can result in spontaneous autoimmune diseases, prompting the use low-copy or tissue-specific models [83]. Humanized mice with hTNFR2 LoxP sites have been developed to address these challenges [84]. Recently, there has been a rise in various humanized mouse models used to study the TNF/TNFR1/2 mechanism [85,86]. Future research should leverage these humanized mouse models to test TNF inhibitor drugs, ensuring accuracy and replicability in human trials.

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