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Article

Hermite Quartic Splines for Smoothing and Sampling a Roughing Curvilinear Spiral Toolpath

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Abstract: From an industrial point of view, the milling of 2.5D cavities is a frequent operation, consuming time and presenting optimization potential, especially through a judicious choice of the tool trajectory. Among the different types of trajectories, some have a general spiral-like aspect and can potentially offer a reduced machining time. They are called curvilinear trajectories and are obtained by interpolation between structure curves which are the numerical solutions of a partial differential equation. In this case, the machine tool will connect points and the trajectory will be made up of small segments. Even if, macroscopically, these trajectories have all the qualities to allow the tool to move quickly, on a small scale, the discontinuities in tangency, inherent in the discretization, significantly increase machining time. This article suggests to enhancing the continuity level of the toolpath by rebuilding structure curves with a set of Hermite quartic spline patches connected in tangency and curvature. Thanks to this, the tool will machine at an average feedrate closer to the programmed one and will, de facto, reduce traveling time. This article shows that the proposed method increases, on the two tested cavities, toolpath quality indicators, reduces milling time from 10% to 18% for a curvilinear method without a filter and make it possible to generalize the Bieterman and Sandström method for all non convex pockets.

Keywords: pocket milling; roughing; curvilinear toolpath; hermite quartic spline

1. Introduction

Pocketing 2.5D cavities, which is common from an industrial point of view (e.g. in aeronautical structure parts -Figure 1- or aerospace electronic crankcase), is a time-consuming operation.



Figure 1. Example of pocketing in the aeronautical domain - Picture from OMNI - Aerospace inc [1]

As shown by [2], manufacturing costs are time-dependent and represent typically, for dies and molds, 30% of the total price of a piece [3].

In this context, many studies aim to reduce machining time. The main lines for optimization are: enhancing the understanding of cutting phenomena [4–6]; improving tool performance [7], selecting the best tools and defining optimal milling parameters [8–10], and, finally, enhancing the toolpath geometry [11–13].

From this last perspective, the most common approach is to work on the tool trajectory to increase its speed. Multiple studies (i.e. [14,15]) have clearly shown that tangency discontinuities along the trajectory lead to longer machining time. Indeed, the modification of the velocity vector (in direction

or in norm) throughout the trajectory solicits the capacities of the machine tool in acceleration and jerk, which are not infinite. Therefore, the numerical control of the machine restricts these variations and the tool needs time (thus distance) to reach the programmed feed rate after corners or stops along the toolpath. It is therefore necessary to minimize them and two methods exist:

- local adjustments on classical toolpath (Zig-Zag or Contour Parallel) are employed to eliminate or to smooth out the discontinuities [16–18];
- global strategy to build a spiral-like trajectory (or curvilinear spiral). Macroscopically, this kind of toolpath begins at a center point of the pocket and expands outward without "stop and go". The toolpath morphs progressively to the shape of the pocket boundary. Natively, curvilinear spirals (or spiral-like toolpath) have a higher degree of continuity [19–22].

Primarily, two approaches are used to build curvilinear spirals:

- geometrical approaches as mapping [23,24], morphing [25] or medial axis method [22,26];
- differential approaches using an elliptical partial differential equation (PDE) [19] or a level set propagation model [20]. In those cases, curvilinear trajectories are generated through interpolation between selected structure curves, which are the solutions of the used PDE.

To determine a point on a curvilinear path, an interpolation method is needed. Bieterman *et al.* [19] use the intersection of a Fresnel vector (winding angle) and two discrete structure curves (Figure 2). The trajectory point is established through a linear angular interpolation between the two founded intersecting points (Equation 1):

$$\rho_S(\theta_i) = \rho_{int}(\theta_i) + (\rho_{ext}(\theta_i) - \rho_{int}(\theta_i)) \cdot \frac{\theta_i}{2\pi}, \quad \theta_i \in [0, 2\pi[\quad (1)$$

With $\rho_S(\theta_i)$, discrete polar equation of a spiral-like turn; $\rho_{ext}(\theta_i)$, discrete polar equation of the external structure curve; $\rho_{int}(\theta_i)$, discrete polar equation of the internal structure curve.

This method is effective when the cavity is convex enough (i.e. without big protuberance; mathematically, if the polar function of the pocket border is explicit). However, for pockets with more complex shapes, a partitioning task must be done, for example, as proposed by [27] and shown in Figure 3.

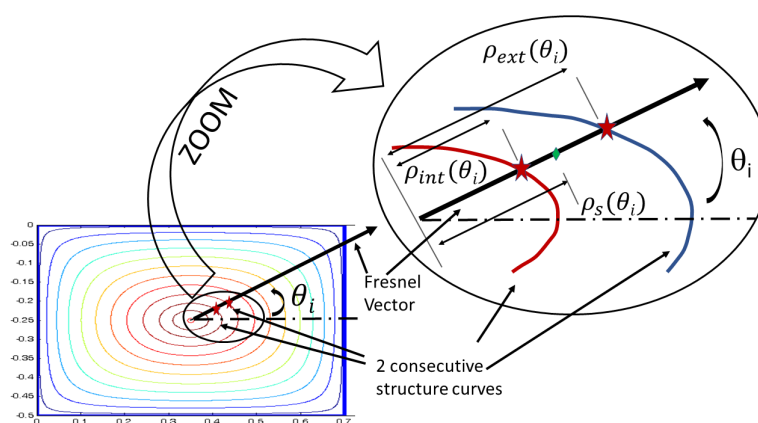


Figure 2. Interpolation between two structure curves with a Fresnel vector

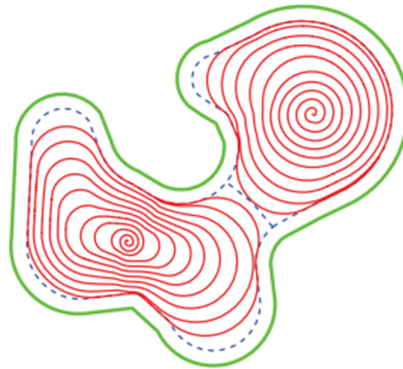


Figure 3. Partition of a pocket [27]

Moreover, the structure curves are built with a succession of points calculated with a finite element method software. Within the framework of the linear interpolation G1, the trajectory consists of a lot of small segments and even if, macroscopically, the trajectory has all the characteristics that allow the tool to move at a high feedrate, on a small scale, the tangency discontinuities, inherent in the method, significantly increase the machining time. As "polynomial" interpolators are not yet widely used in the industry, efforts are required to smooth the toolpath and enhance its degree of continuity. The simplest method to achieve this objective is the use of a Finite Impulse Response filter (FIR), [19] suggests a moving average technique. However, the use of such filters may result in a loss of tool position control, potentially leading to a machining in inappropriate zones. Other various studies [23,28] achieve 3 of 5-axis trajectory smoothing, via B-splines or Bézier curves.

This article aims to use Hermite quartic splines in order to fit and to decompose structure curves and ensure continuity in tangency and curvature. Analytically, the spiral-like toolpath, formed through linear combination of these curves maintained the C^2 continuity.

Moreover, the proposed method eliminates the use of Fresnel's vector to establish the spiral parametrization. Consequently, the toolpath can be directly created without partitioning the pocket and constitutes a generalization of the Bieterman's procedure for any 2.5D pocket geometries.

2. Methodology for Smoothing Toolpaths

The Figure 4 summarizes the proposed method and the structure of the following paragraphs.

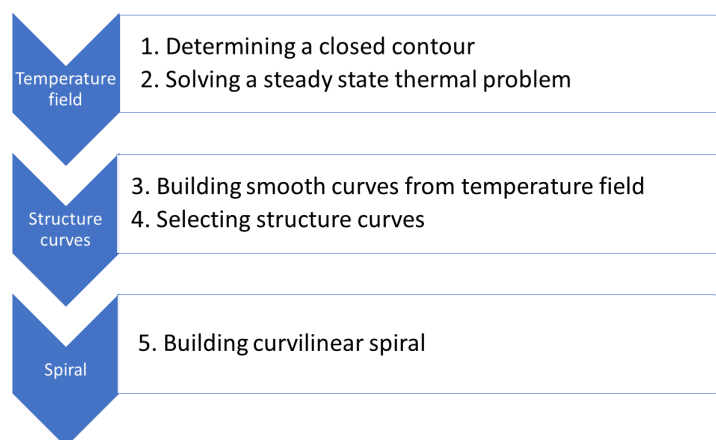


Figure 4. Structure chart of the proposed method

2.1. Determining a Closed Contour

The first step is to establish a closed contour that will define a layer of the 2.5D pocket. The pocket will be milled layer by layer. As roughing is considered, an offset distance of the border must be taken into account ($D_{offset} = \frac{\phi_{tool}}{2}$). This offset will be machined during a finishing pass.

2.2. Solving a Steady State Thermal Problem

Given their smooth nature [29], the solutions of a 2D thermal problem (ie. isotherms) are chosen for building structure curves: a layer of the pocket is uniformly heated while the border is maintained at 0 [K]. The heat equation is (Equation 2):

$$\rho.c.\frac{\partial T}{\partial \tau} = \vec{\nabla} \cdot (\lambda \cdot \vec{\nabla} T) + q, \quad \partial\Omega = 0 \quad [K] \quad (2)$$

With ρ , the areal density of the layer [kg/m^2]; c , the specific heat of the layer [$J/(kg.K)$]; $T(x, y)$, the temperature field on the layer [K]; τ , the time [s]; λ , the thermal conductivity of the material [W/K]; q , the areal heat source [W/m^2]; $\partial\Omega$, the border condition.

The stationary equation with $q = 1[W/m^2]$ and $\lambda = 1[W/K]$ is expressed in Equation 3 and is equivalent to Equation 4 proposed by [19]. Note that the values of q and λ do not alter the general shape of the solution temperature field but only impact the maximum temperature.

$$-\Delta T = \frac{q}{\lambda}, \quad \partial\Omega = 0 \quad [K] \quad (3)$$

$$-\Delta\phi(x, y) = 1, \quad \partial\Omega = 0 \quad (4)$$

2.3. Building Smooth Curves from Temperature Field

Equation 3 is solved using a classical finite elements method (FEM) and some isotherm curves from the temperature field could be used to build the spiral-like trajectory. But, due to the discretization of the FEM and the necessary interpolations, they are microscopically noisy and present tangency discontinuities. To overcome this drawback, a fitting-smoothing method is implemented.

2.3.1. Hermite Quartic Spline Interpolation

The Hermite quartic spline interpolation model (HQS) was presented by [30] and is a generalization of the usual Hermite cubic spline interpolation. The general form of the HQS interpolation is (Equation 5):

$$\begin{cases} x(u) = ea_0(u)x_0 + ea_1(u)x_1 + eb_0(u)\cos(\theta_0) + eb_1(u)\cos(\theta_1) \\ y(u) = ea_0(u)y_0 + ea_1(u)y_1 + eb_0(u)\sin(\theta_0) + eb_1(u)\sin(\theta_1) \end{cases} \quad (5)$$

With $P_u = (x(u), y(u))$, the coordinates of an interpolated point; $u \in [0, 1]$, the real interpolant; (x_0, y_0) , the coordinates of the spline first point ($u = 0$); (x_1, y_1) , the coordinates of the spline last point ($u = 1$); θ_0 and θ_1 , the orientations of the tangent at the beginning and at the end respectively; ea_0, ea_1, eb_0, eb_1 , the blending functions ("e" is for extended). The degree of blending function equals to 4 and, thereby, 5 constraints are allowed and detailed below.

- the spline passes over two points P_0 and P_1 : +2 constraints;
- the spline respects tangent orientations at the beginning and at the end: +2 constraints;
- an initial curvature C_0 is fixed: +1 constraint.

The blending functions are described with four parameters: k_0 , k_1 , α , β (Equation 6, Figure 5). If α and $\beta = 0$, blending functions are those of classical cubic Hermite splines.

$$\begin{cases} ea_0(u) = 1 + (\alpha - 3).u^2 + 2(1 - \alpha).u^3 + \alpha.u^4 \\ ea_1(u) = (3 - \alpha).u^2 + 2(\alpha - 1).u^3 - \alpha.u^4 \\ eb_0(u) = k_0.(t + (\beta - 2).u^2 + (1 - 2\beta).u^3 + \beta.u^4) \\ eb_1(u) = k_1.(-(\beta + 1).u^2 + (2\beta + 1).u^3 - \beta.u^4) \end{cases} \quad u \in [0, 1] \quad (6)$$

- k_0 and k_1 do not affect the orientation of the tangent, only the norm of the tangent vector;
- α and β are called shape parameters and allow the shape modification of blending functions.

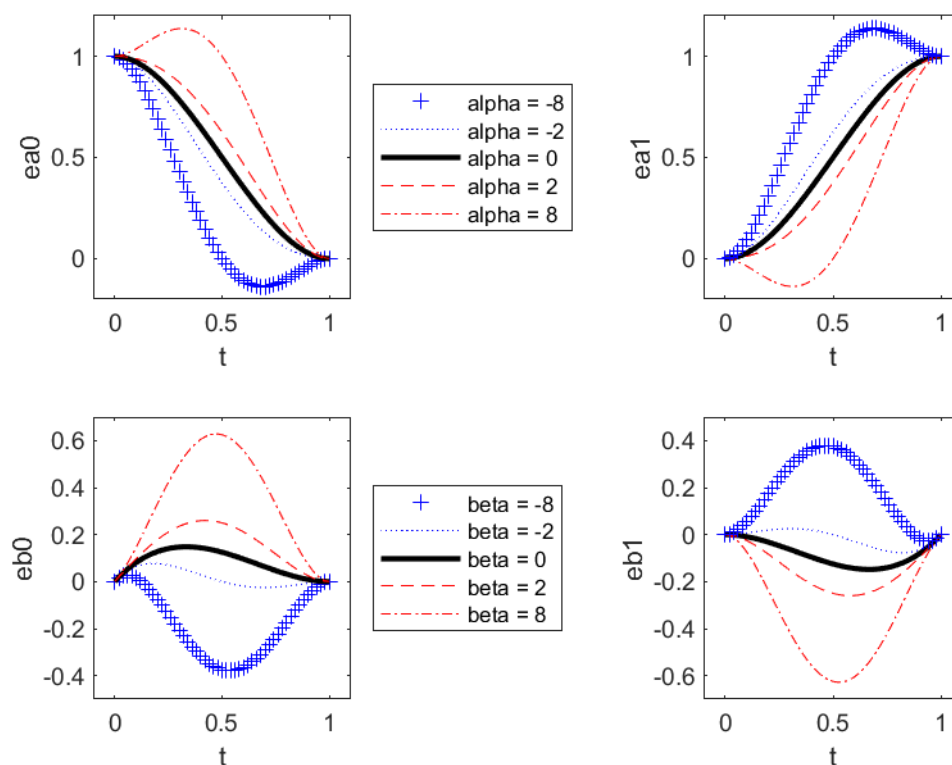


Figure 5. Representation of blending functions of Hermite quartic splines

The initial curvature (C_0) is a complex function of k_1 , k_2 , α and β (Equation 7).

$$C_0 = C \Big|_{u=0} = \frac{\frac{dx}{du} \frac{d^2x}{du^2} - \frac{dy}{du} \frac{d^2y}{du^2}}{\left(\frac{dx}{du}^2 + \frac{dy}{du}^2\right)^{\frac{3}{2}}} \Big|_{u=0} = f_0(k_1, k_2, \alpha, \beta) \quad (7)$$

But this expression can be analytically inverted to isolate β by the use of the Symbolic Toolbox of MatLab for example (Equation 8). The final curvature is obtained in the same way but for $u = 1$ in Equation 7 and is noted as $C_1 = f_1(k_0, k_1, \alpha, \beta)$.

$$\beta = f_0^{-1}(C_0, k_1, k_2, \alpha) \quad (8)$$

2.3.2. From Interpolation to Smooth Curve Decomposition

This model of interpolation, between two points, makes it possible to fit and decompose a discrete curve, in this case an isotherm, into a small number of splines connected in tangency and curvature (named **patches**). The Figure 6 explains the procedure followed.

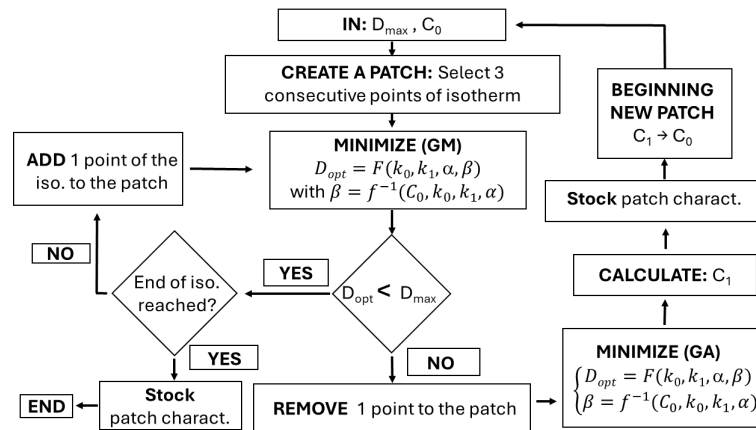


Figure 6. General algorithm of isotherm decomposition in a minimum C^2 connected patches.

D_{max} is the maximum acceptable distance between the curve and patch points; C_0 represents the algebraic initial curvature of the first patch. D_{max} and C_0 are user- fixed parameters. D_{opt} is the optimized (i.e. minimized) distance between patch points and HQ spline. GA is for the Genetic Algorithm method and GM is for the gradient descent method. C_1 is the calculated final curvature of the patch that becomes the initial curvature of the new patch.

By using this procedure, a discrete isotherm may be split into a minimum number of Hermite quartic splines, continuous, connected in tangency and curvature (called **isoHQ**) and respecting a maximum chordal error.

2.4. Selecting Structure Curves

To select the structure curves among isotherms and to build the toolpath, isotherms are first decomposed into isoHQ and then sampled. The analytical expression of patches and Equation 9 allow computing the curvilinear length of each patch (s_{patch}) and thus the entire length of an isoHQ. By using a normalization (without less generality), a sampling of points equally spaced along an isoHQ can be done.

$$s_{patch} = \int_0^1 \sqrt{\left(\frac{dx(u)}{du}\right)^2 + \left(\frac{dy(u)}{du}\right)^2} du \quad (9)$$

The selection of structure curves is done by evaluating the distance (D_{isoHQ}) between sampling points of 2 isoHQ (an external one $isoHQ_{ext}$ and an internal one $isoHQ_{int}$) and linking it with an acceptable distance (D_{rte}) equal to the radial tool engagement. If $P_j \in isoHQ_{ext}$ and $Q_i \in isoHQ_{int}$, Equation 10 is computed:

$$D_{isoHQ} = MAX_j \{ MIN_i [\sqrt{(P_j(x) - Q_i(x))^2 + (P_j(y) - Q_i(y))^2}] \} \quad (10)$$

- If $D_{isoHQ} < D_{rte}$, the work is restarted with a more internal $isoHQ_{int}$;
- If $D_{isoHQ} > D_{rte}$, the penultimate evaluated $isoHQ_{int}$ is chosen as the structure curve and the loop is restarted;

Thereby, the distance between two structure curves is under control and always under D_{rte} which guarantees machining without unmilled areas.

2.5. Building Curvilinear Spiral

To build a curvilinear spiral, a linear interpolation between points $(x_{iso_i}(j); y_{iso_i}(j))$ and $(x_{iso_{i+1}}(j); y_{iso_{i+1}}(j))$ of the same normalized curvilinear abscissa of two consecutive structure curves is done (Figure 7, Equation 11).

$$\begin{cases} x_{s_i}(j) = x_{iso_i}(j) + \frac{j}{n} \{x_{iso_{i+1}}(j) - x_{iso_i}(j)\} \\ y_{s_i}(j) = y_{iso_i}(j) + \frac{j}{n} \{y_{iso_{i+1}}(j) - y_{iso_i}(j)\} \end{cases} \quad (11)$$

With $x_{s_i}(j)$ and $y_{s_i}(j)$ the coordinates of the point j from the turn i of the curvilinear spiral; n , the total number of points along isotherms.

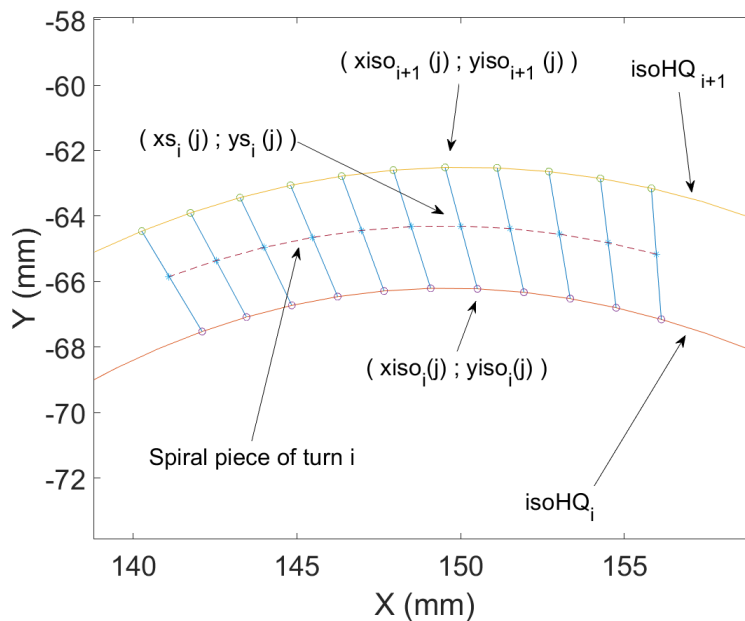


Figure 7. Building the curvilinear spiral between 2 isotherms

3. Toolpath Quality Evaluation

In (high-speed) milling, the average speed rate is always lower than the programmed one due to the limited acceleration and jerk of each axis of the numerically controlled machine tool [31]. Here, a double goal is followed: increasing the speed along the toolpath (which can lead to a reduced milling time) and mastering the position of the tool along the milling path. For the tool speed evaluation, a licensed software developed by X. Beudaert and al. [32] and called **VPOp** (Velocity Profile Optimization) is used. Based on kinematic data and CNC parameters of a given machine tool, it generates an optimized feedrate planning that respects the capabilities of the milling center and thus predicts the actual tool speed and slowdowns for the tool. So, the modeled milling time is close to the real one. VPOp is used locally to analyse the velocity profile along two turns of the curvilinear spiral. To analyse the entire trajectory, histograms of toolpath absolute curvature value ($|C|$ in mm^{-1}) are used as well as 95% and 99% quantiles of $|C|$ named **Cut95** and **Cut99**. A comparison will be done between the "no fitted" toolpath (called **RAW**) and the fitted one with HQS method (called **HQS**). To have another comparison point for milling time, a filtered toolpath is also computed, called **FIR**, and corresponding to a moving average on three points and applied twenty times (this combination gives results close to HQS method). Note that the more the filter is applied, the smoother the trajectory but the more the position control is lost. So, the FIR toolpath is absolutely not suitable to satisfy our goal.

4. Case Study 1—BIG Pocket

4.1. Fitting and Selecting Structure Curves

Because it presents a large variety of tangency and curvature values, the 2.5D pocket given by M. Bieterman and D. Sandström [19] was considered for this work. However, our methodology is applicable for any 2.5D cavities. The offset border of the studied pocket is presented in Figure 8. With conditions exposed in 2.4, a temperature field is computed by the use of a finite elements method ($12 \cdot 10^3$ nodes). The maximum temperature is around $500[K]$. The mesh and some isotherms are shown in Figure 8. Our following analysis considers 100 of them, note that the temperature rises from the outside to the inside of the pocket.

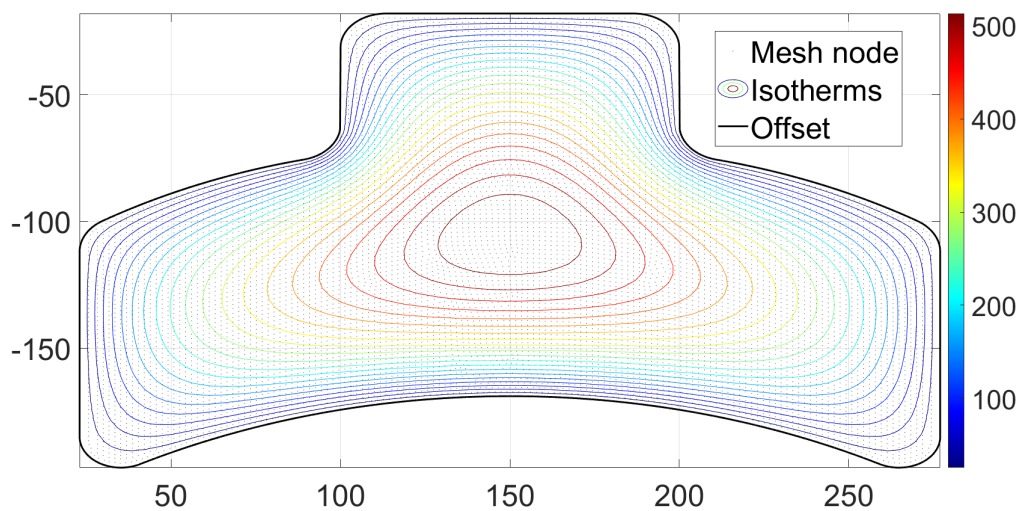


Figure 8. Mesh and isotherms on a layer of the cavity

To fit isotherms with HQS patches, the parameter D_{chord} must be fixed. A too small value increases the number of patches, and the local smoothing is less efficient. On the other hand, a higher value may cause over-machining of the pocket's border. $D_{chord} = 0.5 [mm]$ seems, in this case, to be a good compromise but remains a parameter.

At this stage of the work, our fitting method splits each discrete isotherm (composed with a maximum of about 2500 points) in a maximum of about 25 C^2 connected patches. Figure 9 shows the result of a fit along an isothermal curve. Note that the beginning of the isotherm is chosen in a low curvature zone. So, the initial curvature of the first patch is arbitrarily fixed at $0.1 [mm^{-1}]$ to respect the curvature orientation. As a reminder, the first patch and the last one of each isoHQ are only C^0 connected.

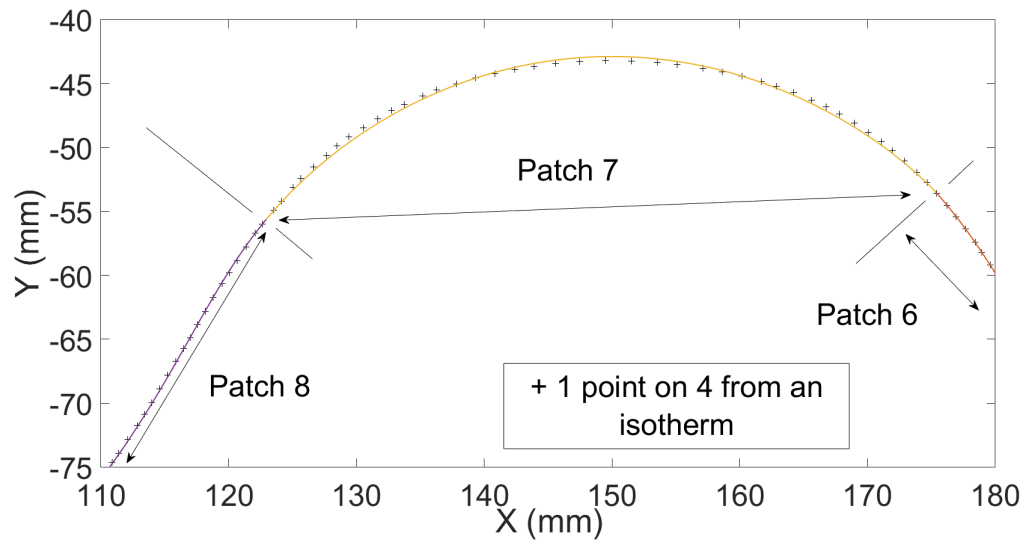


Figure 9. Local result of the Hermit quartic spline interpolation on a isothermal curve

Now, a sample of each isotherm as described above must be done. 360 points have been used to represent an isoHQ (Figure 10).

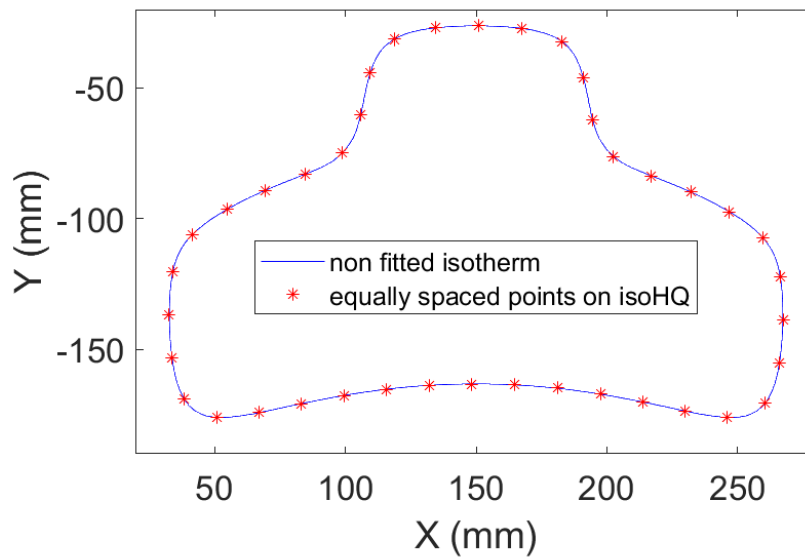


Figure 10. Result of the curvilinear sampling

For this work, the tool diameter is fixed at 10 [mm] with a radial covering of 25%: the acceptable distance (D_{rte}) between 2 isotherms is 7.5 [mm]. Figure 11a shows the 23 automatically selected structure curves.

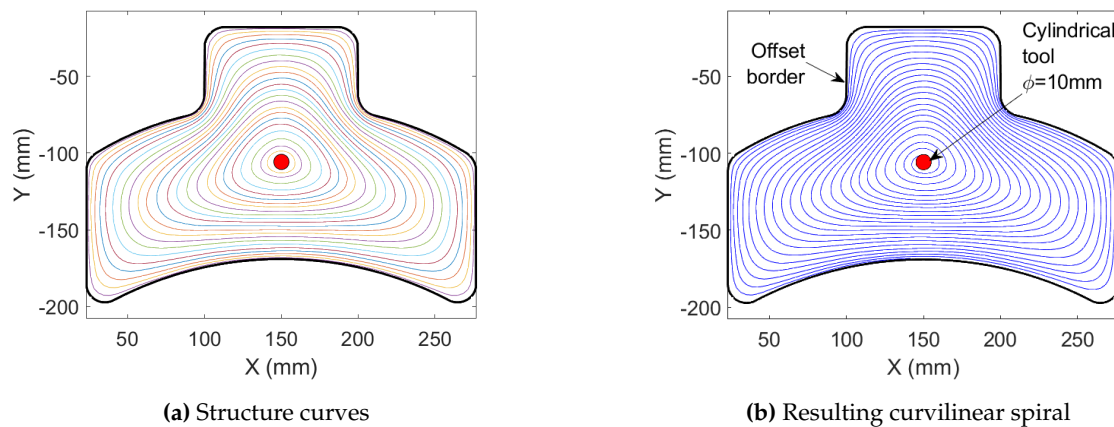


Figure 11. Structure curves and spiral-like tool path

4.2. Building and evaluation of curvilinear spiral

Finally, each revolving of curvilinear spiral is built by linear interpolation between two points of the same index (i.e. the same normalized curvilinear abscissa) of two consecutive structure curves. Figure 11b shows the curvilinear spiral built with our method.

Locally, the feedrate is simulated with VPOp. Two turns of curvilinear spiral have been chosen, in three zones of the pocket (internal, intermediate and external). The modeled machine tool is a *Mikron UCP710*. Its characteristics are reported in Table 1 [33].

Table 1. Kinematical characteristics of the Mikron UCP710 machine tool [33].

Mikron UCP710	V_{\max} [m/min]	a_{\max} [m/s ²]	J_{\max} [m/s ³]
X_m	30	2.5	5
Y_m	30	3	5

For each zone, three fitting methods have been tested (RAW = no fit, FIR = moving average, HQS = Hermite quartic spline interpolation), the toolpath length and the milling time are collected. The average feed rate is calculated. The programmed feed rate (V_m) is fixed at 10000 [mm/min]. Data are summarized in Table 2 and Figure 12 shows the velocity profile as a function of curvilinear abscissa for the three intermediate toolpaths (black = RAW, blue = HQS, red = FIR). Figure 13 links the velocity profile with the positions of the tool along the curvilinear spiral turns. Slowdowns for points A, B, C, E, F, G are due to the "high" curvature of the toolpath. D and H are, in fact, the beginnings of a curvilinear spiral turn and there, as already explained, the C^2 connectivity is lost.

Table 2. Simulated milling time for 3 fitting methods.

Toolpath location	Fitting Method	Toolpath length [mm]	Milling time [s]	$\Delta t/t$ [%]	\bar{V}_m [mm/min]
Internal	RAW	346	19	/	1092
	FIR	345	15.5	-18	1335
	HQS	328	15.8	-17	1245
Intermediate	RAW	650	22	/	1773
	FIR	645	17	-22	2276
	HQS	635	18.5	-16	2059
External	RAW	1383	32.3	/	2569
	FIR	1358	29	-10	2810
	HQS	1398	29	-10	2892

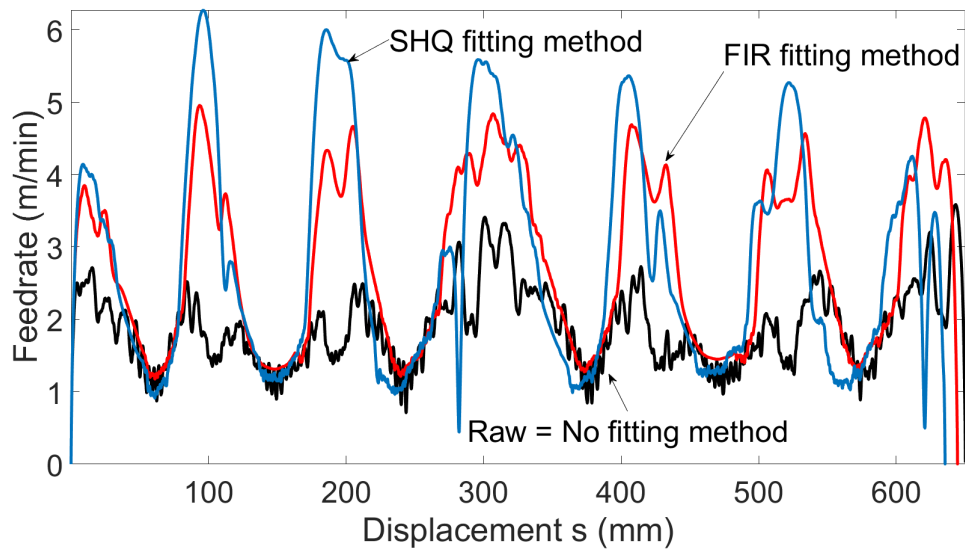


Figure 12. Velocity profile as a function of curvilinear abscissa - Intermediate turns

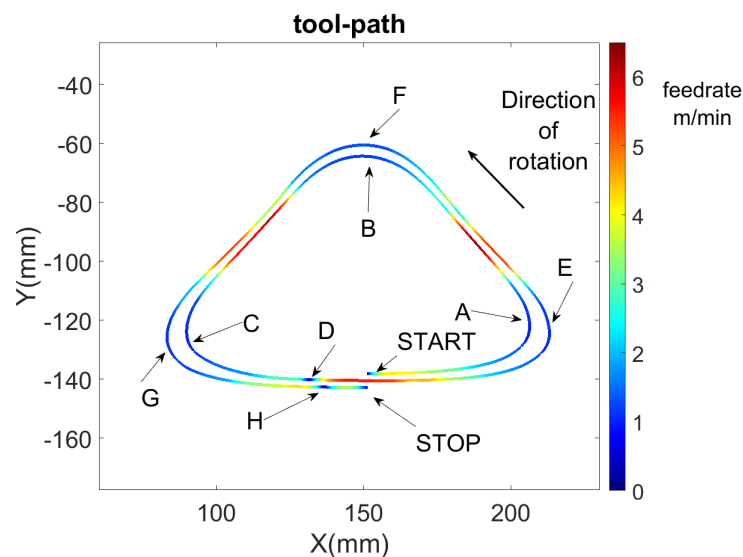


Figure 13. Positions of the feed rate slowdowns along the path (to be linked with Figure 12)

The results from Table 2 show that our method for rebuilding isothermal curves with a small number of Hermite quartic spline patches reduces the simulated milling time from 10% to 20%, in each zone of the pocket, compared to the RAW toolpath. The FIR filter was chosen to do as well as HQS method. However, in the case of the HQS toolpath, the mastering of the distance between the RAW isotherm and the fitted one is not lost, which is the case with the FIR method.

Globally, the histogram in the Figure 14 and statistical indicators in Table 3 confirms the toolpath improvement: histogram bins of small curvature are more filled, Cut95 and Cut99 are clearly lower for HQS method.

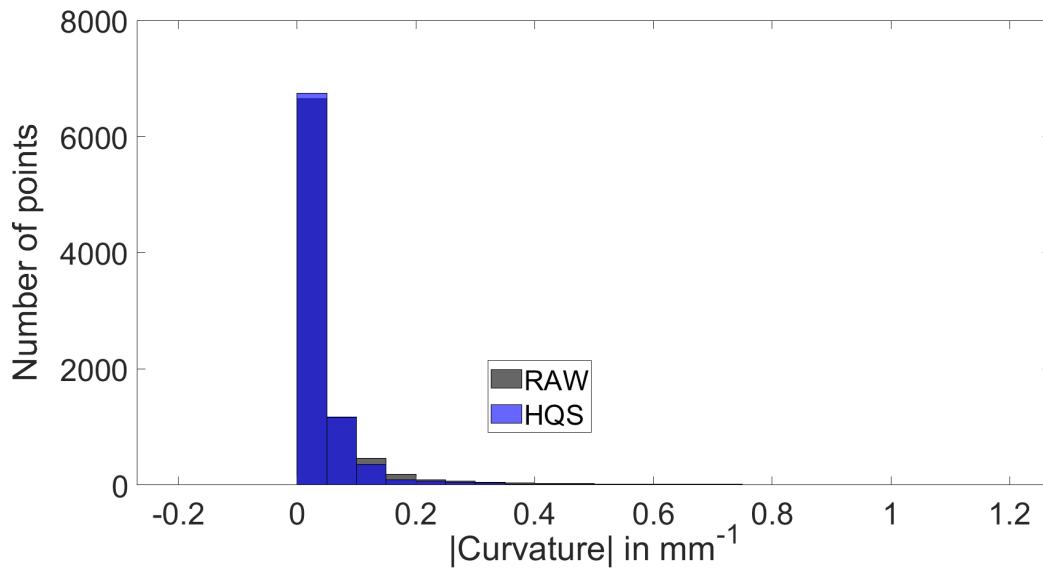


Figure 14. BIG pocket- Histogram of $|Curvature|$ for RAW and HQS entire curvilinear spiral

Moreover, this new method offers the ability to avoid the use of a Fresnel vector to generate the toolpath. Thereby, the restricted use of Bieterman's method for "globally convex pockets" is no longer required. HQS method enables the building of curvilinear toolpaths such as Bieterman and Sandström but for not convex cavities as shown in the second case study.

Table 3. BIG pocket - Curvature statistical indicators of entire curvilinear spiral

	Curvature absolute value ($ C $, mm^{-1})	
	RAW	HQS
Cut95	0.24	0.14
Cut99	1.30	0.97

5. Case Study 2—HUG Pocket

The second studied cavity is like a pocket used by Huang [34]. Due to its non-convexity, the winding angle (Fresnel's vector) used by Bieterman is not functional. Figure 15 shows that our isotherms reconstruction method works for building a curvilinear spiral for "globally non-convex" pockets. It is built between 17 isotherms and construct with around 6500 points. Note that the temperature field has two global maxima symmetrically disposed with the pocket axis: two beginning points for the curvilinear spiral are possible.

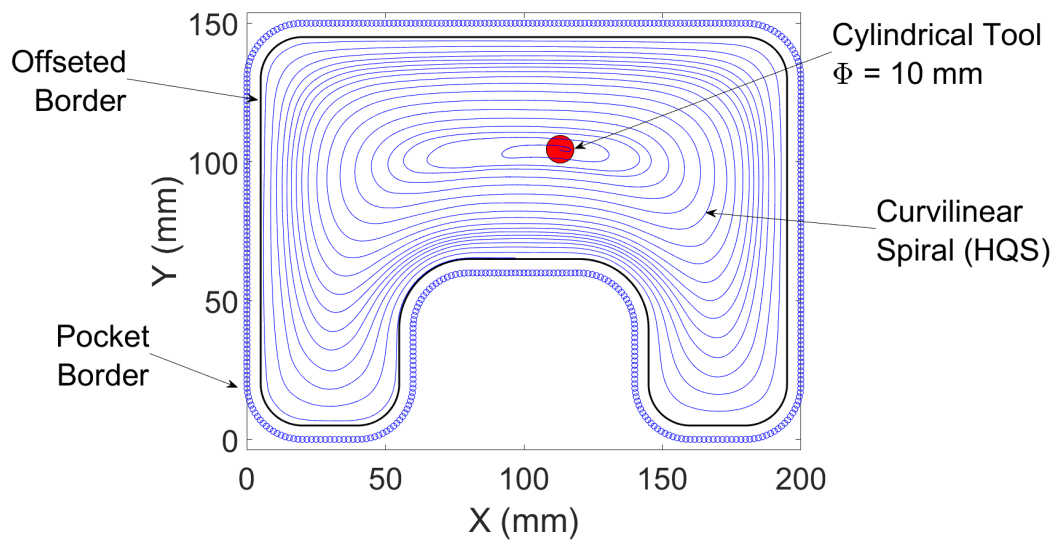


Figure 15. Entire toolpath

5.1. Toolpath Quality Indicators

Figure 16 shows a histogram of curvature for the entire curvilinear spiral. As it is impossible to build a Bieterman's toolpath for this pocket, the quality comparison will be done via RAW and HQS structure curves (isotherms). As above, three isotherms are chosen (Figure 17): external (iso3), intermediate (iso10), and internal (iso14). Histograms (Figure 18), statistical indicators (Table 4) and simulated milling time (Table 5) are used to demonstrate the geometrical improvement of toolpath quality. For each case, the curvature distribution is tighter and Cut95 and Cut99 are significantly smaller. Finally, the simulated milling time along each isotherm decreases ($\approx -20\%$).

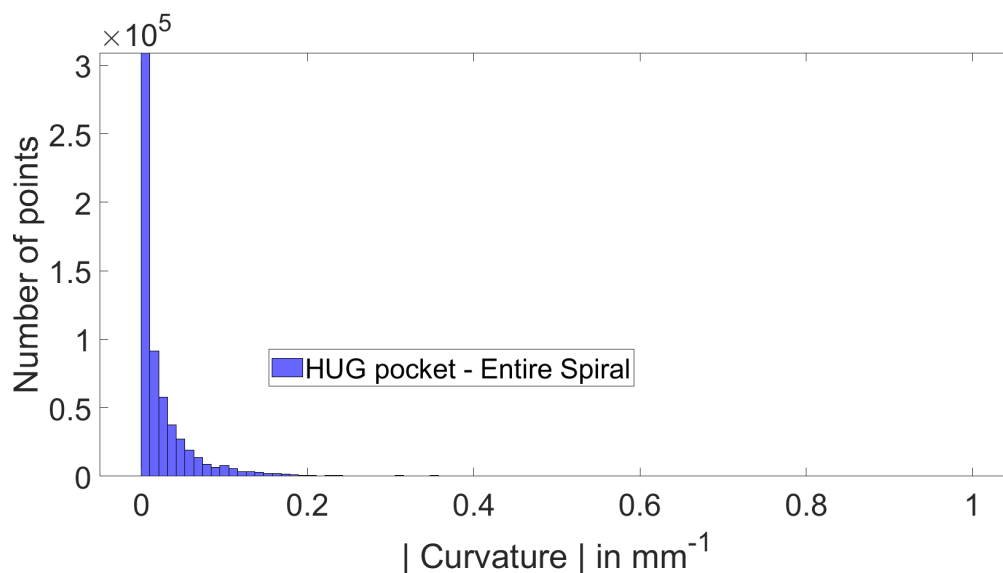


Figure 16. HUG pocket - HQS curvilinear entire spiral

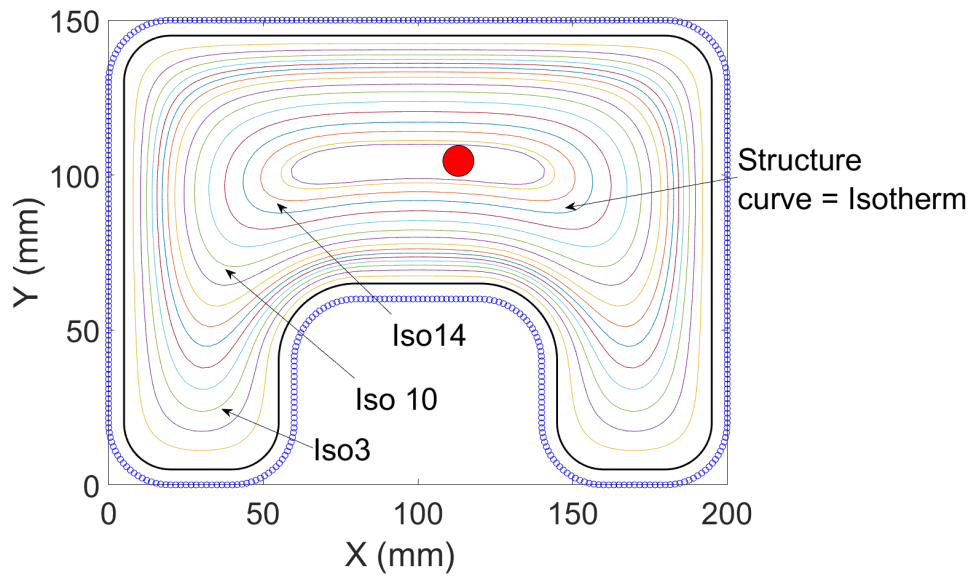


Figure 17. HUG pocket - Structure curves

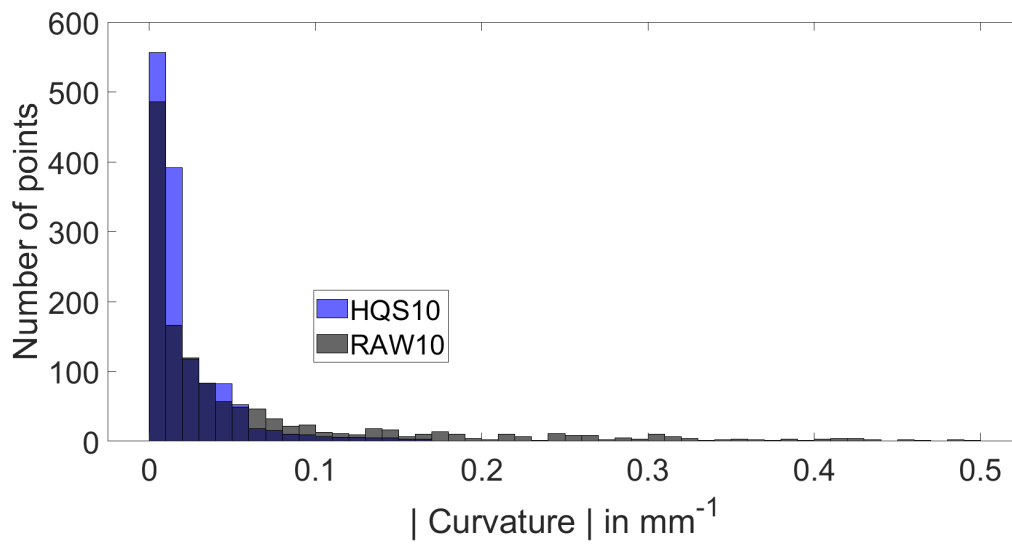


Figure 18. HUG pocket - Histogram of curvature absolute value for Iso10

Table 4. HUG pocket - Curvature quality statistical indicators

	Curvature absolute value ($ C $, mm^{-1})					
	Entire Spiral					
	Iso3		Iso10		Iso14	
	RAW	HQS	RAW	HQS	RAW	HQS
Cut95						
Cut99						
Cut95	0.38	0.07	0.45	0.07	0.44	0.10
Cut99	1.07	0.08	1.05	0.13	1.51	0.12

Table 5. Simulated milling time comparison for 3 structure curves

Toolpath location	Fitting Method	Milling time [s]	$\Delta t/t$ [%]
Internal (Iso14)	RAW	9	/
	HQS	7.3	-19
Intermediate (Iso10)	RAW	15.2	/
	HQS	12.2	-20
External (Iso3)	RAW	22.3	/
	HQS	17	-24

6. Conclusion

This article has proposed a new method to construct curvilinear spiral. This method uses a thermal model and FEM resolution to build structure curves of the spiral-like toolpath. To avoid the drawbacks of discretization, the structure curves are rebuilt with Hermit quartic spline patches that guarantee C^2 continuity and master the chordal error along the roughing path. Therefore, the continuity level of the curvilinear spiral is enhanced and the milling time on the toolpath is reduced. This method also offers a generalization of the Bieterman and Sandström's method of toolpath generation but for **non convex pockets**. In the future, the goal will be the use of Hermite quartic curves directly in a numerical controller that can handle spline interpolations.

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