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Article

A Prospective Study on the Progression, Recurrence, and Regression of Cervical Lesions: Assessing Various Screening Approaches

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Abstract: (1) Background: The prediction of cervical lesions evolution is a challenge for clinicians. The prospective study aimed to determine and compare the predictive accuracy of cytology, HPV genotyping, and p16/Ki67 dual staining alone or in combination with personal risk factors for the prediction of progression, regression or persistence of cervical lesions in human papillomavirus (HPV)-infected patients; (2) Methods: This prospective study included HPV-positive patients with or without cervical lesions who underwent follow-up in a private clinic. We calculated the predictive performance of individual tests (cervical cytology, HPV genotyping, CINtecPlus results and clinical risk factors) or their combination for the prediction of cervical lesions progression, regression, and persistence; (3) Results: The highest predictive performance for the progression of cervical lesions was achieved by a model that comprised a Pap smear suggestive of high-grade squamous intraepithelial lesion (HSIL), the presence of 16/18 HPV strains, a positive p16/Ki67 dual staining result along with the presence of at least 3 clinical risk factors, which had a sensitivity (Se) of 74.42%, a specificity of 97.92%, an area under the receiver operating curve (AUC) of 0.961, and an accuracy of 90.65%. The prediction of cervical lesions regression or persistence was modest when using individual or combined tests; (4) Conclusions: Multiple testing or new biomarkers should be used to improve HPV-positive patient surveillance, especially for cervical lesion regression or persistence prediction.

Keywords: cervical lesions; HPV genotyping; p16/Ki67 dual staining; cervical cytology; clinical risk factors

1. Introduction

An accurate prediction of the progression, regression, or persistence of cervical lesions is critical for guiding appropriate clinical management decisions and ensuring optimal patient outcomes. While several screening methods, such as cytology and human papillomavirus (HPV) testing, have been effective in identifying individuals at risk, the development of more accurate predictive tools remains a priority.

The natural history of cervical lesions is influenced by HPV characteristics such as type, viral load, and persistence [1]. Beside the viral characteristics, environmental or exogenous factors have been recognized as modifiers of the natural progression of HPV infections that can lead to cervical cancer, and they include smoking, multiple pregnancies, prolonged use of hormonal contraceptives, and co-infection with sexually transmitted infections such as *Chlamydia trachomatis* or Human Immunodeficiency Virus (HIV) [2–5].

Depending on the institution, the results of a colposcopic biopsy may be reported as cervical intraepithelial neoplasia (CIN) 1, 2, or 3 according to the Richart histopathological grading system, or as histologic low-grade squamous intraepithelial lesion (LSIL) or high-grade squamous intraepithelial lesion (HSIL) according to the Lower Anogenital Squamous Terminology system [6,7]. As a general rule, histologic LSIL is equivalent to CIN1, while histologic HSIL is equivalent to CIN2 and CIN3. CIN3 is considered to be a more reliable diagnosis compared to CIN2, as expert pathologists show a remarkable agreement of over 80% on CIN3 diagnoses, while their agreement on CIN2 diagnoses is less than 30% [8]. CIN3 is also more likely to indicate a histological correlation with cellular transformation, carrying a significant risk of progressing to cervical cancer. It is frequently linked to highly carcinogenic HPV genotypes [9].

Treatment is recommended for non-pregnant patients, who have been diagnosed with CIN3 (HSIL), or adenocarcinoma in situ (AIS) [10]. On the other hand, patients with CIN2 can be referred to a specific treatment or can undergo further follow-up due to the risk of preterm birth after excisional approaches, although this risk is questionable [11].

However, it is important to point out that CIN1 lesions have a natural history that is marked by a high rate of spontaneous regression (approximately 80%) [12], but can evolve to more severe cervical lesions (CIN2/3) in more than 10% of the cases [13]. A recent systematic review and meta-analysis conducted by Loopik et al., which included 89 studies, evaluated the regression, persistence, and progression rates of conservatively managed CIN 1-3 [14]. The results from this meta-analysis indicated that in women with CIN 1 conservatively treated, the rates of regression, persistence, and progression to CIN 2-3 or worse were 60%, 25%, and 11%, respectively. For CIN 2, the overall rates of regression were 55%, persistence were 23%, and progression were 19%. Lastly, in regards to CIN 3, the corresponding percentages were 28%, 67%, and 2%. Less than 1% of women with CIN1 or 2 progressed to cervical cancer in this study.

Recent literature has outlined several tests that could improve the detection and prognosis of cervical lesions progression. These tests include methylation assays of viral and host markers, immune cytodiagnosis, proteomic or transcriptomics panels [15–18]. The p16/Ki67 dual stain test (CINtecPlus) is a cutting-edge technology that has been approved for the precise detection of cell transformation [19]. The presence of p16 and Ki67 indicates potential cellular transformation caused by HPV, as p16 demonstrates the disruption of the retinoblastoma pathway caused by E7 oncoproteins, while Ki67 serves as a marker of cellular proliferation [20]. Multiple studies have demonstrated that utilizing dual stain instead of Papanicolaou-stain cytology can enhance the accuracy of identifying precancerous conditions and distinguishing them from low-grade abnormalities in patients with positive HPV test results [20–23].

The risk stratification of progression, regression, or persistence of cervical lesions using the p16/Ki67 dual stain test was poorly studied in the literature. Moreover, many reports evaluated the predictive performance of the p16/Ki67 dual stain test in previously stained slides. Thus, the aim of

this prospective study was to determine and compare the predictive accuracy of cytology, HPV genotyping, and p16/Ki67 dual staining (performed on fresh collected samples) taken individually or combined with personal risk factors for the progression, regression or persistence of cervical lesions in patients with a proven HPV infection.

2. Materials and Methods

This prospective study was conducted at the Avicena Profertis Clinic in Iasi, Romania, between October 2022 and December 2023, and included patients infected with HPV with or without cervical lesions that underwent follow-up or were programmed for excisional treatments (Ethical approval No 728/01.10.2022). The inclusion criteria comprised the following: patients with HPV genotyping positive for at least one of the HPV strains, who had indication for CINtecPlus testing, and who gave their informed consent for participating in this study. The exclusion criteria were represented by: patients with concomitant vaginal or vulvar precancerous lesions, a negative HPV genotyping test, personal history of genital cancer, pregnancy, lack of informed consent.

In the first stage of the evaluation, patients underwent Pap testing and HPV genotyping. If the patients had a positive HPV genotyping result, they underwent CINtecPlus testing, and the results were recorded in the database.

Patients with abnormal Pap smear results such as ASC-US, LSIL, and HSIL underwent colposcopy examination and a targeted cervical biopsy of the suspected cervical lesions. Pathologists classified the histology findings on the cervical biopsy or conization probes as either benign, CIN1, CIN2, CIN3 or microinvasive cervical cancer at the first examination and at the follow-up visit. The histopathological report was correlated with both cytology and HPV test results. Patients who had a histopathological diagnosis of CIN3 or microinvasive cervical cancer in this stage were excluded from the follow-up and underwent standard protocol, while patients with no documented histopathological lesions or with CIN1 or CIN2 were further included in the follow-up. These histology results were considered the gold standard. The initial cohort of patients comprised 165 subjects, but only 139 patients completed the follow-up program (Figure 1).

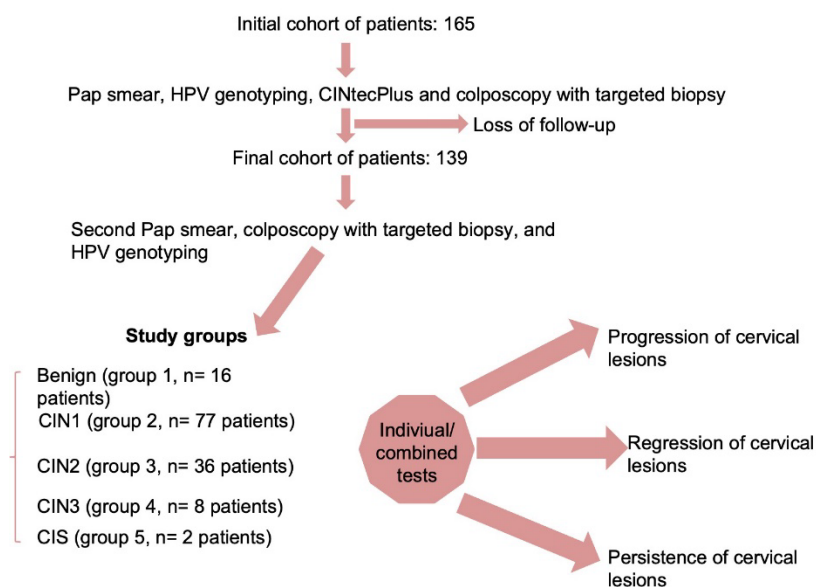


Figure 1. Diagram representing the study design.

Patients were followed up in a one year time-frame, and at the second visit, they underwent a second Pap smear, colposcopy with targeted biopsy, and HPV genotyping. The results from these tests were also recorded.

Our database also included clinical data of the patients, such as age, BMI, clinical risk factors for cervical cancer, vaccination, and contraceptive history, as well as personal obstetrical and gynecological history.

We defined the progression of the lesions in the following situations:

- if the initial histopathological diagnosis was CIN1 or CIN2 and at follow-up the histopathological diagnosis was CIN2/CIN3 or microinvasive cervical cancer;
- if the initial histopathological diagnosis was CIN1 or CIN2 and at follow-up the histopathological diagnosis was a combination of CIN1/ CIN2/CIN3 or microinvasive cervical cancer;

We defined regression of the lesions if the initial histopathological diagnosis was CIN1/ CIN2 and at follow-up the histopathological diagnosis was a lower grade, such as negative/ CIN1. The persistence of the lesions was documented by the same histopathological result at the follow-up.

The cases that tested negative for HPV or showed signs of new infections with different strains of HPV compared to baseline were considered to have a transitory HPV infection. In contrast, cases where the same genotype was discovered as a follow-up were considered to have a persistent HPV infection.

The collected cervical samples were sent in a ThinPrep® vial and processed on a ThinPrep 5000 (Hologic, Marlborough, Massachusetts, USA), stained by the Papanicolaou technique, microscopically observed by an experienced cytotechnician, and reviewed by the pathologists. The results were interpreted according to the Bethesda system from 2001, revised in 2014 [24] and they consisted of: NILM (negative for intraepithelial lesions or malignancy), ASC-US (atypical squamous cell—undetermined significance), ASC-H (atypical squamous cell—cannot exclude HSIL), LSIL (low-grade intraepithelial lesion), HSIL (high-grade intraepithelial lesion), AGC (atypical glandular cells), other.

HPV testing was performed on cervical samples using Allplex™ PCR System (Seegene Inc., Songpa-gu, Seoul, Republic of Korea) for detection of human papillomavirus - 19 high-risk HPV types (16, 18, 26, 31, 33, 35, 39, 45, 51, 52, 53, 56, 58, 59, 66, 68, 69, 73, 82) and 9 low-risk HPV types (6, 11, 40, 42, 43, 44, 54, 61, 70).

Using the ThinPrep 5000 Processor (Hologic, Marlborough, Massachusetts, USA), a slide was produced retrospectively from the remaining cytology material for p16/Ki-67 immunostaining. Based on the instructions provided by the manufacturer, the CINtec PLUS Cytology kit (Roche mtm Laboratories AG, Mannheim, Germany) was used to assess the slides. If one cervical epithelial cell per slide was stained with both brown cytoplasmic (p16) and red nuclear (Ki-67) stain, the sample was categorized as positive; otherwise, it was termed negative if only one stain was visible. Some of the results from CINtecPlus testing are presented as supplementary materials (Supplementary Figures S1–S6).

The colposcopy was performed using a photo/video colposcope 3MLS LED 1"- LEISEGANG (Feinmechanik-Optik GmbH, Berlin, Germany). Colposcopists conducted the biopsies in accordance with established clinical protocol. As is standard procedure, hematoxylin and eosin (H&E) staining was applied to three sections of every biopsy sample.

Data description and univariate analysis comprised a chi-squared test for categorical variables, which were presented as frequencies with corresponding percentages, and an analysis of variance (ANOVA) with Bonferroni posthoc test for continuous variables, which were presented as means and standard deviations (SD). The univariate analysis was performed for the following groups considering the second histopathological results: benign (group 1, n= 16 patients), CIN1 (group 2, n= 77 patients), CIN2 (group 3, n= 36 patients), CIN3 (group 4, n= 8 patients), and in situ carcinoma (CIS) (group 5, n= 2 patients).

The primary outcomes were the progression, regression and persistence of the cervical lesions. We performed a sensitivity analysis using clinical risk factors for cervical cancer (smokers, immunosuppression, long-term use of oral contraceptives, multiple sexual partners, early debut of sexual activity, poor socioeconomic status, lack of HPV vaccination, family history of cervical cancer), the results from HPV genotyping, Pap smear, and CINtecPlus as predictors taken individually or in combination, and considering the histopathological results of cervical biopsies as gold standards. The statistical analyses were carried out using STATA SE (version 17, StataCorp LLC, College Station, TX, USA). A *p*-value of less than 0.05 was considered statistically significant.

3. Results

The database used for this analysis included 139 patients with HPV infections, which were segregated according to the second histopathological results in the following groups: benign (group 1, n= 16 patients), CIN1 (group 2, n= 77 patients), CIN2 (group 3, n= 36 patients), and CIN3 (group 4, n= 8 patients), and in situ carcinoma (CIS) (group 5, n= 2 patients). Their demographic and clinical characteristics are presented in Table 1.

Table 1. Demographic and clinical characteristics segregated considering the second histopathological examination of the cervical probes.

Variable	Benign (group 1, n= 16 patients)	CIN1 (group 2, n= 77 patients)	CIN2 (group 3, n= 36 patients)	CIN3 (group 4, n= 8 patients)	CIS (group 5, n= 2 patients)	P value
Age, years (mean ± SD)	27.5± 4.78	34.90± 9.75	37.25± 9.69	39.5± 6.36	48.77± 13.81	0.0003
BMI, kg/m ² (mean ± SD)	24.02± 3.80	24.04± 5.04	23.63± 4.57	29.37± 4.70	22.67± 5.73	0.22
Smoking (n/%)	Yes= 1 (6.25%)	Yes= 4 (5.19%)	Yes= 3 (8.33%)	Yes= 1 (12.5%)	Yes= 1 (50%)	0.17
Multiple sexual partners (n/%)	Yes= 1 (6.25%)	Yes= 2 (2.60%)	Yes= 6 (16.67%)	Yes= 2 (25%)	Yes= 1 (50%)	0.03
Early debut of sexual activity (n/%)	Yes= 0 (0%)	Yes= 7 (9.09%)	Yes= 4 (11.11%)	Yes= 1 (12.5%)	Yes= 0 (0%)	0.70
Prolonged use of oral contraceptives (n/%)	Yes= 1 (6.25%)	Yes= 11 (14.29%)	Yes= 8 (22.22%)	Yes= 1 (12.5%)	Yes= 1 (50%)	0.19
Personal history of HPV vaccination (n/%)	Yes= 0 (0%)	Yes= 3 (3.89%)	Yes= 3 (8.33%)	Yes= 1 (12.5%)	Yes= 0 (0%)	0.26
Personal history of conization/ LLETZ (n/%)	Yes= 0 (0%)	Yes= 11 (14.29%)	Yes= 8 (22.22%)	Yes= 1 (12.5%)	Yes= 1 (50%)	0.19
Cervical cytology (n/%)	NILM= 5 (31.25%) ASC-US= 9 (56.25%) LSIL= 2 (12.5%) HSIL= 0 (0%) Carcinoma= 0 (0%)	NILM= 3 (3.90%) ASC-US= 31 (40.26%) LSIL= 37 (48.05%) HSIL= 6 (7.79%) Carcinoma= 0 (0%)	NILM= 0 (0%) ASC-US= 6 (16.67%) LSIL= 14 (38.89%) HSIL= 16 (44.44%) Carcinoma= 0 (0%)	NILM= 0 (0%) ASC-US= 2 (25%) LSIL= 1 (12.50%) HSIL= 5 (62.5%) Carcinoma= 0 (0%)	NILM= 0 (0%) ASC-US= 0 (0%) LSIL= 0 (0%) HSIL= 1 (50%) Carcinoma= 1 (50%)	< 0.001
HPV 16/18 (n/%)	Yes= 1 (6.25%)	Yes= 15 (19.48%)	Yes= 18 (50%)	Yes= 7 (87.50%)	Yes= 2 (100%)	< 0.001
Other high risk HPV strains (n/%)	Yes= 12 (80%)	Yes= 37 (48.05%)	Yes= 22 (61.11%)	Yes= 3 (37.50%)	Yes= 0 (0%)	0.05
Low risk HPV strains (n/%)	Yes= 2 (12.50%)	Yes= 16 (21.05%)	Yes= 3 (8.57%)	Yes= 2 (25.00%)	Yes= 0 (0%)	0.45
CINtecPlus results (n/%)	Positive= 3 (20.00%)	Positive= 31 (41.33%)	Positive= 30 (83.33%)	Positive= 5 (83.33%)	Positive= 2 (100%)	< 0.001

Table legend: CIN- cervical intraepithelial neoplasia; CIS- in situ carcinoma; SD- standard deviation; BMI- body mass index; HPV- human papillomavirus; LLETZ- large loop excision of the transformation zone; NILM- negative for intraepithelial neoplasia; ASC-US- atypical squamous cell—undetermined significance; LSIL- low-grade intraepithelial lesion; HSIL- high-grade intraepithelial lesion.

The mean age for patients who had a CIN2, CIN3, or CIS was significantly higher compared to the age of the patients with benign histopathological results or CIN1 ($p= 0.0003$). Moreover, the

proportion of patients who had multiple sexual partners (> 5) was significantly higher for CIN2, CIN3, and CIS groups compared to the other groups ($p=0.03$).

Regarding Pap smear results, the first and second groups had a significantly higher proportion of NILM and ASC-US lesions, while the fourth and fifth groups presented with a significantly higher proportion of HSIL results. The second group also had the highest proportion of LSIL results, while the fifth group had a 50% rate of carcinoma based on the results of cervical cytology.

The results of HPV genotyping indicated that patients with CIN2, CIN3, and CIS had significantly higher rates of infection with high-risk HPV strains such as HPV 16 and 18 ($p<0.001$). On the other hand, we could not find any statistically significant difference between groups regarding other high-risk ($p=0.05$) or low-risk ($p=0.45$) HPV strains.

A positive CINtecPlus result was significantly more frequently encountered for patients with CIN2, CIN3, and CIS ($p<0.001$) compared with patients with a benign histopathological result or CIN1.

Finally, we could not find any statistically significant difference between groups regarding clinical risk factors for cervical cancer such as BMI, smoking status, early debut of sexual activity, prolonged use of oral contraceptives, a positive personal history of HPV vaccination, or invasive procedures on the cervix ($p>0.05$).

In the second stage of our analysis, we evaluated the predictive accuracy of cytology, HPV genotyping, and p16/Ki67 dual staining (CINtecPlus) taken individually or combined with personal risk factors for the progression, regression or persistence of cervical lesions in patients with a proven HPV infection.

In Table 2 is presented the predictive performance of all evaluated index tests and combined models considering the progression of cervical lesions ($n=34$ patients, 24.46%). Our results indicated that the presence of at least 3 clinical risk factors (accuracy: 67.13%), a cervical cytology suggestive of HSIL (accuracy: 64.03%), the presence of 16/18 HPV strains (accuracy: 66.19%), and a positive result of CINtecPlus (accuracy: 53.73%) had the highest performance in terms of accuracy.

Table 2. Predictive performance of various index tests and models for the prediction of cervical abnormalities progression.

Index test	Se (%)	SP (%)	NPV (%)	AUC	Accuracy
Cervical cytology, ASC-US	41.18	44.16	62.96	0.5440	43.24
Cervical cytology, LSIL	32.3	59.05	72.94	0.4570	52.5
Cervical cytology, HSIL	17.65	79.05	74.47	0.4835	64.03
HPV 16/18	44.12	73.33	80.21	0.4127	66.19
Other high-risk HPV	64.71	50	81.25	0.4265	53.62
Low-risk HPV	11.76	81.55	73.68	0.5334	64.23
Positive CINtecPlus	63.64	50.5	80.95	0.4293	53.73
Clinical risk factors (at least 3)	44.12	74.31	81	0.5873	67.13
Cytology, LSIL+ HPV 16/18 (model 1)	51	89.13	78.1	0.805	76.26
Cytology, HSIL+ HPV 16/18 (model 2)	60	92.55	82.86	0.863	82
Cytology, LSIL+ HPV 16/18+ Positive CINtecPlus (model 3)	65.12	93.75	85.71	0.883	84.89

Cytology, HSIL+ HPV 16/18+ Positive CINtecPlus (model 4)	69.77	95.83	87.62	0.922	87.7
Cytology, LSIL+ HPV 16/18+ Positive CINtecPlus+ Clinical risk factors (model 5)	70.7	94.9	88.55	0.902	87.7
Cytology, HSIL+ HPV 16/18+ Positive CINtecPlus+ Clinical risk factors (model 6).	74.42	97.92	89.52	0.961	90.65

Legend: Se- sensitivity; Sp- specificity; NPV- negative predictive value; AUC- area under the curve; HPV- human papillomavirus; ASC-US- atypical squamous cell—undetermined significance; LSIL- low-grade intraepithelial lesion; HSIL- high-grade intraepithelial lesion.

We also evaluated various combinations of index tests which resulted in 6 combined models. The highest predictive performance for the progression of cervical lesions was achieved by a model that comprised a Pap smear suggestive of HSIL, the presence of 16/18 HPV strains, a positive CINtecPlus result along with the presence of at least 3 clinical risk factors (model 6). This model was characterized by a sensitivity (Se) of 74.42%, a specificity of 97.92%, an area under the receiver operating curve (AUC) of 0.961, and an accuracy of 90.65%. Figure 2 outlines a comparison of all evaluated models taking into account the value of the ROC curve.

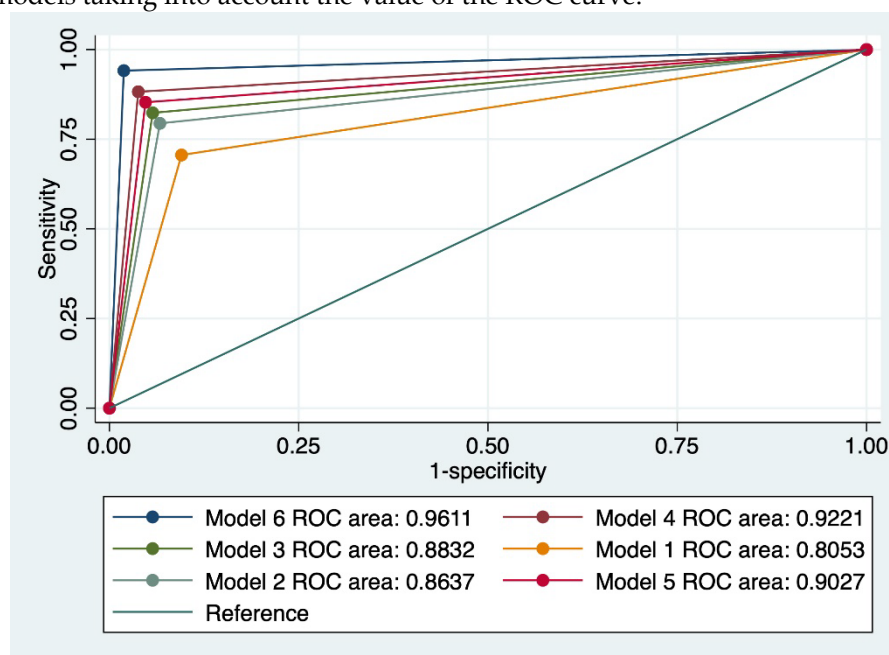


Figure 2. Comparison of ROC curves corresponding to 6 models used for the prediction of cervical anomalies progression.

Table 3 presents the predictive performance of all evaluated index tests and combined models considering the regression of cervical lesions (n = 19 patients, 13.66%). The presence of low-risk HPV strains (accuracy: 73.72%), a Pap smear suggestive of ASC-US (accuracy: 66.19%), a negative CINtecPlus (accuracy: 40.3%), and a personal history of less than 3 risk factors for cervical cancer (accuracy: 64.03%) best predicted the regression of cervical abnormalities.

Also, a model that comprised a cervical cytology suggestive of LSIL, in the absence of HPV 16/18, a negative CINtecPlus result, and a personal history of less than 3 risk factors for cervical cancer (model 5) achieved the best results in terms of predicting the regression of cervical abnormalities (Se-

33.3%, Sp-88%, AUC- 0.691, and accuracy: 82.14%). Figure 3 outlines a comparison of all evaluated models, taking into account the value of the ROC curve.

Table 3. Predictive performance of various index tests and models for the prediction of cervical abnormalities regression.

Index test	Se (%)	SP (%)	NPV (%)	AUC	Accuracy
Cervical cytology, ASC-US	52.63	68.33	90.11	0.604	66.19
Cervical cytology, LSIL	10.53	56.66	80	0.336	50.36
Cervical cytology, HSIL	21.05	80	86.49	0.505	71.94
HPV 16/18	10.53	65.83	82.29	0.618	58.27
Other high-risk HPV	72.2	49.17	92.19	0.618	52.17
Low-risk HPV	15.79	83.05	85.96	0.505	73.72
Negative CINTecPlus	26.32	42.61	77.7	0.655	40.3
Clinical risk factors (less than 3)	31.58	69.17	86.4	0.503	64.03
Cytology, LSIL+ HPV 16/18 (model 1)	23.3	88.99	80.83	0.634	74.8
Cytology, HSIL+ HPV 16/18 (model 2)	20.69	88.29	80.99	0.603	74.29
Cytology, LSIL+ Negative HPV 16/18+ Negative CINTecPlus (model 3)	32	89.57	85.71	0.660	79.29
Cytology, HSIL+ HPV 16/18+ Negative CINTecPlus (model 4)	26.27	87.20	90.83	0.559	80.71
Cytology, LSIL+ Negative HPV 16/18+ Negative CINTecPlus+ Clinical risk factors (less than 3) (model 5)	33.3	88	91.67	0.691	82.14
Cytology, HSIL+ Negative HPV 16/18+ Negative CINTecPlus+ Clinical risk factors (less than 3) (model 6).	14.29	85.71	85	0.508	75

Legend: Se- sensitivity; Sp- specificity; NPV- negative predictive value; AUC- area under the curve; HPV- human papillomavirus; ASC-US- atypical squamous cell—undetermined significance; LSIL- low-grade intraepithelial lesion; HSIL- high-grade intraepithelial lesion.

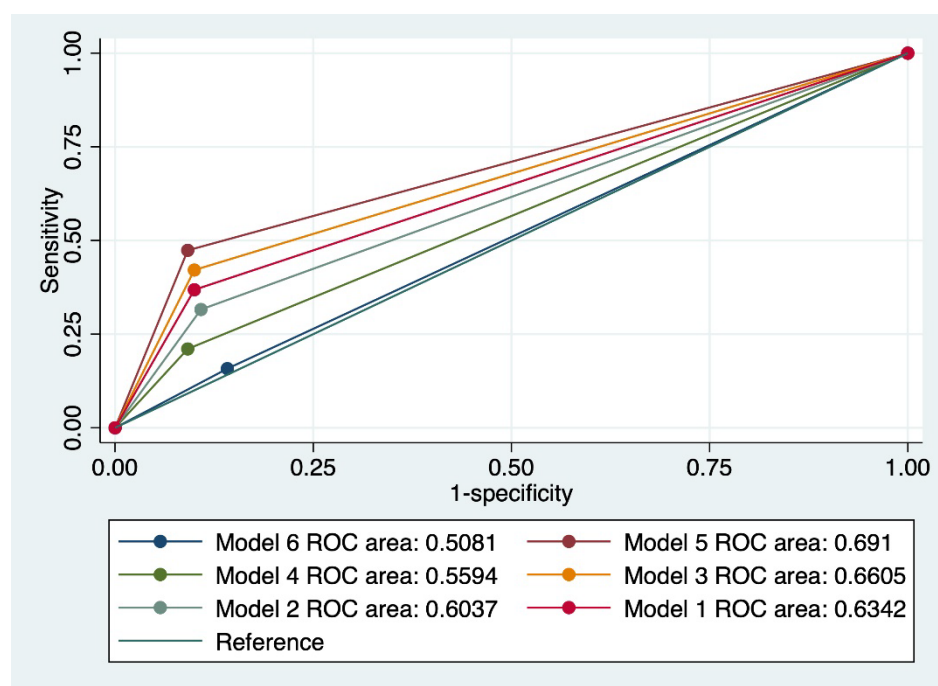


Figure 3. Comparison of ROC curves corresponding to 6 models used for the prediction of cervical anomalies regression.

The final analysis evaluated the persistence of cervical abnormalities and the results are presented in Table 4 and Figure 3. The overall accuracy of individual index tests for the prediction of cervical abnormalities persistence was low to moderate, between 38.13 and 58.27%, the latter accuracy being attributed to a cervical cytology suggestive of LSIL. Moreover, all models that included LSIL outperformed models that included HSIL when used for the prediction of cervical abnormalities persistence. The best predictive performance for this outcome was achieved by model 5, which comprised a cervical cytology suggestive of LSIL, the presence of HPV 16/18 strains, a positive CINtecPlus result, and at least 3 clinical risk factors for cervical cancer, with a Sensitivity of 61.04%, Specificity of 88.71%, an AUC value of 0.707, and an accuracy of 73.38%.

Table 4. Predictive performance of various index tests and models for the prediction of cervical abnormalities persistence.

Index test	Se (%)	SP (%)	NPV (%)	AUC	Accuracy
Cervical cytology, ASC-US	27.91	54.72	31.87	0.413	38.13
Cervical cytology, LSIL	47.67	75.47	47.06	0.615	58.27
Cervical cytology, HSIL	20.93	81.13	38.74	0.510	43.88
HPV 16/18	30.23	67.92	37.50	0.509	44.60
Other high-risk HPV	45.35	32.69	26.56	0.500	40.58
Low-risk HPV	19.05	86.79	40.35	0.470	45.26
Positive CINtecPlus	54.88	50.00	41.27	0.475	52.99
Clinical risk factors (more than 3)	25.58	60.38	33.33	0.503	38.85
Cytology, LSIL+ HPV 16/18 (model 1)	47.83	78.72	43.53	0.614	58.27
Cytology, HSIL+ HPV 16/18 (model 2)	25.64	86.89	47.75	0.540	52.5

Cytology, LSIL+ HPV 16/18+ Positive	55.70	83.33	58.82	0.692	67.63
CINtecPlus (model 3)					
Cytology, HSIL+ HPV 16/18+ Positive	28.21	90.16	49.55	0.571	55.40
CINtecPlus (model 4)					
Cytology, LSIL+ HPV 16/18+ Positive					
CINtecPlus+ Clinical risk factors (more than 3) (model 5)	61.04	88.71	64.71	0.707	73.38
Cytology, HSIL+ HPV 16/18+ Positive					
CINtecPlus+ Clinical risk factors (more than 3) (model 6)	34.21	95.24	54.55	0.622	61.87

Legend: Se- sensitivity; Sp- specificity; NPV- negative predictive value; AUC- area under the curve; HPV- human papillomavirus; ASC-US- atypical squamous cell—undetermined significance; LSIL- low-grade intraepithelial lesion; HSIL- high-grade intraepithelial lesion.

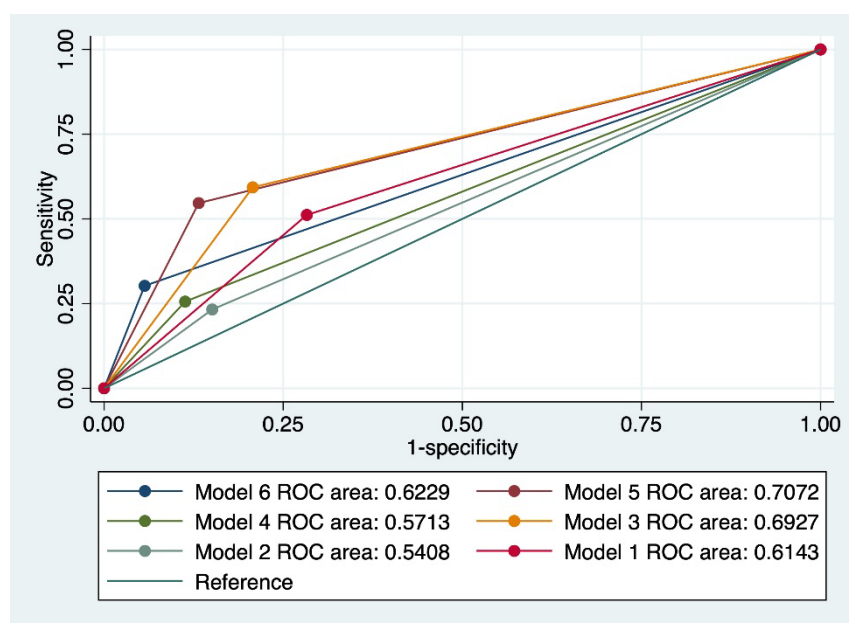


Figure 3. Comparison of ROC curves corresponding to 6 models used for the prediction of cervical anomalies persistence.

4. Discussion

The risk stratification of cervical abnormalities represents a challenge for clinicians, especially for patients with CIN2 who have not completed their family planning due to the controversial risk of preterm birth after excisional therapies. On the other hand, it is important to note that the follow-up of patients with cervical abnormalities relies on tests characterized by variable sensitivity and specificities depending on the studied population.

This study was concentrated on HPV positive patients who underwent follow-up during a one-year time frame, and we evaluated the predictive accuracy of various index tests (cytology, HPV genotyping, and CINtecPlus) taken individually or combined with clinical risk factors for the progression, regression, or persistence of cervical abnormalities.

Our results indicated that the progression of cervical abnormalities was most accurately predicted by the presence of at least 3 clinical risk factors (accuracy: 67.13%), a cervical cytology suggestive of HSIL (accuracy: 64.03%), the presence of 16/18 HPV strains (accuracy: 66.19%), and a positive result of CINtecPlus (accuracy: 53.73%). Most of the evaluated index tests had high specificity and low to moderate sensitivity. A model that reunited all these individual index tests achieved a Se of 74.42%, a specificity of 97.92%, an AUC of 0.961, and an accuracy of 90.65%.

Indeed, a high-grade index cytology, the presence of 16/18 HPV strains, and a positive CINtecPlus result have been associated with a higher risk of progression of cervical lesions [25–27]. Comparative literature data regarding the accuracy of the combined model for the prediction of cervical lesions progression is missing, but there are several studies that investigated the predictive performance of various combinations of index tests for disease detection. Thus, in a recent observational prospective study, the authors found out that a combination of p16/Ki67 dual staining and HPV 16/18 had a sensitivity of 42.8% and a specificity of 92.8% for the detection of high-grade CIN2 lesions [28]. Moreover, the same authors outlined a sensitivity of 44% and a specificity of 91% for the detection of high-grade CIN3 lesions.

When we evaluated the performance of individual index tests for the prediction of cervical abnormalities regression, we found out that the presence of low-risk HPV strains (accuracy: 73.72%), a Pap smear suggestive of ASC-US (accuracy: 66.19%), a negative CINtecPlus (accuracy: 40.3%), and a personal history of less than 3 risk factors for cervical cancer (accuracy: 64.03%) achieved the best results. Follow-up studies confirmed a higher rate of cervical lesions regression in the presence of low-grade lesions (ASC-US/LSIL), infection by HPV other than HPV-16, or a negative CINtecPlus result [29,30]. Our model that reunited all these index tests achieved the best results for the prediction of cervical lesions regression (Se-33.3%, Sp-88%, AUC- 0.691, and accuracy: 82.14%).

Finally, a model that comprised a cervical cytology suggestive of LSIL, the presence of HPV 16/18 strains, a positive CINtecPlus result, and at least 3 clinical risk factors for cervical cancer achieved a Se of 61.04%, Sp of 88.71%, an AUC value of 0.707, and an accuracy of 73.38% for the prediction of cervical lesions persistence.

The prediction of cervical lesions regression or persistence was modest in terms of sensitivity and overall accuracy, even though it included factors associated with regression or persistence of cervical lesions [31,32], thus, leaving treatment algorithms dependent on repeated examinations and testing. Moreover, these results indicate the need for inclusion of more sensitive tests that can outperform the classical approaches. This finding is supported by recent studies that outline a higher predictive performance of various molecular or methylation markers [33,34].

Louvanto et al., investigated the predictive performance of pyrosequencing methylation and HPV genotyping for the prediction of regression, persistence or progression of HSIL (CIN2) in a 2-year surveillance period [34]. The authors demonstrated that the S5 classifier outperformed cytology and HPV genotyping when used for the prediction of regression *versus* progression of cervical lesions. Moreover, a combination of the S5 classifier and cytology had an AUC value of 0.735 in comparing regression *versus* progression of cervical lesions, whereas HPV genotyping did not provide additional prognostic benefit.

Several risk factors for the recurrence of cervical lesions have been proposed in the literature [35]. One study conducted by Bogani et al., investigated the impact of persistent HPV infection on the recurrence risk of CIN2+ in a cohort of 545 patients who underwent primary conization [36]. Their results indicated that patients with persistent HPV infection after 6 months had a risk of recurrence of 7.46%, while patients with persistent HPV infection at 12 months after conization had a risk of cervical lesions recurrence of 13.1%. On the other hand, they found out that the persistence of HPV infection for more than 12 months did significantly increase the risk of recurrence. These results point out the need to thoroughly follow-up patients with persistent HPV infection in order to timely detect cervical lesions recurrence after primary conization.

The limitations of this study are represented by the small cohort of patients included in the follow-up, the limited time-frame, and inclusion of patients with a cytology positive for only ASC-US, LSIL, or HSIL. We hypothesize that a longer follow-up period would allow us better understand

the dynamics of cervical lesions progression, regression, or persistence, especially in patients with a high-risk profile. The strengths of this study stem from the use of histopathology results as gold standards both at the inclusion in the study and at the follow-up, the assessment of the predictive accuracy of various models for the prediction of progression, regression, and persistence of cervical lesions, as well as a CINtecPlus assay performed on fresh cytology samples. We advocate for the use of CINtecPlus assay on fresh cytology samples, and not on already colored slides because this approach could offer better insight on the markers' positivity, and limit the false-negative results. Moreover, this study has a prospective design and it was conducted during a one-year time frame. We will follow-up these patients for another year, and report the results in another paper.

Our results outline the limited performance of current individual screening assays for the prediction of cervical lesions regression or persistence, thus pointing out the need for performing multiple tests during the patient's follow-up. Our results clearly indicated that the combined screening approaches have superior predictive performance in comparison with individual screening test for the prediction of cervical lesions progression, persistence, or regression. Thus, the clinicians should integrate data obtained from multiple screening tests and corroborate it with literature data that indicates a high or a low risk of cervical lesions progression, regression or persistence. Moreover, we hypothesize that the inclusion of new biomarkers could improve the overall prognostic accuracy and reduce the long-term costs of the HPV-positive patient surveillance program. Also, deep neural networks could be employed for improvement of cervical lesions detection and classification [37,38].

Finally, this study indicated low HPV vaccinal rates and raised awareness about the need to implement national policies that will increase the acceptability of HPV vaccination, which is low in Romania [39].

5. Conclusions

Cervical lesion progression was best predicted by a model that included the presence of at least 3 clinical risk factors, a cervical cytology suggestive of HSIL, the presence of 16/18 HPV strains, and a positive result of CINtecPlus.

The prediction of cervical lesions regression or persistence was modest using individual index tests or combined models, thus outlining the need to improve current surveillance of HPV positive patients wither by multiple testing or by including new biomarkers.

Further follow-up studies on various populations of HPV positive patients could offer us a better insight into specific prediction models.

Supplementary Materials: The following supporting information can be downloaded at the website of this paper posted on Preprints.org. Figure S1: CINtec plus positive. Co-expression of p16 (brown) signals and Ki-67 (red) signals in the same atypical squamous cells (x20); Figure S2: CINtec plus negative. Expression of Ki-67 (red) signals in atypical squamous cells (x40); Figure S3: CINtec plus negative. No expression of Ki-67 and p16 in squamous cells (x20); Figure S4: CINtec plus positive. Co-expression of p16 (brown) signal (weak) and Ki-67 (red) signal in the same squamous cells (x20); Figure S5: CINtec plus positive. Co-expression of p16 and Ki-67 in the same atypical squamous cells (x20); Figure S6: CINtec plus positive. Co-expression of p16 and Ki-67 in the same atypical squamous cell (x40).

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