



Article

Cascade CFAR & SLA Ship Detector for Multi-Frequency SAR data

Roberto Del Prete , Maria Daniela Graziano  and Alfredo Renga 

University of Naples Federico II, Department of Industrial Engineering, P.le Tecchio 80, NA 80125.

* Correspondence: roberto.delprete@unina.it; Tel.: +39-081-7682160

Abstract: In the framework of maritime surveillance, vessel detection techniques based on spaceborne Synthetic Aperture Radar (SAR) images have promoted extensive applications for the effective understanding of unlawful activities at sea. The paper deal with this topic presenting a novel approach exploiting a cascade application of a pre-screening, and discrimination phase. The latter leverage the SAR spectrum by means of sub-looks analysis. For the first time, the method has been validated with experiments on multi-frequency (C-, X-, and L-band) SAR images. For a future synergetic exploitation of more SAR missions, the developed dataset, composed of Sentinel-1, SAOCOM and COSMO-SkyMed images, is comprehensive of multiple images gathered over the same area with short time lag (below 15 minutes). Finally, the diversified processing chains and the results for each mission product and scenarios are discussed. Being the first dataset of SLC (Single Look Complex) SAR multi-frequency data, the present work intends to encourage additional research in this promising field of research.

Keywords: Synthetic Aperture Radar; Maritime Monitoring; Multi-frequency; Multi-mission; Ship Detection; CFAR; Sublook Analysis.

1. Introduction

The definition of Marine Domain Awareness (MDA) conceives the effective understanding of everything related to the maritime environment that may have an impact on the security, safety, economics, or environment [1,2]. In this framework, geolocating ships at sea, i.e. calculating their coordinates in a specific reference frame, is an issue that holds a critical role in improving MDA [3]. Such a problem is referred in the literature as "ship detection" and finds particular application both theoretically and practically in many coast guard tasks, from law enforcement to maritime safety, and from search and rescue to vessel traffic management services [4–7]. Notably, the detection of vessels can be attained both with airborne [8], spaceborne [9] and in-situ instrumentation [10].

Concerning this latter, the Automatic Identification System (AIS), a VHF (Very High Frequency) transceiver built originally for collision avoidance, is currently the major utilise for maritime monitoring by Vessel Traffic Services (VTS) [11]. The broadcasted messages contain useful information about vessel identity, position, speed, course, destination and other data that is critical for maritime control and navigational safeness [12]. These information are delivered both ship-to-ship and ship-to-shore AIS stations (Figure 1). The initial worries regarding the efficacy of an AIS-based monitoring system can emerge when examining its coverage at sea, which is up to 20 nautical miles without repeaters. However, the major issue with the AIS lies in its "cooperative" tracking technology. The transmitter can be purposefully set off during unlawful activities. In such a circumstance, the ship becomes a "dark vessel", i.e., a vessel that operates without an AIS transponder or with it turned off [3]. Still, the AIS legislation retain its carrying obligation only for certain classes of vessels: as stated in the SOLAS regulation [13], all passenger ships (regardless of size), international voyaging ships of 300 gross tonnages (GT) or more, and 500 GT and greater cargo ships not embarked on international journeys

Citation: Del Prete, R.; Graziano, M.D.; Renga, A. Title. *Remote Sens.* **2023**, *1*, 0. <https://doi.org/tbd>

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Copyright: © 2023 by the authors. Submitted to *Remote Sens.* for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).



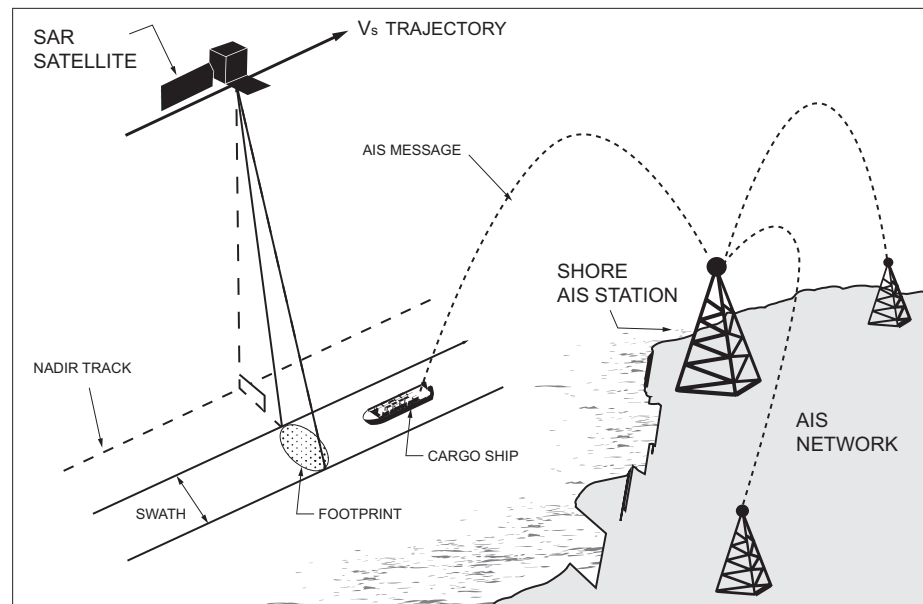


Figure 1. Pictorial view of a spaceborne SAR gathering an image over a gulf and AIS network.

40 are obliged by the International Maritime Organization (IMO) to be equipped with AIS
 41 [13]. Finally, situations of corrupted or incorrect AIS messages are prone to occur [14].
 42 Therefore, while definitely contributing in vessel monitoring, the AIS is only one side
 43 of a valuable MDA solution. To be actually helpful, the AIS messages must be used
 44 in cooperation with other sensors, specifically non-cooperative and with extensive
 45 coverage [15]. Mainly for these reasons, satellite technologies are currently integrated
 46 into marine surveillance services and procedures because they provide cost-effective
 47 remote monitoring, worldwide scope, regular updates, and a large volume of data
 48 gathered [12,16,17]. Even if optical imagers started gaining attention [16], spaceborne
 49 Synthetic Aperture Radars (SARs) remain the most preferred choice because offering
 50 unique characteristics that make them particularly tailored for supporting AIS-based
 51 monitoring systems. Being active sensors do not face the disadvantage of operating only
 52 during the daytime. It is worth noting that most of the illegal activities take place at
 53 night. Secondly, the transmitted electromagnetic wave in the typical range of utilization
 54 (1-10GHz) is not significantly affected by cloud cover or precipitations, thus making
 55 the imaging system able to penetrate clouds and detect vessels even when covered
 56 nighttime [18]. So, there is a wide corpus of literature dealing with ship detection in SAR
 57 images. The detection techniques in SAR imagery are influenced by several different key
 58 parameters but the research work on SAR ship detection can be divided into categories
 59 based on the physical property exploited. The backscatter-based methods [19,20] utilize
 60 the RCS (Radar Cross Section) [18] of the vessels, usually higher than the surroundings.
 61 They are fast and easy from a design point of view but with low performance since
 62 typically affected by ambiguities [21–24]. Polarization-based [24–29] approaches to
 63 leverage the polarimetric scattering mechanism to separate ships from clutter. This
 64 approach is generally more robust but usually time-consuming and computationally
 65 intensive. Besides, for the polarimetric scattering decomposition [30] a quad-pol SAR
 66 imagery is required. The geometry-based methods [31,32] search for specific geometric
 67 features such as length, width, aspect ratio, perimeter, area, or contour. They demand an
 68 adequate template library and high-resolution SAR imagery. Feature-based methods
 69 use local feature descriptors e.g., HOG (Histograms of Oriented Gradients) [33], SIFT
 70 (Scale Invariant Feature Transform) [34], Haar-like features [35] and so on. The methods
 71 show maturity in feature design but they are time-consuming and weak in migration.
 72 Very recently, thanks to the large availability of earth observation data, deep-learning
 73 methods [36–42] are insurged also in the ship detection community. These techniques

74 learn not hand-engineered abstract features from large annotated data for extrapolating
 75 specific patterns during inference time. Great performance have been demonstrated
 76 even near coasts and reefs without the need for land separation [43]. The disadvantage
 77 of these methods stands in the supervised learning approach that demands large labeled
 78 datasets.

79 For achieving effective maritime surveillance, it is essential not only the utilization
 80 a non-cooperative approach but also the synergic exploitation of multi-frequency/multi-
 81 mission (MF/MM) data for taking advantage of higher revisit times. This aim is ap-
 82 proached by the present work proposing a custom algorithm for ship detection adapted
 83 to three different SAR missions, i.e., Sentinel-1, SAOCOM, and COSMO-SkyMed. The
 84 algorithm embodies the fast and efficient CFAR (Constant False Alarm Rate) [44–47]
 85 with a SLA (Sub-look Analysis) [22–24,48] discrimination technique applied in cas-
 86 cade. In the framework of the COastal Area monitoring with SAR data and multimis-
 87 sion/multifrequency Techniques (COAST) project, funded by Italian Space Agency (ASI),
 88 a novel dataset has been developed utilizing MF/MM imagery. The comprehensive
 89 dataset enables the testing of the effectiveness of several missions under comparable
 90 circumstances. To the knowledge of the authors, this constitutes the first SLC (Single
 91 Look Complex) MM/MF SAR dataset and a major novelty of this work. Another inno-
 92 vation lies in its specific attention to in-shore areas which are typically characterized
 93 by phenomena affecting the detection performance. The latter can include the fast dy-
 94 namics of vessels' motion near ports, ambiguities generated by land-strong scatterers, or
 95 saturation/anomalous side-lobe pattern effects.

96 The manuscript is structured as follows: section 2 details the development of the
 97 MM/MF SAR dataset and its ancillary information. Section 3 details the methodology
 98 adopted in this work from the pre-processing of the data to the implementation of a
 99 novel detector. Then, section 4 discusses the results achieved by means of a large-scale
 100 validation approach on the proposed dataset. Finally, section 5 draws the conclusion of
 101 the present study also pointing out new future directions.

102 2. Multi-mission/Multi-frequency SAR Dataset

103 As stated above, for the construction of the MM/MF dataset three missions have
 104 been considered, i.e., the Italian COSMO-SkyMed (X-Band), the Argentinian SAOCOM
 105 (L-Band), and the European Sentinel-1 (C-Band). The characteristics of the selected
 106 products are briefly described in the Table 1 which demonstrates how the images differ
 107 not simply in the working frequency bands. Concerning Sentinel-1 images, all the
 108 products were gathered in the vertical polarization (VV + VH) of the IW mode. COSMO-
 109 SkyMed and SAOCOM were instead acquired in stripmap HH and VH polarization,
 110 respectively.

Table 1. MM/MF products specifications in terms of acquisition mode, spatial resolution, pixel spacing, and polarization.

Mission	Acquisition Mode	Resolution (range x azi) (m)	Pixel spacing (range x azi) (m)	Polarization	Swath (km)
COSMO-SkyMed	StripMap	3 x 3	2.35 x 4.14	HH	40
Sentinel-1	IW (Interferometric Wide Swath)	20 x 5	2.3 x 13.9	VH	250
SAOCOM	StripMap	10 x 10	10 x 10	VH	65

111 2.1. Selected Scenarios

112 Data collection starts from the selection and identification of region of interest. For
 113 the present study, three different scenarios have been selected purposely for maritime
 114 monitoring, i.e., a) Adriatic sea, b) Sardinia, and c) Egadi Islands (Figure 2). It must be
 115 emphasized once more that the goal is to maximize the benefits of MF/MM SAR images
 116 for maritime surveillance. As a result, a short time gap between successive acquisitions

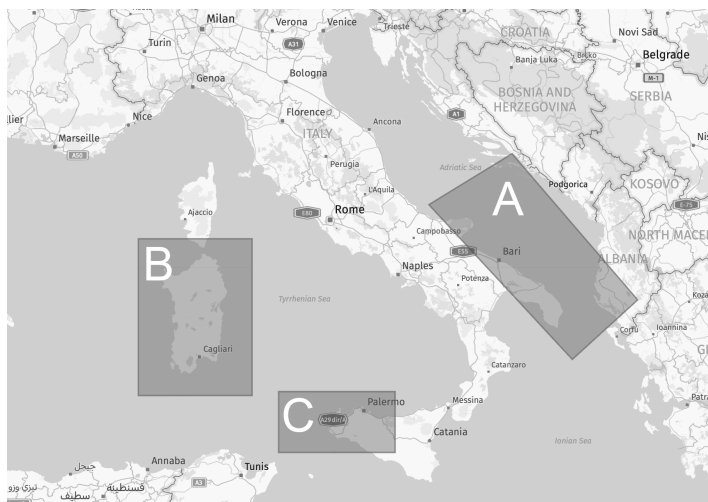


Figure 2. Selected scenarios of interest for the realisation of the MM/MF dataset: a) Adriatic sea, b) Sardinia, and c) Egadi Islands.

117 over the same region is required. A tool that inspects the effective spatial and temporal
 118 couplings of the MF/MM products has been developed specifically for this purpose. The
 119 tool provides highlights of the various acquisition availability reporting useful insights
 120 about the three SAR missions under consideration. Finally, the value of the project's
 121 supplementary AIS data is explored.

122 The Egadi Islands Marine Reserve, with its 53,992 hectares, is not only Europe's
 123 largest, but it also has the peculiarity of being the initial point of arrival for several
 124 marine species whose migrations are frequently linked to the flow of the Atlantic current.
 125 In recent years, there have been several complaints about illegal activities in the Egadi
 126 Islands' marine protected area, such as trawling in shallow waters or use of illegal nets
 127 longer than the 2.5 kilometers required by law for underwater fishing in prohibited areas
 128 [49]. Sardinia's scenario concerns violations related to the "waste cycle", and polluting
 129 discharge. In recent years there have also been several seizures of drugs and weapons in
 130 Sardinian ports and waters. Additionally, according to recent research [50,51], Sardinia
 131 has the absolute record of seized fish products. Finally, in the Adriatic in general there
 132 is a drastic decline in fish stocks due to intensive fishing, the effects of which have
 133 profoundly changed the marine environment. This is an area where excessive trawling
 134 has had a very strong impact, so much so that fish stocks in the Adriatic have been greatly
 135 reduced [52]. Illegal fishing is not the only unlawful activity, arms, drug trafficking and
 136 smuggling are also widespread.

137 2.2. Footprint Matching

138 The Footprint Matching Algorithm (FMA) is a novel contribution of the paper that
 139 oversees coupling different SAR products on the same spot and proceeds in two cascaded
 140 steps. In the first stage, SAR products are filtered on a temporal basis, considering two
 141 products as matched if their sensing period difference is below 15 minutes. This key value
 142 has been determined on a heuristic rationale whereby ships after that time difference
 143 could not be matched between the images. The algorithm serves as a pre-screening
 144 step and is followed by spatial matching to filter the product that covers the same
 145 area of interest (AOI). The spatial matching is carried out by exploiting the footprint
 146 data contained in the product metadata. The area of intersection is used to establish a
 147 correct spatial match between two footprints. Finally, to conclude the analysis a visual
 148 inspection is performed: the footprints are plotted in interactive *.html maps and the
 149 quality of the intersection is evaluated both in terms of area covered and area of interest,
 150 verifying that the intersections cover sea zones. An example of map realised for the

151 scenario of the Egadi Islands is reported in Figure 3 by which is possible to observe the
 152 Sentinel-1 & COSMO-SkyMed coupling.

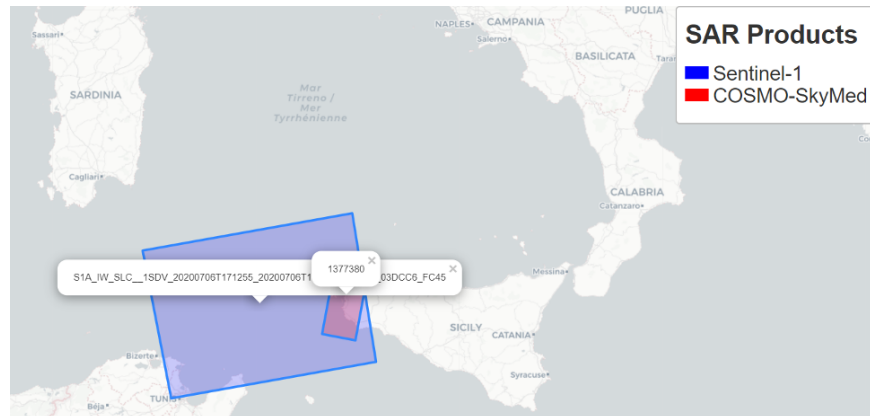


Figure 3. Sentinel-1 (Prod ID: *S1A_IW_SLC__1SDV_20200706T171255_20200706T171322_033337_03DCC6_FC45*) and COSMO-SkyMed (Prod ID: *1377380*) products pairing map on the Egadi Islands scenario.

153 The Table 2 shows the number of couplings in each scenario selected for COAST
 154 project, detailing separately the various SAR mission pairings. Notably, in the Egadi
 155 Islands region, only COSMO-SkyMed and Sentinel-1 products have found matches.
 156 For major details regarding the coupled products, their relative geometries, orbit types
 157 and platforms can be found in [53]. For sake of brevity, the Table 2 only details the of
 158 couplings for each scenario of interest of the MM/MF dataset.

Table 2. Number of couplings for each scenario of interest of the MM/MF dataset.

Region / Pairing	Adriatic Sea	Egadi Islands	Sardinia
COSMO-SkyMed & Sentinel-1	15	32	55
COSMO-SkyMed & SAOCOM	5	NA	23
Sentinel-1 & SAOCOM	12	NA	10

159 2.3. AIS Data

160 Ancillary AIS data are a useful resource included in the dataset to provide in-
 161 formation on specific targets. The AIS messages can be categorized into three types:
 162 static, dynamic, and voyage-related information. Only the dynamic one is updated
 163 frequently (< 10 minutes), with a rate changing according to vessel speed and course
 164 variation, ranging from a few seconds for very fast ships to several minutes for sluggish
 165 or moored ships. When collaborative ships are available, their position contained in
 166 the SAR products have been stored in a database. Nonetheless, the storage policy of
 167 [54] save the messages with a temporal resolution of around 1 minute. Therefore, data
 168 has been priorly pre-processed with Hermite interpolation taking as reference time the
 169 central time of acquisition of each SAR image. Besides, when an insufficient number of
 170 points made it infeasible to execute an interpolation, an extrapolation technique was
 171 used. However, in many cases this approach resulted in a wrong placement of the ship
 172 location. The misleading is caused by wrong extrapolation, erroneous AIS messages, or
 173 sudden route changes of the ships. The real position of ships has been collected visually
 174 inspecting each SAR image, manually labelling each vessel.

175 3. Method

176 Targets that are not truthful vessels acting as powerful signature ghosts are labeled
 177 as ambiguities in SAR literature [48]. The method developed in this manuscript aims at
 178 filtering the latter. The causes of ambiguities may be traced back to the limited sampling
 179 of the SAR pulses, which affects the Doppler spectrum [23]. Generally, SAR ambiguities

180 can be produced in two ways. In the first case, the ambiguities are brought on by large
 181 ships. In this scenario, the ship's brightness creates ambiguity that may be stronger than
 182 the surrounding clutter, which might cause ghosts in the SAR picture to resemble the
 183 ship's actual signature. In the second case, the ambiguities are caused by land targets.
 184 Due to the low backscattering from the water in this scenario, which is characteristic
 185 of coastal zones, the ambiguities produced by land targets show as bright targets over
 186 the sea surface. In the case of high-resolution SAR images, these artifacts become more
 187 prominent. In essence, as the spatial resolution grows, so does the compression gain
 188 in SAR image formation. As a result, the improvement of the dynamic range of SAR
 189 images increases the intensity of strong point scatterers. The following sections describe
 190 in detail the pre-processing chains for each SAR product and the CFAR+SLA detector.

191 3.1. Pre-processing Chains

192 A general understanding of the pre-processing chains implemented for the detection
 193 of visible ships in the MM/MF products is illustrated in the Table 3 where each pre-
 194 processing step applied to the different SAR products is detailed with a checkmark.
 195 Sea-land segmentation is a mandatory step that can greatly minimize false alarm rates
 196 and enhance follow-up processing efficiency.

Table 3. Pre-processing operators used to each SAR product

Operator / Product	COSMO-SkyMed	SAOCOM	Sentinel-1
Multilook	✓		
Thermal noise removal			✓
TOPSAR Deburst	✓		✓
Land-Masking	✓	✓	✓
Calibration			✓

197 As also exhibited in Table 3, apart from a Land-Masking (LM) processing, SAOCOM
 198 products do not require any further dispensation. The multilook operator, applied
 199 only on COSMO-SkyMed products, is not mandatory but suggested to reduce the
 200 computational burden. Significant concerns regard the LM operator which usually
 201 require a Digital Elevation Models to compute the coastline, whereas other researchers
 202 prefer to use specific extraction techniques [55]. In this paper, the land polygons are
 203 extracted from [56].

204 3.2. The CFAR+SLA detector

205 The methodology developed in proceeds in two steps in cascade, i.e., pre-screening
 206 and discrimination. Firstly, target proposals are generated with a traditional CFAR
 207 algorithm and then these ones are discriminated with a spectrum analysis technique, i.e.,
 208 Sub-look Analysis (SLA).

The adaptive threshold applied uses the nested windows approach in which there
 are three windows around each pixel under test—a target window (TW), a guard win-
 dow (GW), and a background window (BW). The adaptive threshold is the basis of the
 pre-screening process. The size of the target window should be approximately equal to
 the size of the smallest object to detect, the size of the guard window should be approxi-
 mately equal to the size of the largest object, and the size of the background window
 should be sufficient to accurately estimate the local background statistics. Indeed, the
 algorithm leverages the statistical modeling of the background clutter [57–60] by which
 a probability density $f_{pdf}(x)$ function can be associated. Thus, the design parameter T
 can be computed by the from user selected PFA as:

$$PFA = 1 - \int_{-\infty}^T f_{pdf}(x) dx = \int_T^{\infty} f_{pdf}(x) dx. \quad (1)$$

Once prompted background mean μ_b and standard deviation σ_b using pixels in the background ring, and the mean value μ_t of the target window, a region is a candidate as a potential target if:

$$\mu_t > \mu_b + \sigma_b * T. \quad (2)$$

209 During this operation, the operator first groups contiguous detected pixels into a
 210 single cluster, then extracts the width and length information from the clusters. Finally,
 211 clusters that are too large or too small are excluded based on these measurements
 212 and user input discrimination criteria. This first level of filtering is called geometric
 213 discrimination. In conclusion, CFAR detection is designed to search for pixels that
 214 are unexpectedly bright in comparison to those in the surrounding sea, although SAR
 215 ambiguities or sea clutter may also fit this criteria.

216 To tackle this issue, the second level of discrimination employs the Sub-look analysis
 217 of the selected region of interest for the removal of the false alarms. The physical rationale
 218 is that the Doppler spectra of ambiguities and targets are distinguished. As shown in
 219 Figure 4, subsets and metadata are fed in input to the SLA algorithm. Typical metadata
 220 information required by the sub-look processor contains parameters such as the Pulse
 221 Repetition Frequency (PRF) or the bandwidth of processing in azimuth [48].

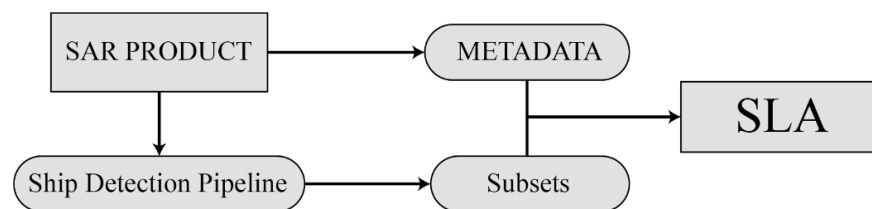


Figure 4. Relational diagram reporting the inputs passed to the discriminator algorithm based on the analysis of the sub-looks.

222 Sub-looks are generated starting from the SLC regions of interests detected at the
 223 previous steps. As detailed in [48], one-dimensional sub-look generation is conceived,
 224 which is the most common approach for ship detection. Therefore, sub-looks can be
 225 generated either in azimuth or in range. It is worth recalling that there is no significant
 226 difference in ship detection performance between range and azimuth sub-looks even
 227 when moving ships are imaged. The number of sub-looks, N_{SL} , the bandwidth of the
 228 sub-looks, B_{SL} , and the frequency separation between the centroids of two close looks, f_c ,
 229 constitute the relevant parameters that must be set. In general, B_{SL} is equally configured
 230 for all sub-looks. This ensures to deal with sub-looks having the same resolution, and
 231 thus allowing a fair comparison between them. Indeed, the fraction of B_{SL} covered by
 232 a sub-look is an index of the degradation of resolution with reference to the original
 233 resolution of the SLC data. Regarding the N_{SL} , for ship detection purposes usually
 234 only two sub-looks are considered. However, for estimating the Incoherent Entropy (IE)
 235 [48] a minimum of three sub-looks are used. Concerning the location of each sub-look,
 236 the common approach is to consider the sub-looks equally spaced along the available
 237 bandwidth. It is worth remembering that sub-looks are overlapped in frequency if
 238 $f_c < B_{SL}$. Recalling that the IE calculation is a boxcar algorithm [48], displays the
 239 bandwidth of the sub-looks, centroids frequency separations and windows sizes (W_r, W_c)
 240 estimated with a grid-search algorithm on known targets.

Table 4. Parameters used for the sub-look analysis for Sentinel-1, COSMO-SkyMed and SAOCOM missions.

Parameter / Mission	Sentinel-1	COSMO-SkyMed	SAOCOM
B_{SL}	102.0 Hz	466.6 Hz	372.0 Hz
f_c	102.0 Hz	466.6 Hz	372.0 Hz
W_r, W_c	7,17	17,17	3,17

241 After the sub-look generation, IE relative to each region of interests is prompted
 242 . In the end, a threshold algorithm is applied by considering stable pixels in a nested
 243 windows fashion. In fact, considering a matrix of IE prompted for a subset, a statistical
 244 process analyzes the average values inside and outside the small 30×30 pixels region at
 245 the center of each subset (Figure 5). In the same way of the CFAR, a buffer window of 70
 246 $\times 70$ pixels is considered to reduce disturbances in the computations. Summarizing, the
 247 discrimination algorithm outputs a class for the target or ambiguity depending on the
 248 stability of the pixels of the target with reference to the pixel stability of the background.
 249 In conclusion, the CFAR+SLA detector can be considered an extension of the work of [48]
 250 where the IE is pertained only around the pre-screened targets and the thresholds are
 prompted in a nested fashion. Some demonstrative examples of IE for COSMO-SkyMed,

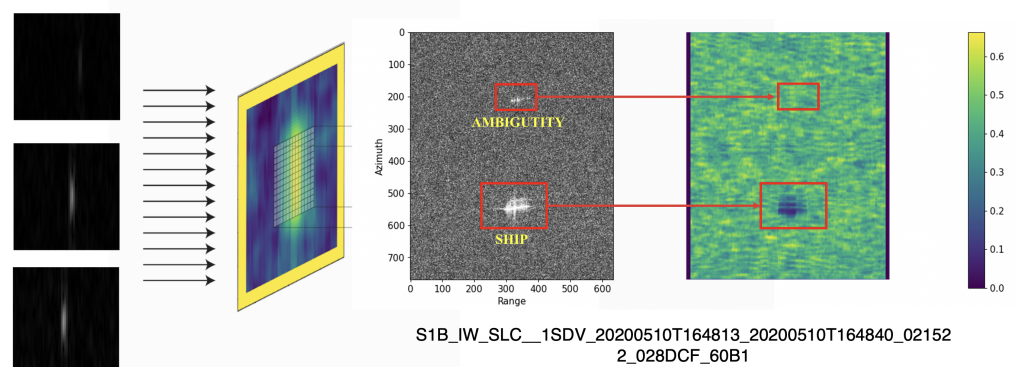


Figure 5. Visual representation of IE generation from three sub-looks (left) and its calculation for a Sentinel-1 product (right) in which a target and its ambiguity are highlighted.

251
 252 Sentinel-1 and SAOCOM ship targets and ambiguities are reported in the Figure 6-8,
 253 respectively. These samples are very useful to show crucial behaviours of IE under
 254 different frequency bands, still not damaging the effectiveness of the discriminator.

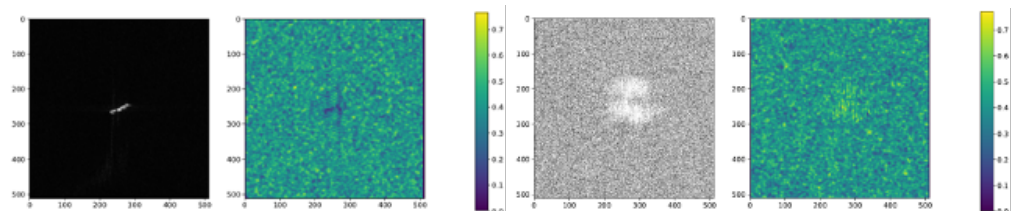


Figure 6. IE calculated for ship target (left) and ambiguity (right) [Product ID: CSKS1_SCS_B_HI_05_HH_RD_SF_20201017164505_20201017164512]

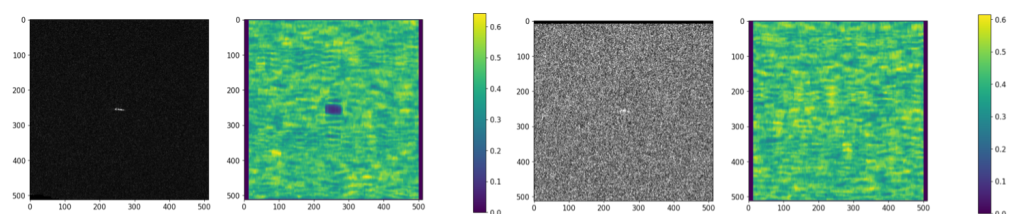


Figure 7. IE calculated for ship target (left) and ambiguity (right) [Product ID: S1B_IW_SLC__1SDV_20200510T164813_20200510T164840_021522_028DCF_60B1]

255 4. Experimental Analysis

256 4.1. Performance Indicators

To monitor the overall performance of the detection algorithm, the detection probability, false alarm rate and consequently the ROC (Receiver Operating Characteristic)

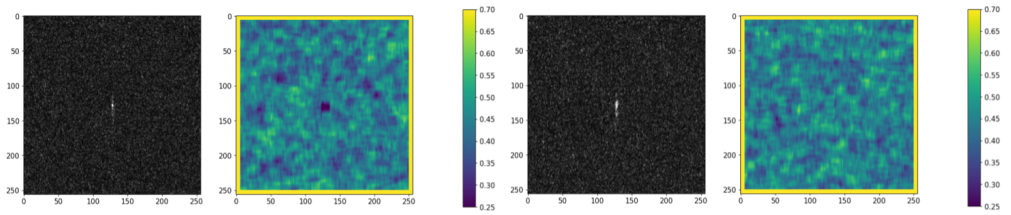


Figure 8. IE calculated for ship target (left) and ambiguity (right) [Product ID: S1A_OPER_SAR_EOSSP_CORE_L1A_OLVF_20210122T210006]

curves were defined. It should be noted that in the literature, the metrics of detection probability P_d and false alarm probability P_f are defined differently from author to author [61]. For example, some authors prefer to relate the false alarm rate to the area of the observation scenario [19]. In this study, such metrics were adopted as:

$$P_d = \frac{N_{dt}}{N_t} \quad P_f = \frac{N_{Det} - N_{dt}}{N_{Det}} \quad (3)$$

257 having denoted N_{dt} the number of target-coupled detections, N_t the number of targets
 258 in the scene, N_{Det} the total number of detection algorithm outputs. Once defined
 259 the metrics, it is possible to measure the relative importance of the CFAR algorithm
 260 parameters on the detection performance. Noteworthy is that having defined the metrics
 261 in such way, the probability of detection and false alarm rate results decoupled. However,
 262 before calculating these curves, an attempt was made to solve one of the issues of this
 263 adaptive threshold approach. While the CFAR algorithm is a well-established and well
 264 accepted in the scientific community, it is not without faults: the multiple detections
 265 on the same target represent one of the most typical one. To address this issue and,
 266 consequently, minimize the false alarm rate, a detection suppression technique was
 267 developed combining nearby detections that are located less than 150 meters apart from
 268 each other. The new location is supposed to be placed in the middle of the two. Notably,
 269 the threshold was experimentally determined.

270 4.2. Local Analysis

271 A study of the CFAR+SLA algorithm's performance on a COSMO-SkyMed product
 272 (Figure 9) is here presented. This scene was chosen for its unique characteristics since it
 273 represents a very difficult case with intricate coasts, azimuth ambiguities, and ships in
 274 close proximity to one other and the coast. In fact, the image collects the port of Taranto,
 275 which is rich with potential sources of false alerts due to its geographical configuration.
 276 The presence of docks and other metal buildings adds to the sense of uncertainty. Finally,
 277 there is an artifact in the image, specifically a clear stripe, which is most likely the result
 278 of a radio signal emitted by one of the ships in port and captured by the X-band. The
 279 product was tested with a low threshold value (4.5) and the background, guard and
 280 target window sizes as given in Table 5.

Table 5. Configuration of the parameters of the CFAR algorithm.

BW	GW	TW	PFA (10^{-x})	Min Target Size	Max Target Size
800 m	400 m	30 m	4.5	30 m	800 m

281 This is the default option for running the algorithm in order to increase detection
 282 probability while decreasing false alarm rate. In any event, this permits the discrimi-
 283 nation algorithm's performance to be tested across a large number of detections. The
 284 overall accuracy of the CFAR+SLA algorithm was evaluated on ship and ambiguity de-
 285 tection, having defined the accuracy as the ratio between true positives and true positives
 286 plus false positives. Ultimately, pre and post application results of the discrimination



Figure 9. Test case of the CFAR+SLA algorithm over the port of Taranto. Highlighted detail: a probable radio signal transmitted from a ship and captured by X-band. [Product ID: CSKS1_SCS_BHI_05_HH_RD_SF_20200323164522_2020032316_4529 processed by University of Naples Federico II under the COAST license of the Italian Space Agency (ASI); Original COSMO-SkyMed Product - ©ASI - (2020).

287 chain have been evaluated in terms of detection probability and false alarm. The results
 288 were obtained and reported in Table 6.

Table 6. Accuracy of the CFAR+SLA algorithm and performance in terms of probability of detection and false alarm pre and post application of the discrimination pipeline

Accuracy		CFAR		CFAR+SLA	
Vessels	92.3%	P_d	P_f	P_d	P_f
Ambiguities	100%				
Global	95.6%	100%	47.8%	100%	7.6%

289 4.3. Global Analysis

290 This section details the performance analysis to estimate the improvement achieved
 291 with the CFAR-SLA algorithm. For this purpose, MM/MF products coupled by spatio-
 292 temporal matching were analyzed with the CFAR-SLA algorithm in the different identi-
 293 fied regions. In more detail, after labelling the products individually, performance was
 294 derived via ROC curves. What follows is a brief description of the curves obtained in
 295 each reference scenario. Since the AIS data is not fully usable, it should be noted that the
 296 goodness of the curves depends on the annotator's recognition skill. ROC curves were
 297 calculated by varying the threshold parameters of the detection algorithm and keeping
 298 the other parameters fixed as in the basic configuration shown in Table 5. Specifically,
 299 the threshold was linearly sampled in the interval [4.5, 19.25].

300 4.3.1. Egadi Islands

301 In the Egadi Islands region, the performance of the algorithm was highlighted
 302 by Figure 10. It should be taken into account that in this region the land portions are
 303 far smaller than the sea portions. This made it possible to reduce the false alarm rate
 304 generated by land ambiguities. As can be seen from the results, the performance of

305 Cosmo-SkyMed and Sentinel-1 are remarkable with an area under the curve (AUC)
 306 of value of 0.91, increased to 0.95 after the application of the discrimination pipeline.
 307 Concerning Sentinel-1 products, the AUC improved by more than 15% between before
 and after the application of the discrimination algorithm, going from 0.60 to 0.70.

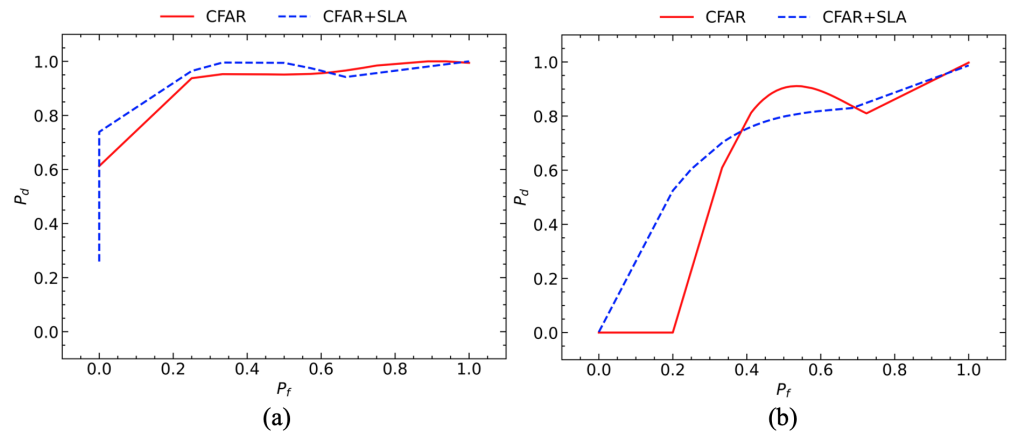


Figure 10. Evaluation of Cosmo-SkyMed (a) [89 targets labelled] and Sentinel-1 (b) [290 targets labelled] products in the Egadi Islands region.

308

309 4.3.2. Sardinia

310 The evidence of Figure 11 shows how the performance achieved in the region of
 Sardinia is noteworthy, proving the effectiveness of the discrimination algorithm. Indeed,

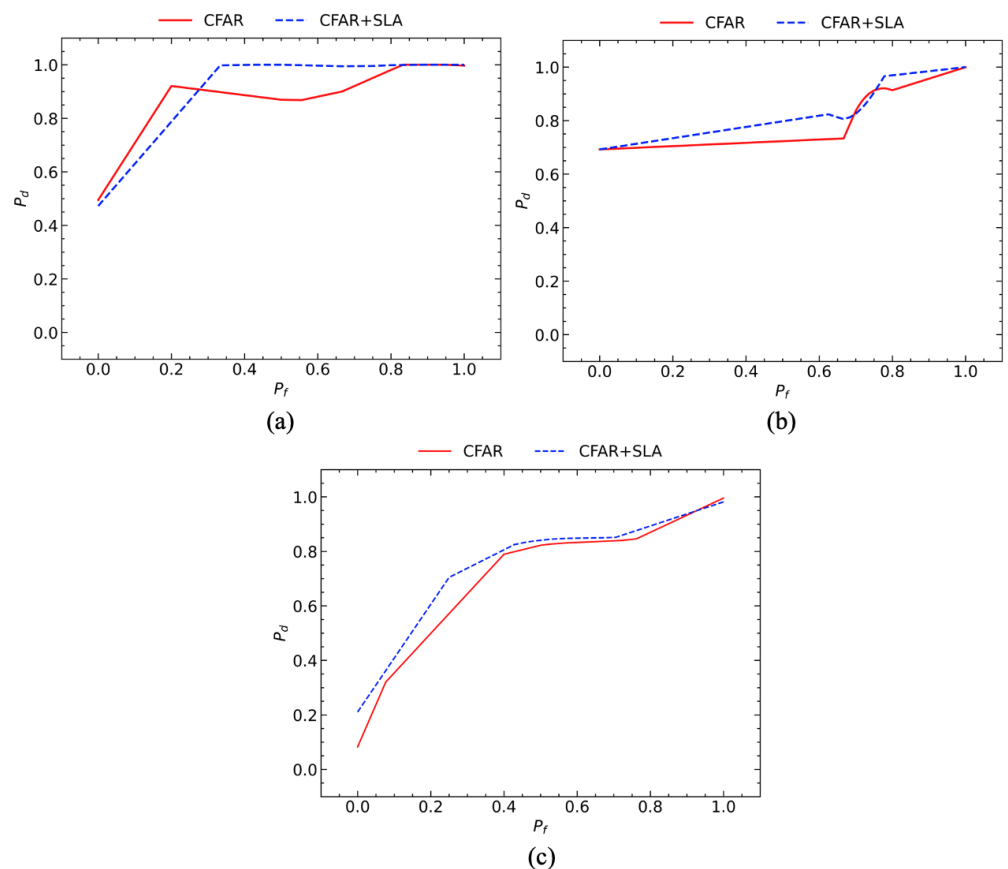


Figure 11. Evaluation of COSMO-SkyMed (a) [121 targets labelled], SAOCOM (b) [36 targets labelled] and Sentinel-1 (c) [333 targets labelled] products in the Sardinia region.

311

312 the COSMO-SkyMed products clearly demonstrate performance improvements. As can
 313 be seen, although with a marginal loss of accuracy in the early part of the graph, the
 314 COSMO-SkyMed products experienced a performance increase from the already high
 315 0.88 to 0.91 AUC value. By observing the (b) graph in Figure 11, the SAOCOM products
 316 show a small decay of the CFAR-SLA curve around the 0.7 value of P_f . However, as
 317 can be appreciated, the AUC is markedly increased from the value 0.78 to the value
 318 0.82. Sentinel-1 products (Figure 11) demonstrated a good performance, increased again
 319 using sub-aperture algorithm. In fact, the performance enhancement increased the AUC
 320 from 0.72 to 0.77.

321 4.3.3. Adriatic Sea

322 Analyzing the products in the Adriatic Sea, it is again clear from the results obtained
 323 that there is an increase in performance after the removal of false alarms. The curves,
 324 shown by means of the graphs in Figure 12. In contrast to the previous case, the COSMO-
 325 SkyMed products showed a performance increase especially in the early part of the
 326 graph. The accuracies are also remarkable in this scenario going from a value of 0.78
 327 to 0.87 AUC. The same observations can be repeated also to SAOCOM products (Figure
 328 12), showing an increased AUC after the of application of the discriminator algorithm.
 329 As can be seen from the bottom graph in Figure 12, the AUC increases from a value of
 330 0.73 to 0.77. Finally, despite a slightly lower accuracy values at the end of the graph,
 331 Sentinel-1 products showed an improvement in detection performance: the area under
 332 the curve improved from 0.83 to 0.88.

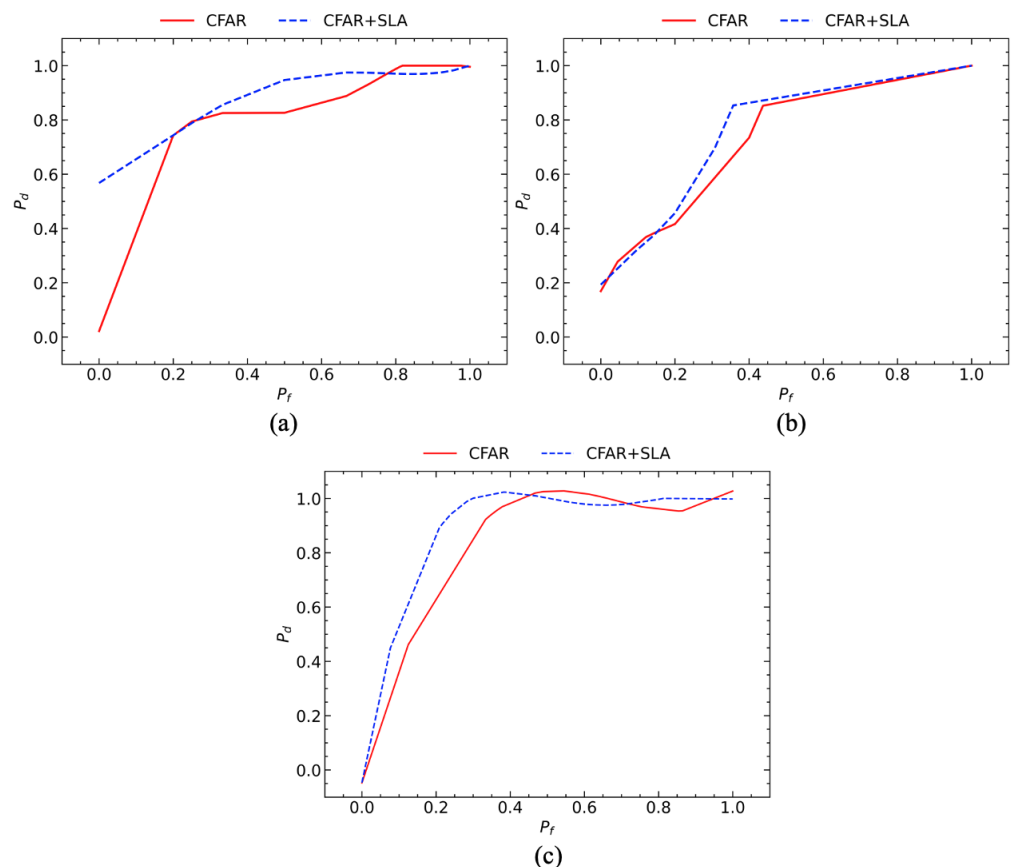


Figure 12. Evaluation of Cosmo-SkyMed (a) [108 targets labelled], SAOCOM (b) [113 targets labelled] and Sentinel-1 (c) [331 targets labelled] products in the Adriatic region.

333 4.3.4. Area Under the Curve

334 In essence, the global performances of the COSMO-SkyMed & Sentinel-1 and
 335 COSMO-SkyMed & SAOCOM pairs were evaluated and reported by means of Figure
 336 13. The latter reports the AUC before and after application of the sub-aperture analysis
 337 algorithm divided into the three scenarios of interest. As it can be seen from the graphs,
 338 COSMO-SkyMed performance is remarkable in every scenario and condition, especially
 339 in the Egadi Islands region where large portions of sea overlie the few areas of land. This
 340 again testifies to the importance of the correct execution of the land separation.

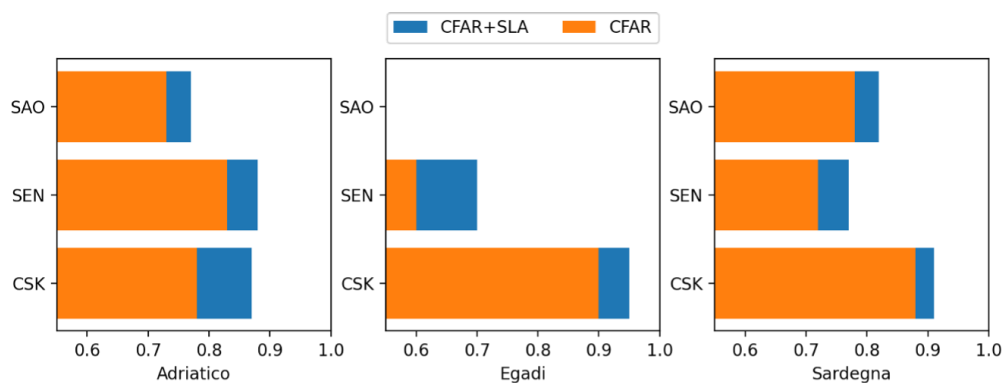


Figure 13. Comparative analysis of improved performance in terms of AUC.

341 5. Conclusions

342 Withing the time-frame of 18 months, the present study has assessed the capability
 343 of a cascade detector for ship detection purposes on multiple SAR frequency bands (L-,
 344 C-, and X-band). The processing chains and the constructed dataset of MM/MF SLC
 345 SAR products constitutes an important contribution of the work. The results attained
 346 have confirmed the effectiveness of the developed approach, showing an increase of
 347 performance in terms of improvement of AUC and reduction of false alarms. Indeed, in
 348 a very congested scenario such as the port of Taranto the reduction of the false alarm
 349 rate has been estimated around the 40%.

350 While undoubtedly recognizing the ghost targets, it must be pointed out that solving
 351 an eigenvalue problem is computational intensive with an increase of the computational
 352 time that grows quadratically with the dimension of the considered tile. It should be
 353 taken into account how the time demanded by the discrimination phase matches the one
 354 from pre-selection. Therefore, to reduce the time required to process a panoramic SAR
 355 product, further research will analyze the capabilities offered by a deep learning-based
 356 technique on the developed dataset. Taking full advantage of the SAR spectrum is
 357 definitely a path that must be exploited with artificial intelligence.

358 **Funding:** This research was funded by Italian Space Agency (ASI) grant number N.2021-11-U.0.

359 **Acknowledgments:** This work has been developed in the framework of the Italian Space Agency's
 360 "Study of new methods and techniques based on the utilization of multimission/multifrequency
 361 SAR data", project "COastal Area monitoring with SAR data and multimission/multifrequency
 362 Techniques - COAST", ASI Contract N. 2021-11-U.0.

363 **Conflicts of Interest:** The authors declare no conflict of interest.

364 References

- 365 1. Nimmich, J.L.; Goward, D.A. Maritime domain awareness: the key to maritime security.
 366 *International Law Studies* **2007**, *83*, 6.
- 367 2. Balci, M.; Pegg, R. Towards Global Maritime Domain Awareness-" Recent Developments and
 368 Challenges". 2006 9th international conference on information fusion. IEEE, 2006, pp. 1–5.

- 369 3. Tetreault, B. Use of the Automatic Identification System (AIS) for maritime domain awareness
370 (MDA). Proceedings of OCEANS 2005 MTS/IEEE, 2005, pp. 1590–1594 Vol. 2. doi:
371 10.1109/OCEANS.2005.1639983.
- 372 4. Graziano, M.D.; D'Errico, M.; Rufino, G. Wake component detection in X-band SAR images
373 for ship heading and velocity estimation. *Remote Sensing* **2016**, *8*, 498.
- 374 5. Graziano, M.D.; D'Errico, M.; Rufino, G. Ship heading and velocity analysis by wake
375 detection in SAR images. *Acta astronautica* **2016**, *128*, 72–82.
- 376 6. Graziano, M.D. Preliminary results of ship detection technique by wake pattern recognition
377 in SAR images. *Remote Sensing* **2020**, *12*, 2869.
- 378 7. Del Prete, R.; Graziano, M.D.; Renga, A. First results on wake detection in SAR images by
379 deep learning. *Remote Sensing* **2021**, *13*, 4573.
- 380 8. Joshi, S.K.; Baumgartner, S.V. Range-Doppler tracking of ships using single-channel airborne
381 radar data. EUSAR 2021; 13th European Conference on Synthetic Aperture Radar. VDE,
382 2021, pp. 1–6.
- 383 9. Eldhuset, K. An automatic ship and ship wake detection system for spaceborne SAR images
384 in coastal regions. *IEEE transactions on Geoscience and Remote Sensing* **1996**, *34*, 1010–1019.
- 385 10. Shao, Z.; Wu, W.; Wang, Z.; Du, W.; Li, C. Seaships: A large-scale precisely annotated dataset
386 for ship detection. *IEEE transactions on multimedia* **2018**, *20*, 2593–2604.
- 387 11. Young, W. What are vessel traffic services, and what can they really do? *Navigation* **1994**,
388 *41*, 31–56.
- 389 12. Goudossis, A.; Katsikas, S.K. Towards a secure automatic identification system (AIS). *Journal*
390 *of Marine Science and Technology* **2019**, *24*, 410–423.
- 391 13. International Maritime Organization. "AIS transponders", International Maritime Organiza-
392 tion (2017) [Online]. Available: [https://www.imo.org/en/OurWork/Safety/Pages/AIS.](https://www.imo.org/en/OurWork/Safety/Pages/AIS.aspx)
393 [aspx](https://www.imo.org/en/OurWork/Safety/Pages/AIS.aspx), 2019. Accessed: 2022-12-07.
- 394 14. Zhang, D.; Li, J.; Wu, Q.; Liu, X.; Chu, X.; He, W. Enhance the AIS data availability by screen-
395 ing and interpolation. 2017 4th International Conference on Transportation Information and
396 Safety (ICTIS). IEEE, 2017, pp. 981–986.
- 397 15. Graziano, M.D.; Renga, A.; Moccia, A. Integration of Automatic Identification System
398 (AIS) Data and Single-Channel Synthetic Aperture Radar (SAR) Images by SAR-Based Ship
399 Velocity Estimation for Maritime Situational Awareness. *Remote Sensing* **2019**, *11*. doi:
400 10.3390/rs11192196.
- 401 16. Kanjir, U.; Greidanus, H.; Oštir, K. Vessel detection and classification from spaceborne optical
402 images: A literature survey. *Remote sensing of environment* **2018**, *207*, 1–26.
- 403 17. Iceye. Dark Vessel Detection for Maritime Security with SAR Data, 2021. [Online; accessed
404 19. May 2021].
- 405 18. Curlander, J.C.; McDonough, R.N. *Synthetic aperture radar*; Vol. 11, Wiley, New York, 1991.
- 406 19. Pelich, R.; Longépé, N.; Mercier, G.; Hajduch, G.; Garello, R. AIS-based evaluation of target
407 detectors and SAR sensors characteristics for maritime surveillance. *IEEE Journal of Selected*
408 *Topics in Applied Earth Observations and Remote Sensing* **2014**, *8*, 3892–3901.
- 409 20. Wang, C.; Bi, F.; Zhang, W.; Chen, L. An intensity-space domain CFAR method for ship
410 detection in HR SAR images. *IEEE Geoscience and Remote Sensing Letters* **2017**, *14*, 529–533.
- 411 21. Raney, R.K.; Princz, G.J. Reconsideration of azimuth ambiguities in SAR. *IEEE Transactions*
412 *on Geoscience and Remote Sensing* **1987**, pp. 783–787.
- 413 22. Guarnieri, A.M. Adaptive removal of azimuth ambiguities in SAR images. *IEEE Transactions*
414 *on Geoscience and Remote Sensing* **2005**, *43*, 625–633.
- 415 23. Choi, J.H.; Won, J.S. Efficient SAR Azimuth Ambiguity Reduction in Coastal Waters Using a
416 Simple Rotation Matrix: The Case Study of the Northern Coast of Jeju Island. *Remote Sensing*
417 **2021**, *13*, 4865.
- 418 24. Velotto, D.; Soccorsi, M.; Lehner, S. Azimuth ambiguities removal for ship detection using
419 full polarimetric X-band SAR data. *IEEE transactions on geoscience and remote sensing* **2013**,
420 *52*, 76–88.
- 421 25. Touzi, R.; Hurley, J.; Vachon, P.W. Optimization of the degree of polarization for enhanced
422 ship detection using polarimetric RADARSAT-2. *IEEE Transactions on Geoscience and Remote*
423 *Sensing* **2015**, *53*, 5403–5424.
- 424 26. Touzi, R. On the use of polarimetric SAR data for ship detection. IEEE 1999 International
425 Geoscience and Remote Sensing Symposium. IGARSS'99 (Cat. No. 99CH36293). IEEE, 1999,
426 Vol. 2, pp. 812–814.

- 427 27. Brekke, C.; Anfinson, S.N. Ship detection in ice-infested waters based on dual-polarization
428 SAR imagery. *IEEE Geoscience and Remote Sensing Letters* **2010**, *8*, 391–395.
- 429 28. Marino, A.; Sanjuan-Ferrer, M.J.; Hajnsek, I.; Ouchi, K. Ship detection with spectral analysis
430 of synthetic aperture radar: A comparison of new and well-known algorithms. *Remote
431 Sensing* **2015**, *7*, 5416–5439.
- 432 29. Wang, W.; Ji, Y.; Lin, X. A novel fusion-based ship detection method from Pol-SAR images.
433 *Sensors* **2015**, *15*, 25072–25089.
- 434 30. Xie, Q.; Ballester-Berman, J.D.; Lopez-Sanchez, J.M.; Zhu, J.; Wang, C. Quantitative analysis
435 of polarimetric model-based decomposition methods. *Remote Sensing* **2016**, *8*, 977.
- 436 31. Zhu, J.; Qiu, X.; Pan, Z.; Zhang, Y.; Lei, B. Projection shape template-based ship target
437 recognition in TerraSAR-X images. *IEEE Geoscience and Remote Sensing Letters* **2016**, *14*, 222–
438 226.
- 439 32. Wang, C.; Bi, F.; Chen, L.; Chen, J. A novel threshold template algorithm for ship detection
440 in high-resolution SAR images. 2016 IEEE International Geoscience and Remote Sensing
441 Symposium (IGARSS). IEEE, 2016, pp. 100–103.
- 442 33. Song, S.; Xu, B.; Yang, J. SAR target recognition via supervised discriminative dictionary
443 learning and sparse representation of the SAR-HOG feature. *Remote Sensing* **2016**, *8*, 683.
- 444 34. Zhou, D.; Zeng, L.; Zhang, K. A novel SAR target detection algorithm via multi-scale SIFT
445 features. *J. Northwest. Polytech. Univ* **2015**, *33*, 867–873.
- 446 35. Ai, J.; Tian, R.; Luo, Q.; Jin, J.; Tang, B. Multi-scale rotation-invariant Haar-like feature
447 integrated CNN-based ship detection algorithm of multiple-target environment in SAR
448 imagery. *IEEE Transactions on Geoscience and Remote Sensing* **2019**, *57*, 10070–10087.
- 449 36. Zhang, T.; Zhang, X. High-speed ship detection in SAR images based on a grid convolutional
450 neural network. *Remote Sensing* **2019**, *11*, 1206.
- 451 37. Chen, C.; He, C.; Hu, C.; Pei, H.; Jiao, L. A deep neural network based on an attention
452 mechanism for SAR ship detection in multiscale and complex scenarios. *IEEE Access* **2019**,
453 *7*, 104848–104863.
- 454 38. Zhang, T.; Zhang, X.; Shi, J.; Wei, S. Depthwise separable convolution neural network for
455 high-speed SAR ship detection. *Remote Sensing* **2019**, *11*, 2483.
- 456 39. Wang, Y.; Wang, C.; Zhang, H.; Dong, Y.; Wei, S. A SAR dataset of ship detection for deep
457 learning under complex backgrounds. *remote sensing* **2019**, *11*, 765.
- 458 40. Chang, Y.L.; Anagaw, A.; Chang, L.; Wang, Y.C.; Hsiao, C.Y.; Lee, W.H. Ship detection based
459 on YOLOv2 for SAR imagery. *Remote Sensing* **2019**, *11*, 786.
- 460 41. Jiao, J.; Zhang, Y.; Sun, H.; Yang, X.; Gao, X.; Hong, W.; Fu, K.; Sun, X. A densely connected
461 end-to-end neural network for multiscale and multiscene SAR ship detection. *IEEE Access*
462 **2018**, *6*, 20881–20892.
- 463 42. Li, J.; Qu, C.; Shao, J. Ship detection in SAR images based on an improved faster R-CNN.
464 2017 SAR in Big Data Era: Models, Methods and Applications (BIGSAR DATA). IEEE, 2017,
465 pp. 1–6.
- 466 43. Wei, S.; Zeng, X.; Qu, Q.; Wang, M.; Su, H.; Shi, J. HRSID: A high-resolution SAR images
467 dataset for ship detection and instance segmentation. *Ieee Access* **2020**, *8*, 120234–120254.
- 468 44. Wackerman, C.C.; Friedman, K.S.; Pichel, W.G.; Clemente-Colón, P.; Li, X. Automatic
469 detection of ships in RADARSAT-1 SAR imagery. *Canadian journal of remote sensing* **2001**,
470 *27*, 568–577.
- 471 45. Friedman, K.; Wackerman, C.; Funk, F.; Rowell, K.; Pichel, W.; Clemente-Colón, P.; Li, X.
472 Validation of an automatic vessel detection algorithm using SAR data and known vessel
473 fleet distributions. IGARSS 2000. IEEE 2000 International Geoscience and Remote Sensing
474 Symposium. Taking the Pulse of the Planet: The Role of Remote Sensing in Managing the
475 Environment. Proceedings (Cat. No. 00CH37120). IEEE, 2000, Vol. 5, pp. 2071–2073.
- 476 46. Friedman, K.S.; Wackerman, C.; Funk, F.; Schwenzfeier, M.; Pichel, W.G.; Colon-Clemente, P.;
477 Li, X. Analyzing the dependence between RADARSAT-1 vessel detection and vessel heading
478 using CFAR algorithm for use on fishery management. Oceans 2003. Celebrating the Past...
479 Teaming Toward the Future (IEEE Cat. No. 03CH37492). IEEE, 2003, Vol. 5, pp. P2819–P2823.
- 480 47. Friedman, K.S.; Wackerman, C.; Funk, F.; Pichel, W.G.; Clemente-Colón, P.; Li, X. Validation
481 of a CFAR vessel detection algorithm using known vessel locations. IGARSS 2001. Scanning
482 the Present and Resolving the Future. Proceedings. IEEE 2001 International Geoscience and
483 Remote Sensing Symposium (Cat. No. 01CH37217). IEEE, 2001, Vol. 4, pp. 1804–1806.

- 484 48. Renga, A.; Graziano, M.D.; Moccia, A. Segmentation of marine SAR images by sublook
485 analysis and application to sea traffic monitoring. *IEEE Transactions on Geoscience and Remote*
486 *Sensing* **2018**, *57*, 1463–1477.
- 487 49. Biffia, A.; Dodaro, L.; Morabito, F.; Pergolizzi, A. Mare Monstrum. <https://www.legambiente.it/wp-content/uploads/Dossier-Mare-Monstrum-2019.pdf>, 2020. Accessed:
488 2022-12-13.
- 489 50. Biffia, A.; Dodaro, L.; Morabito, F.; Pergolizzi, A. Mare Monstrum. <https://www.legambiente.it/wp-content/uploads/2020/06/MareMonstrum2020.pdf>, 2019. Accessed:
490 2022-12-13.
- 491 51. Furesi, R.; Madau, F.; Pulina, P.; Sai, R.; Pinna, M.; Pais, A. Profitability and sustainability of
492 edible sea urchin fishery in Sardinia (Italy). *Journal of coastal conservation* **2016**, *20*, 299–306.
- 493 52. Spera, G.; Monaco, P. RAPPORTO ANNUALE SUL CONTROLLO DELLA PESCA
494 IN ITALIA. [https://www.guardiacostiera.gov.it/stampa/Documents/RAPPORTO%20](https://www.guardiacostiera.gov.it/stampa/Documents/RAPPORTO%20ANNUALE%202020%20-%20DOWNLOAD.pdf)
495 [ANNUALE%202020%20-%20DOWNLOAD.pdf](https://www.guardiacostiera.gov.it/stampa/Documents/RAPPORTO%20ANNUALE%202020%20-%20DOWNLOAD.pdf), 2019. Accessed: 2022-12-13.
- 496 53. Graziano, M.D.; Prete, R.D.; Grasso, M. A NEW DATASET OF MULTI-MISSION/MULTI-
497 FREQUENCY SAR DATA FOR MARITIME MONITORING: FIRST RESULTS AND CRITI-
498 CAL ANALYSIS. 2022.
- 499 54. Miler, R.K. The Exactearth Satellite-AIS Benefits for the Maritime Transport. *Prace Naukowe*
500 *Wyższej Szkoły Bankowej w Gdańsku* **2013**, *28*, 71–86.
- 501 55. Dellepiane, S.; De Laurentiis, R.; Giordano, F. Coastline extraction from SAR images
502 and a method for the evaluation of the coastline precision. *Pattern Recognition Let-*
503 *ters* **2004**, *25*, 1461–1470. Pattern Recognition for Remote Sensing (PRRS 2002), doi:
504 <https://doi.org/10.1016/j.patrec.2004.05.022>.
- 505 56. Open Street Map. "Data Derived from OpenStreetMap for Download", Open Street Map
506 [Online]. Available: <https://osmdata.openstreetmap.de/data/land-polygons.html>, 2022.
507 Accessed: 2022-12-07.
- 508 57. Benachenhou, K.; Taleb-Ahmed, A.; Hamadouche, M. Performances evaluation of GNSS AL-
509 TBOC acquisition with CFAR detection in Rayleigh fading channel. 2013 Saudi International
510 Electronics, Communications and Photonics Conference. IEEE, 2013, pp. 1–7.
- 511 58. Guida, M.; Longo, M.; Lops, M. Biparametric CFAR procedures for lognormal clutter. *IEEE*
512 *Transactions on Aerospace and Electronic Systems* **1993**, *29*, 798–809.
- 513 59. Anastassopoulos, V.; Lampropoulos, G.A. Optimal CFAR detection in Weibull clutter. *IEEE*
514 *Transactions on Aerospace and Electronic systems* **1995**, *31*, 52–64.
- 515 60. Erfanian, S.; Vakili, V.T. Introducing excision switching-CFAR in K distributed sea clutter.
516 *Signal Processing* **2009**, *89*, 1023–1031.
- 517 61. Leng, X.; Ji, K.; Yang, K.; Zou, H. A Bilateral CFAR Algorithm for Ship Detection in
518 SAR Images. *IEEE Geoscience and Remote Sensing Letters* **2015**, *12*, 1536–1540. doi:
519 10.1109/LGRS.2015.2412174.
- 520
521