

1 **Geographic range overlap rather than phylogenetic distance explains rabies**  
2 **virus transmission among closely related bat species**

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18 interaction; niche overlap; range overlap

19

20 **Running title:** Rabies virus cross-species transmission within a host genus

21

## 22 ABSTRACT

23 Most pathogens are capable of infecting multiple host species and such cross-species  
24 transmission (CST) can have dramatic consequences, as highlighted by recent disease  
25 emergence events affecting human, animal and plant health. Understanding the ecological  
26 and evolutionary factors that constrain or facilitate the ability of disease agents to infect and  
27 establish in a novel host is therefore a timely and important research area. Previous work  
28 across different pathogens, including rabies virus (RABV), found that increased evolutionary  
29 distance between hosts reduces the frequency of cross-species transmission and of  
30 permanent host shifts. However, whether this effect of host relatedness still holds for  
31 transmission among recently diverged hosts, and the importance of this effect relative to  
32 other predictors, is not well understood. We addressed this question by quantifying the CST  
33 frequency of RABV between North American bat species within the genus *Myotis*, using a  
34 multi-decade data set containing 128 nucleoprotein (N) sequences from ten host species. For  
35 comparison, we also conducted an equivalent analysis of a RABV dataset from North  
36 American bat species comprising nine genera. We found that at the within genus scale, host  
37 relatedness failed to explain the frequency of CST events. However, CST frequency increased  
38 with overlap in species' host range. Moreover, we found evidence of CST occurring among a  
39 higher proportion of species, and CST more frequently resulting in sustained transmission in  
40 the novel host in the *Myotis* dataset compared to the multi-genus dataset. Our results suggest  
41 that among recently diverged species, the ability to infect a novel host is no longer restricted  
42 by physiological barriers but instead is limited by physical contact. Our results improve  
43 predictions of where future CST events for RABV might occur and clarify the relationship  
44 between host divergence and pathogen emergence.

## 45 INTRODUCTION

46 The molecular biology of RNA viruses makes them prone to cross-species transmission (CST).  
47 These CST events result in either a dead end infection with no onward transmission in the  
48 new host species ( $R_0=0$ ), a stuttering, unsuccessful chain of infections ( $0<R_0<1$ ), or  
49 establishment in a novel host – a host shift ( $R_0>1$ ) (Holmes 2010; Longdon *et al.* 2014). RNA  
50 virus host shifts from animal reservoirs have spawned many emerging infectious diseases with  
51 large health and economic impacts, such as HIV/AIDs, H5N1 avian influenza and most  
52 recently, SARS-CoV-2 (Andersen, Rambaut, Lipkin, Holmes, & Garry, 2020; and see reviews;  
53 Morens, Folkers, & Fauci, 2008; Parrish *et al.*, 2008; Wasik *et al.*, 2019). Between host species  
54 of different genera, evidence from both experimental and field data suggests that the  
55 probability of an RNA virus successfully establishing in a novel host increases with genetic  
56 relatedness between the donor and recipient host species (eg. Streicker *et al.* 2010; Longdon  
57 *et al.* 2011).

58 However, what is unclear is whether this phylogenetic distance effect holds over different  
59 degrees of relatedness and whether it might become irrelevant at very fine taxonomic scales.  
60 There are reasons to doubt its relevance at both extremes of genetic distance. Among  
61 extremely divergent species all host shifts may become equally improbable, and conversely,  
62 among very closely related species, physiological differences among hosts may become  
63 relatively inconsequential. Thus, we don't yet know if high host relatedness still increases the  
64 probability of a host shift between more recently diverged hosts, and the importance of this  
65 effect relative to ecological predictors. This is important as, among mammals, the majority of  
66 CST is predicted to occur among closely related host species (Albery *et al.* 2020). If reduced  
67 physiological barriers to sustained transmission mean that phylogenetic constraints are less  
68 relevant at this level, our ability to predict patterns of CST in nature at this scale might instead  
69 depend on alternative predictors.

70 Rabies virus (RABV) can infect a broad range of species, providing an opportunity to  
71 investigate what drives patterns of RNA virus host shifts in wild, multi-host communities (see  
72 Fisher *et al.* 2018). RABV (genus: *Lyssavirus*, family: *Rhabdoviridae*) causes the zoonosis  
73 called rabies, a disease with one of the highest known fatality rates in humans (Singh *et al.*  
74 2017). The virus is capable of infecting all mammals, but paradoxically is maintained in distinct

75 host species-associated transmission cycles, usually in carnivores or bats. RABV phylogenetic  
76 history is littered with evidence for CST (Kuzmin *et al.* 2012), and its ability to shift hosts is  
77 demonstrated by the spread of the cosmopolitan dog rabies lineage into grey foxes, coyotes,  
78 and other wild mesocarnivores during European colonization of the Americas (Velasco-Villa  
79 *et al.* 2017). More recent CST events have created new reservoirs of sustained RABV  
80 transmission in species of mongoose and ferret-badgers (Fisher *et al.* 2018). This ability to  
81 establish in novel host species presents a significant danger to domestic animals and humans,  
82 and a challenge to RABV control (Wallace *et al.* 2014).

83 The probability of RABV CST events initially occurring, as well as resulting in sustained host  
84 shifts, is influenced by both ecological and evolutionary factors (Mollentze *et al.* 2014, 2020)  
85 but disentangling their relative effects is challenging. Ecological factors' major role in  
86 mediating RABV CST events was postulated after studies observed host shifts of RABV strains  
87 which were seemingly 'pre-adapted' to novel hosts, through standing genetic variation  
88 (Kuzmin *et al.* 2012; Borucki *et al.* 2013). As a result, contact rates – within or between species  
89 – are essential to its onward transmission. Ecological factors, such as non-overlaps in host  
90 range, or differences in foraging or roosting niche, which reduce inter-species contact rates,  
91 could therefore be important in maintaining RABV variants in their host-specific cycles.  
92 However, for some host groups, the non-random clustering of RABV reservoirs on the  
93 phylogeny suggests that host-specific evolutionary factors also constrain RABV CST events  
94 (Huang *et al.* 2014; and see review Longdon *et al.* 2014). The evolutionary history of RABV has  
95 also revealed a likely role of adaptive evolution in facilitating host shifts (Streicker *et al.* 2012).  
96 Indeed, it has been hypothesised that high genetic relatedness between closely related  
97 species facilitates CST due to the lower number of adaptive changes necessary for virus  
98 exchange (Streicker *et al.* 2010).

99 Previous works have examined the importance of both ecology and evolution in determining  
100 the success of RABV host shifts across highly divergent bat species (nine genera and two of  
101 the four North American bat families) (Streicker *et al.* 2010; Faria *et al.* 2013). In these studies,  
102 higher host genetic relatedness was associated with a significantly higher frequency of both  
103 CST (external & internal nodes of the virus phylogeny) and host shifts (internal nodes only).  
104 Larger overlaps in species' geographic range were also associated with significantly more  
105 frequent CST, though the strength of this effect was lower than that of genetic relatedness.

106 In contrast, no association was detected between CST frequency and other ecological  
107 predictors in these data. However, it remains unknown whether host relatedness and range  
108 overlap, the primary determinants of CST frequency between highly-divergent species, can  
109 still predict RABV CST between closely related species.

110 Here, we estimate rates of RABV CST events over the virus' phylogenetic history within a  
111 single bat genus (*Myotis*), based on data from ten North American species collected over a 25  
112 year period. *Myotis* (family Vespertilionidae, subfamily Myotinae) are small (most < 10g),  
113 generally insectivorous bats with variable roosting habits and colony sizes, estimated to have  
114 diverged from other North American Vespertilionidae 20-25 MYA (Miller-Butterworth *et al.*  
115 2007; Bray *et al.* 2013; Kumar *et al.* 2017). Rabies virus has emerged independently multiple  
116 times in the *Myotis* genus and a small proportion of variants form suspected multi-species  
117 transmission cycles out-with *Myotis* (Streicker *et al.* 2010). We examine whether between-  
118 host genetic divergence, as opposed to range overlap or other ecological predictors, can best  
119 explain the rate of overall CST within *Myotis*, and events which led to successful host shifts.  
120 We compare our estimates of CST frequency within *Myotis* to those for a previously published  
121 dataset containing nine bat genera (Streicker *et al.* 2010), hypothesising that the lower  
122 average divergence among *Myotis* hosts presents fewer barriers to CST and host shifts in  
123 comparison. We therefore predicted that compared to more divergent species, the *Myotis*  
124 RABV data would yield *i*) higher CST rates overall; *ii*) a higher proportion of CST events on  
125 internal branches, indicative of ongoing transmission in the new host *i.e.* host shifts (Faria *et*  
126 *al.* 2013); *iii*) evidence for CST in a greater proportion of host species examined.

## 127 MATERIAL AND METHODS

### 128 Compilation of *Myotis* associated RABV sequences

129 We compiled a dataset of 128 RABV nucleoprotein (N) gene sequences (1350 bp) from *Myotis*  
130 bats collected across Canada and the USA. Most of these sequences (n = 112), were generated  
131 through a passive surveillance programme at the Canadian Food Inspection Agency's Centre  
132 for Expertise for Rabies, between 1990 and 2015 (Nadin-Davis *et al.* 2017). RABV sequences  
133 used in the analysis were collected from individuals identified to *Myotis* host species through  
134 Cytochrome oxidase subunit 1 (CO1) barcoding. We only included RABV variants that fell  
135 within a monophyletic clade associated with the bat genus *Myotis* (MYCAN clade); strains  
136 normally associated with other bat genera were excluded from the analysis (Nadin-Davis *et*  
137 *al.* 2017). The collected sequences were supplemented by *Myotis* associated RABV sequences  
138 (n = 16) from the USA and Canada, available on Genbank as of May 2022.

139 The final dataset included RABV N gene sequences collected from ten *Myotis* host species:  
140 the South eastern bat *M. austroriparius* (n = 3), the California bat *M. californicus* (n = 24), the  
141 Eastern small footed bat *M. leibii* (n = 1), the Keens bat *M. keenii* (n = 8), the little brown bat  
142 *M. lucifugus* (n = 32), the Western long eared bat *M. evotis* (n = 28), the long-legged bat *M.*  
143 *volans* (n = 1), the Northern long-eared bat *M. septentrionalis* (n = 11), the western small  
144 footed bat *M. ciliolabrum* (n = 1) and the Yuma bat *M. yumanensis* (n = 19). The sequences  
145 used in this analysis can be found in Genbank under the accession numbers summarised in  
146 **Table S1.**

147

### 148 CST quantification within the *Myotis* genus in comparison to bats of multiple genera

149 We modelled host species as a discrete trait for each RABV sequence over the genealogy  
150 by ancestral state inference using a discrete asymmetric phylogenetic diffusion model  
151 (Lemey *et al.* 2009) in BEAST v1.8.3 (Drummond *et al.* 2012). This approach estimates the  
152 probability of the internal nodes and branches being associated with a specific host, based  
153 on information about host states of the samples at the branch tips. A Bayesian stochastic  
154 search variable selection procedure (Lemey *et al.* 2009) was employed to allow for CST  
155 between specific host pairs to be included or excluded from the model. Because CST events  
156 are likely to be rare, we quantified their frequency using a robust Markov jump (MJ)

157 counting procedure that determines the posterior expectations of the number of CST along  
158 the branches of the tree (Minin & Suchard 2008). For each host species pair, we divided  
159 the expected number of CST events (MJ count) by the sum of the tree branch lengths (in  
160 years) and by the combined number of sequences available for the two species composing  
161 the pair in order to obtain the mean number of CST events per year and per capita, hereafter  
162 referred to as the CST rate.

163 Analyses were performed under a general time reversible model of nucleotide substitution,  
164 with invariant sites, gamma distributed categories of rate variation and empirical base  
165 frequencies (Guindon & Gascuel 2003; Durraba *et al.* 2012). A lognormal relaxed molecular  
166 clock was used to calibrate the RABV phylogeny (Drummond *et al.* 2006), along with a flexible  
167 Gaussian Markov Random Field (GMRF) coalescent model, the skyride (Minin *et al.* 2008). A  
168 MCMC chain of 100 million steps was run and sub-sampled every 10,000 generations. The  
169 BEAGLE library was used to increase computational speed (Ayres *et al.* 2012; Drummond *et*  
170 *al.* 2012).

171 Whether a stationary distribution was reached, and sufficient mixing (*i.e.* effective sample  
172 size >200) for all parameter estimates were checked in Tracer after removing the initial 10%  
173 of the samples as burn-in. Maximum clade credibility (MCC) trees were generated from tree  
174 output files from BEAST in TreeAnnotator v1.8.3 and annotated in the FigTree v1.4.3 graphical  
175 user interface (available at <http://tree.bio.ed.ac.uk/software/figtree/>).

176 BEAST log files were processed to obtain and visualise estimates of the number of inferred  
177 CST events between each host species pair over the complete history of the RABV phylogeny  
178 as well as the CST rate.

179 As a reference point for the amount of CST to expect between less closely related species, we  
180 also quantified the frequency of CST among North American bats of multiple genera by re-  
181 analysing the data from (Streicker *et al.* 2010) using the same methodology as described  
182 above. This dataset consisted of 372 RABV N gene sequences from 17 bat species or species  
183 complexes. This includes species from the families Vespertilionidae and Molossidae, and the  
184 genera *Eptesicus* (n = 118), *Antrozous* (n = 3), *Lasiurus* (n = 121), *Lasionycteris* (n = 17), *Myotis*  
185 (n = 33), *Nycticeius* (n = 3), *Parastrellus* (n = 5), *Perimyotis* (n = 14), and *Tadarida* (n = 56) and  
186 2 additional sequences not attributed to a host species. Among the *Myotis* genera within this  
187 data set, four discrete species states were defined: three of these represented single species

188 (*M. californicus*, n = 10; *M. yumanensis*, n = 11; *M. austroriparius*, n = 2) whereas the fourth  
189 grouped sequences derived from *M. lucifugus* (n = 5), *M. evotis* (n = 4) and *M. thysanodes*  
190 (n = 1), which are thought to form a species complex. Here, two MCMC chains of 800 million  
191 steps were run and sub-sampled every 80,000 generations. Convergence to a stationary  
192 distribution was checked in Tracer after removing the initial 10% of the samples as burn-in.

193

#### 194 Genetic and ecological host predictors of CST within the *Myotis* genus

195 For each *Myotis* host species pair, we considered four host variables, as well as sample size,  
196 as potential predictors of RABV CST events:

197 *Host Genetic Distance* – We estimated the phylogenetic distance among host species (or host  
198 species clusters, see below) based on the average pairwise differences between  
199 mitochondrial CO1 sequences. In total, 171 CO1 sequences, representing all ten *Myotis* host  
200 species, were compiled from Genbank. Where possible, CO1 sequences were selected that  
201 matched the geographic origin of the RABV samples. Sequences selected were aligned using  
202 MUSCLE v3.8.31 (Edgar 2004; Gouy *et al.* 2010). A HKY + I + G model of nucleotide substitution  
203 was used to calculate the genetic divergence between CO1 sequences, selected using  
204 jModelTest v2.1.8 (Darriba *et al.* 2012) that generated cAIC values, *i.e.* AIC corrected for small  
205 sample sizes (Guindon & Gascuel 2003; Darriba *et al.* 2012). A distance matrix was calculated  
206 using the TREE PUZZLE analysis tool (Schmidt *et al.* 2002), and the R package ape (Paradis *et*  
207 *al.* 2004).

208 *Range Overlap* – Geographic range overlap was calculated as the size of overlapping area  
209 between the range of the each of the *Myotis* host species in the USA and Canada (**Figure 1A**).  
210 Range overlaps were calculated from NatureServe shape files (NatureServe 2016) using R  
211 packages maptools, spatstat, sp and raster (Bivand & Lewin-Koh; Pebesma & Bivand 2005;  
212 Baddeley *et al.* 2015; Hijmans 2016).

213 *Foraging niche difference* - Three morphological measurements; wing aspect ratio (wing  
214 span<sup>2</sup>/wing area), wing loading capacity (body weight/wing and tail membrane area) and  
215 body length, were collected for each of the ten *Myotis* species from the literature (Kruttsch  
216 1954; Farney, John & Fleharty 1969; Streicker *et al.* 2010) (Table S2). Foraging niche difference  
217 was calculated as the mean Euclidean distance between these three morphological

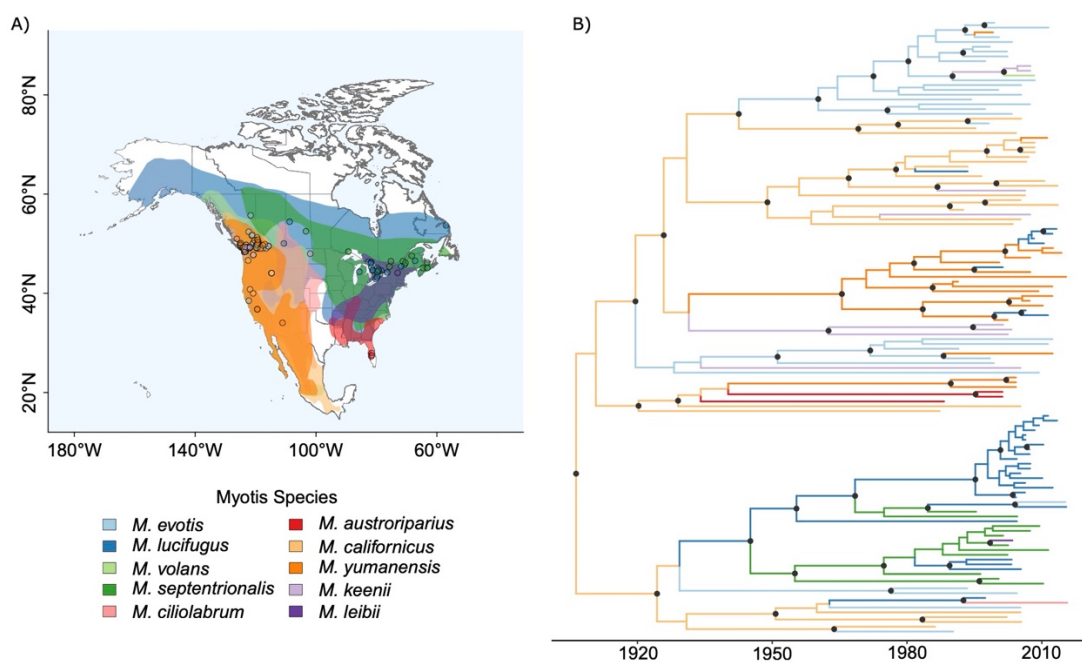
218 measurements, which can be used as a proxy for similarity in the foraging niche of bat species  
 219 (Streicker *et al.* 2010; Faria *et al.* 2013).

220 *Roosting Niche Overlap* – Observational data on the summer roost types for the *Myotis* host  
 221 species was gathered from the literature. For each species, we noted which of five types (tree  
 222 hollows, man-made structures, cave, tree bark, or rock crevice) of summer roosting locations  
 223 it was reported to use. The number of overlapping roost types (0-5) was used as a measure  
 224 of similarity between the roosting niches of the species, and therefore their likelihood of co-  
 225 roosting (Table S2).

226 *Sample size* - Sample size, which can particularly impact the results for MJ, was included as an  
 227 additional predictor. This predictor consisted of a symmetric matrix of the Manhattan  
 228 distance between the number of RABV sequences representing each discrete species state  
 229 (Lemey *et al.* 2014).

230 Each predictor vector, originating from a converted matrix, was log-transformed and  
 231 standardized using the R package *gdata* (Warnes *et al.* 2017) to limit the impact of extreme  
 232 values and to make variables as comparable as possible.

233 **Figure 1.** Location and host species origins of samples used in this study A) Map of *Myotis*  
 234 species sample locations and host ranges, colours indicate host species range and points show  
 235 the location of collection of a *Myotis* bat infected with rabies virus (RABV). B) Maximum Clade  
 236 Credibility tree of *Myotis* bat RABV nucleoprotein gene sequences. Branches are coloured by  
 237 associated host species as specified in the map legend. Node circles indicate nodes with  
 238 posterior support >0.9.



239

## 240 *Testing predictors of RABV CST frequency with the Myotis genus*

241 We assessed the ability of our four host traits, and sample size, to explain variance in the CST  
242 frequency between *Myotis* host species using two approaches.

243 First, we assessed the evidence for a correlation between a matrix of the estimated CST rates  
244 between species (MJ count/branch lengths sum/sum of sample sizes of the two species  
245 composing a pair) and each of the predictor matrices. We did this by performing mantel tests  
246 based on Spearman's rank correlation values, with 10,000 matrix permutations, using the R  
247 package *ecodist* (Goslee & Urban 2007). Similarly, we also assessed these correlations using  
248 multiple regression on matrices (MRM), where predictor variables were removed from the  
249 model in reverse order of significance until only significant variables remained. From  
250 permutation tests we generated regression coefficients, R-squared values, and F-statistics for  
251 lack of fit, along with associated p-values.

252 Secondly, we applied a phylogenetic diffusion approach that simultaneously tests and  
253 quantifies potential predictors in a Generalized Linear Model (GLM) framework (Lemey *et al.*  
254 2014). Estimated MJ counts, among the fixed number of host species are parameterized as a  
255 linear function of one or multiple predictors. We also employed a branch partitioning  
256 approach in order to estimate numbers of CST events for the internal and tip branches of the  
257 RABV phylogeny separately (Faria *et al.* 2013). This allowed us to calculate the inclusion  
258 probability of predictors in two distinct GLMs and to use this to distinguish between  
259 predictors of sustained host shifts (internal branches), and un-sustained spill-over (tip  
260 branches). BEAST MCMC chains of 800 million steps were run and sub-sampled every 80,000  
261 generations for both analyses. Bayes Factors (BF) - computed by the comparison of the  
262 posterior and prior odds that a particular predictor is required to explain the diffusion process  
263 - were used as a measure of support for the inclusion of predictors (Lemey *et al.* 2012).  
264 Support for the inclusion of a predictor was considered substantial when  $BF > 3$ , strong if  $BF$   
265  $> 10$ , and decisive if  $>100$  (Jeffreys 1961).

266

## 267 Clustering of sequences associated with *Myotis* species

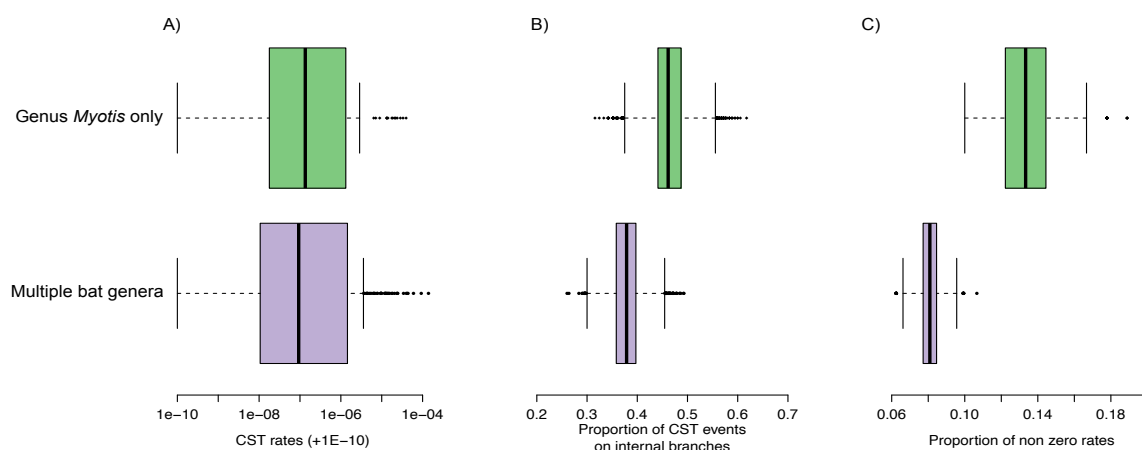
268 In preliminary analyses of the CO1 sequences from the ten *Myotis* host species, we noticed  
269 overlap in the within- and between-species host genetic divergences and non-monophyletic

270 clustering in the phylogenetic trees. This is unsurprising given evidence that gene flow exists  
271 between some species in this genus (Morales & Carstens 2018). To test whether poorly  
272 resolved species impacted our results, we repeated our analysis, employing a hierarchical  
273 clustering approach to combine such species into species clusters. Successive application of  
274 the R function hclust, the Ward minimum variance clustering method, and Ward's clustering  
275 criterion (Murtagh & Legendre 2014) to the previously calculated genetic distance matrix,  
276 grouped the sequences into seven clusters: sequences collected from *M. californicus*, *M. leibii*  
277 and *M. ciliolabrum* bats (total n = 26) were grouped into one monophyletic group, whilst  
278 sequences from *M. keenii* and *M. evotis* (n = 38) made up the other monophyletic multi-  
279 species cluster. We then repeated the MJ analysis and simultaneous predictor testing using  
280 these seven host species clusters as states (Table S1) in BEAST, as well as the MRM analysis.

281 **RESULTS**282 RABV evolution and CST within North American *Myotis* compared to a multi-genera dataset

283 Based on our set of N gene sequences from *Myotis* bats, RABV was estimated to be evolving  
 284 at a rate of  $2.76 \times 10^{-4}$  (95% HPD interval:  $1.83 - 3.66 \times 10^{-4}$ ) nucleotide substitutions per site  
 285 per year, similar to the previously estimated rate for RABV in North American bats overall  
 286 (Faria *et al.* 2013). The most recent common ancestor (MRCA) of *Myotis* associated bat rabies  
 287 dated back to 1904 (95% HPD interval: 1866 – 1941) (**Figure 1B**). The estimated mean number  
 288 of CST events over the time period since the MRCA was 40.84 (95% HPD: 34-48). This equates  
 289 to an expected mean of  $3.12 \times 10^{-04}$  CST events per year per capita among all *Myotis* species  
 290 and, on average,  $3.47 \times 10^{-06}$  events per year per capita between each possible pairwise  
 291 combination of *Myotis* species. The latter estimate was indistinguishable from one we  
 292 obtained for the independent dataset containing multiple bat genera ( $3.39 \times 10^{-06}$  events per  
 293 year per capita) (**Figure 2A**). However, consistent with our predictions, the proportion of CST  
 294 events on internal branches was higher in the *Myotis* dataset (on average 46.53%) compared  
 295 to the multi-genera dataset (on average 37.91%), although the 95% HPD intervals were  
 296 overlapping (**Figure 2B**). We also found a greater proportion of species pairs showing evidence  
 297 of CST in the *Myotis* data compared to the multi-genus dataset (on average  $11.96/90 = 13.29\%$   
 298 vs  $21.61/272 = 7.95\%$ ) with no overlap among 95% HPD intervals (**Figure 2C**).

299 **Figure 2.** Frequency and characteristics of rabies virus cross-species transmission (CST) for ten  
 300 North American bat species within the genus *Myotis*, compared to a dataset containing  
 301 multiple genera of North American bats. Posterior distributions of A) the mean rates ( $+1E^{-10}$ )  
 302 of inferred CST events per year per capita for all pairs of species on a log scaled x axis; B) the  
 303 proportion of CST events on internal branches (indicative of sustained transmission and host  
 304 shifts); C) the proportion of species pairs with non-zero rates.



305

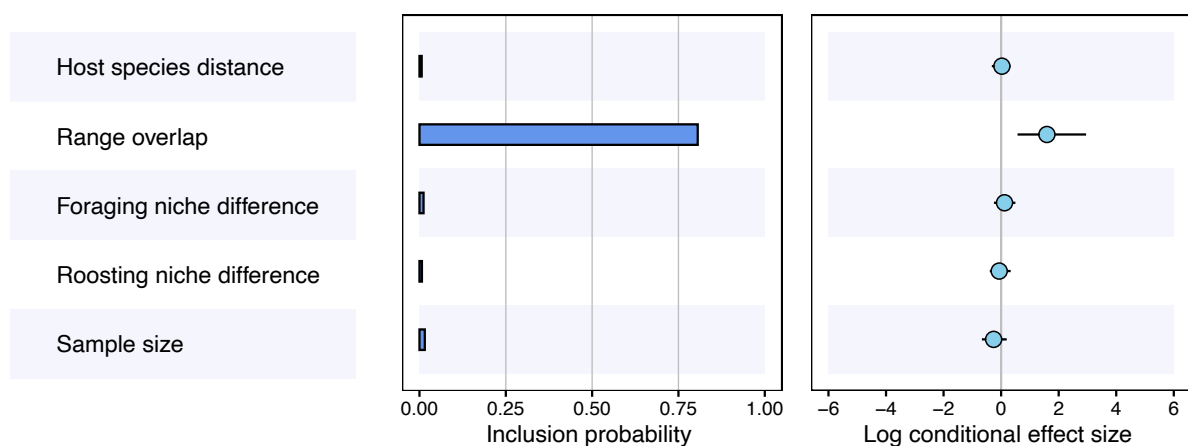
306 The vast majority of inferred CST events among *Myotis* bats were estimated to have occurred  
 307 in recent decades (**Figure S1**). Across these inferred CST events between *Myotis* species, some  
 308 species showed a particular propensity to being the origin or recipient of RABV CST events.  
 309 The highest CST rates – in descending order – *originated* from *M. californicus*, *M. evotis*,  
 310 *M. septentrionalis* and *M. lucifugus*. Meanwhile, *M. lucifugus*, *M. keenii*, *M. evotis* and  
 311 *M. yumanensis* received the highest number of per year per capita CST events from all other  
 312 species. RABV moving from and to *M. californicus* and all other species made up 41.7% and  
 313 11.8% respectively of the inferred CST numbers (**Figure S2**), a large proportion of which  
 314 occurred within the last 30 years. CST from and to *M. lucifugus* represented 13.4% and 22.1%  
 315 of inferred events.

316

### 317 Testing genetic and ecological host predictors of CST in *Myotis* bat species

318 Phylogenetic distance between *Myotis* species did not correlate with CST rates in the Mantel  
 319 test ( $r = 0.013$ ,  $p\text{-value} = 0.45$ ), indicating that virus exchange between species was not  
 320 constrained by their relatedness. Positive association was seen for species range overlap ( $r =$   
 321  $0.38$ ,  $p\text{-value} = 0.003$ ) but none of the predictor matrices were retained in the model in the  
 322 MRM analysis. Considering species clusters instead of species, none of the correlations were  
 323 significant and none of the predictor matrices were retained in the MRM analysis.

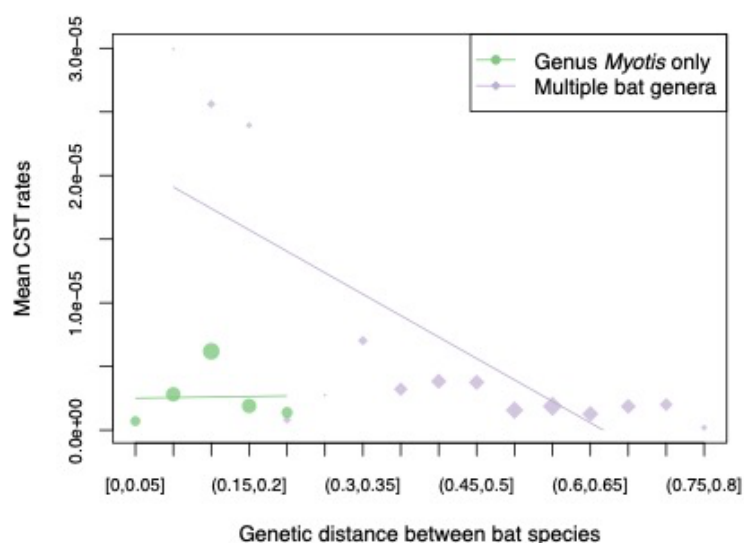
324 **Figure 3.** Support and contribution of potential predictors in the phylogenetic GLM of rabies  
 325 CST events within the *Myotis* genus only. For each potential predictor, support is represented  
 326 by an inclusion probability and a relative contribution indicated for log scale GLM coefficients  
 327 conditional on the predictor being included in the model (posterior mean and 95% Bayesian  
 328 CI). Range overlap was the only supported predictor (BF = 27.9).



329

330 We also tested the predictors of the frequency of RABV CST among *Myotis* bat species using  
 331 a GLM approach in BEAST. Range overlap had a high probability ( $> 0.8$ ) of being included as a  
 332 predictor in the model and was found to be significant in explaining the number of CST events  
 333 among the different *Myotis* species (BF = 27.9: strong support) (**Figure 3**). Similar results were  
 334 obtained when considering clusters of species specified as discrete states (BF = 5.93:  
 335 substantial support) (**Table S3**). We also found range overlap to significantly correlate with  
 336 the number of CST events on the internal nodes of the *Myotis* bat RABV phylogeny,  
 337 representing sustained transmission *i.e.*, host shifts (BF = 27.6: strong support) (**Table S4**).  
 338 None of the other tested factors were supported as a predictor of CST among *Myotis* (BF  $< 3$ ),  
 339 including genetic distance between hosts. Indeed, within the *Myotis* genus, genetic distances  
 340 were not correlated to the number of CST events per year per capita (correlation coefficient  
 341 = -0.05, p-value = 0.67) whereas a significant negative correlation was found in the dataset  
 342 with multiple bat genera (correlation coefficient = -0.30, p-value =  $5.50 \times 10^{-07}$ ) (**Figure 4**). In  
 343 the multi-genera dataset, we inferred especially high numbers of expected CST events from  
 344 *Lasiurus cinereus* to *Eptesicus fuscus* (mean 7.84 CST events), as well as from *Lasiurus borealis*  
 345 into *Lasiurus seminolus* (mean 6.79). High numbers of CST also occurred within the *Myotis*  
 346 genus especially from *M. californicus* to the *M. lucifugus* species complex (mean 6.02 CST  
 347 events), and from *M. lucifugus* species complex into *Myotis yumanensis* (mean 5.64).

348 **Figure 4.** Frequency of inferred cross-species transmission events per year per capita among  
 349 bat species as function of the genetic distances between species. Intervals of genetic  
 350 distances values were delimited according to bin widths of 0.5 and means of mean number  
 351 of expected CST events per year for each bin are shown. Point sizes are log-proportional to  
 352 sample size (number of pairs of species) within each bin.



353

354 **DISCUSSION**

355 Isolating the factors which influence CST frequency is key to increasing our understanding of  
356 pathogen emergence and predicting future outbreaks. Previous studies, both in the lab and  
357 the field, have found that the probability of CST and host shifts in RNA viruses decreases with  
358 phylogenetic distance between hosts (Streicker *et al.* 2010; Longdon *et al.* 2011; Faria *et al.*  
359 2013). Here, we assessed the relative importance of host relatedness and ecological factors  
360 in explaining the frequency of CST events among relatively recently diverged species within  
361 the same genus. We show that, when host relatedness is high, CST is no longer predictable by  
362 host genetic relatedness, but only by the extent of geographic overlap among species.

363 We found no significant difference between the rates of rabies virus CST within the *Myotis*  
364 genus and that of a wider phylogeny of North American bats (**Figure 2**). This may be because  
365 some species pairs in the wider phylogeny exhibit much higher rates of RABV CST than *Myotis*  
366 species pairs. Specifically, the exceptionally high rates of CST among *Lasiurus borealis* and  
367 *Lasiurus seminolus* raise the multiple genera average as a whole. At the same time, levels of  
368 RABV CST for *Myotis* species may be near the maximum level allowed for by ecological  
369 opportunity. RABV dynamics within hibernating bats are extremely seasonal, with hibernation  
370 thought to maintain RABV infections until the arrival of new susceptibles in the 'birth pulse'  
371 (George *et al.* 2011). The shortness of this transmission window may put an upper limit on  
372 the contact rate of infected *Myotis* bats. Indeed, an analysis of substitution rates across bat  
373 species found that RABVs associated with temperate (mostly hibernating) bat species evolved  
374 more slowly per year, suggesting pauses in transmission which would presumably also reduce  
375 CST (Streicker *et al.* 2012b).

376 When compared with a wider phylogeny of multiple genera of bats, we found some indication  
377 for a higher proportion of CST on internal nodes in the *Myotis* RABV phylogeny (**Figure 2**),  
378 though the posteriors overlapped. This suggests that when a virus is passed among host  
379 species within the same genus, the proportion of CST events which lead to onward  
380 transmission (those found on the internal nodes of the phylogeny), is increased. This is  
381 consistent with the idea that viruses are more pre-adapted to establish in novel hosts that are  
382 less divergent from the donor host, presumably due to finding a similar physiological and  
383 immunological environment (Mollentze *et al.* 2014). This onward transmission can lead to

384 either a permanent host shift, or the formation of a true multi-species clade of the virus –  
385 both of which seem possible in *Myotis*-associated RABV from the viral phylogeny (**Figure 1B**).  
386 Thus, it seems that between closely related host species, it is not the rate of CST which is  
387 changed, but the outcome.

388 We also found that a greater proportion of species within the *Myotis* genus were donors or  
389 recipients of CST events compared to North American bats in general, despite the latter group  
390 containing other genera with high CST rates (e.g. *Lasiurus*). This pattern parallels the  
391 phylogenetic clustering in susceptibility previously observed in insect Rhabdoviruses  
392 (Longdon *et al.* 2011). In short, when compared with the larger phylogeny, RABV variants  
393 infecting more closely related species show similar CST rates, but these CST events involve a  
394 greater proportion of host species and are more likely to result in a successful host shift. These  
395 observations suggest that between closely related species, fewer barriers to successful RABV  
396 host shifts exist, consistent with our predictions.

397 We also used a phylogenetic diffusion approach to simultaneously reconstruct the ancestral  
398 phylogeny of RABV infections within the *Myotis* genus, and to test for possible predictors of  
399 the number of CST events along this phylogeny. Previous studies of North American bats, in  
400 multiple genera (Streicker *et al.* 2010; Faria *et al.* 2013) found the frequency of both sustained  
401 RABV transmission, and spillover, to increase with increased host species relatedness. In  
402 contrast, when we implemented this approach within the single genus *Myotis*, we found no  
403 significant relationship between host species relatedness and the frequency of CST events.  
404 The *Myotis* species have been diverging from their most recent common ancestor for  $12.2 \pm$   
405  $2.0$  MY, a relatively short period of evolutionary time (Stadelmann *et al.* 2007). Low inter-  
406 species divergence could allow for less constrained transmission of RABV variants between  
407 species through two non-exclusive mechanisms. First, the shorter divergence time, and  
408 resulting similarity in genetic background, between very closely related host species might  
409 limit the number of adaptive RABV substitutions needed for one of these variants to invade a  
410 new host species and increase the probability of sustained transmission. Standing genetic  
411 variation in RABV-infected individuals, in the form of maintained rare variants, would provide  
412 the raw material for this adaptation (Borucki *et al.* 2013). Indeed, within a single genus, the  
413 time between CST and emergence of a new RABV variant has been found to be shorter if  
414 fewer positively selected substitutions are needed for RABV variants to invade a new host

415 (Streicker *et al.* 2012a). Second, closely related host species may also show similar levels of  
416 susceptibility to the viral variants due to the loss or gain of common cellular, physiological or  
417 immunological components in their phylogenetic history (Longdon *et al.* 2011). The evolution  
418 of these key determinants of susceptibility may have occurred prior to the diversification of  
419 the *Myotis* genus, allowing for less restrained movement of RABV variants. Alternatively,  
420 niche partitioning among closely related sympatric species might diminish ecological  
421 opportunities for CST despite their higher likelihood of infection after exposure.

422 Our results suggest that between closely related species, ecological factors become more  
423 relevant to explain variation in the frequency of CST than host genetic relatedness.  
424 Specifically, we found the geographic range overlap of species to explain a significant  
425 proportion of variation in the number and rate of CST events between species (**Figure 3**). This  
426 suggests that at this taxonomic scale, the frequency of CST events is primarily driven by the  
427 rate of physical contacts between species. If this is the case, higher rates of CST might be  
428 found in regions where a diversity of habitats, and climatic variance, support an increased  
429 diversity of host species, as previously suggested for pathogen sharing between primates  
430 (Pedersen & Davies 2009). In our dataset, this might be the case for the province of British  
431 Columbia, which has diverse habitats that support up to nine *Myotis* species (Nadin-Davis *et al.*  
432 *al.* 2017). In contrast, we found no consistent evidence that the frequency of CST between  
433 *Myotis* bat species is dependent on similarities in their foraging or roosting niches.

434 It is unlikely that geographic range overlap is the only important ecological factor in explaining  
435 CST of RABV among closely related species. However, accurately modelling the complex  
436 ecological niches inhabited by bat species is a challenge. For example, we calculated the  
437 predictor of foraging niche difference from three morphological measurements as a proxy for  
438 niche similarity, a method which may struggle to represent features such as temporal foraging  
439 niche differentiation (Streicker *et al.* 2010). Additionally, our roosting niche overlap predictor,  
440 calculated through the number of overlapping summer roost types, relied on sparse  
441 observational literature for some species and might not sufficiently reflect actual ecological  
442 interactions to recover a statistical signal. Less social bat species are also often under-  
443 represented by passive sampling, hampering the description of their role as RABV reservoirs  
444 (Nadin-Davis *et al.* 2001; Condori-Condori *et al.* 2013). The recent documentation of the

445 *Myotis* genus supporting its own specific RABV variants (Nadin-Davis *et al.* 2017), further  
446 highlights the need for more detailed ecological data from less frequently sampled species.

447 In this study we investigated the low end of a wide continuum of host genetic divergence and  
448 its effect on the probability of a pathogen infecting a novel host. This contrasts with the much  
449 larger divergences considered by previous studies of RABV in North American bats. While we  
450 show that effects qualitatively differ along this continuum, the specific shape of the  
451 relationship between host genetic divergence and CST frequency, which may well be  
452 nonlinear, remains elusive. One of the challenges for studying this relationship is the difficulty  
453 of meaningfully quantifying the frequency of CST from genetic data given that the detection  
454 of events will depend on available sequences and temporal scales captured by the virus  
455 phylogeny. However, these limitations are unlikely to qualitatively alter our findings given  
456 that neither sample size nor taxonomic classification had a discernible effect on our results.  
457 It is also important to emphasise that host divergence or relatedness must be considered  
458 proxies for a whole range of host-specific factors that will influence pathogen host range.  
459 Ideally, future investigations would seek to replace host relatedness with a more mechanistic  
460 way of predicting which viruses are able to establish in a novel host species (eg. Mollentze *et*  
461 *al.* 2020). Ultimately, the relationship between CST and host relatedness will differ between  
462 pathogen groups, and will be dependent on the biological mechanisms underlying host  
463 specificity in each case. Mapping this relationship as we have done here for a specific group  
464 of RABV variants and hosts could thus provide a model for similar studies in other RABV  
465 variants and RNA viruses more generally.

466

## 467 ETHICS

468 This research project did not require any ethical approval.

469

## 470 DATA ACCESSIBILITY

471 Sample information and GenBank accession numbers of the rabies sequences used in this  
472 study are summarized **Table S1**. Sources of ecological data used to generate predictors can  
473 be found in **Table S2**.

**474 AUTHORS CONTRIBUTIONS**

475 R.B. and D.S. conceived the study. M.J. and M.W. conducted data analysis. M.W., M.J. and  
476 R.B. primarily drafted the manuscript and all authors contributed to finalize it. All authors  
477 gave final approval for publication.

478

**479 COMPETING INTERESTS**

480 The authors declare having no conflict of interests.

481

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486

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- 614

615 **SUPPLEMENTARY DATA**

616 **Table S1.** Rabies samples used in this study.

617 **Table S2.** Table of morphological measurements used to compute the foraging niche  
618 predictor for each *Myotis* species in the analysis, along with the observed summer roost types  
619 used to compute the roosting niche overlap predictor.

620 **Table S3.** Posterior inclusion probabilities, Bayes factor support and the mean conditional  
621 effect size for the 5 predictors of CST in internal and external nodes of the RABV phylogeny,  
622 between *Myotis* species clusters.

623 **Table S4.** Posterior inclusion probabilities, Bayes factors and the mean conditional effect size  
624 (with upper and lower quantiles) for the 5 predictors of host shifts of RABV between *Myotis*  
625 species (species as discrete states).

626

627 **Figure S1.** Density of RABV CST events within *Myotis* genus over time.

628 **Figure S2.** Mean number of RABV CST events between each pair of *Myotis* species.