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Article

Platform dedicated to nature-based solutions for risk reduction and environmental issues in hilly and mountainous lands

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Abstract: In the context of global changes, Nature-Based Solutions (NBSs) increasingly draw attention as a possible way to reduce disaster risk associated with extreme hydro-meteorological events while providing human well-being and biodiversity benefits at the same time. The PHUSICOS platform is dedicated to gather and analyse relevant NBSs used to reduce disaster risk associated with extreme hydro-meteorological events in mountainous and hilly lands. To design the platform, an in-depth review of 11 existing platforms has been performed. The PHUSICOS platform currently references 152 literature NBS cases and is continuously enriched with demonstrator sites through the contribution of NBS community. The platform also proposes a qualitative assessment of the NBSs collected according to 15 criteria related with five ambits: disaster risk reduction, technical and economical feasibility, environment, society and local economy. This paper presents the structure of the platform and a first analysis of its content.

Keywords: database; disaster prevention; disaster risk reduction (DRR); climate change adaptation (CCA); stakeholders; nature-based solutions (NBS); mountain; hydro-meteorological risks

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1. Introduction

1.1. Context and needs

Climate change affects risk profiles all over the world [1]. It also affects mountainous areas and associated risks (landslides, floods, etc.) [2]. In the context of climate change, nature-based solutions (NBSs) are attracting attention to reduce risks, to improve biodiversity and to develop ecosystemic services [3]. NBSs are increasingly studied as an alternative solution to reduce disaster risk triggered by hydro meteorological events (floods, flash-floods, landslides, etc.) [4]. There are many platforms and databases dedicated to NBSs or including NBSs. Nevertheless, none of these focus on NBSs dedicated specifically to natural risks and environmental issues in hilly and mountainous areas. This is what motivated the realization of the PHUSICOS platform as many European countries have large mountainous areas and consequently are highly concerned.

1.2. Objectives

The PHUSICOS database is innovative because:

- It focuses on NBSs dealing with hydro-meteorological triggered risks and environmental issues in hilly and mountainous areas (rural and urban),
- It collects contributions from the community.

This last point ensures that the database will continue to evolve and grow as NBSs are implemented and/or published online. To do so, interaction sessions will be organized within the frame of the H2020 PHUSICOS project during which stakeholders will be incited to contribute to the development of the platform by adding solutions.

In addition, in order to be the most useful for users, the PHUSICOS platform offers the possibility to qualitatively evaluate the solutions regarding several criteria. Thus, it will be possible for users to select, using the NBS assessment, good examples of NBSs relevant for their issue.

2. Material and methods

The following method is a multi-steps approach (Figure 1). The first stage is to realize an inventory of existing and accessible NBS platforms. The analysis of the existing metadata within these platforms is used as a guide for the identification of the different items to be treated. A specification of the PHUSICOS platform is then realized followed by the creation and implementation of the prototype.

In parallel, the inventory of existing plaforms is used to select all relevant NBSs for the PHUSICOS platform i.e. NBSs applied for hydro-meteorological triggered hazards and environmental issues in mountainous and hilly areas. Once selected, these NBSs are recorded in the PHUSICOS database using the platform tools.

Finally, the inventory of existing plaforms is used to determine the offered services. This list is used to define the ambits and criteria (based on the approach developped by Autuori et al. [5]) to be applied for the assessment of each NBS stored within the PHUSICOS platform. These criteria are then applied to the records giving thematic information for the users and giving feedback on the current NBS practices for fighting hydro-meteorological hazards and risks in mountainous lands. Each step is detailled in the following chapters.

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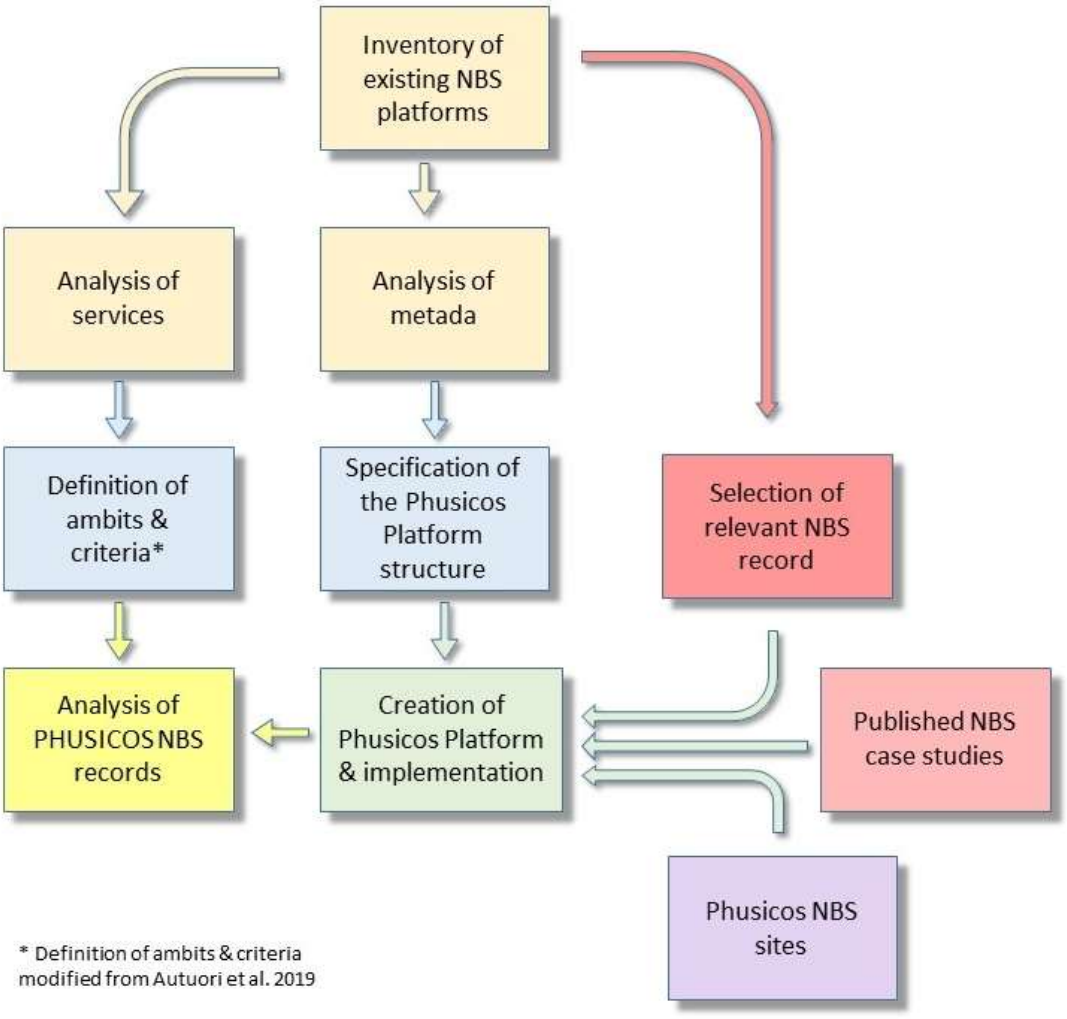

Figure 1: Method for construction of the PHUSICOS platform and database [5].

2.1. *Identification of existing platforms and databases on NBSs*

They are many platforms dealing with nature-based solutions. Caroppi et al. [6] have inventoried almost 35 platforms with different objectives and services. For this work, we have focused only on the 11 platforms offering access to databases.

2.1.1. Nature-based Solutions Evidence Platform

The Nature-based Solutions Evidence Platform is one of the two platforms proposed by the Nature-Based Solutions Initiative [7]. The overall objective of this platform is to "consolidate and facilitate access to the large dispersed evidence-base on the effectiveness of NBSs for addressing climatic impacts on people and economic sectors, and thereby support global efforts to design and implement robust targets for nature in climate change and development policy". The tool proposes both empirical evidence and modelling/scenario evidence. Based on original articles, some evaluations of the cases based are displayed according to 3 criteria: Effects on climate change impacts, Social outcomes and Ecosystem outcomes. The platform gathers 203 scientific articles and 303 cases extracted from the articles.

2.1.2. Natural hazards – Nature-based solutions platform

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The natural hazards – nature-based solutions platform [8] gathers example of "projects, investments, guidance and studies making use of nature to reduce the risks associated with natural hazards". The platform gathers 186 entries around the world. The platform also enables users to submit new project for entry in the database.

2.1.3. Oppla platform

Oppla [9] is an open platform which aims at responding to needs of different actors from science, policy and practice. Oppla offers three different services: (1) "Ask Oppla" is a crowd-sourced enquiry service; it is a forum where members of Oppla community can interact ; (2) "Oppla Marketplace" is knowledge database gathering all kind of useful resources (Consultancy, Dataset, Document, Event, Guidance, Software, and Training); it is also completed by a repository of Case Studies; and (3) "Oppla community" is a networking system to interact with other members around the world, it is accessible to everyone. The Oppla platform gathers 292 case studies around the world with cases on 4 continents: Europe, Asia, Africa and America.

2.1.4. ThinkNature platform

The ThinkNature platform [10] allows online dialogue, knowledge repository and networking. It gathers NBS Projects, Sites & Platforms, a knowledge repository, a Hub for online resources on NBS state-of-the-art practise, Bucharest and Paris Forums, interviews, summer school and webinars. In addition, ThinkNature provides other tools such as a game to play for simulating the role of the mayor of a city facing different challenges to be addressed with NBSs; a questionnaire on barriers and drivers for the implementation of NBSs; webinars to attend on different topics related to NBSs.

2.1.5. Geospatial Information Knowledge Platform

The Geospatial Information Knowledge Platform [11] (H2020 OPERANDUM project) proposes a NBSs explorer (map or table view allow to browse 94 literature solutions) and also the related policies. A dedicated section "OAL" for open-air laboratories provides detailed information on OPERANDUM open-air laboratories activities. A tab of the main menu also links to a crowdsourcing module that gathers 302 cases.

2.1.6. Climate-Adapt platform

The Climate-ADAPT [12] platform aims to help users to access and share data and information on: expected climate change in Europe; current and future vulnerability of regions and sectors; adaptation strategies and actions; adaptation case studies and potential adaptation options; tools that support adaptation planning. The platform includes a database that contains quality-checked information with adaptation options, case studies, guidance, indicators, information portals, mayors Adapt city profiles, Organizations, Publication and Reports, research and knowledge projects and tools. The platform gathers 40 adaptation options, 103 case studies and 932 publications and reports.

2.1.7. Urban Nature Atlas

Urban Nature Atlas [13] contains almost 1000 examples of Nature-Based Solutions from across 100 European cities. The Urban Nature Atlas is a product from the H2020 NATURVATION project. The project assesses what nature-based solutions can achieve in cities, examines how innovation is taking place, and works with communities and stakeholders to develop the knowledge and tools required to realize the potential of nature-based solutions for meeting urban sustainability goals.

2.1.8. PreventionWeb platform

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PreventionWeb [14] is a knowledge center managed by the UN Office for Disaster Risk Reduction (UNISDR). It gathers documents, publications and news. It is not dedicated to NBSs but include documents of interest.

2.1.9. Adaptation Community platform

AdaptationCommunity [15] was developed for the interested public and adaptation experts to provide information on applying approaches, methods and tools that facilitate the planning and implementation of adaptation action. Furthermore, enhancing knowledge and sharing experience is the key to successful adaptation strategies. Therefore this platform offers a wealth of information, webinars and trainings on eight key topics including Ecosystem-based Adaptation (EbA) which is the sustainable use and conservation of ecosystems and biodiversity as part of an overall adaptation strategy. The AdaptationCommunity platform gathers 34 publications on EbA. It also lists examples of potential EbA measures for different domains.

2.1.10. Panorama platform

PANORAMA – Solutions for a Healthy Planet [16] is a partnership initiative to document and promote examples of inspiring, replicable solutions across a range of conservation and sustainable development topics, enabling cross-sectoral learning and inspiration. PANORAMA allows practitioners to share and reflect on their experiences, to increase recognition for successful work, and to learn with their peers how similar challenges have been addressed around the globe. Different thematic disciplines and communities contribute to PANORAMA. The web platform gathers 102 Ecosystem-based solutions.

2.1.11. The Equator initiative

The Equator initiative [17] brings together the United Nations, governments, civil society, businesses and grassroots organizations to recognize and advance local sustainable development solutions for people, nature and resilient communities. It aims to recognize the success of local and indigenous initiatives; create opportunities and platforms to share knowledge and good practice; inform policy to foster an enabling environment for local and indigenous community action, and develop the capacity of local and indigenous initiatives to scale-up their impact. The NBS database of Equator initiative gathers 721 solutions around the world and mainly in the Southern countries.

2.2. NBS content, services and metadata for these platforms

To design PHUSICOS platform and database, the 11 platforms previously cited have been analysed in detail. The platforms offer different kind of services and gather heterogeneous data (

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Table 1). Most platforms reviewed for this work rely on databases. These databases offer a bench of common features such as key word search, filter search, heat maps or map views. The filter searches propose a set of basic filters to search into the database of articles, projects and/or NBS cases depending on the database. Data may be directly hosted by the concerned platform, but most of the time, only partial information is hosted and the reader is redirected for full detail access.

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Table 1: Extract of services proposed by the platforms, for full table please refer to Appendix A.

		NBS evidence platform	Natural-hazard NBS	Oppla	ThinkNature	GeoKP	Climate-Adapt	Urban Nature Atlas	Prevention web	AdaptationCommunity	Panorama	Equatorinitiative
Key words search		x	x	x			x	x	x		x	x
Filter search	Nature elements (coasts, forest, mountains, …) / ecosystems										x	x
	Country		x				x	x				x
	CC impact	x					x					
	Effects of NBS on CCI / Risk reduction benefits	x	x									
	Hazard		x			x			x			
	Cost range		US$					€				
	Citizen involved in monitoring							x				
Display	Heat map	x							x		x	
	Map view	x	x	x	x	x		x				
Data	NBS only	x	x	x	x	x		x			x	
	Number of Case studies	303	186	292	112	94	106	1000			134	721
Sources of data	Articles	x				x	x					
	Projects		x	x	x	x	x	x				x
Submit an entry and/or crowdsourcing			x			x					x	

The metadata set used is also very different from one database to another one (Table 2). Some databases give many details concerning the NBSs referenced and some others made the choice to reduce the number of metadata and redirect reader to original hosting websites.

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Table 2: Extract of metadata used in the different databases. The complete table is available in Appendix B.

		NBS evidence platform	Natural-hazard NBS	Oppla	ThinkNature	GeoKP	Climate-Adapt	Urban Nature Atlas	Prevention web	Panorama	Equatorinitiative
Description	Title	x	x	x	x	x	x	x	x	x	x
	Summary	x	x		x			x		x	x
	Objectives			x	x	x					
	Implementation activities				x	x		x			
	NBS action		x	x	x	x					
Dates	Date of publication / last edition			x			x			x	
	Project duration / Implementation time / Life time						x	x			x
Location	Location (coordinates and/or description)			x	x	x		x		x	x
Domain	Intervention (habitat created, restauration, combination)	x	x			x					
	Ecosystem concerned									x	x
	Hazard addressed / Climate impacts	x	x			x	x			x	
Evaluation	Effects of NBS / NBS benefits	x			x						
	risk reduction benefits		x								
	Impacts (on environment, sustainable developments, …)				x			x		x	x
	Contributors (+ roles)			x	x			x		x	
	Sources / References	x		x	x		x	x	x		
	Links	x	x	x	x	x	x	x		x	
	Organisation involved			x	x					x	x
Finance	Project cost (and benefits)		x				x	x			
Participation	Participatory approaches							x			
	Community involvement						x				

2.3. *Relevant NBSs selection*

To select NBSs cases for the PHUSICOS database, existing databases content has been filtered with key words such as afforestation, mountain, flood, landslide, mudslide, rock fall, soil erosion, montane/ alpine or avalanche. Thus, NBSs concerning natural risks and ecosystem services in hilly and mountainous areas (landslides, floods…) have been extracted. In addition, a literature review has been done in order to find others NBS cases study not referenced in platforms and databases [18-34].

In addition to this first set of measures, partners of the PHUSICOS project and stakeholders in charge of site where NBSs are applied have contributed (and will continue) to complete the database during events organized within the frame of PHUSICOS Living Labs. A significant contribution from partners has already been integrated especially for Bavaria region, Germany.

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2.4. *Comparative assessment of the solutions*

2.4.1. The criteria

A comprehensive framework for the assessment of NBSs in context of natural hazard risk mitigation and ecosystem services monitoring has been designed [5-6]. This framework will be used to assess the NBSs implemented at demonstrator sites, but it is not adapted to evaluate NBSs collected through databases and literature review due to the lack of detailed data. In consequence, the detailed assessment framework developed by Autuori et al. [5] is composed by nested levels of descriptors. For the PHUSICOS platform, the frame has been simplified and adapted. We consider that the second level of the framework ("Criteria") does not require too detailed data while being sufficiently informative for our analysis (Table 3).

Table 3: Purpose and resulting ambits and criteria adapted from Autuori et al. [5]

Purpose	Ambit	Criteria
Verify NBSs performances and their effectiveness with respect to risk reduction;	Risk reduction	Hazard
		Exposure
		Vulnerability
Assess the technical and economic feasibility aspects	Technical & economic feasibility	Technical feasibility
		Economic feasibility (affordability)
Assess the beneficial role of NBSs on the environment	Environment	Water
		Soil
		Vegetation
		Landscape (green infrastructure)
		Biodiversity
Identify positive co-benefits and potentially undesirable side-effects from the societal point of view	Society	Quality of life
		Community involvement and Governance
		Landscape and heritage
Assess the effects of the NBSs on the local economy	Local economy	Revitalization of marginal areas
		Local economy reinforcement

2.4.2. Qualification of criteria

The simplified approach aims to assess qualitatively the effect of the selected NBS at the criteria level thanks to explicit assessment available in the original study. The idea is not to perform an expert judgement assessment for all criteria but rather to rely on the assessment performed during the implementation of the NBS at the sites as it is proposed by the Nature Based Initiative on their platform for three criteria (effects of NBS, ecological outcomes and social outcomes).

The criteria level is sufficiently general to be analysed for all the PHUSICOS platform NBSs whatever the type of work, the realized approaches, the problematic or the spatial or temporal scale. Moreover, a unique metric cannot be assigned at the level of the criteria but a qualitative analysis of the result obtained for each criterion can be realized. It is a matter of giving a qualitative value of the incidence of the NBS on each criterion:

- "+" if the NBS have a positive impact on the criterion,
- "-" if the NBS have a negative impact on the criterion,
- “+/-“ if the NBS have an ambiguous impact either in function of the case at which it is applied or in function of the effect on the sub-criterion (positive for one but negative for another),
- "0" if the NBS have no impact,
- "?" if the impact is unclear or unknown,

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- "NA" when the criterion assessment is not applicable or irrelevant.

Once all criteria of all NBSs are assigned, it is possible to sort the NBSs in function of the assessment of one or several criteria (positive: "+", negative: "-", neutral: "0" or unclear/unknown: "?"). This classification was used by Bailles et al. [35] and is very similar and coherent with categories used by the University of Oxford for their Nature Based Initiative. The main difference is that the PHUSICOS platform uses six categories when the University of Oxford uses five. Indeed, Oxford classification defines the unclear category as "when the authors do not derive an explicit conclusion as to whether the NBS intervention has either negative, positive, or neutral outcomes as per the above definitions", which corresponds to the "?" category in PHUSICOS classification, but it doesn't have any category for "neutral" outcomes (i.e. the NBS as no effect on the criterion).

Table 4: Comparison between Oxford classification (https://www.naturebasedsolutionsevidence.info/) and the PHUSICOS platform classification

Oxford Classification	PHUSICOS Classification
Positive	+
Negative	-
Mixed	+/-
	0
Unclear	?
Not applicable	NA

This assessment allows to refine the search among NBSs and to list good examples of NBSs regarding to stakeholders priorities. Indeed, a stakeholder can identify its priority criteria (for example *soil*, *water* and *quality of life*) and select NBSs that score "+" for these criteria. It can also be used to identify the NBSs that fulfil positively the higher number of criteria.

A multi-criteria analysis carried out on the basis of the evaluation of each criterion would not make sense because it would lead to deal with NBSs of different natures and applied to specific local contexts (morphological, climatic, biological, etc.).

3. Results

3.1. PHUSICOS database structuration and useful descriptors

The structure of the PHUSICOS database is based on the analysis of the 11 platforms previously mentioned. Thirty-nine metadata fields have been selected and divided in height categories (Table 5) and for 15 of those 39 fields, closed lists of possible answers are proposed. These lists are detailed in Appendix C.

Table 5: List of fields used by the PHUSICOS database

Categories	Fields	Closed list of answers
Description of the solution	Summary	
	Technical characteristics	
	Success factors / lessons learnt	
	Limiting factors /lessons learnt	
	Longitude	
	Latitude	
	Comment on location	
Keywords	Ecosystems impacted	Yes
	Hazards concerned	Yes

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	Others challenges	Yes
	Other keywords	
Exposition	Assets exposed	Yes
	Population exposed	Yes
Activity	Job created in NBS sector	Yes
	New employments in tourism sector	Yes
	New activities in tourism sectors, sport or recreational activities	Yes
	New / traditional activities increase	Yes
International classification	Sustainable development goals addressed	Yes
	Sendai Framework priorities addressed	Yes
Actors	Beneficiaries of the actions	
	Contact person	
	Organizations involved in the implementation	
Temporal aspects	Design life time of the action	Yes
	Implementation time of the action	Yes
Financial aspects	Action costs	
	Avoided costs / added value for co-benefits	
	Maintenance costs	
	Replacement costs	
	Payback period	
	Financing source	
	Comment	
Others	Participatory process	Yes
	Participatory approaches / community involvement	
	Possibility to transpose in a different context	Yes
	Pictures	
	Videos	
	Links	
	References	
	Other comment	

3.2. PHUSICOS plateform characteristics

3.2.1. Design of the tool

PHUSICOS platform proposes three interfaces to explore the dataset. In addition to these interfaces, an information tab gathers documents of interest concerning NBSs and adding a new solution is possible thanks to questionnaire form. Finally, the "solution page" give access to all available metadata and also to the evaluation restitution for the chosen solution.

- The three data interfaces

1. Database interface

Three different interfaces allow to browse the data. The first interface is a database interface (Figure 2), which allows classic browsing with or without filters. It is also on this page that the "Add a solution" form can be accessed.

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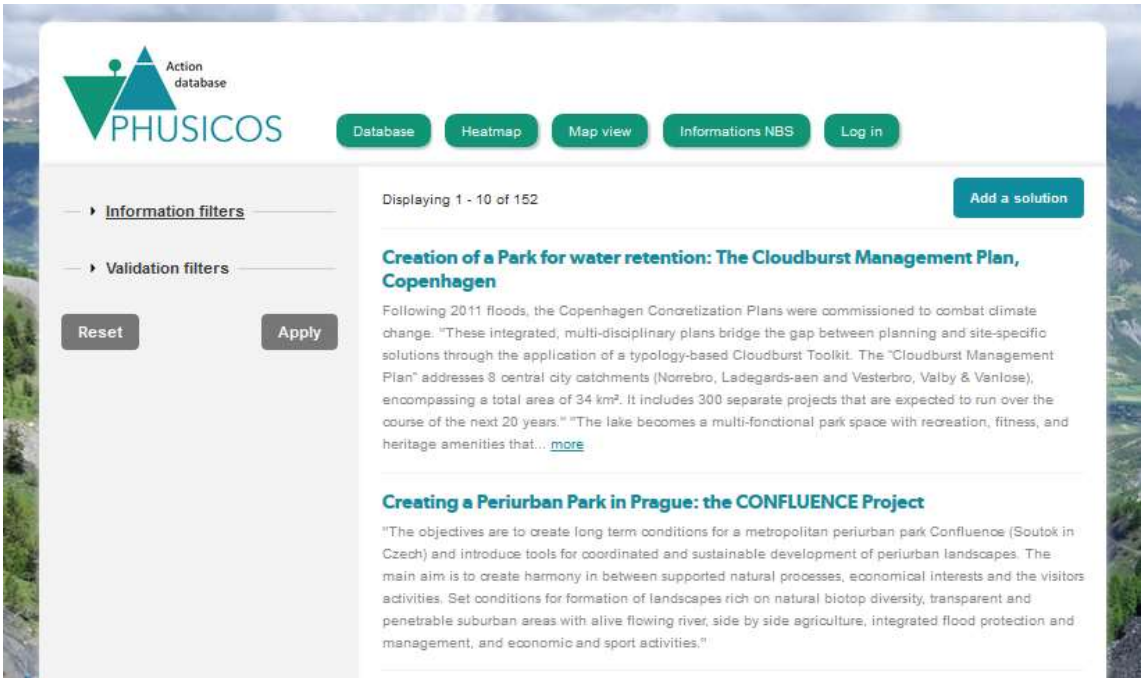

Figure 2: PHUSICOS database and home page. The "Add a solution" button (top right) allows to access the form to propose new entries

2. Map view

The map view (Figure 3) offers an overview of geographical repartition of NBSs cases. In addition, GIS data layers at higher resolution will be added in the future for some major case studies and in order to have a better spatial representation at detailed scale. Similarly, the data will be displayed when zooming on the case study location.

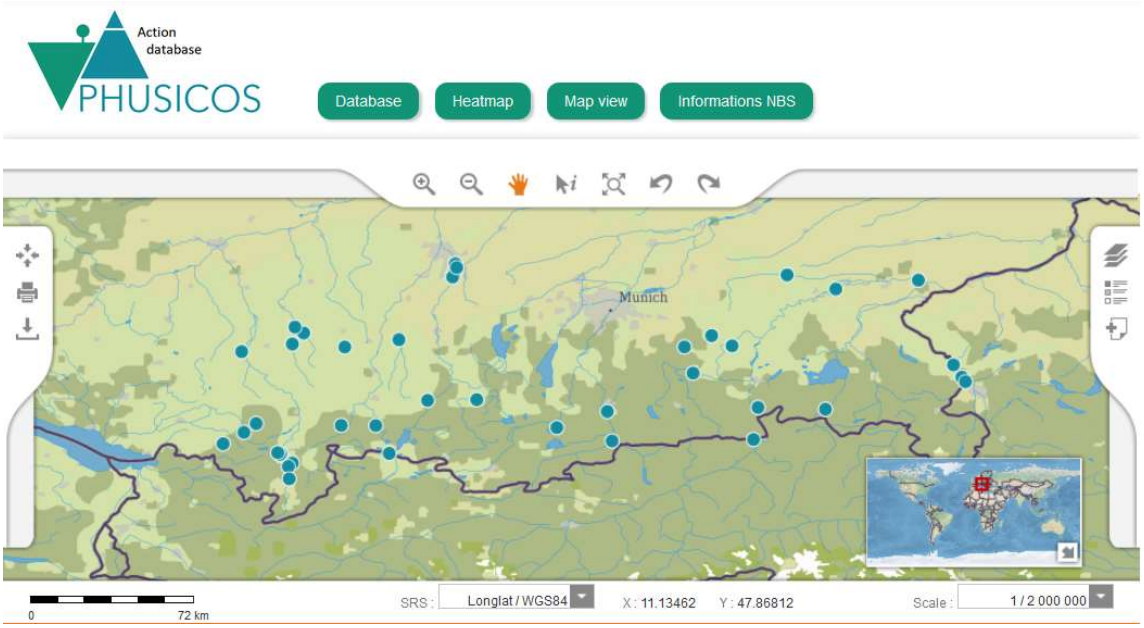

Figure 3: Example of PHUSICOS platform map view

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3. Heat map

Finally, a heat map (Figure 4) allows different and in depth browsing of data. Currently five fields are available for the heat map (*hazard concerned*, *ecosystem impacted*, *assets exposed*, *other challenges* and *Sustainable Development Goals addressed*).

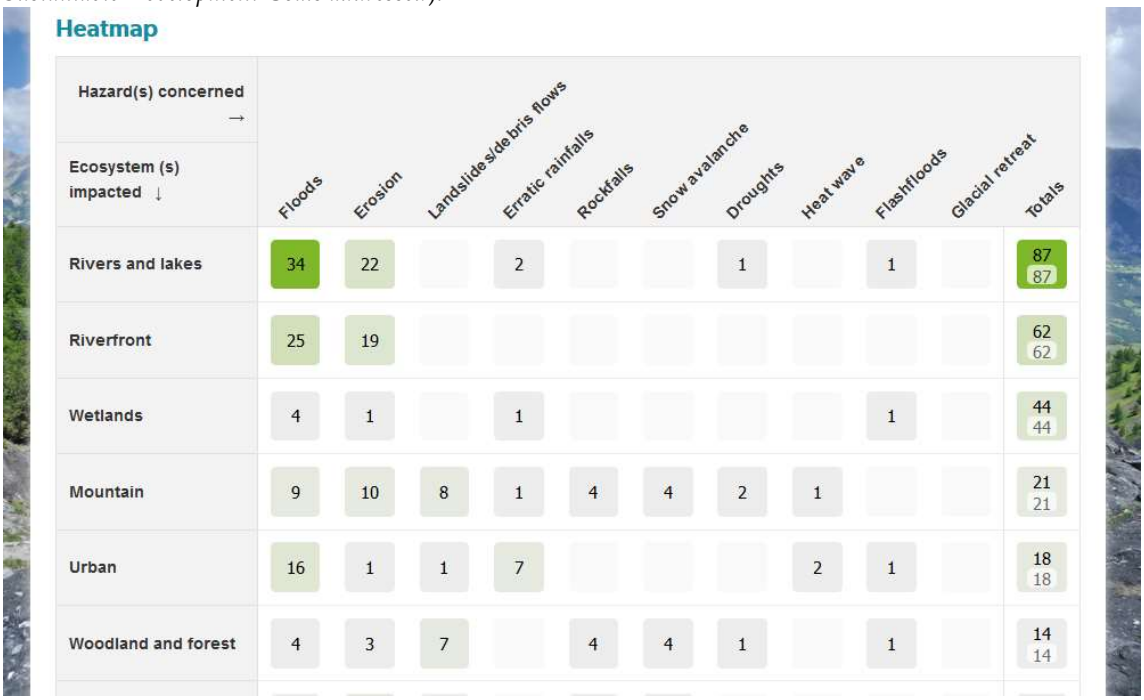

Figure 4: Example of heat map view

- Solution detailed page

The three interfaces presented previously allow accessing directly to the solution detailed page which gather two tabs. The first one, entitled "Information" presents all available metadata and the second one, "Evaluation" presents the evaluation graphical restitution. For the restitution of the qualitative assessment, pictograms (Figure 5) and colour codes ("green" for positive impact, "orange" for mixed impact, "red" for negative impact, "blue" for neutral and "grey" for unclear or unknown) are used to offer a quick overview of the results. In addition, help pop-up are available with criteria definitions and colour legends (Figure 6). Examples of both tab views are presented in Figure 7 and Figure 8.

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Ambit	Criterion	Symbol
Risk reduction	Hazard	
	Exposure	
	Vulnerability	
Technical & economic feasibility	Technical feasibility	
	Economic feasibility	
Environment	Water	
	Soil	
	Vegetation	
	Landscape	
	Biodiversity	

Ambit	Criterion	Symbol
Society	Quality of life	
	Community involvement	
	Landscape and heritage	
Local economy	Revitalization of marginal areas	
	Local economy reinforcement	

Figure 5: List of symbols used and correspondence with criteria and ambits

Hazard

Definition of assessment values for the hazard criterion

Hazard	Value	Symbol	Signification
Whatever the type of hazard concerned within the PHUSICOS project (flood, debris flows, landslides...), this assessment focus on the effect of NBS on the Hazard level	+		The NBS and correlative actions reduce the hazard level i.e. lowering the water height or current velocity for flooding, stabilizing the landslide etc.
	-		The NBS and correlative actions are negative in term of reduction of hazard level i.e. increasing the hazard level
	+/-		The NBS and correlative actions are positive or negative in term of reduction of hazard level depending on the context or specific locations, or it is positive for one of the concerned hazards but negative for another
	0		The NBS and correlative actions have no effect on the hazard level or the magnitude of the effect is too tiny to be detected
	?		The effect of the NBS and correlative actions on the hazard level is unknown
	NA		The criterion assessment is not applicable or irrelevant

Figure 6: Example of pop-up window for hazard criterion with the definition of the selected criterion and colour codes

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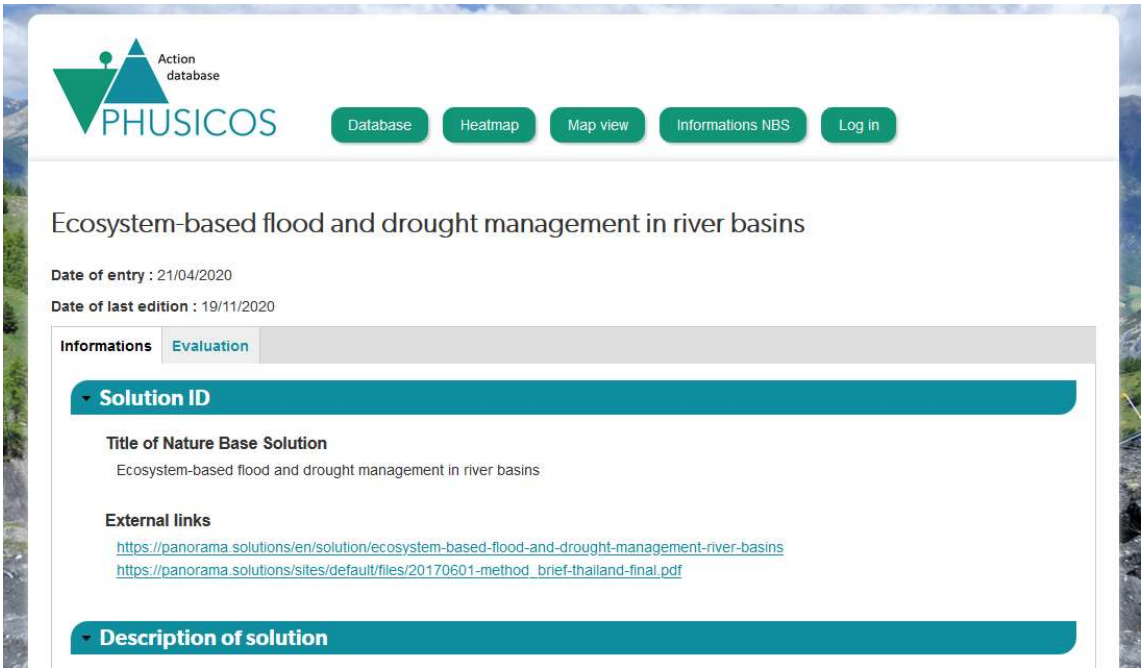

Figure 7: Solution detailed page, information tab

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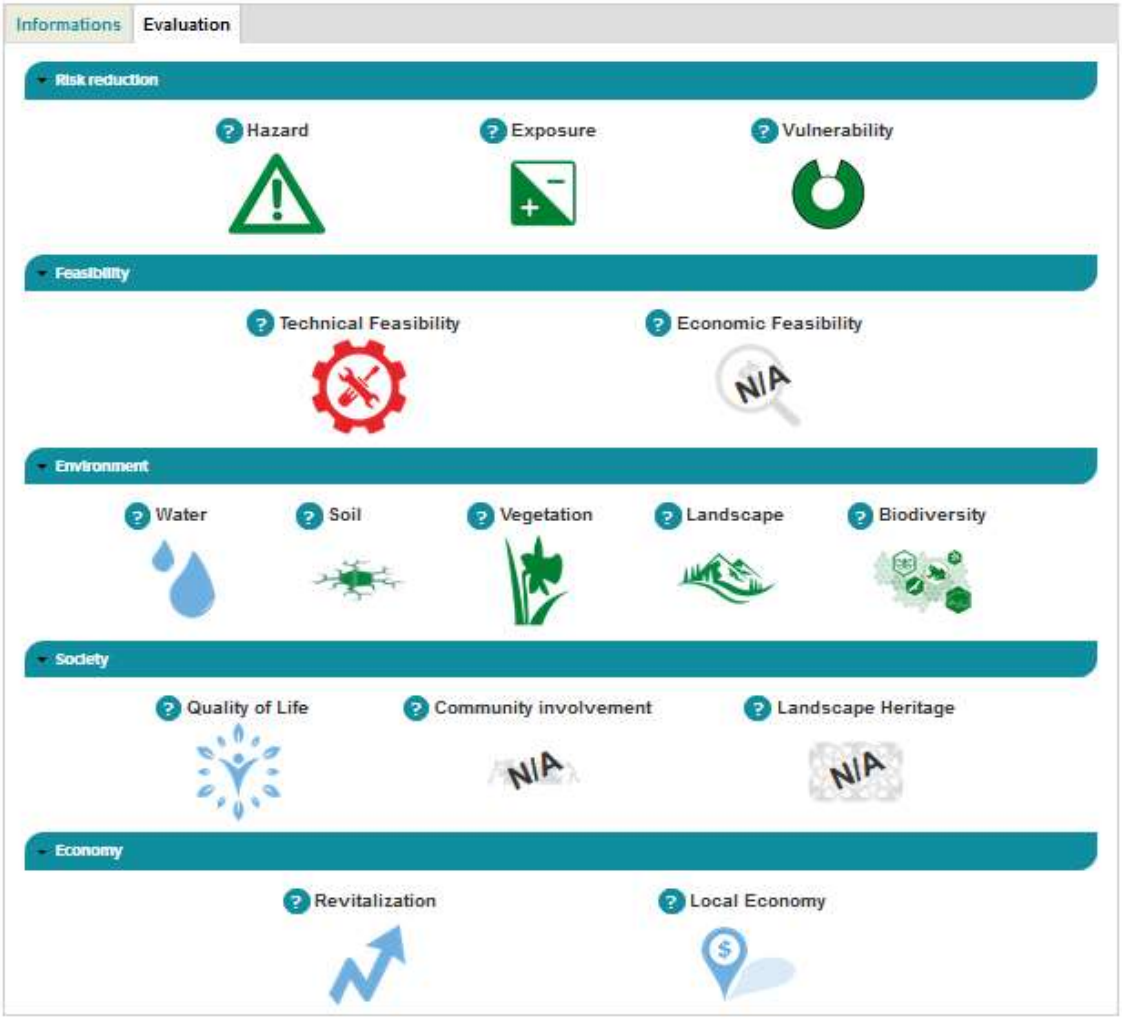

Figure 8: Example of the restitution page for the assessment of a NBS

3.2.2. Operating the PHUSICOS platform

The PHUSICOS platform is accessible directly through a web portal (http://phusicos.brgm.fr) or via the project website (https://phusicos.eu/). The portal is available in English. Read-only is accessible to everyone and an account is required only to contribute to enrich the database by submitting new solutions.

The database is based on an open source Content Management System (CMS) website [36]. The system supports file storage for documents and a map server to provide geo-referenced access to the cases studies stored in the database.

3.2.3. Personal data

The personal data concept covers all information related to an individual who is identified or who may be identified, directly or indirectly, in particular with reference to an identifier (for example, a name or identification number) or to one or more elements specific to their physical, physiological, genetic, mental, economic, cultural or social identity.

To this end, the PHUSICOS platform undertakes to respect Regulation (EU) 2016/679 of the European Parliament and Council of 27th April 2016 on the protection of natural persons with regards to the processing of personal data and the free movement of such data, and repealing Directive

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95/46/EC, hereafter referred to as "GDPR", and the modified law n° 78-17 of 6th January 1978 on IT, files and liberties, hereafter referred to as "the Regulation".

The policy that describes how we collect, use and manage personal data and the rights of the users concerned is available online at phusicos.brgm.fr/node/547.

For any information about personal data protection, you may also consult the website of the French National IT and Liberties Commission.

3.2.4. PHUSICOS platform scalability & sustainability

The PHUSICOS platform will be maintained for at least five years after the end of the project (i.e., 2028). After this date, additional funding for maintenance will be search for and the content of the PHUSICOS platform will also be transferred to "permanent" data repository such as BRGM institutional web site and/or Mendeley Data Repository to ensure durability of access to the content.

3.3. Preliminary analysis of the entries

The PHUSICOS database currently gathers 152 entries. The database will continuously be enriched by the addition of PHUSICOS demonstration sites data and also by the involvement of stakeholders who will be encourage to feed the database with their experience.

To characterize and analyse the current 152 solutions, we have worked on the following four categories:

- The nature of impacted ecosystems,
- The hazard(s) concerned,
- The other challenges treated by the NBS,
- The type of exposed assets.

3.3.1. Ecosystem nature

Eight types of impacted ecosystems are identified: *mountains*, *rivers*, *wetlands*, *grasslands*, *woodlands and forests*, *croplands*, *heathlands*, *lakes* and *urban areas* which is a hybrid of natural and man-made elements interacting.

Table 6 and Figure 9 show that the dominant ecosystem targeted by the NBSs are *rivers* (57.2%) followed by *wetlands* (28.9%), *mountains* (13.8%) and *urban areas* (11.8%).

Table 6: Repartition of impacted ecosystems

Ecosystem(s) impacted	Number of cases	Percentage
Rivers	87	57,2%
Wetlands	44	28,9%
Mountains	21	13,8%
Urban areas	18	11,8%
Woodlands and forests	14	9,2%
Grasslands	8	5,3%
Croplands	4	2,6%
Heathlands and shrubs	1	0,7%
Lakes	0	0,0%

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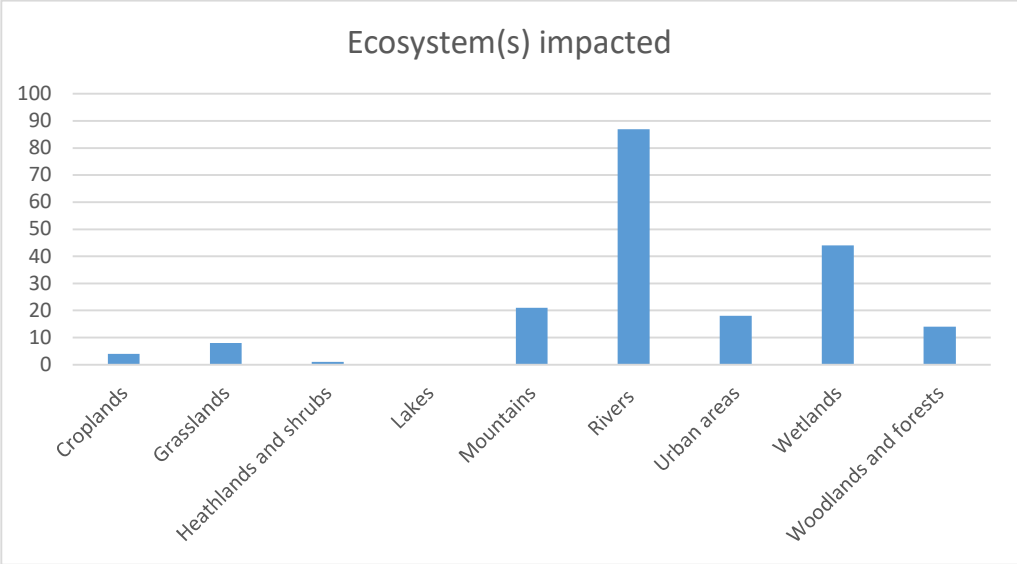

Figure 9 : Number of NBSs by nature of impacted ecosystem

3.3.2. Hazard concerned

Eight categories and subcategories of hazard are fight by the NBSs: *floods*, *landslides*, *rock falls*, *snow avalanches*, *erosion*, *heat waves*, *droughts* and *glacial retreat*.

Floods are the largely dominant hazard treated by NBS (36.2%) followed by *erosion* (17.1%) while *landslides* & *rock falls* recover together 8.5% of the cases (Table 7 and Figure 10). The others hazards represent only few percentage each ones.

There are many NBS cases which aren't tag with any hazards (49.3%). The reason for this is that for almost half of the cases, the primary aim of the NBS implementation is devoted to biodiversity and ecosystem conservation or restoration in order to preserve or restore ecosystem services. Then, the risk reduction by the NBS is only a co-benefit.

Table 7: Repartition of hazards concerned

Hazard(s) concerned	Number of cases	Percentage
Floods	55	36,2%
Erosion	26	17,1%
Landslides	9	5,9%
Rock falls	4	2,6%
Snow avalanches	4	2,6%
Droughts	4	2,6%
Heat waves	3	2,0%
Glacial retreat	0	0,0%
No Hazards	75	49,3%

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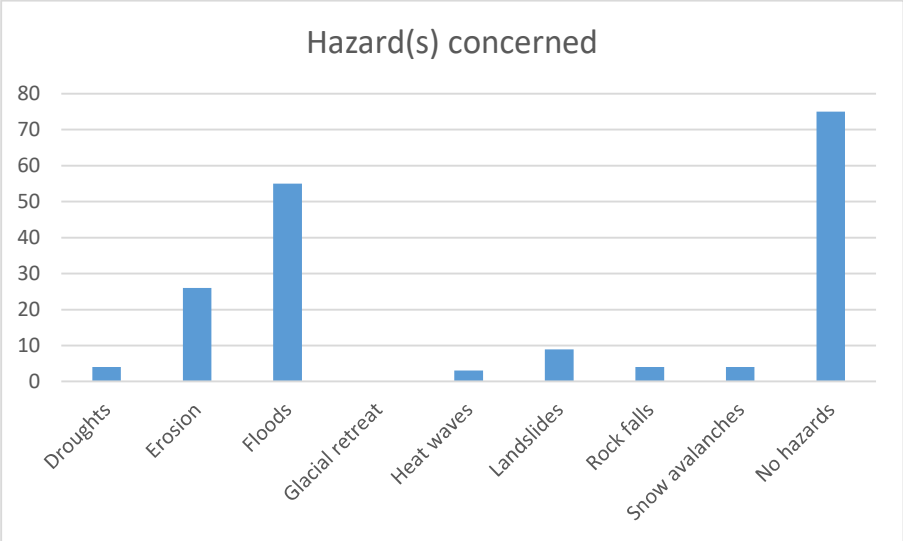

Figure 10: Number of NBS by hazard concerned

3.3.3. Others challenges

Twelve other keywords aim at identifying other challenges in relation with the implementation of NBSs: *ecosystem conservation, ecosystem restoration, biodiversity, climate adaptation, landscape & cultural heritage, local community involvement, human well-being & recreational activities, governance, infrastructure, financing, science and research, job creation* and *outreach & communications*.

Unsurprisingly, *biodiversity, ecosystem conservation* and *ecosystem restoration* are challenges frequently addressed by NBS implementation (82.2%, 58.6% and 12.5%). *Human well-being* is mainly treated on a recreational perspective and is the frequent subject (15.8%). *Climate adaptation* is explicitly treated by only 12.5% of the NBSs. (Table 8 and Figure 11). The *local community involvement* is clearly identified for only seven NBSs.

Table 8: Repartition of other themes treated by the NBS

Other challenges	Number of cases	Percentage
Biodiversity	125	82,2%
Ecosystem conservation	89	58,6%
Recreational activities	24	15,8%
Climate Adaptation	19	12,5%
Ecosystem restoration	19	12,5%
Local community involvement	7	4,6%
Landscape and cultural heritage	5	3,3%
Governance	2	1,3%
Infrastructure	2	1,3%
Financing	1	0,7%
Science and Research	1	0,7%
Job creation	0	0,0%
Outreach & communications	0	0,0%
No other challenge	9	5,9%

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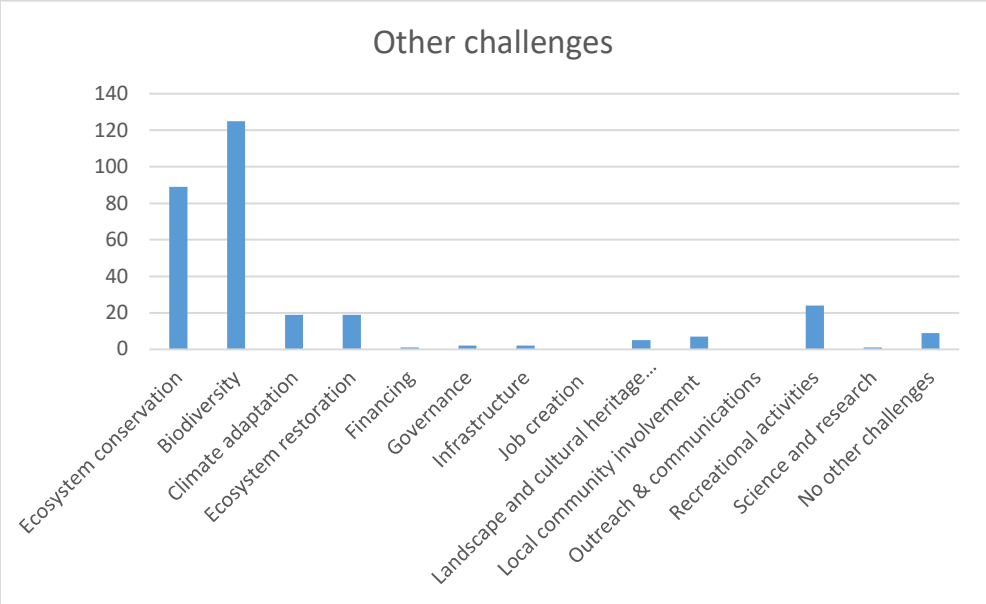

Figure 11: Number of NBS by other challenge

3.3.4. Type of assets

Height types of exposed assets are identified: *urban* (dense built area) *or residential areas*, *urban settings*, *strategic buildings*, *industrial buildings*, *roads*, *railways*, *lifelines*, and *agriculture*.

Very little information is available for this field as it is empty for 18.4% of cases and 39.5% are answered *unknown*. *Urban or residential areas* are the most represented (16.4%), closely followed by *agricultural assets* (15.8%) (Table 9 and Figure 12).

The lack of information regarding the exposed assets is mainly due to the type of data included in the database. Indeed, the database currently gathers mostly literature cases and the source of data seldom provide information on the exposed assets.

Table 9: Repartition of the type of exposed assets

Type of asset	Number	Percentage
Unknown	60	39,5%
Urban or Residential areas	25	16,4%
Agriculture	24	15,8%
No assets	15	9,9%
Roads	8	5,3%
Industrial buildings	2	1,3%
Strategic buildings	1	0,7%
Lifelines	0	0,0%
Railways	0	0,0%
No information	28	18,4%

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Figure 12: Number of NBS by type of exposed assets

3.3.5. NBS assessment

The PHUSICOS NBS assessment module has just been operational and as previously stated, the database is intended to evolve. However, a first insight of NBSs' assessment was realized for the 15 criteria gathered by ambit.

Regarding *disaster risk reduction* ambit (Figure 13), at least half of the NBS cases from the PHUSICOS database are rated "?" as no information is available in the case study restitutions on the impact of those NBS for at least one of the three *disaster risk reduction* criteria. Nevertheless, 35% of the NBSs analysed perform positively regarding *hazard* criterion against 25% for *exposure* criterion and 23% for *vulnerability* criterion.

No NBS have identified negative impacts on *disaster risk reduction* criteria and 1% of the NBS have mixed impacts on hazard criterion.

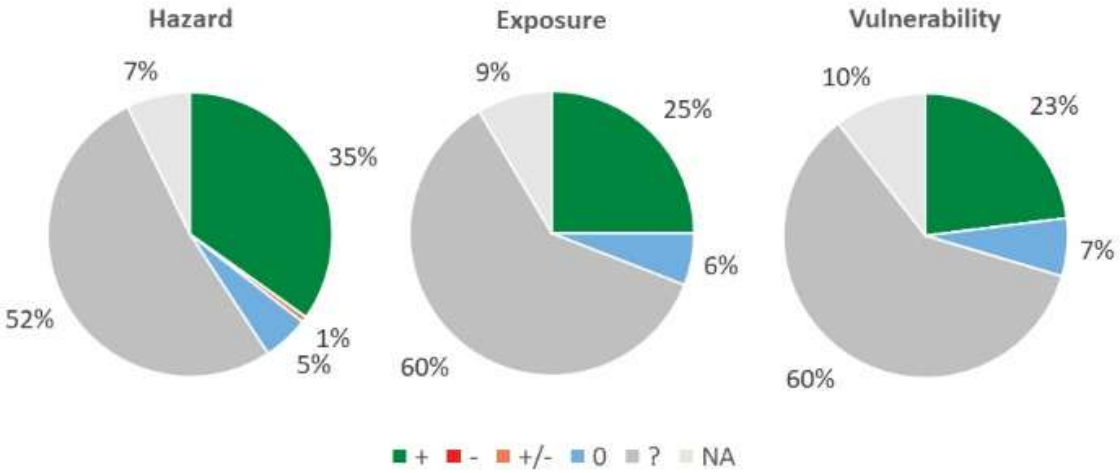

Figure 13: Repartition of evaluation categories for the criteria of the disaster risk reduction ambit

Assessment of NBS regarding *technical feasibility* ambits is almost the same for *technical feasibility* criterion and *economic feasibility* criterion. For 40% of the NBS both criteria are positively evaluated (41%

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for *technical feasibility* and 43% for *economic feasibility*), 30% have mixed *technical* and *economic feasibility* and 1% negative assessments.

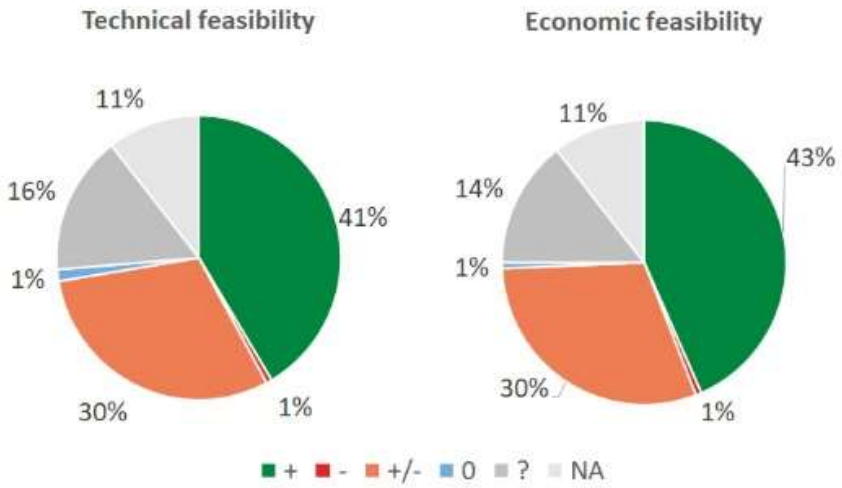

Figure 14: Repartition of evaluation categories for the criteria of the *technical feasibility* ambit

The NBSs analysed have mainly positive or neutral impact on the five criteria of the *environment* ambit (Figure 15). NBS impact is positive at 89% regarding *biodiversity* criteria, 76% regarding *vegetation* criteria, 41% regarding *landscape (green infrastructure)* criteria, 28% for *water* criteria and 26% for *soil* criteria. No negative impacts of NBS on the five criteria have been reported, and 1% mixed impact have been reported only for *landscape (green infrastructure)* criteria.

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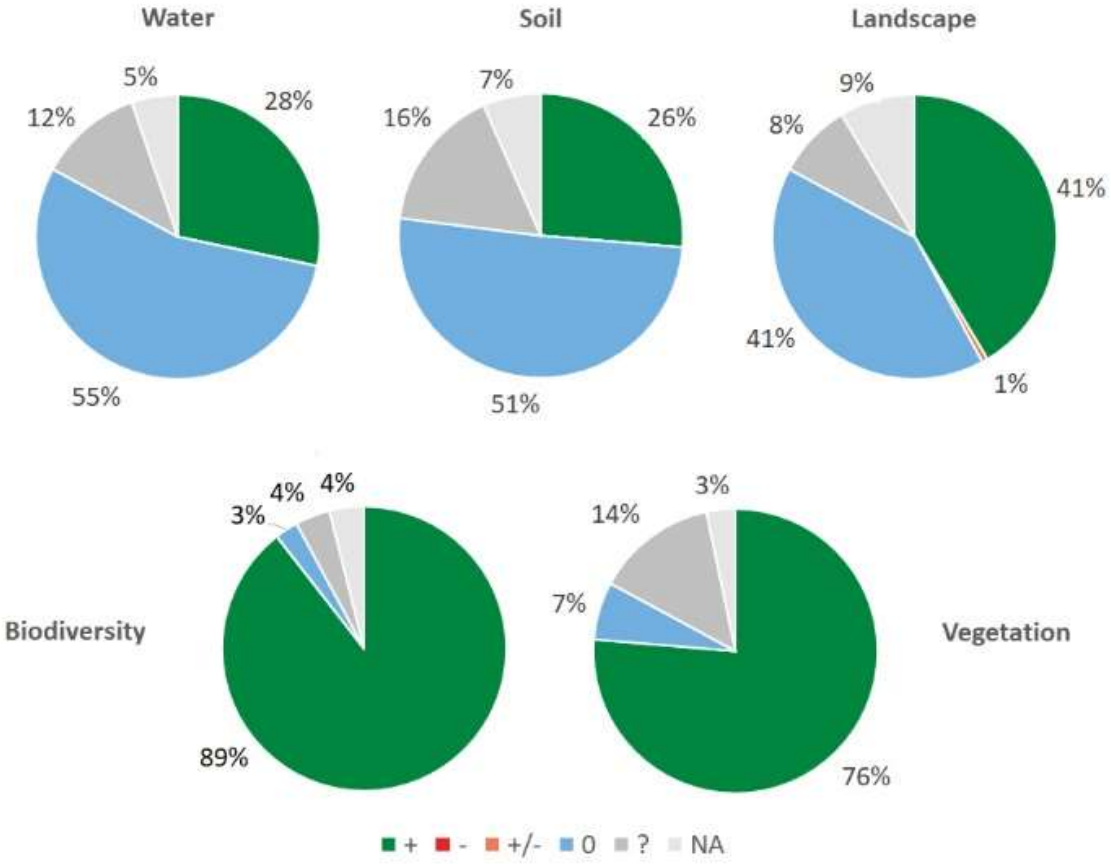

Figure 15: Repartition of evaluation categories for the criteria of the *environment* ambit

For *social* ambit criteria, NBSs have mainly no impact on the criteria (49% for *local community involvement and governance*, 52% for *quality of life – recreational activities* and 60% for *landscape heritage*). Twenty-eight percent of NBSs increase *quality of life – recreational activities areas*, 20% involve stakeholders, whereas only 8% have a recognized positive impact on landscape heritage. Negative and mixed impacts are reported only for *local community involvement and governance* with 1% of NBS not involving stakeholders and 2% of NBS not involving all the relevant stakeholders.

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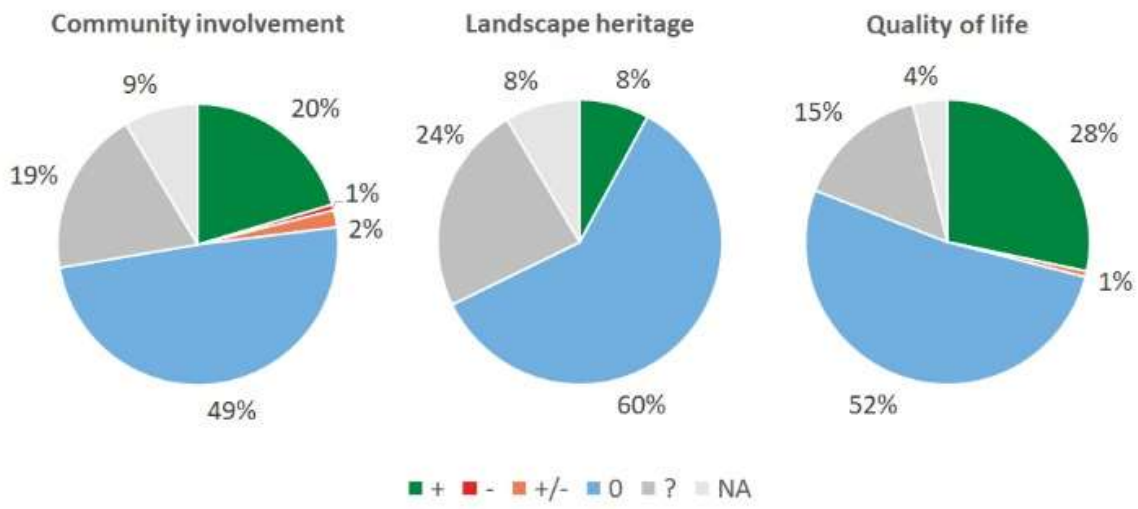

Figure 16: Repartition of evaluation categories for the criteria of the *society* ambit

Finally, for the *local economy* ambits, assessments are similar for both criteria. Data is scarcely available in the literature cases: in 66% of cases the effects of NBS on the *local economy reinforcement* are unclear or unknown and in 68% of cases the effects of NBS on *revitalization of marginal areas* are unclear or unknown. For both criteria, almost a quarter of solutions (23%) have no impact (neither positive nor negative). Only a few percent are reported to have a positive, negative or mixed impact on these criteria. Two percent of the NBS have mixed impact on both *revitalization of marginal areas* and *local economy reinforcement* criteria. Two percent have positive impact on *revitalization of marginal areas* and 3% on *local economy reinforcement*. Finally, 1% of the NBS are reported to have negative impact on the *local economy reinforcement* criterion.

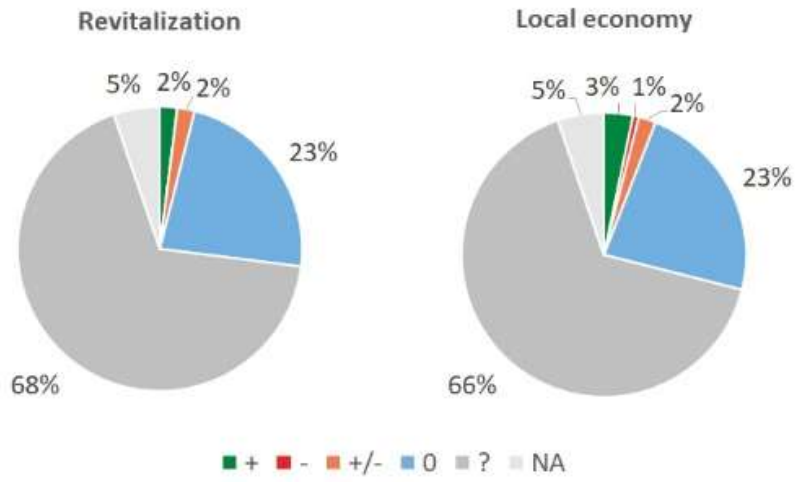

Figure 17: Repartition of evaluation categories for the criteria of *local economy* ambit

4. Discussion & Conclusion

After the first literature and platform review, around 50 NBS cases focussing on hilly and mountainous areas were gathered. This first set of NBS cases was then enriched with feedback from

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Bavaria area cases. Among the cases entered into the database, not all address directly hazards. Indeed, for almost half of the cases, the primary aim of the NBSs' implementation is linked to biodiversity and ecosystem conservation or restauration, and they will influence risk level only as co-benefit. In addition, while landslide and rock fall hazards are specific of mountainous areas, very few NBS cases target them (13), whereas many cases addressed floods (55). This is consistent with precedent reviews [4].

The main difficulty encountered while inventorying the NBS case studies was to identify NBSs because NBS is a recent terminology [4]. In order to bypass such difficulties wider keywords were used such as "ecosystem-based" or "ecosystem services". Our study shows that it is difficult to identify many actions undertaken at local level without being labelled as NBSs. Some of them are at best disseminated in grey literature in different languages and in consequence hardly identifiable and for other generic principle is disseminated but no data is available on practical implementation cases.

The database frame of the PHUSICOS database was chosen as the best compromise to provide both detailed and standardized information. Indeed, inventories and databases reduce the precision of data available, by selecting potentially common fields. The scope of the database – NBSs for hydro-meteorological triggered events and environmental issues in hilly and mountainous areas – and the proposed assessment for five ambits and fifteen criteria make its innovation. In addition, assessment criteria can be used to filter the database content, thus allowing browsing the database according to NBS performance.

The analysis of the first assessment results show that NBS generally perform very well regarding the five criteria of the *environment* ambit. This is in phase with IUCN NBS definition stating that "Nature-based solutions are actions to protect, sustainably manage and restore natural and modified ecosystems in ways that address societal challenges effectively and adaptively, to provide both human well-being and biodiversity benefits". When they address natural hazards, the database NBSs are also reported to perform relatively well regarding the three criteria of the *risk reduction* ambit (namely hazard, exposure and vulnerability). Nevertheless, the high proportion of unclear or unknown assessment underlines the lack of information regarding NBSs performance in the original studies. Information is also lacking concerning *local economy* aspects, with at least two third unclear or unknown assessments. Additional efforts and studies are thus necessary to enhance to evaluate NBS performance regarding disaster risk reduction and to assess economical aspect taking into account environmental externalities. Finally, assessments are more contrasted regarding *economic and technical feasibility*. Thus, these aspects may need extra attention when implementing NBSs.

In the future, the database will continue on evolving and the main big challenge will be to encourage stakeholders to use the database as well as to contribute and have the database continuously enriched.

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Appendix A

Table A 1: Services proposed by the reviewed platforms

		NBS evidence platform	Natural-hazard NBS	Oppla	ThinkNature	GeoKP	Climate-Adapt	Urban Nature Atlas	Prevention web	Panorama	Equatorinitiative
Description	Title	x	x	x	x	x	x	x	x	x	x
	Summary	x	x		x			x		x	x
	Objectives			x	x	x					
	Implementation activities				x	x		x			
	NBS action		x	x	x	x					
	Type of data (qualitative, quantitative, …)	x									
	Category (green, grey, …)					x	x				
Dates	Date of publication / last edition			x			x			x	
	Date of project / NBS implementation		x		x						
	Project duration / Implementation time / Life time						x	x			x
	Location (coordinates and/or description)			x	x	x		x		x	x
	City /area population							x			
Domain	Intervention (habitat created, restauration, combination)	x	x			x					
	Ecosystem concerned									x	x
	Theme (adaptation, DRR, …) / Type of action / Keyword			x	x		x			x	x
	Hazard addressed / Climate impacts	x	x			x	x			x	
	Habitat	x									
Evaluation	Effects of NBS / NBS benefits	x			x						
	Ecosystem and social outcomes	x									
	Comparative effectiveness of intervention?	x									
	Report effect GHG mitigation?	x									
	Non-experimental evaluation done?	x									
	Does the study report economic costs/benefits?	x									
	risk reduction benefits		x								

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	Additional benefits		x								
	Success and limiting factors			x			x				
	Lessons learnt				x		x				
	Impacts (on environment, sustainable developments, …)				x			x		x	x
International classification	Sustainable development goals									x	
	Aichi targets									x	
	Sendai Framework									x	
	NDC submission									x	
Challenges	Urban settings							x			
	Challenges					x		x		x	
	Beneficiaries							x		x	
	Type of initiation organisation							x			x
Media	Pictures							x		x	
	Videos									x	
	Story									x	
Resources	Contributed by			x						x	
	Contributors (+ roles)			x	x			x		x	
	Resources									x	
	Sources / References	x		x	x		x	x	x		
	Links	x	x	x	x	x	x	x		x	
	Organisation involved			x	x					x	x
	Portals									x	
	Related solutions						x				
Finance	Project cost (and benefits)		x				x	x			
	Benefits		x								
	Financing sources / Donors		x		x						
Participation	Participatory approaches							x			
	Community involvement						x				
	Management set-up							x			
Others	Legal aspects						x				
	Awards			x	x						
	Comments									x	
	Evolving										
	Contacts				x						
	Replication				x						

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Appendix B

Table B 1: Metadata used in the different databases

		NBS evidence platform	Natural-hazard NBS	Oppla	ThinkNature	GeoKP	Climate-Adapt	Urban Nature Atlas	Prevention web	Panorama	Equatorinitiative
Description	Title	x	x	x	x	x	x	x	x	x	x
	Summary	x	x		x			x		x	x
	Objectives			x	x	x					
	Implementation activities				x	x		x			
	NBS action		x	x	x	x					
	Type of data (qualitative, quantitative, …)	x									
	Category (green, grey, …)					x	x				
Dates	Date of publication / last edition			x			x			x	
	Date of project / NBS implementation		x		x						
	Project duration / Implementation time / Life time						x	x			x
	Location (coordinates and/or description)			x	x	x		x		x	x
	City /area population							x			
Domain	Intervention (habitat created, restauration, combination)	x	x			x					
	Ecosystem concerned									x	x
	Theme (adaptation, DRR, …) / Type of action / Keyword			x	x		x			x	x
	Hazard addressed / Climate impacts	x	x			x	x			x	
	Habitat	x									
Evaluation	Effects of NBS / NBS benefits	x			x						
	Ecosystem and social outcomes	x									
	Comparative effectiveness of intervention?	x									
	Report effect GHG mitigation?	x									
	Non-experimental evaluation done?	x									
	Does the study report economic costs/benefits?	x									
	risk reduction benefits		x								

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	Additional benefits		x								
	Success and limiting factors			x			x				
	Lessons learnt				x		x				
	Impacts (on environment, sustainable developments, …)				x			x		x	x
International classification	Sustainable development goals									x	
	Aichi targets									x	
	Sendai Framework									x	
	NDC submission									x	
Challenges	Urban settings							x			
	Challenges					x		x		x	
	Beneficiaries							x		x	
	Type of initiation organisation							x			x
Media	Pictures							x		x	
	Videos									x	
	Story									x	
Resources	Contributed by			x						x	
	Contributors (+ roles)			x	x			x		x	
	Resources									x	
	Sources / References	x		x	x		x	x	x		
	Links	x	x	x	x	x	x	x		x	
	Organisation involved			x	x					x	x
	Portals									x	
	Related solutions						x				
Finance	Project cost (and benefits)		x				x	x			
	Benefits		x								
	Financing sources / Donors		x		x						
Participation	Participatory approaches							x			
	Community involvement						x				
	Management set-up							x			
Others	Legal aspects						x				
	Awards			x	x						
	Comments									x	
	Evolving										
	Contacts				x						
	Replication				x						

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Appendix C

Table C 1: Close lists of answers

Key words	Ecosystems impacted	Croplands
		Grasslands
		Heathlands and shrubs
		Lakes
		Mountains
		Rivers
		Urbans
		Wetlands
		Woodlands and forests
	Hazards concerned	Droughts
		Erosion
		Floods
		Glacial retreat
		Heat waves
		Landslides
		Rock falls
		Snow avalanches
	Others challenges	Biodiversity
		Climate adaptation
		Ecosystem conservation
		Financing
		Governance
		Human well-being - recreational activities
		Infrastructure
		Job creation
		Landscape and cultural heritage protection
		Local communities involvement
		Outreach & communications
		Restoration
		Science and research
Exposition	Assets exposed	Agriculture
		Urban or residential areas
		Industrial buildings
		Strategic buildings (hospitals, schools, ...)
		Roads
		Railways
		Lifelines
		No assets
		Unknown
	Population exposed	Yes, high density of population (>200 persons/km^2)
		Yes, medium density of population (between 50 and 200 persons/km^2)
		Yes, low density of population (<50 persons /km^2)
		No
		Unknown
Activity	Job creation in NBS sector	Yes, Over 10
		Yes between 5 and 10

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		Yes, Less than 5
		No jobs created
		Don't know
	Job creation in tourism and leisure sector	Yes, Over 10
		Yes between 5 and 10
		Yes, Less than 5
		No jobs created
		Don't know
	New / traditional activities increase	Fishing
		Agriculture
		Others
		Unknown
International classification	Sustainable development goals addressed	SDG1 – No poverty SDG2 – Zero Unger SDG3 – Good Health and Well-being SDG4 – Quality Education SDG5 – Gender Equality SDG6 – Clean Water and Sanitation SDG7 – Affordable and Clean Energy SDG 8 – Decent Work and Economy Growth SDG 9 – Industry, Innovation and Infrastructure SDG 10 – Reduced Inequality SDG 11 – Sustainable Cities and Communities SDG 12 – Responsible Consumption and Production SDG 13 – Climate action SDG 14 – Life below water SDG 15 – Life on land SDG 16 – Peace and Justice Strong institutions SDG 17 – Partnerships to achieve the Goal
	Sendai Framework priorities addressed	Priority 1. Understanding disaster risk
		Priority 2. Strengthening disaster risk governance to manage disaster risk
		Priority 3. Investing in disaster risk reduction for resilience
		Priority 4. Enhancing disaster preparedness for effective response and to “Build Back Better” in recovery, rehabilitation and reconstruction
Temporal aspects	Design life time of the action	More than 10 years
		Between 5 and 10 years
		Between 2 and 5 years
		Less than 2 years
		Don’t know
	Implementation time of the action	More than 10 years
		Between 5 and 10 years
		Between 2 and 5 years
		Less than 2 years
		Don’t know
Others	Participatory processes	Yes
		No
	Transposition in a different context	Yes, it is easily transposable
		Yes, but difficult to transpose

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		No, it is site specific
		I don't know

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