

1 **Effect of Incorporation of Bead-Beating during DNA Extraction for Detection of *Trichuris***  
2 ***trichiura* in Stool Samples in Community Settings: A Systematic Review**

3 Divya RSJB Rana<sup>1\*</sup>, Nischal Pokhrel<sup>2</sup>

4 <sup>1</sup>Tribhuvan University, Hari Khetan Multiple Campus, Birgunj, Nepal; email: div\_rana@yahoo.com

5 <sup>2</sup>Purbanchal University, B and B Medical Insitutte, Lalitpur, Nepal; email:  
6 kneeschalpoks@gmail.com

7 \*Corresponding author

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9 **Abstract:**

10 Objectives: This meta-analysis was designed to assess the effect of addition of a bead-beating step  
11 during DNA extraction to effectively isolate *Trichuris trichura* DNA for quantitative Polymerase Chain  
12 Reaction (qPCR)-based diagnosis. Abstract was reported according to PRISMA-DTA abstract checklist.

13 Methods:

14 Eligibility criteria: qPCR-based molecular studies comparing the inclusion of bead-beating step during  
15 the DNA extraction from stool samples with extraction without the step were included in the analysis.

16 Information sources: Studies using real patient samples in community settings were included. PubMed  
17 and Google search engine were searched in December 2019.

18 Risk of bias and applicability: Risk of bias and applicability were assessed using QUADAS-2 checklist.

19 Synthesis of results: Odds ratio for individual studies were combined to estimate Random Effects  
20 Model odds ratio. Additional literature were searched to discuss biochemical nature of helminth eggs.

21 Results:

22 Included studies: A total of six independent sub-studies were gathered from two published original  
23 articles. Division of the two major studies into six sub-studies was indispensable due to natures of the  
24 study carried. 128 of total 192 samples (in all studies) were positive for *Trichiuris trichiura* when bead-  
25 beating was used during DNA extraction compared to 108/192 when bead-beating was excluded.  
26 Combined odds ratio was 1.66 (95% CI: 1.059 to 2.602). Biochemical nature of helminth eggs was  
27 discussed.

28 Discussions:

29 Strengths and limitations: Though only two article were included in the study, six exclusive individual  
30 sub-studies were analyzed. Inherent differences in the background prevalence of helminth in study  
31 population could impact sensitivity of qPCR.

32 Interpretation: It was found that the inclusion of the bead-beating step during DNA extraction  
33 significantly increased the sensitivity of the test.

34 **Others:**

35 Funding: This research received no specific grant from any funding agency in the public, commercial  
36 or not-for-profit sectors.

37 Registration: Registration was no done in any databases.

38 **Key words:** Trichuris trichiura, polymerase chain reaction, DNA extraction, bead-beating, sensitivity

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40 **Strengths and Limitations of this study**

41 > While only two studies are included in analysis, these contain a total of six independent sub-studies.

42 > The sub-studies are different in patient population, sample preservation and processing, and thus can't  
43 be combined

44 > The direction of odds ratio in all of the sub-studies are in one direction, thus the significance of the  
45 combined odds ratio is strengthened.

46 > While sample sizes in individual studies are small to give significant statistical significance,  
47 combined odds ratio revealed a statistically significant result.

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## 51 **Introduction:**

52 Soil-transmitted helminths (STH) claim high disease burden especially in the poor parts of the world

53 (1) . The diseases, while being treatable by common deworming medications and preventable

54 with common hygienic standards (2) , are major contributors of worldwide morbidity. Round worm

55 (*Ascaris lumbricoides*, ascariasis), whipworm (*Trichuris trichiura*, trichuriasis) and hookworm

56 (*Ancylostoma duodenale* and *Necator americanus*, ancylostomiasis and necatoriasis) are the three

57 major worms among others that claim the highest disease morbidities. Round worm and whipworm are

58 transmitted by faeco-oral route (3,4) while the hookworm and threadworm (*Strongyloides stercoralis*,

59 strongyloidiasis) are transmitted through penetration of larvae into the open skin (5,6) . Round worm,

60 hookworm and threadworm have tissue-dwelling stages where their specific larval stages leave the gut

61 and penetrate the vascular and solid tissue. Whipworm complete their life cycles in the intestine and in

62 their particular life stage, their heads remain embedded in the linings of the gut.

63 DNA-based detection of STH in stool samples have proved to be the most sensitive method (7,8) .

64 Quantitative polymerase chain reaction (qPCR) is more consistent method compared to other copro-

65 microscopic techniques and is less affected by the experience of the technologists as personnel with

66 basic knowledge of PCR are able to perform the experiments with similar results. qPCR has various  
67 advantages from detection of presence of worms in stools to follow-up evaluation after various  
68 therapeutic interventions to decrease the prevalence (9) . However, high cost of equipment and  
69 maintenance of contamination-free conditions may prevent the use of this techniques in resource  
70 constraint settings. In spite of that, qPCR carried in multiplex format may be equivalent in cost to  
71 conventional microscopy techniques (7) . DNA-based techniques have an additional advantage of the  
72 feasibility of carrying tests on later time points if the stool samples are preserved in proper manner  
73 (10) .

74 While the extraction of DNA from stool samples containing round worms and hookworms pose no  
75 reported difficulties, the extraction of DNA from *Trichuris* eggs have been reported to require  
76 additional handling (11) . Inefficient DNA extraction may lead to decreased *Trichuris* detection  
77 sensitivity by qPCR compared to detection by microscopy (9) . Studies have repeatedly pointed out  
78 the requirement of bead-beating step during DNA extraction for proper extraction of the *Trichuris*  
79 DNAs (7,8) . The bead-beating step is expected to help to break the *Trichuris* eggs during enzymatic  
80 lysis step of DNA extraction reagents, and thus make *Trichuris* DNA detectable by PCR. This is carried  
81 by enclosing stool with lysis buffer and beads of specific size (millimeter or lesser diameters) and type  
82 (glass, zirconium, ceramic, garnet, etc.), and oscillating at high speed for given durations. A search  
83 for articles which assessed the relevance of bead-beating during DNA extraction was carried and a  
84 meta-analysis was carried. While many studies incorporated bead-beating into their DNA extraction  
85 methods for *Trichuris* PCR in early 2010s (12,13) , recently few have done direct comparative studies  
86 to show the efficacy of inclusion of this step. This systematic review was designed to assess the benefit  
87 of including the step for more sensitive detection of *Trichuris* DNA during qPCR. Singleplex or  
88 multiplex qPCR for detection of soil-transmitted helminth are usually carried in community settings to  
89 determine the prevalence of the helminth and to determine the efficacy of deworming interventions  
90 (14) . The research question for this systematic review also envisage the index and reference tests to

91 have high sensitivity to determine the helminth presence in community setting rather than hospital  
92 setting.

### 93 **Material and Methods:**

94 This study was carried and reported according to the Preferred Reporting Items for a Systematic  
95 Review and Meta-analysis for Diagnostic Test Accuracy Studies (PRISMA-DTA) (15). Articles were  
96 searched in Pubmed by using “AND” Boolean operator to combine two search fields, “qPCR OR  
97 quantitative polymerase chain reaction OR quantitative PCR OR quantitative real-time PCR OR  
98 polymerase chain reaction OR PCR” and “Trichuris OR Trichuriasis OR Whip worm OR Whipworm  
99 OR Trichuris trichiura”. Additional search was carried in Google search engine with search term  
100 “trichuris bead beating DNA extraction”. Abstracts and/or bodies of the manuscripts were read to  
101 identify the comparative studies according to inclusion criteria. Studies using the index and reference  
102 tests in community settings were included. The studies should have carried DNA extraction by two  
103 methods: one including bead-beating step and, two, without including the bead-beating step. Articles  
104 referenced in the selected studies were scanned for more relevant articles but none of the authors of  
105 selected articles were contacted for further details. Studies included field-based projects where samples  
106 were collected in blanket fashion in suspected population irrespective of presence of any helminth  
107 related symptoms in target individuals. Tests should not be carried in individual suspect patients either  
108 in the hospital or community settings. Articles using qPCR both as index and reference tests qualified  
109 for the review. Index qPCR test preceded by DNA extraction using an additional bead-beating step to  
110 break the *Trichuris trichiura* egg. Reference test did not. Data were directly extracted from the selected  
111 studies and entered in excel for statistical analyses. Risk of bias and applicability was carried according  
112 to QUADAS-2 checklist (16) developed by the QUADAS-2 study group (17) . Additional  
113 literature search was carried to study the biochemical structure of helminth eggs.

114 Statistical Analyses: Odds ratio were calculated for individual studies/sub-studies. Each of the collected  
115 stool samples in individual studies were examined by index and reference tests. Samples tested by

116 index test were regarded as exposed and those tested with reference were regarded as unexposed group  
117 to calculate the odds ratio. Random effects model odds ratio was estimated for the meta-analysis.  
118 Individual odds ratio of the sub-studies were combined for the meta-analysis. The analysis was carried  
119 in MedCalc software which uses Mantel and Haenszel (1959) method for fixed effect model and  
120 DerSimonian and Laird (1986) method for random effects modelling (18) . Random effects model  
121 was considered more applicable due to heterogeneity in the study as explained in Results.

122 Data Sharing Statement: No additional data available.

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## 124 **Results:**

125 Search Results: Search through Pubmed resulted in 165 results from inception to Dec 2019 with no  
126 barrier to languages. Search through Google resulted in a total of 15 relevant search results. After step-  
127 wise sorting (Figure 1), 2 articles from Pubmed and 1 article from Google search were selected for  
128 further analysis. Studies that carried experiments to compare the effect of inclusion or exclusion of  
129 bead-beating step during DNA extraction from stool on the prevalence of *Trichuris trichiura* were  
130 selected. Further reading of the articles led to exclusion of one article for the meta-analysis as it carried  
131 DNA extraction in artificially spiked stool samples and did not specifically study the effects of bead-  
132 beating in actual field samples. Both of the included studies were carried in community settings which  
133 matched with the research question. Study populations were chosen based on prior information that  
134 there was some degree of infection prevalence that could be detected by index and reference tests. All  
135 of the study participants were apparently healthy and were not included or excluded based on any  
136 specific symptoms. While Ayana et al (10) enrolled school children (5-14 years) in Ethiopia, Kaiser  
137 et al (19) enrolled mothers (20-37 years) and their babies (1-5 years) in Indonesia. Both of the  
138 studies disclosed their funding sources but only Ayana et al stated in the publication that the funders  
139 had no role in study design, data collection and analysis, decision to publish, and preparation of the

140 manuscript. But there was no proof that the funders of Kaiser et al had any input in any of the above  
141 activities.

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143 **Risk of bias and applicability:** There was low-risk of bias and applicability for each of the  
144 studies/sub-studies (Figure 2, Supplementary materials). Perhaps due to nature of the diagnostic test,  
145 many of the risks could be reduced due to aliquotations. As the patient stool samples could be collected  
146 in sufficient amount and aliquoted, both the index and reference tests could be carried on identical  
147 samples and, theoretically, at same times. Another factor that reduces the risk of bias was the fact that  
148 the index and reference tests consisted of two steps. The second step, qPCR, was identical within each  
149 of the studies, and thus the threshold of detection (or analytical sensitivity) was same for the index and  
150 reference tests in each of the studies. In all of the studies/sub-studies, the patient samples were taken  
151 from specified populations without categorizing according to any symptoms or physical conditions. All  
152 of the healthy looking participants were enrolled consecutively. This decreased the overall applicability  
153 issue of the review question as the qPCR is intended to be used in community settings.

154 **Qualitative analysis:** All together three articles assessed the effect of bead-beating during DNA  
155 extraction. Anderson et al (2013) (20) artificially spiked healthy stool samples with *Trichuris*  
156 *trichiura* eggs and carried qPCR to assess the effect of bead-beating. They compared the effect of  
157 different types of beads: 0.5 mm glass beads, 0.15 mm Garnet beads, and 0.1 mm zirconium beads  
158 using NucliSENS easyMag DNA extraction system (Biomerieux, USA). They concluded (no data  
159 reported) that zirconium beads gave best results for DNA isolation. The study found that vortexing  
160 without any beads gave comparable results for *Trichuris trichiura* analytical sensitivity compared to  
161 bead beating with zirconium beads beaten for 30 secs at 7,000 oscillations. They reported that the  
162 clinical sample showed lower (better) limit of detection compared to the artificially spiked sample  
163 hinting the presence of microscopically invisible extra-cellular DNA in clinical stool samples. Study by  
164 Kaiser (2017) (19) also assessed the effects of five different kind of beads: 0.5 mm stainless steel,

165 0.5 mm zirconium oxide, 0.7 mm garnet, 0.8 mm garnet and 0.5 mm Yttria-stabilized zirconium oxide.  
166 It was found that 0.8 mm garnet gave the best analytical sensitivity and further experiments were  
167 carried with this bead by vortexing 3 mins at 1800 rotations per minute. Ayana (2019) (10) used 1.4  
168 mm ceramic beads to beat the stool samples for 1 min at 3000 rotations per minute. Just before the bead  
169 beating, the stool samples were freeze-thawed to increase the efficiency of cell disruption during bead-  
170 beating.

171 **Quantitative analysis:** Study by Kaisar et al (2017) divided the 60 collected stool samples into two  
172 aliquots and preserved them in fridge or mixed with ethanol and stored at room temperature (19) .  
173 Both sets of preserved samples underwent two types of DNA extraction protocols, one including the  
174 bead-beating step and the other without. As the samples materials had been altered chemically to some  
175 degree due to the preservation methods, we considered the two sets of experiments (frozen and ethanol  
176 preserved) as two different sub-studies (Frozen\_samp and Ethanol\_samp) in this analysis. Both sets of  
177 experiment used QIAamp DNA-easy kit from Qiagen, Germany. Overall, the inclusion of bead-beating  
178 gave higher positivity rate. 51.7% (31/60) frozen stool samples were positive when bead-beating was  
179 used during DNA extraction compared to only 40% (24/60) when bead-beating was not used ( $p>0.05$ ).  
180 Similarly, the percentage of positive samples were 55% (33/60) and 45% (27/60) respectively for  
181 ethanol-preserved samples ( $p>0.05$ ). Overall, ethanol-preserved samples performed better. Study by  
182 Ayana et al (2019) (10) used two different kits to extract DNA. QIAamp DNA Stool Mini kit (S\_K)  
183 and DNeasy Blood & Tissue kit (BaT\_K), both from Qiagen, Germany, were used and the Blood and  
184 Tissue kit was reported to perform better. Each method was further divided into one using the bead-  
185 beating and the other not. To examine the effect of bead-beating, the stool samples, as assessed  
186 microscopically, were divided into 15 fecal egg count (FEC) negative samples, 11 low-intensity FEC  
187 positive samples and 10 moderate to heavy intensity FEC positive samples. For the sake of this meta-  
188 analysis, the 15 FEC negative (zero value for egg per gram) samples were grouped as one  
189 (Zero\_FEC\_BaT\_K or Zero\_FEC\_S\_K for FEC negative/zero samples analyzed by Blood and Tissue  
190 kit and Stool Kit respectively) and 21 FEC positive samples (11 low and 10 heavy intensity) as other  
191 group (Pos\_FEC\_BaT\_K or Pos\_FEC\_S\_K for FEC positive samples analyzed by Blood and Tissue kit  
192 and Stool Kit respectively). This grouping is logical because only a small number ( $n=15$ ) of the total  
193 159/195 (81.5%) microscopically/DNA *Trichuris* negative samples were used in analysis and  
194 combination of all three groups of samples (negative, low intensity and moderate to heavy intensity)  
195 could have hamper actual sensitivity estimation. When Blood and Tissue Kit was used 87% (13/15) of

196 the microscopy negative samples were positive by DNA extraction method incorporating bead-beating  
 197 compared to only 73% (11/15) for method not including bead-beating ( $p>0.05$ ). The positivity  
 198 percentages for microscopy positive samples were 100% (21/21) and 95% (20/21) respectively. When  
 199 the Stool Kit was used, the positivity percentage were 73% (11/15) and 67% (10/15) respectively for  
 200 microscopy negative samples ( $p>0.05$ ). For microscopy positive samples, the positivity percentages  
 201 were 90% (19/21) and 76% (16/21) respectively ( $p>.05$ ).

202 Random effects model was chosen compared to fixed effect model even when  $I^2$  (inconsistency) was  
 203 0.00% (95% CI: 0.00-0.00). As the methods of DNA extraction, types of beads, stool preservation  
 204 methods and level of background prevalence of STH were different in the studies or within the studies,  
 205 random effects model was considered more appropriate. The random effects model showed a summary  
 206 odd ratio of 1.66 (95% CI: 1.059 to 2.209) (Table 1, Figure 3). None of the individual sub-studies  
 207 showed a significant effect of bead-beating while the random effects model showed significant effect.  
 208 This could be due to small sample sizes in the individual studies. None of the small sub-studies showed  
 209 a negative effect of bead-beating. Thus, it can be safely concluded that the significant effect in the  
 210 meta-analysis is due to synergistic effect all the sub-studies whose results directed in one direction.  
 211 When all the FEC zero and positive samples in Ayana et al were combined as one and reanalyzed  
 212 (sample sizes of 36 in BaT\_K and S\_K each) in the meta-analysis (data not shown), comparable  
 213 significant random effects model results (summary OR of 1.665) was obtained.

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215 Table 1: Random effects model summary odds ratio

Study	Intervention	Controls	OR	95% CI	z	P	Weight (%)	
							Fixed	Random
Ayana 2019 (Zero_FEC_BaT_K)	13/15	11/15	2.364	0.361 to 15.455			5.73	5.73

Ayana 2019 (Zero_FEC_S_K)	11/15	10/15	1.375	0.286 to 6.603		8.21	8.21	
Ayana 2019 (Pos_FEC_BaT_K)	21/21	20/21	3.146	0.121 to 81.744		1.9	1.9	
Ayana 2019 (Pos_FEC_S_K)	19/21	16/21	2.969	0.506 to 17.422		6.45	6.45	
Kaisar 2017 (Frozen_samp)	31/60	24/60	1.603	0.778 to 3.305		38.63	38.63	
Kaisar 2017 (Ethanol_samp)	33/60	27/60	1.494	0.728 to 3.067		39.07	39.07	
Total (fixed effects)	128/192	108/192	1.669	1.067 to 2.611	2.246	0.025	100	100
<b>Total (random effects)</b>	<b>128/192</b>	<b>108/192</b>	<b>1.66</b>	<b>1.059 to 2.602</b>	<b>2.209</b>	<b>0.027</b>	<b>100</b>	<b>100</b>

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220 **Biochemical studies of helminth eggs:** The eggs of nematodes have been known to be to resist various  
 221 environmental stresses and chemical. The egg of *Ascaris* alone has shown to remain viable against  
 222 various acids, alkali and digestive enzymes (21–23) . This extreme resilience is hypothesized to be  
 223 due to the eggshell, which has to be strong enough to protect the embryo inside (24) . Nematodes

224 eggshells, including those of Hookworm, *Trichuris* and *Ascaris*, in general are made up of 3 layers:  
225 outer vitelline layer, middle chitinous layer and inner lipid layer. The middle chitinous layer is a  
226 composite layer composed of chitin microfibrils surrounded by protein matrix, which is said to make  
227 the egg resistant to mechanical damage (25–27) . The inner lipid layer is impermeable to most  
228 chemical (28,29) and maybe a reason for the difficulty in DNA extraction using chemical process. In  
229 many nematodes, including *Ascaris*, it consist of ascarosides esters which could be a reason for the  
230 impermeability (30,31) , and is said to be responsible for resistance against chemical actions (32) .

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### 233 **Discussion:**

234 Increasing number of STH studies are using bead-beating steps in the DNA extraction method. While  
235 the possible need of this step have been raised earlier (7,8) , very few studies have actually  
236 reported the significance of this addition. Though *Trichuris* is considered to be the only STH that  
237 require the bead-beating, both Ayana et al (10) and Kaisar et al (19) have found that this could also  
238 be also required for hookworms and *Ascaris* respectively . They also reported that the blood and  
239 tissue kit gave better result than the stool kit. It may be because stool kits are usually designed for  
240 isolation of bacterial DNA in the stools (33) and may not be appropriate for helminth eggs . The sizes  
241 of helminth eggs for hookworm, *Trichuris* and *Ascaris* are comparable and the biochemical structures  
242 of the egg shells are similar too. While the hookworm eggs are known to be fragile and can rupture  
243 within hours of stool collection at room temperature, the *Trichuris* and *Ascaris* eggs remain in soil for  
244 longer durations. Present review which includes a meta-analysis shows that inclusion of bead beating  
245 makes significant impact on the DNA extraction of *Trichuris* DNA and recommends the use of this  
246 techniques.

247 Limitations: Only two published studies qualified for analysis due to paucity of systematic comparative  
248 studies. We divided the two studies into total of 6 sub-studies. It was not possible to keep the sub-

249 studies combined as the methods of stool preserved, kits used and the cohort of patients studied, if  
250 combined, could affect the outcome concluded. Another limitation could be non-inclusion of the  
251 cycle threshold data for the PCR which could differentiate the slight changes in the yield of *Trichuris*  
252 DNA extracted using various interventions during DNA extraction. Both of the study did not report the  
253 limit of detection of the qPCR. Another limitation of the study was the inherent heterogeneity in study  
254 populations. It has been reported that the qPCR positivity or sensitivity may vary according to intensity  
255 of infection in the study population (34) . Thus different study populations differing by background  
256 helminth prevalence may give different sensitivities to same qPCR technique and thus bias the analysis.  
257 **Conclusions:** More systematic studies assessing the effect of bead-beating on DNA extraction of  
258 *Trichuris* is recommended. As reported by the two studies above, preliminary optimization of the best  
259 bead types and/or sizes should also be carried so the impact is clearly concluded. Uniformity in the type  
260 of beads, beating conditions, DNA extraction method, primers, kits, PCR conditions, etc. can help in  
261 comparative studies from different parts of the world.

262 **Supplementary materials:** quadas2 tool kit Rana Pokhrel

263 **Conflicts of interest/Competing interests:** None

264 **Ethics approval:** Not applicable

265 **Consent to participate:** Not applicable

266 **Consent for publication:** All authors agree for publication

267 **Author contributions:** DRSJBR was involved in the conceptualization of the study. DRSJBR and  
268 NP carried data curation. DRSJBR did formal data analysis. Both authors wrote original draft  
269 preparation and reviewing and editing..

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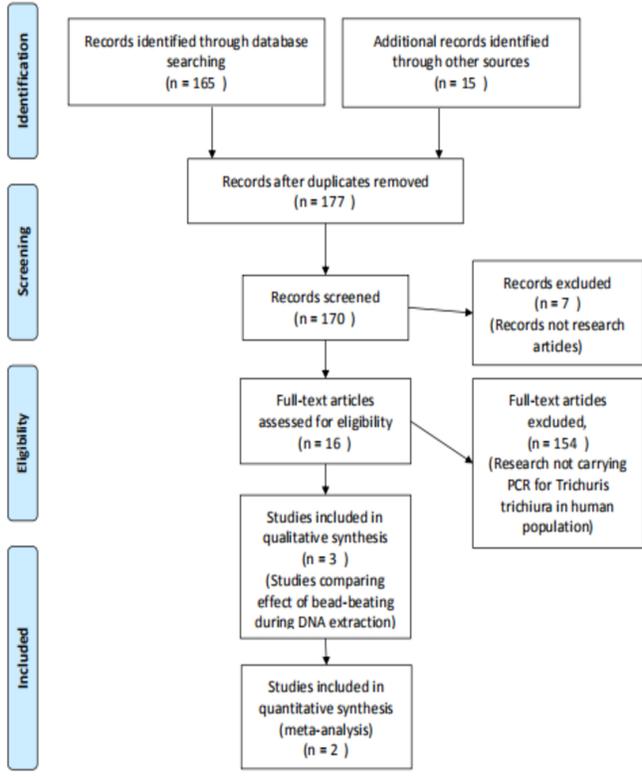
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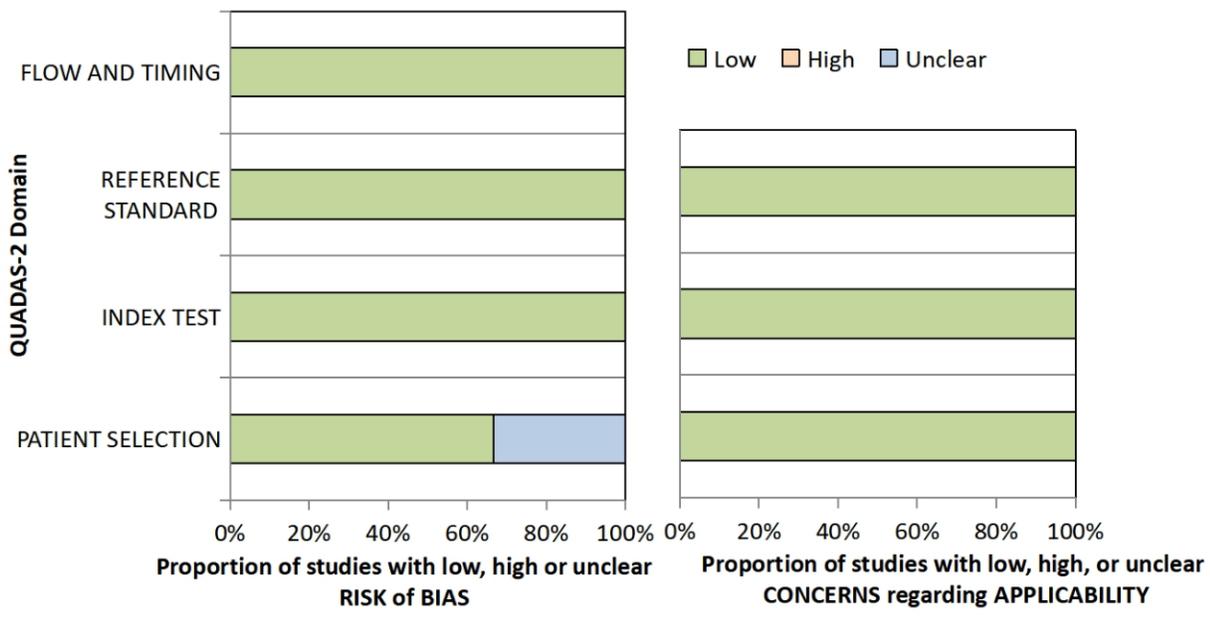
373 Figure 1: PRISMA work-flow for selection of studies



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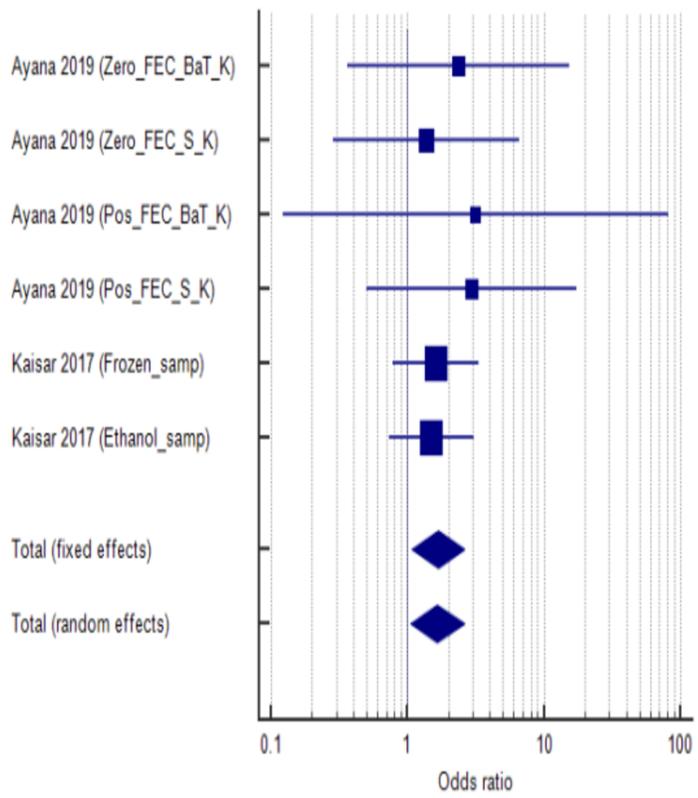
376 Figure 2: Risk of bias and applicability of included studies



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379 Figure 3: Forest plot for summary odds ratio



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