

1 Freshwater Supply to Metropolitan Shanghai: Issues of Quality from 2 Source to Consumers

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21

22 Abstract

23 Shanghai is experiencing water supply problems caused by heavy pollution of its
24 raw water supply, deficiencies in its treatment processes and water quality
25 deterioration in the distribution system. However, little attention has been paid these
26 problems of water quality in raw water, water treatment and household drinking
27 water. Based on water quality data we show that the raw water sources of the
28 Huangpu River and the Changjiang (Yangtze River) estuary are polluted by microbes
29 (TBC), eutrophication (TP, TN and NH³-N), heavy metals (Fe, Mn and Hg) and
30 organic contamination (chemical oxygen demand [COD], detergent and volatile
31 phenols [VP]). The average concentrations of these contaminants in the Huangpu
32 River are almost double that of the Changjiang estuary forcing a rapid shift to the
33 Changjiang estuary for raw water. In spite of filtering and treatment, TN, NH³-N, Fe,
34 COD and chlorine maxima of the treated water and drinking water still exceed the
35 Chinese National Standard (GB5749). We determine that the relevant threats from
36 water source to household water in Shanghai are: 1) eutrophication arising from
37 highly concentrated TN, TP, COD and algal density in the raw water; 2) increasing
38 salinity in the river estuary, especially at the Qingcaosha Reservoir (currently the
39 major freshwater source for Shanghai); 3) more than 50% of organic constituents and
40 by-products remain in treated water; 4) bacteria and turbidity increase in the course of
41 water delivery to users. The analysis presents a holistic assessment of the water
42 quality threats to metropolitan Shanghai in relation to the city's rapid development.

43

44 **Keywords:** Shanghai, water quality, eutrophication, conventional water treatment,
45 secondary water pollution.

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47

48 **1 Introduction**

49 There is no substitute for freshwater and it plays an essential role in sustaining
50 societies and supporting and enriching the culture (Covich et al., 2004; Jackson et al.,
51 2001). Pollution from domestic, industrial and agricultural activities have led to the
52 deterioration of water quality and exacerbated the problems of the availability of good
53 quality water, especially in downstream coastal urban areas and in water-scarce areas
54 (Liu and Diamond, 2005; Vörösmarty et al., 2010). Water contamination is usually
55 categorized by different countries or regions depending on the purpose for which it is
56 used, such as domestic consumption, industrial use, and environmental systems
57 (Johnson et al., 1997). In China, the quality of surface freshwater is assessed based on
58 29 contaminants and then classified in five grades (I-V): grades I-III are suitable to be
59 treated for drinking water, IV can be used by industry, and V is only suitable for
60 agricultural or environmental use (GB3838, 2002). Water that fails to reach even the
61 quality required for category V is classed as not being suitable for any functional use.

62 However, in 2012, 32.3% of all China's freshwater sources (river and lake) were
63 categorized as worse than grade III and could not be used for human consumption
64 (MEPC, 2016). In addition, more than 70% of the river reaches in urban areas had
65 water quality of grade IV or worse (MEPC, 2016). As a consequence, 300 cities
66 (45.3% of all China's cities) had severe water shortages due to pollution, and had to
67 build large and complex systems to purify polluted water (Jiang, 2009), consisting of
68 three subsystems: raw water plants, water treatment plants and drinking water
69 equipment (World Water Assessment Program, 2009).

70 Shanghai residents recognise that the piped water supply is substandard. In a
71 survey based on a stratified random sampling procedure conducted in 2013 by the
72 University of Melbourne and East China Normal University, only three of the 4,967
73 respondents said that they would drink the water directly from the public supply
74 system. Residents clearly do not trust the water treatment system and boil the water
75 before use or use commercially available bottled water (Zhen, 2017)

76 While Shanghai has access to an abundant supply of water, its use is limited as
77 much of the local surface water is grade V or worse (Finlayson et al., 2013).
78 Shanghai has a large water treatment and supply system to meet the freshwater
79 demands of its 24 million inhabitants (Figure 1B). More importantly, Shanghai is one
80 of the most developed cities in China, and while it has abundant primary water quality
81 data recorded at raw water plants and treatment plants, timely access to this data is
82 restricted. In this paper, on the basis of the data available to us, we attempt a holistic
83 assessment of water quality and supply in metropolitan Shanghai. We identify the
84 key issues and risks to water quality from raw water sources to household drinking
85 water. From this, we discuss the potential for improving drinking water quality and
86 provide a prototype reference for better management of raw water sources and supply
87 for the megacity of Shanghai.

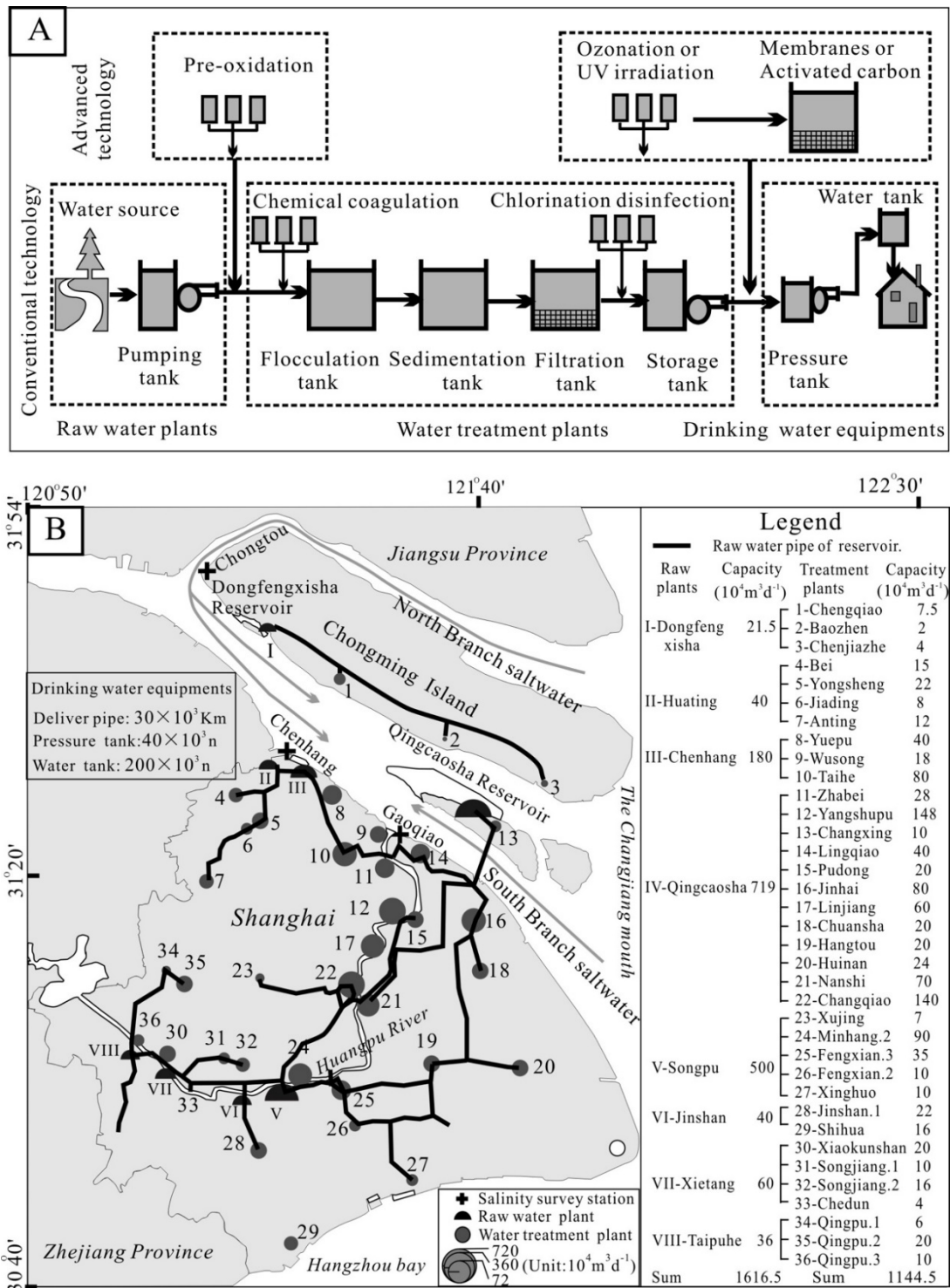
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89 **2. Shanghai Water Supply System**

90 Shanghai, a city of 24 million, the largest in China, covers 6,340.5 square kilometres
91 and is situated on a peninsula on the east coast of China between Hangzhou Bay and

92 the Changjiang Estuary (Figure 1B). The water supply system of Shanghai consists of
93 three sub-systems: raw water plants, water treatment plants and drinking water
94 equipment installed at point of use (Figure 1A). In 2013, the raw water subsystem
95 contained 8 municipal raw water plants (I-VIII, Figure 1B) with a total daily capacity
96 of $16.17 \times 10^6 \text{ m}^3$; the water treatment subsystem included 36 public waterworks (1-36,
97 Figure 1B) with a daily capacity of $11.45 \times 10^6 \text{ m}^3$; and the drinking water subsystem
98 consisted of a $30 \times 10^3 \text{ km}$ pipe network, 40×10^3 pressure tanks and 200×10^3 building
99 water tanks (Figure 1B). There are 8 water intake pumps: 4 (Songpu, Jinshan Xietang
100 and Taipuhe) with a total capacity of $6.36 \times 10^6 \text{ m}^3 \text{ d}^{-1}$ (39.3% of the total withdrawal
101 capacity) are located on the upper Huangpu River, and 4 (Qingcaosha, Chenhang,
102 Huating and Dongfengxisha) with a total capacity of $9.81 \times 10^6 \text{ m}^3 \text{ d}^{-1}$ (60.7% of the
103 total withdrawal capacity) are located on the Changjiang Estuary (Figure 1B).

104 The water treatment plants are located in 4 zones on the basis of different raw water
105 sources. The Dongfengxisha zone, located on Chongming Island, consisting of 3
106 urban public water plants (1 to 3, Figure 1B) with a total capacity of only 0.14×10^6
107 $\text{m}^3 \text{ d}^{-1}$ (1.2% of the total supply capacity of Shanghai) sources its raw water from
108 Dongfengxisha Reservoir (Figure 1B); the Chenhang zone consisting of 7 urban
109 public water plants (4 to 10, Figure 1B) with a total capacity of $1.95 \times 10^6 \text{ m}^3 \text{ d}^{-1}$
110 (13.8% of the total supply capacity of Shanghai) sources its freshwater from the
111 Chenhang and Huating reservoirs sited in the upper Changjiang estuary (Figure 1B);
112 the Qingcaosha zone consisting of 12 urban public water plants (11 to 22, Figure 1B)
113 with a total capacity of $6.60 \times 10^6 \text{ m}^3 \text{ d}^{-1}$ (57.6% of the total supply capacity) sources its



114

115 **Figure 1.** A) Flow chart of the water supply system in Shanghai, B) the distribution of raw
 116 water plants, water treatment plants and water delivery pipes in Shanghai in 2013, and the
 117 sites where water quality and threat factor data were collected.

118

119 freshwater from the Qingcaosha Reservoir on the Changjiang Estuary (Figure 1B); the
120 Huangpu River zone consisting of 14 urban public water plants (23 to 36, Figure 1B)
121 with a total capacity of $2.76 \times 10^6 \text{ m}^3 \text{ d}^{-1}$ (19.5% of the total supply capacity) sources
122 raw water from Songpu, Jinshan, Xietang and Taipuhe plants, all sited on the
123 Huangpu River (Figure 1B).

124

125 **3. Data Sources and Methods**

126 The location and capacity of raw water plants, water treatment plants and the length
127 of water supply pipes, numbers of pressure tanks and building tanks, were all
128 extracted from local government documents and the online database of the Office of
129 Shanghai Local Chronicles (OSLC, 2012; SWA, 2012).

130 The Chinese national water quality standards (GB3838, 2002; GB5749, 2006)
131 cover 29 contaminants of raw water and 106 contaminants of treated and drinking
132 water. 12 priority contaminants of raw water, and 8 priority contaminants of treated
133 and drinking water were selected on the basis of their severity rating and the health
134 risks as given by the World Health Organisation (WHO, 2010). The 12 priority
135 contaminants of raw water consist of a microbial measure (total bacteria count; TBC)
136 and 11 chemical components: total phosphorus (TP), total nitrogen (TN), ammonia
137 nitrogen ($\text{NH}^3\text{-N}$), iron (Fe), manganese (Mn), chemical oxygen demand (COD),
138 dissolve oxygen (DO), mineral oil (MO), detergent, volatile phenols (VP) and
139 mercury (Hg). In addition to TBC, $\text{NH}^3\text{-N}$, Fe, Mn, COD, the priority contaminants of
140 treated and drinking water further include 2 acceptability aspects (chromaticity and

141 turbidity) and 1 by-product (chlorine) (Figure 2). The details of the data sources are
142 given in Table 1. All priority water contaminants are presented in Figure 2.

143 For threat factors in raw water, we selected 4 eutrophic factors, TN, TP, COD and
144 algal density, to assess the level of threats of raw water because they serve as essential
145 constituents that drive up other microbial and chemical aspects (Conley et al., 2009;
146 Smith and Schindler, 2009; WHO, 2011). Salinity data from three hydrological
147 stations in the Changjiang estuary (Gaoqiao, Chenhang and Chongtou, Figure 1) is
148 also presented, because reservoirs in the estuary suffer events of saltwater intrusion
149 when salinity exceeds 0.45 psu (practical salinity units) and is not suitable for
150 treatment (Li et al., 2015, Webber et al., 2015). The data sources for eutrophication
151 and salinity of raw water are detailed in Table 1.

152 Removal rates (%) of fourteen contaminants (chromaticity and turbidity, TBC, TP,
153 TN, NH³-N, Fe, Mn, COD, detergent, VP, chlorine, chloroform, carbon tetrachloride
154 (CCl₄)) were selected from local water plants to assess the quality of treated water
155 (Table 1). For assessing the quality of water delivered for drinking and household use,
156 4 contaminants (chlorine, TBC, COD and turbidity) were collected from two papers,
157 Gao et al. (1998) and Zhang and Bai (2008) (Table 1).

158 By comparing all the key contaminants of raw water, treated water and drinking
159 water based on the national standards of surface water (GB3838, 2002) and drinking
160 water (GB5749, 2006), we elaborate on the risks and vulnerability of the three water
161 supply subsystems.

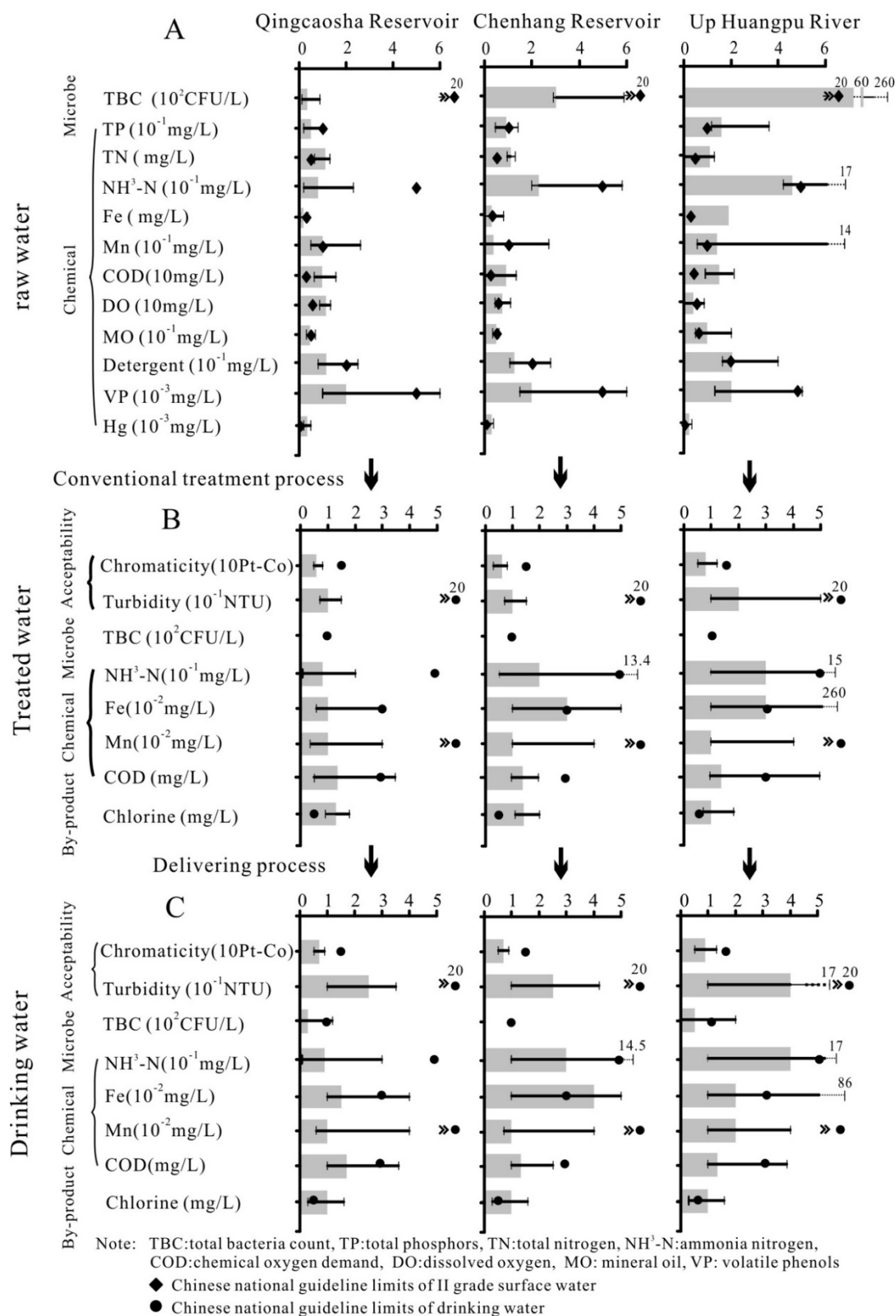
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163 4 Results

164 4.1 Variations in the quality of raw water, treated water and drinking water

165 The maximum values of the 10 priority contaminants of raw water sources of the
166 Qingcaosha Reservoir, Chenhang Reservoir and Huangpu River generally exceeded
167 the national standard for surface water (Figure 2A). Although these contaminants
168 decreased after treatment, the concentration of $\text{NH}^3\text{-N}$, Fe, COD and chlorine
169 exceeded the Chinese National Standard for drinking water (Figure 2A, 2B).
170 Furthermore, after water has been delivered to households, some contaminants, such
171 as TBC, $\text{NH}^3\text{-N}$, Fe, COD and turbidity have increased in concentration (Figure 2B,
172 2C).

173 Different water sources have a large impact on water quality. The mean values of
174 the 10 priority contaminants at the Qingcaosha Reservoir were generally lower than
175 those of the Chenhang Reservoir, and the Huangpu River has overall the poorest
176 quality water. Mean values of 7 contaminants (TBC, TP, $\text{NH}^3\text{-N}$, Fe, Mn, COD and
177 detergent) in the Huangpu River were at least double those of the Qingcaosha and
178 Chenhang Reservoirs and exceeded the national standard of grade II water (Figure
179 2A). In treated water and drinking water, the mean values of the 8 priority
180 contaminants of the Qingcaosha Reservoir were less than those of the Chenhang
181 Reservoir and the Huangpu River (Figure 2B, 2C).



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Figure 2. The quality of water from Qingcaosha Reservoir, Chenhang Reservoir and the Huangpu River as it proceeds from raw water (A) to treated water (B) and at its final delivery point (C).

186

Table 1.

Database name	Different water	Data	Water source	Station	Time	Data source			
Water quality contaminants	Raw water	10 contaminants	YRE	QR	IV	2010-2011	(Le and Zhang, 2012; Sun and Yunzhou, 2011)		
				CR	III	1992-1996	(Dong et al., 1998)		
					III	2005-2009	(Chen et al., 2010)		
				UHR	V	1991-1996	(Gao et al., 1998)		
					V	1998-2003	(Le et al., 2005)		
			V		2005-2009	(Chen et al., 2010)			
			Treated water & drinking water	13 contaminants	YRE	QR	15, 16	2010-2011	(Sun and Yunzhou, 2011)
							15, 16	2012	(Mao et al., 2013)
							15,16,17	2013-2016	(SWA, 2013-2016)
					CR	8,9,10	2003-2004	(Le et al., 2005)	
	2007	(Sun et al., 2009)							
	8,9,10	2012				(Mao et al., 2013)			
		2013-2016				(SWA, 2013-2016)			
	UHR	23,23,24				1991-1996	(Gao et al., 1998)		
		17, 22				1996-1998	(Chen et al., 2000)		
		23, 23, 24	2003-2004	(Le et al., 2005)					
	Raw water	Eutrophication	YRE	COD	III	1984-2012	(Chen et al., 2011; Dong et al., 1998; Wang et al., 2014)		
					V	1986-2016	(WRPBTB, 2000-2014)		
			YRE	Algae	III	2002-2003	(Chen et al., 2010)		
IV					2010	(Lu, 2011)			
IV					2010-2011	(Zhang, 2012)			
UHR			V	2004-2005	(Chen et al., 2010)				
Treated water			Remove rate of 17 contaminants	YRE	Chongtou	1979-2011	(Li, 2012; Mao, 1994; Mao et al., 2000; Mao et al., 1993; Tang et al., 2011; Wu, 2006; Xu and Yuan, 1994; Zhu et al., 2010)		
	Chenhang	1979-2011							
	Gaoqiao	1979-2011							
Drinking water	Deterioration of 4 contaminants	UHR	CR	8	1992-1996	(Dong et al., 1998)			
			UHR	24	1991-1996	(Gao et al., 1998)			
				24	2005	(Zhang and Bai, 2008)			
Treats factors	Drinking water	Deterioration of 4 contaminants	UHR	24	2005	(Zhang and Bai, 2008)			

Note: YRE-the Changjiang River Estuary, QR- the Qingcaosha Reservoir, CR- the Chenhang Reservoir, UHR- the Up Huangpu River; Location of station in figure 1

189 Table 1. Data sources for water quality contaminants and threat factors for raw water, treated
190 water and drinking water in Shanghai.

191

192 4.2 Variation of eutrophication in the Changjiang Estuary and the Huangpu River

193 The TN, TP and COD levels in the Huangpu River were generally at least twice

194 those of the reservoirs in the Changjiang Estuary, exceeding the national standard of

195 grade II water (Figure 3A-C). TN, TP and COD increased before the mid-1990s then

196 decreased; however, TN, TP and COD of the Changjiang Estuary have continually

197 increased since the 1980s (Figure 3A-C). Of note, algal blooms occurred in all

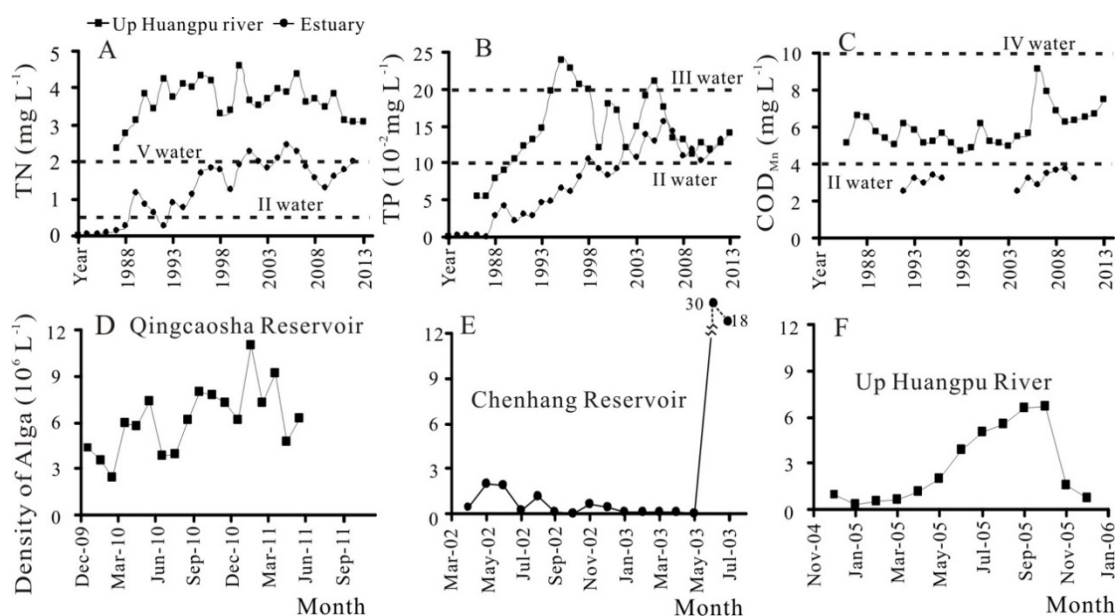
198 freshwater sources in the last decade. Algal density in the Qingcaosha Reservoir has

199 increased to $12 \times 10^6 l^{-1}$ since it began operation in December 2010 (Figure 3D).

200 Similarly, the algal density of the Chenhang Reservoir even reached $30 \times 10^6 l^{-1}$ in May

201 2003 (Figure 3E), and that of the Huangpu River increased to $6 \times 10^6 l^{-1}$ in the summer

202 of 2005 (Figure 3F).



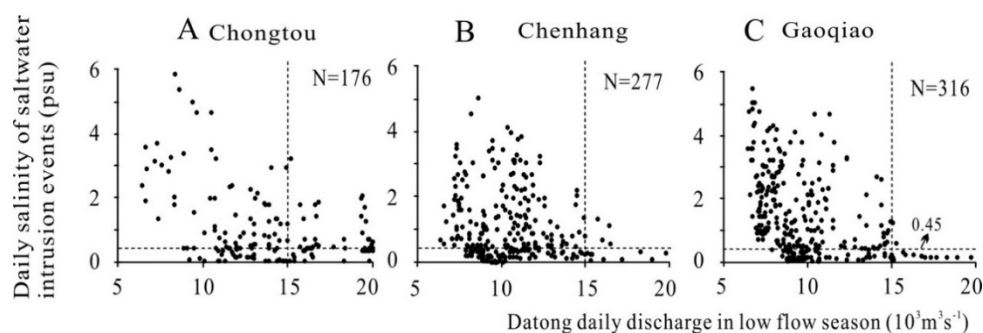
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204 **Figure 3.** Eutrophication variations in the Changjiang Estuary and the Huangpu River. A) TN
205 variations in 1984-2013; B) TP variations in 1984-2013; and C) COD variations in 1984-2013.
206 Also presented are the algal densities in the Qingcaosha Reservoir (D), the Chenhang Reservoir
207 (E), and the Huangpu River (F).

208 4.3 Salinity of saltwater intrusion events in the Changjiang Estuary

209 Salinity in the three estuarine salinity survey stations shown in Figure 4 increased
 210 as discharge fell in every saltwater intrusion event in the low flow season. The salinity
 211 generally increased from 0 to 6 psu as discharge fell from 20,000 m³s⁻¹ to 6,700 m³s⁻¹
 212 as recorded at Datong station, the lowest hydrological station on the Changjiang River
 213 (Figure 1). Increasing salinity is closely related to lower discharge, especially when it
 214 was below 15,000 m³s⁻¹ (Figure 4).

215 The maximum salinity at Chongtuo, Chenhang and Gaoqiao were 5.87 psu, 5.06
 216 psu and 5.51 psu which corresponded to discharges of 10,000 m³s⁻¹, 9600 m³s⁻¹ and
 217 6,700 m³s⁻¹, respectively (Figure 4). However, when discharge was in the range
 218 15,000-20,000 m³s⁻¹, salinity at Gaoqiao was mainly below 0.45 psu, some
 219 occurrences of higher psu occurred at Chenhang, even up to 1 psu, while frequent
 220 occurrences of salinity above 0.45 and up to 2 psu occurred at Chongtuo, even at
 221 discharges close to 20,000 m³s⁻¹ (Figure 4). We discuss this pattern in Section 5.3
 222 below.

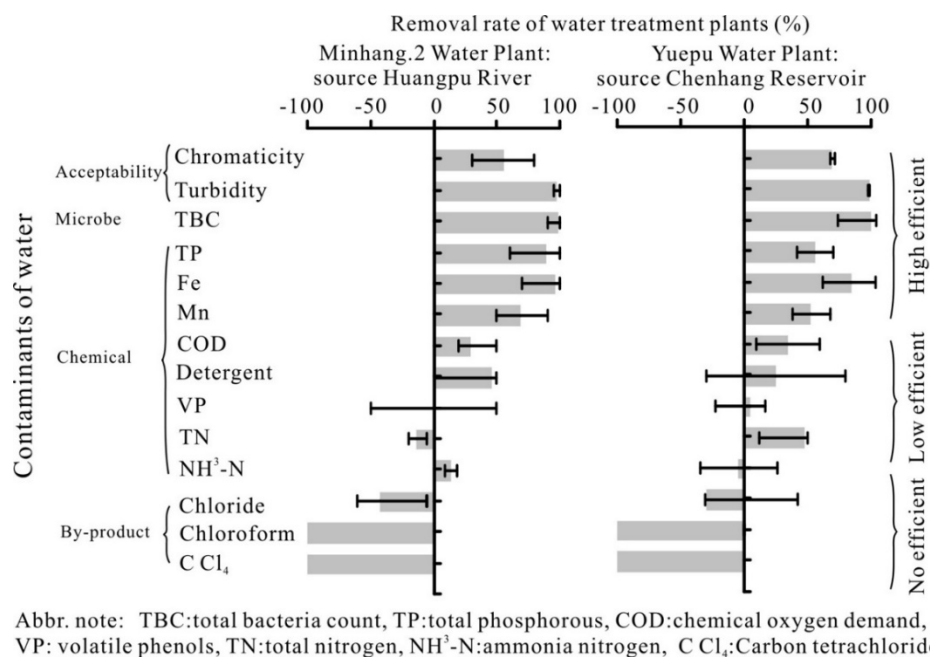


223
 224 **Figure 4.** Daily salinity levels at the salinity survey stations in relation to daily discharge (as
 225 recorded at Datong with a 7-day lag for travel time to the estuary). A) Chongtuo station, B)
 226 Chenhang station, and C) Gaoqiao station. Of note: while discharge >15,000 m³/s, saltwater
 227 intrudes mainly from the North Branch, whereas discharge <15,000 m³/s, saltwater comes from
 228 both the South and the North Branches.

229

230 4.4 Removal rates of contaminants in treated water

231 The ability of water treatment plants to remove contaminants is demonstrated here
 232 using the removal rate of two water plants, the Yuepu Water Plant, processing water
 233 from the Chenhang Reservoir on the Changjiang Estuary, and the Minghang No. 2
 234 Water Plant, sourcing water from the Huangpu River at Songpu (Figure 1, Figure 5).



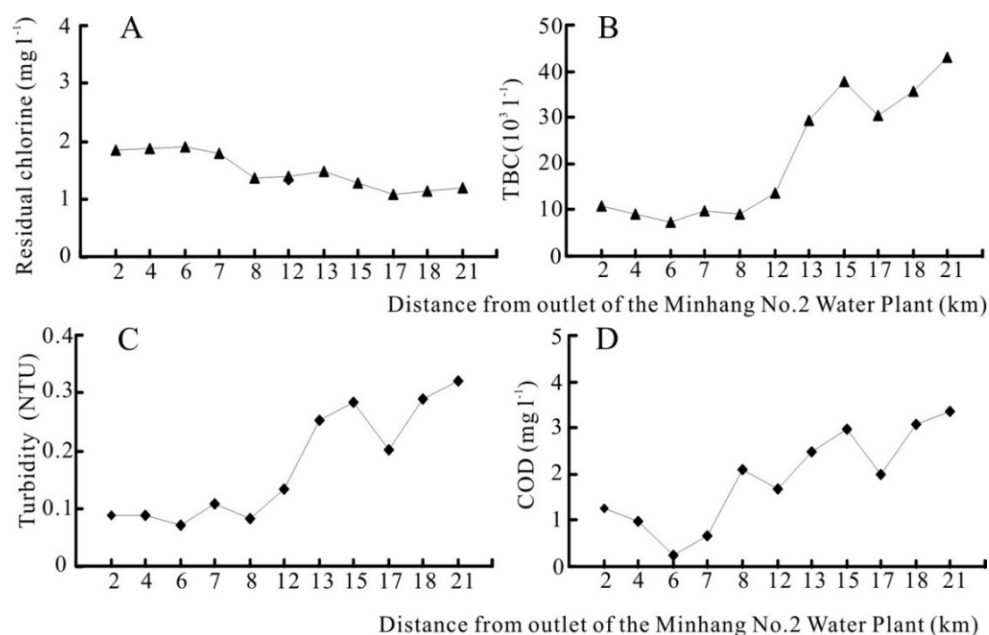
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 236 **Figure 5.** The removal rates 14 contaminants at two typical water treatment plants: A) Minhang
 237 No 2 water plant, and B) Yuepu water plant.

238 Removal rates are shown in %, and negative values indicate increase, rather than
 239 removal. The outcomes can be divided into three levels (Figure 5). The removal of
 240 chromaticity, turbidity, TBC, TP, Fe and Mn, was highly efficient with rates 50% or
 241 greater at both plants. There is low efficiency of removal of COD, detergent and VP,
 242 with removal rates ranging from 0% to 50%. The lowest efficiency of removal was for
 243 TN, chlorine, chloroform, NH₃-N and carbon tetrachloride, had removal rates ranging
 244 from 0% to -100% (Figure 5). Note that chlorine is added to provide disinfection
 245 during the treatment process and also provides ongoing protection for the water while

246 it is being distributed through the pipe network. However, if the level of Residual
 247 Chlorine exceeds 0.05 mg l^{-1} it becomes a contaminant under the Chinese standards
 248 for drinking water quality (GB5749, 2006). This occurs when the chlorine demand of
 249 the water to be treated is excessively high because of the poor quality of the raw
 250 water.

251 **4.5 Changes to chlorine, TBC, COD and turbidity levels in delivery pipes**

252 Changes to water quality are here shown in Figure 6 for water distributed by
 253 pipeline over a distance of 21 km from the Minhang No 2 Treatment Plant. Even
 254 though Chlorine decreases from 1.9 mg l^{-1} to 1.1 mg l^{-1} during delivery it still exceeds
 255 the maximum level of the Chinese national guidelines at the point of consumption
 256 (Figure 6A). TBC, COD and turbidity levels increased during delivery, with TBC
 257 increasing from $11 \times 10^3 \text{ l}^{-1}$ to $45 \times 10^3 \text{ l}^{-1}$, COD increased from 1.2 mg l^{-1} to 3.5 mg l^{-1}
 258 ¹, and turbidity increased from 0.09 NTU to 0.3 NTU (Figure 6 B,C,D).



259
 260 **Figure 6.** The deterioration of water quality during delivery via water pipeline. A) decreasing
 261 residual chlorine, B) increasing bacteria, C) increasing chemical oxygen demand, and D)
 262 increasing turbidity.

263

264 **5 Discussion**

265 **5.1 Water quality: pollutants in raw water**

266 The maximum values of 12 major contaminants at all raw water sources generally
267 exceeded the Chinese National Standard of grade II water and therefore indicate that
268 all of the freshwater sources for Shanghai do not meet the national standard (Figure
269 2A). The quality of the raw water has been greatly improved by conventional water
270 treatment, in terms of meeting the national standards (GB5749, 2006) (Figure 2A,
271 2B). However, the maxima of TN, NH³-N, Fe, COD and Chlorine in treated water and
272 drinking water still exceeded the national standards (GB5749, 2006) (Figure 2B). This
273 indicates that the conventional treatment technology of the current water plants is
274 inadequate in Shanghai. Furthermore, the quality of the treated water is being
275 degraded during delivery through the pipe network due to the poor quality of the
276 distribution system. (Figure 2B, 2C).

277 Water quality at the Qingcaosha and Chenhang Reservoirs was better than that of
278 the Huangpu sources (Figure 2A). The Changjiang Estuary, as a freshwater source, is
279 far better than the Huangpu River. This is also confirmed by comparing the levels of 8
280 contaminants in treated water and drinking water (Figure 2B, C). The values of many
281 contaminants in the Huangpu River were 3 times higher than in the Qingcaosha
282 Reservoir. This suggests that the Huangpu River is really no longer suitable to be a
283 source of raw water (Figure 2), and explains why the Shanghai government has been

284 progressively shifting to sourcing its raw water from the Changjiang Estuary
285 (Finlayson et al., 2013).

286 **5.2 Eutrophication threats to raw water**

287 Eutrophication is known as one of the key threats to water quality globally.
288 Nitrogen and phosphorus are the two essential factors driving eutrophication (Conley
289 et al., 2009; Smith and Schindler, 2009). Increasing concentrations of TN, TP, and
290 COD in the Changjiang Estuary and the Huangpu River indicate that the freshwater
291 resources of Shanghai have remained at a high level of eutrophication since the 1980s
292 when the economic boom began (Figure 3A-C)(Li et al., 2007; Xu et al., 2013). While
293 TN and TP levels continue to be high in the Huangpu River raw water, they have
294 actually declined since the mid 1990s while they continue a steady overall increase in
295 the Changjiang Estuary (Figure 3A,B). Given the shift towards sourcing water from
296 the estuary, these trends are of some concern. Xu et al. (2013) pointed out that the
297 increasing levels of dissolved inorganic nitrogen (DIN) can be attributed to increasing
298 levels of untreated sewage being disposed of in the Changjiang. Their predictions of
299 future DIN concentrations indicate that concentrations would range from 2.2–3.0 mg
300 l⁻¹ over the period 2020–2050. This far exceeds the 2.0 mg l⁻¹ maximum defined by
301 the national standards and indicates the need for greatly improved sewage treatment
302 practices in the Changjiang catchment.

303 As the levels of TN and TP increase, algal blooms have occurred in all Shanghai
304 raw water sources (Figure 3D, E, F). Generally, blue-green algae (*cyanobacteria*) is
305 dominant (Heisler et al., 2008; Smith et al., 2006), especially in the lakes in the

306 Changjiang delta, such as Taihu, the source of the Huangpu River (Ye et al., 2011).
307 Blue-green algae not only metabolically produce toxins such as microcystin and
308 anatoxin-a which can damage the liver, intestines and nervous system if ingested
309 (Cheung et al., 2013), but also metabolically produce taste and odour components
310 such as geosmin and methylisoborneol that affect drinking water (Merel et al., 2013).
311 Furthermore, algal blooms also produce many pathogenic bacteria and cause many
312 water-related diseases (Smith et al., 2006). However, little has been published about
313 the algal bloom hazards of the Changjiang estuarine reservoirs. The Qingcaosha
314 Reservoir has a water surface area of 70 km², a mean depth of only 6.2 m and a long-
315 term storage time of 68 days. Such conditions readily initiate algal blooms in the high
316 temperatures of the summer and autumn seasons, and the maximum algal density has
317 reached up to $12 \times 10^6 \text{ l}^{-1}$ (Figure 3D). Although the maximum microcystin
318 concentration (0.55 µg/l) did not exceed the maximum specified by the WHO drinking
319 water standard (1 µg/l), the extreme concentration of methylisoborneol (18 ng/l) has
320 exceeded the threshold for odour (10 ng/l) and caused taste issues in drinking water
321 (Lu, 2011). Therefore, algal blooms have become a severe potential threat to the
322 safety of Shanghai's estuarine raw water reservoirs.

323 ***5.3 Salinity threat to estuarine raw water***

324 Salinity is a limiting factor in estuarine freshwater availability as the water cannot
325 be used in the treatment plants with salinity > 0.45 psu (Hong and Shen, 2012; Huang
326 and Foo, 2002; Shen et al., 2003). The salinity variations of the three stations shown
327 in Figure 4 clearly illustrate saltwater intrusions in relation to discharge. While

328 discharge remains below $15,000 \text{ m}^3\text{s}^{-1}$, the maximum salinity in downstream sequence
329 of 5.87 psu at Chongtuo > 5.00 psu at Chenhang < 5.51 psu at Gaoqiao (Figure 4)
330 shows the salinity at the three reservoirs resulted not only from the saltwater intrusion
331 coming up the South Branch of the main estuary but also from the North Branch
332 around the upstream end of Chongming Island, as shown by the black arrows on the
333 estuary in Figure 1B (Shen et al., 2003; Xue et al., 2009). While discharge remained
334 between $15,000\text{-}20,000 \text{ m}^3\text{s}^{-1}$, the salinity gradually decreased from upstream
335 Chongtuo downstream to Chenhang and Gaoqiao (Figure 4). This indicates that the
336 salinity of the three reservoirs mainly results from the saltwater intrusion coming
337 around the North Branch (Mao et al., 2000; Shen et al., 2003; Xue et al., 2009). The
338 North Branch saltwater intrusion causes the Dongfengxisha Reservoir and the
339 Chenhang Reservoir (15% of the total water supply capacity) to be unable to supply
340 raw water to Shanghai for most of the dry season (about 126 days), when daily
341 discharge is less than $20,000 \text{ m}^3\text{s}^{-1}$ (Li et al., 2015).

342 The Qingcaosha Reservoir (IV in Figure 1) now supplies 57.6% of the total water
343 supply to Shanghai and it draws on flow with the same salinity pattern as shown in
344 Figure 4 for the Gaoqiao salinity survey station with very high values especially
345 during the extreme low flow season. There is a probability of an increase in periods of
346 extreme low discharge as about $2000 \text{ m}^3\text{s}^{-1}$ will potentially be diverted for other cities
347 along the mid-lower Changjiang in the next 50 years, water is now being diverted into
348 the east branch of the south to north water transfer scheme, while local sea level rise
349 in the river estuary of 2 mm y^{-1} will also increase the frequency and severity of saline

350 intrusions (Chen et al., 2001; Zhang et al., 2012). Given this, at Qingcaosha by 2040
351 maximum salinity could reach 9 psu and the duration of saltwater intrusions reach 124
352 days (Li et al., 2015). This is would mean that the capacity of the Qingcaosha
353 Reservoir would be inadequate as it can store only 68 days of raw water supply for
354 Shanghai.

355 ***5.4 Inadequacy of the present water treatment process***

356 The high removal rates demonstrate that the present water treatment technologies
357 are adequate for removing inorganic and biological contaminants. However the low
358 removal rates indicate inadequate technology for removing organic and integrated
359 contaminants such as COD, NH³-N, detergent, and volatile phenols (Figure 5). The
360 concentrations of COD and NH³-N in raw water sources indicates that all Shanghai
361 freshwater sources are polluted by organic materials and domestic sewage (Figure 2).
362 The conventional water treatment processes produce many disinfection by-products
363 such as chlorine, chloroform and carbon tetrachloride (Figure 5). The high
364 concentrations of COD, NH³-N and by-products in drinking water indicate that
365 Shanghai is facing threats from organic and domestic contaminants. This is also a
366 global issue as freshwater becomes more polluted by anthropogenic organic
367 contaminants and disinfection by-products (Heisler et al., 2008; Smith et al., 2006).

368 The conventional water treatment in Shanghai generally consists of retention-based
369 treatments (chemical coagulation, flocculation and filtration) and degradation-based
370 treatment (chlorination disinfection) (Figure 1A). The inefficiency of removal of COD
371 is due to the present conventional retention-degradation technologies that are unable

372 to eliminate the micro and lower molecular weight organics (Dong et al., 2001; Le et
373 al., 2005). It is noted that many advanced retention-based technologies such as
374 activated carbon, membranes and advanced UV irradiation and ozonation have been
375 applied in many developed countries (Chong et al., 2010; Lee et al., 1988; WHO,
376 2011) to remove micro organics and eliminate the disinfection by-products (Li et al.,
377 2002; WHO, 2010). Currently there are only two water treatment plants in Shanghai
378 that have experimented with advanced water treatment technologies. It is therefore
379 urgent that a long-term strategy for the improvement of Shanghai water treatment
380 processes needs to be developed.

381 In addition to these problems with standards of treatment, it is also the case that
382 Shanghai has a deficiency in treatment capacity that will become more severe as its
383 population continues to grow. Li et al. (2017) constructed three scenarios of future
384 water needs in Shanghai based on predictions of GDP and population growth to 2050
385 and showed that water treatment capacity will need to increase by between 35% and
386 83% beyond present levels.

387

388 ***5.5 Deterioration of drinking water***

389 The decrease in chlorine levels along water delivery pipes reduces its disinfection
390 capability, while the increase in total bacterial count, COD and turbidity along the
391 pipes demonstrates the deterioration of drinking water quality during delivery (Figure
392 6). As the disinfection capability declines there is a consistent rise in total bacterial

393 count, COD and turbidity (Figure 6). Deterioration of drinking water quality would
394 generally cause an increase in microbial and chemical contamination (Lee, 2013).

395 Bacteria counts increase along the pipe not only because the existing nitrogen and
396 phosphorus removal rates in the treatment plants are below 90%, but also in relation
397 to the decrease in chlorine disinfection (Figures 5, 6). Bacterial growth breeds worms
398 such as red worm (*limnodrilus hoffmeisteri*) in drinking water, which is one of the
399 most severe problems (Sun et al., 2009). The bacterial increase also causes COD to
400 increase along the pipe (Figure 6), and further develops taste and odour components
401 such as geosmin and methylisoborneol which make drinking water smell bad (Merel
402 et al., 2013). In order to prevent bacterial and odour deterioration, excessive chlorine
403 is added to drinking water which explains the obvious smell of disinfection in
404 household drinking water in Shanghai. Better treatment and higher quality raw water
405 would reduce the need for such high chlorine levels.

406 The turbidity increase along the pipe is partly caused by increased bacteria but is
407 mostly sourced from the corrosion and erosion of concrete and cast-iron pipes and
408 tanks that accounts for 60% of all water supply pipes and tanks in Shanghai.
409 Replacing the ageing concrete and cast-iron pipes and tanks by new steel or plastic
410 composites could gradually improve the household drinking water quality.

411

412 **6 Conclusions**

413 Water quality for supply to urban areas is determined in terms of common
414 standards of water constituents that ensure the water is safe for human consumption.

415 The water supply process for most cities consists of three stages: raw water, treated
416 water and drinking water designed to eliminate pollutants. In this study we have dealt
417 with these three stages and the nature of contamination at each stage.

418 Many of the contaminants reported here have concentrations in the Huangpu River
419 raw water double that of water from the Changjiang Estuary. This has caused the
420 Shanghai government to reduce its historic dependence on the Huangpu River for raw
421 water and to shift its raw water intake to the Changjiang estuary in order to meet the
422 clean water demands of the metropolitan population of 24 million. However, the
423 Changjiang Estuary, like the Huangpu River, also has water quality problems with
424 eutrophication, organic pollution and algal blooms. Furthermore, saltwater,
425 particularly that entering the estuary via the North Branch around Chongming Island,
426 threatens the ability of the estuarine reservoirs to supply water suitable for treatment
427 while the Changjiang discharge is in the range 15,000-20,000 m³/s, and saltwater in
428 the South Branch would exacerbate salinity while discharge is below 15,000 m³/s,
429 especially for the Qingcaosha reservoir that supplies 56.7% of Shanghai's raw water.

430 Large amounts of inorganic and biological contaminants are removed efficiently by
431 water treatment plants. However more than 50% of organic and domestic
432 contaminants such as COD, NH³-N and some disinfection by-products such as
433 chlorine are still retained in treated water and household drinking water. In addition,
434 bacteria or worms and increased turbidity reappear in the delivery pipes and tanks due
435 to the decrease of residual chlorine and corrosion of cast-iron and concrete pipework
436 and tanks. Therefore, Shanghai must efficiently remove organic contaminants by

437 upgrading to advanced treatment technologies such as activated carbon and ozonation
438 and prevent drinking water deterioration during delivery by renewing the old pipe and
439 tank systems. This is a challenge for Shanghai as it involves huge investment and
440 complicated construction procedures in this high density city. Not all of the problems
441 can be dealt with by the Shanghai government alone, as increasing levels of untreated
442 or poorly treated sewage are being put into the river as urbanization increasingly
443 concentrates the population within the catchment.

444

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448

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