

1 Article

2 Parametrically Amplified Low-Power MEMS 3 Capacitive Humidity Sensor

4 Rugved Likhite¹, Aishwaryadev Banerjee¹, Apratim Majumder¹, Mohit Karkhanis¹, Hanseup
5 Kim¹ and Carlos H. Mastrangelo^{1,*}

6 ¹ Department of Electrical and Computer Engineering, University of Utah, Salt Lake City, UT 84112, USA;
7 rugved.likhite@utah.edu (R.L.); aishwaryadev.banerjee@utah.edu (A.B.); apratim.majumder@utah.edu
8 (A.M.); mohit.karkhanis@utah.edu (M.K.); hanseup@ece.utah.edu (H.K.)

9 * Correspondence: carlos.mastrangelo@utah.edu (C.H.M); Tel.: +1-603-714-5099

10 **Abstract:** We present the design, fabrication, and response of a polymer-based Laterally Amplified
11 Chemo-Mechanical (LACM) humidity sensor based on mechanical leveraging and parametric
12 amplification. The device consists of a sense cantilever asymmetrically patterned with a polymer
13 and flanked by two stationary electrodes on the sides. When exposed to a humidity change, the
14 polymer swells after absorbing the analyte and causes the central cantilever to bend laterally
15 towards one side, causing a change in the measured capacitance. The device features an intrinsic
16 gain due to parametric amplification resulting in an enhanced signal-to-noise ratio (SNR). 11-fold
17 magnification in sensor response was observed via voltage biasing of the side electrodes without
18 the use of conventional electronic amplifiers. The sensor showed a repeatable and recoverable
19 capacitance change of 11% when exposed to a change in relative humidity from 25-85%. The
20 dynamic characterization of the device also revealed a response time ~1s and demonstrated a
21 competitive response with respect to a commercially available reference chip.

22 **Keywords:** humidity sensor; low-power sensors; MEMS; parametric amplification; spring
23 softening.

25 1. Introduction

26 In recent decades, miniaturized humidity sensors have been realized using various transduction
27 methods [1–7] for a wide range of applications such as improving indoor comfort in homes and
28 automobiles, humidity monitoring in semiconductor processing facilities [8], food processing
29 industries [9], medical facilities [10] and, Internet-of-Things (IoT) based frameworks [11]. The push
30 for the need for low-power chemical sensors [12–14] has been quite strong due to the growing
31 importance of IoT sensor nodes across the world.

32 The most commonly used humidity sensor for the applications mentioned above is the
33 capacitive sensor, which is used in nearly 75% of the cases [3] as it consumes near-zero DC power.
34 These devices measure the change in capacitance caused by variations in dielectric properties or
35 thickness of a sensing layer sandwiched between two parallel plates [15,16] when exposed to
36 humidity. Sensors based on measuring the deflection of a microcantilever coated with a sensing
37 polymer have also been demonstrated [17,18]. These sensors show a linear behavior, are easy to
38 batch fabricate and most importantly, consume nearly zero DC power. However, their Signal-to-
39 Noise Ratio (SNR) is usually limited by electronic noise as their sense capacitance can be small in
40 comparison to the surrounding parasitics due to their small size [19]. Amplifiers are typically used
41 in conjunction with such sensors to obtain a stable output which further adds to the total noise of the
42 system and limits the SNR.

43 The sensitivity of capacitive hygrometers can be significantly improved if these devices have an
44 intrinsic gain, thus reducing the dependence on noisy electronic amplifiers. This can be achieved with
45 mechanical leveraging and parametric amplification. An example of a mechanically leveraged
46 structure is a microcantilever device coated on one side with a sensing layer. Highly sensitive

47 microcantilever-based sensors have been reported previously for detecting gases [18,20,21], DNA
48 hybridization [22,23], and toxic chemical warfare agents [24]. In these devices, the exposure of the
49 sensing film to an analyte generates surface stress that induces bending of the free-standing cantilever
50 either due to a reduction in interfacial surface energy or swelling of the sensing layer. High signal to
51 noise ratio can be realized in such devices using parametric amplification while maintaining small
52 transducer size and low power consumption by exploiting the voltage induced lateral instability in
53 MEMS devices to magnify their displacement to capacitance sensitivity. This technique has been
54 previously reported to improve the performance of a MEMS magnetometer [19], gyroscope [25], hair-
55 flow sensor [26], and vapor sensors [27–29]. Unlike electronic amplification, parametric amplification
56 has an inherent advantage of providing higher sensitivity in MEMS sensor systems as it amplifies the
57 sensor signal, without adding any extra electronic noise to the circuit.

58 In this paper, we report the design, fabrication, and testing of a new type of low power, batch-
59 fabricatable parametrically amplified microcantilever-based humidity sensor with improved
60 sensitivity. This article expands on a proof of concept presented earlier [29]. Extensive
61 characterization of the sensor response has been presented in this article, along with dynamic
62 response testing and comparison to a commercially available sensor. Additionally, a relevant analysis
63 of the sensor output and a mathematical model describing the sensor action is also presented along
64 with a study on the sorption kinetics of the device.

65 2. Device structure and operation

66 A unit cell of the LACM sensor is shown in Figure 1a. The device consists of a suspended
67 microcantilever beam (electrode 2) asymmetrically coated on top with a sensing polymer (polyimide)
68 and flanked on the sides by two stationary electrodes (1 and 3). When the device is exposed to an
69 analyte vapor, the polyimide absorbs the gas and swells. This exerts a bending moment, $M\%RH$, on
70 the structural beam causing it to deflect to one side (Figure 1b). Unlike conventional polymer-based
71 microcantilever sensors which measure the out-of-plane deflection of the cantilever [30], the LACM
72 sensor measures the in-plane deflection of the sensing cantilever by forming two parallel plate
73 variable capacitors between the central finger and the adjacent electrodes as shown below. Our
74 devices are appropriately designed to make the out-of-plane stiffness much higher than the in-plane
75 spring constant. A planer design allows multiple unit cell structures to be ganged up in parallel to
76 increase the total output signal from the sensor (Figure 1c) while maintaining compatibility with
77 conventional CMOS processes suitable for low power, high sensitivity water vapor sensor for
78 application in IoT frameworks.

79 Furthermore, parametric amplification of the output signal is achieved by applying a symmetric
80 DC bias voltage to both the flanking electrodes (1 and 3) w.r.t the central suspended electrode (2) to
81 improve the vapor-concentration to displacement sensitivity of the device (Figure 1d). In this work,
82 the single side capacitance measurements for the device have been reported. Theoretically, the sensor
83 performance can be further improved by measuring a differential capacitance between the two sides
84 while also eliminating common mode parasitics.

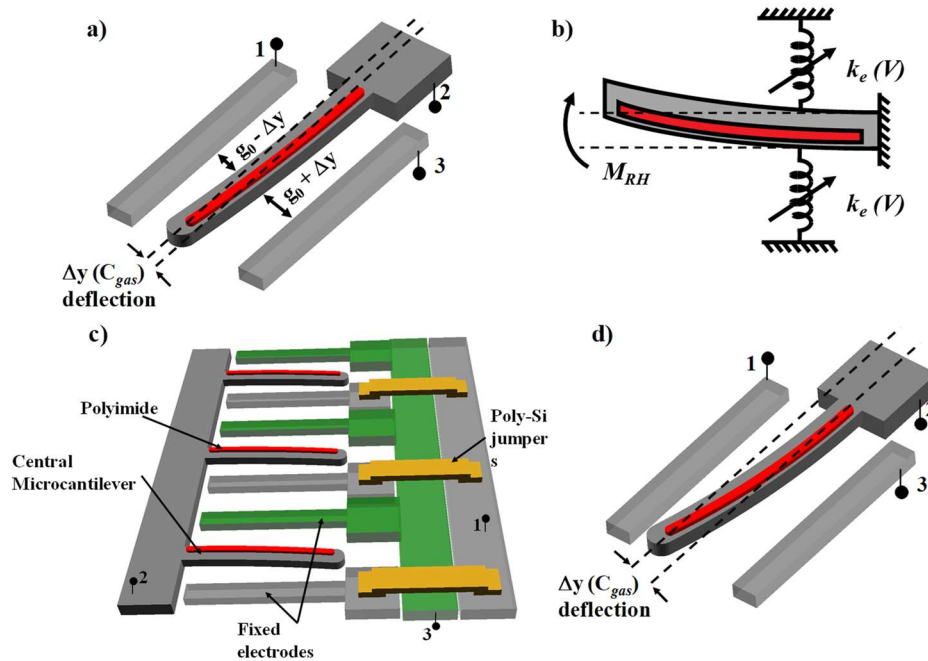


Figure 1: a) Unit cell of the LACM humidity sensor. b) Mechanical equivalent of the device when subjected to a change in relative humidity. c) Array structure of parallel LACM unit cells. d) Use of parametric amplification to magnify the deflection sensitivity of the central microcantilever.

85 2.1. Electrostatic spring softening

86 Parametric amplification induced spring softening in the mechanical domain has been
 87 extensively studied to tune the resonant frequency of MEMS structures [31–33] and produce large-
 88 amplitude deflections in microstructures [26,34]. In the LACM sensor, when a DC bias voltage is
 89 applied to the electrodes 1 & 3 w.r.t electrode 2, the non-linearity of the electrostatic forces acting on
 90 the central cantilever beams results in the reduction of the effective spring constant of the central
 91 cantilever. Mathematically, electrostatic spring softening of micromechanical systems can be
 92 observed by minimizing the total energy (U_T) function of the system,

$$93 \quad U_T = U_{EL} + U_M \quad (1)$$

94 Where U_{EL} is the electrostatic energy stored in the capacitors of the system and U_M is the
 95 mechanical energy stored in the deformed microcantilever beam. For a microcantilever beam
 96 deflecting laterally between two electrodes, as shown in Figure 1, the respective energies can be
 97 written as,

$$98 \quad U_{EL} = \frac{-\epsilon AV_b^2}{2} \left(\frac{1}{g_0 + \Delta y} + \frac{1}{g_0 - \Delta y} \right) \quad (2)$$

$$99 \quad U_M = \frac{k_o \Delta y^2}{2} \quad (3)$$

100 Where, g_0 is the initial gap between the electrodes and Δy is the deflection of the central beam
 101 due to absorption induced polymer swelling, V_b is the applied DC bias voltage, A is the overlap area
 102 of the capacitor, ϵ is the permittivity and k_o is the lateral spring constant of the central finger when
 103 no bias is applied. For $\frac{\Delta y}{g_0} \ll 1$, the total energy of the system can be written as,

$$104 \quad U_T = \frac{1}{2} k_o \Delta y^2 - \left[\frac{\epsilon AV_b^2}{g_0} \right] \left(\frac{1}{1 - \left(\frac{\Delta y}{g_0} \right)^2} \right) \quad (4)$$

$$105 \quad U_T = \frac{1}{2} k_o \left[\Delta y^2 - \left(\frac{2\epsilon AV_b^2}{k_o g_0} \right) \left(1 + \left(\frac{\Delta y}{g_0} \right)^2 - \left(\frac{\Delta y}{g_0} \right)^4 + \dots \right) \right] \quad (5)$$

$$106 \quad U_T \cong \frac{1}{2} k_o \left[1 - \left(\frac{2\epsilon AV_b^2}{k_o g_0^3} \right) \right] \Delta y^2 + h.o.t \quad (6)$$

107 $k(M)$

108 For $V_p = \sqrt{\frac{k_o g_0^3}{2\varepsilon}}$, which is the differential pull-in voltage for the structure, the effective softened
 109 spring constant $k(M)$, can be written as,

$$110 \quad k(M) = \frac{k_o}{M} \text{ where, } M = \frac{1}{\left(1 - \frac{V_b^2}{V_p^2}\right)} \quad (7)$$

111 For example, Figure 2a shows the total energy of a system with $k_o = 3.7 \text{ Nm}^{-1}$ and $V_p = 35.4\text{V}$
 112 without DC bias ($V_1 = 0\text{V}$) and, when DC voltage bias ($V_2 = 18\text{V}$ and $V_3 = 28\text{V}$) is applied to induce
 113 parametric amplification, as a function of normalized beam deflection. As the magnitude of the
 114 applied voltage bias is increased, the system becomes progressively unstable due to shallowing of
 115 the local energy minima. Since $k(M) < k_o$, the output signal is magnified by a voltage-dependent
 116 magnification factor, M , as shown in Figure 2b.

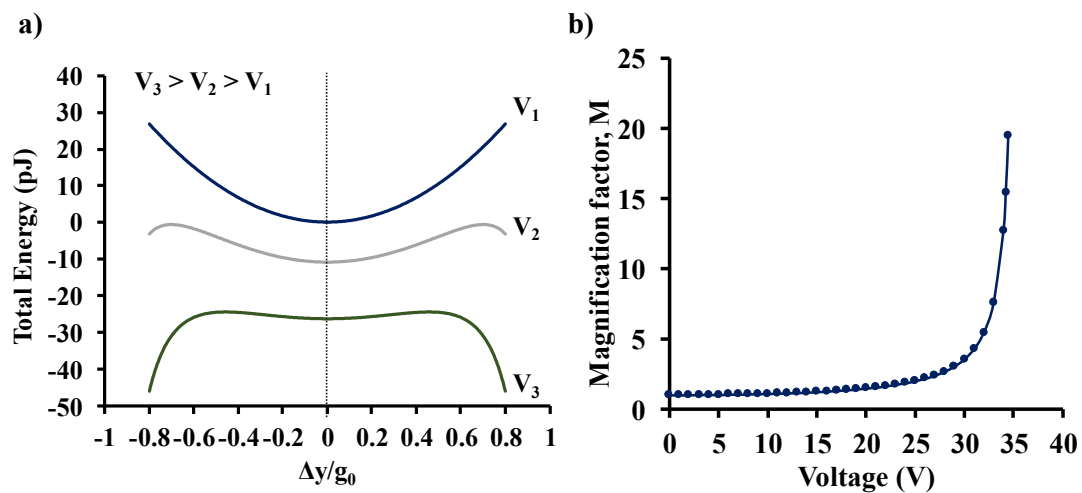


Figure 2: Schematic of the a) Total energy of the system during parametric amplification, b) Magnification factor, M as a function of the applied voltage.

117 2.2. Noise Analysis and Signal-to-Noise Ratio

118 The noise of the system originates from both the mechanical-thermal noise [35,36] of the
 119 deflecting beam and the noise of the C/V converter operational amplifiers [37]. In our device, we
 120 convert the stress caused by the RH absorption into a mechanical deflection which translates into a
 121 variable, RH dependent capacitance, mediated through the beam spring constant $k(M) = k_o/M$, where
 122 M is the bias-dependent magnification factor. We use high-gain op-amps to amplify and read the
 123 sensor capacitance [38] as illustrated in the schematic of Figure 3a below.

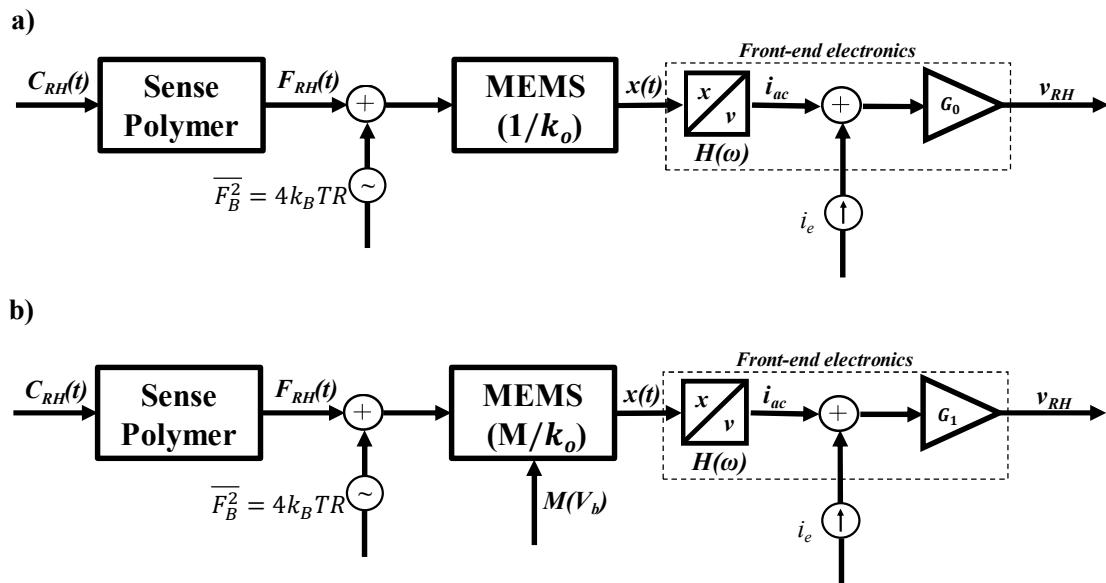


Figure 3: a) Schematic of the sensor system at zero bias with default spring constant k_o . b) With parametric amplification (near-Brownian noise limited)

124 The capacitance change indicative of the vapor concentration signal is first converted to an AC
 125 current $i_{ac} = j\omega C(RH) \cdot V_o$, where V_o is the amplitude of a sinusoidal AC voltage of angular
 126 frequency ω placed across the capacitor. The AC current is finally converted to an output voltage
 127 using a high-gain op-amp of transconductance G_o . The noise of the system is calculated by the
 128 introduction of two noise sources: (1) a mechanical noise acting on the beam with spectral density
 129 [35,39],

$$130 \quad F_B = \sqrt{4k_B TR} \quad [N/\sqrt{Hz}] \quad (8)$$

131 Which, is only dependent on the Boltzmann constant k_B , temperature T , and, viscous damping
 132 coefficient R , of the system, as described previously in a viscous damping environment (air) and, at
 133 low pressures [36]. Therefore, the noise force on the MEMS device is completely independent of the
 134 bias induced spring-softening used in the LACM sensor. (2) The electronic noise current source i_e^2 ,
 135 which is introduced at the input of the transconductance amplifier. The output noise for this system
 136 is thus

$$137 \quad \overline{v_o^2(k_o)} = G_o^2 \left(\omega^2 V_o^2 F^2 \frac{\overline{F_B^2}}{k(M)^2} + \overline{i_e^2} \right)$$

$$138 \quad = G_o^2 \left(\omega^2 V_o^2 F^2 \frac{\overline{F_B^2}}{k_o^2} + \overline{i_e^2} \right) = G_o^2 \left(H^2(\omega) \cdot \frac{\overline{F_B^2}}{k_o^2} + \overline{i_e^2} \right) \quad (9)$$

139 Where,

$$140 \quad H^2(\omega) = \omega^2 V_o^2 F^2, \quad F^2 = \left(\frac{dc}{dF_B} \right)^2 \quad (10)$$

141 And since the deterministic signal $v_{RH}^2 = H^2(\omega) \cdot \frac{F_{RH}^2}{k_o^2} \cdot G_o^2$, where F_{RH} is the equivalent RH-
 142 driven force, the signal to noise ratio is thus given by:

$$143 \quad SNR_o = \frac{F_{RH} \cdot H(\omega)}{\frac{F_B^2}{k_o^2} \cdot H^2(\omega) + i_e^2} = \frac{\left(\frac{F_{RH}}{F_B} \right)}{\sqrt{1 + \left(\frac{k_o^2}{H^2(\omega) \cdot F_B^2} \right) \cdot i_e^2}}$$

$$144 \quad = \frac{SNR_{max}}{\sqrt{1 + \left(\frac{k_o^2}{H^2(\omega) \cdot F_B^2} \right) \cdot i_e^2}} \quad (11)$$

145

146 It is evident that the SNR is independent of transconductance G_o . Eq. (11) also tells us that any
 147 electromechanical effect that lowers k_o will result in a higher SNR. In our device, this can be achieved
 148 with the bias-induced spring softening gain M , as shown in the schematic of Figure 3b such that

149

150

$$SNR(M) = \frac{SNR_{max}}{\sqrt{1 + \frac{\left(\frac{SNR_{max}}{SNR_0}\right)^2 - 1}{M^2}}} \quad (12)$$

151 The SNR is improved for $M > 1$ essentially because the spring softening effect gain is noiseless,
 152 thus moving the electronic noise closer to the amplified output.

153 *2.3. Sensor response model*

154 The LACM sensor is essentially a humidity-dependent variable parallel-plate capacitor. When
 155 the device is exposed to humidity, the sensing polymer swells after absorbing the water vapor. Since
 156 the polymer is asymmetrically patterned and constrained to the silicon cantilever beam, the swelling
 157 generates surface stress [40], which results in the bending of the cantilever beam towards one side.
 158 This results in a change in the measured capacitance of the device. The amount of cantilever bending
 159 and, therefore, the capacitance change is directly proportional to the swelled induced surface stress
 160 and inversely proportional to the effective spring constant of the device given by equation (7). This
 161 can be mathematically described by a modified form of Stoney's equation as given by Godin et al.
 162 [41].

163 For small displacements of the central cantilever beam, the normalized change in capacitance
 164 can be written as,

165

$$\frac{\Delta C}{C} = (A_o) \left[\frac{(1-\nu)l^2}{g_o E_{Si} w^2} \cdot \frac{1}{\left(1 - \frac{\nu^2}{V_p^2}\right)} \cdot \beta_p \cdot C_{RH} \right] + B_o \quad (13)$$

166 Where, ν = Poisson's ratio of Silicon, l = length of central cantilever beam, g_o = initial air-gap
 167 between electrodes, E_{Si} = Young's Modulus of Silicon, w = width of central cantilever beam, V =
 168 applied voltage bias to induce spring softening, V_p = differential pull-in voltage of beam, β_p = fitting
 169 parameter proportional to the swelling-induced surface stress generated by the polyimide and C_{RH}
 170 is the relative humidity of the chamber. A_o and B_o are dimensionless fitting parameters.

171 *2.4. 2-level electrical interconnects*

172 The laterally deflecting and planar design of the LACM sensor allows connecting multiple unit
 173 cells in parallel to further increase the output of the sensor. Since each microcantilever is flanked on
 174 the two sides by electrically isolated anchored electrodes, it is necessary to have a 2-level electrical
 175 connection arrangement in the device. In the LACM sensor array, this is done by fabricating jumpers
 176 out of doped poly-Si, as shown in Figure 1c. The detailed fabrication procedure is described in the
 177 following sections. The jumper arrangement eliminates the needs for wire bonds to connect multiple
 178 devices, thus keeping the fabrication process simple.

179 **3. Fabrication and Imaging**

180 *3.1. Device Fabrication*

181 Figure 4(a-1) shows a simplified fabrication procedure of the device. The process starts by
 182 depositing 250nm of low-stress silicon nitride using LPCVD process over SOI wafers with 30 μm
 183 thick device layer and 2 μm thick buried oxide layer, as shown in Figure 4a. The nitride layer is
 184 patterned using conventional UV photolithography with and then etched using CF_4/O_2 RIE. The
 185 device layer of the SOI wafer is then etched using DRIE to form the fingers (Figure 4b). A low-
 186 frequency RF source (380 kHz) is used for this process to avoid footing and prevent premature release
 187 of the device. The photoresist is then removed using Acetone, and a pre-furnace clean is performed.
 188 A 100nm thin layer of LPCVD silicon nitride is then deposited on the wafer, and a blanket CF_4/O_2 RIE
 189 etch is done. This ensures that the nitride remains only on the sidewalls of the etched fingers (Figure
 190 4c). A 4 μm thick layer of sacrificial LPCVD PSG is then deposited on the wafer and annealed in an
 191 N_2 environment at 1050 $^\circ\text{C}$ to reflow the PSG. The thickness of the PSG is then reduced to ~ 2 μm
 192 using a blanket RIE etch on the wafer. This deposition-reflow and etch back process is repeated until the

193 etched gaps between different fingers are entirely sealed (Figure 4d) due to the cusping effect in an
194 LPCVD process thus allowing further processing of the wafer [42,43]. The sacrificial PSG and the
195 underlying nitride are then patterned using photolithography and RIE to create anchors (Figure 4e)
196 for poly-Si jumpers and the anti-stiction micro-staple pins. A 4 μm thick layer of poly-Si is then
197 deposited using LPCVD process. This layer is then doped using phosphorus solid-source doping and
198 annealed at 1050°C for 2 hours (Figure 4f). A 200nm thick layer of Cr is then deposited over the wafer
199 using DC-sputtering and patterned using a wet etchant to form the metal contact and jumpers (Figure
200 4g). The wafer is then cleaned, and the anti-stiction features are patterned (Figure 4h). This step
201 also forms the poly-Si jumpers and contact pads, with the previously patterned Cr metal acting as an
202 etch mask. The PSG on the central finger is then patterned and etched to create windows (Figure 4i)
203 to allow deposition and anchoring of the sensing polymer to the device. We use HD-4104 polyimide
204 [44] as a water vapor sensing material. The polyimide was spin-coated on the sample and then cured
205 in at 300°C in an N₂ environment for 3 hours. A commercially available Polyimide adhesion
206 promoter, VM-651, was applied to the sample before spin-coating to improve the adhesion of the
207 polyimide to the substrate and prevent any delamination during the BOE release procedure. A 200nm
208 thick Al layer is then sputtered on the polyimide and then patterned using wet etching to act as a
209 hard mask. The polyimide is then etched using O₂ plasma (Figure 4j) in an Oxford 100 ICP etcher.
210 The devices are then diced, and the chips are released in BOE for 160 mins with constant stirring
211 (Figure 4k). The chips are rinsed thoroughly in DI water followed by methanol and allowed to air
212 dry.

213 3.2. Stiction Suppression

214 Stiction is one of the main modes of failure in MEMS devices [45]. Device failure due to stiction
215 occurs when suspended MEMS structures such as cantilevers, plates, or beams adhere to the substrate
216 or adjacent features due to lack of sufficient restoring force when subjected to strong capillary forces.
217 Typically, capillary forces arise during device fabrication and cleaning due to the surface tension of
218 water when the sample is allowed to dry. Various methods have been previously used to prevent
219 stiction due to surface tension [45–47]. In the LACM device, a different method which utilizes ‘micro
220 staple-pins,’ that hold the released cantilever in place during a wet release procedure has been
221 utilized. The staples are made out of thin poly-Si which can be dry etched, thus eliminating the need
222 for complex and expensive anti-stiction processes.

223 A very short SF₆ etch is finally performed on the devices to etch away the anti-stiction micro-
224 staples and release the fingers (Figure 4l). Any unwanted etching on the side wall of the fingers is
225 prevented by the thin Si₃N₄ film that was deposited over the finger before PSG sealing.

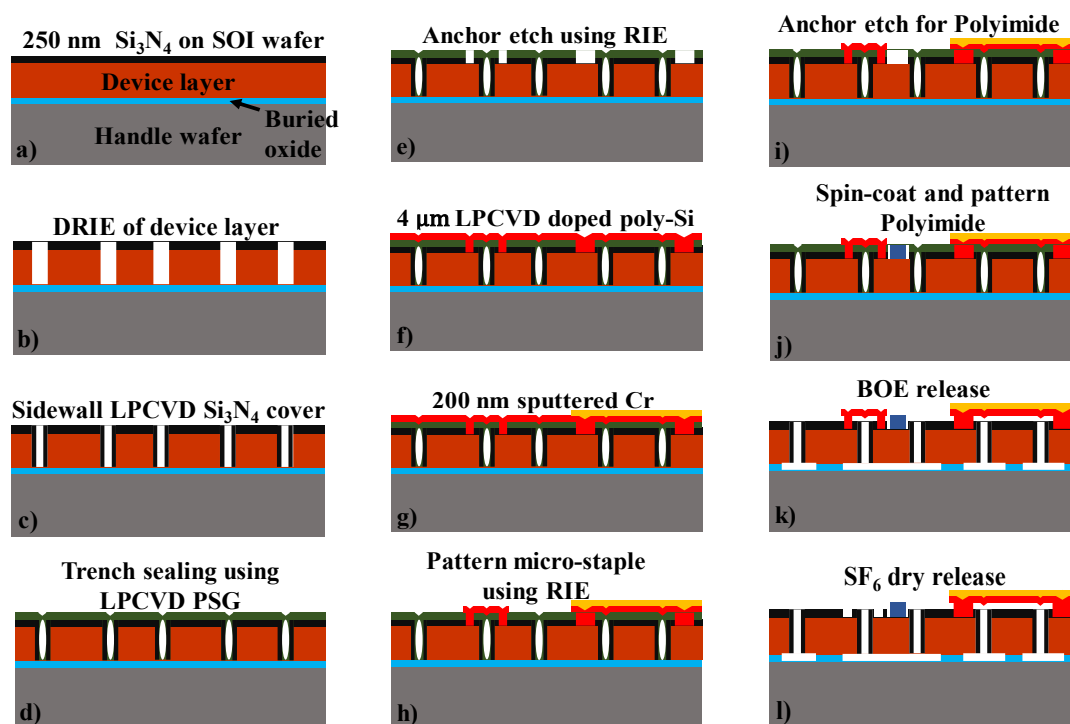


Figure 4: Simplified fabrication procedure of the device.

226 3.3. Imaging

227 High-resolution Scanning Electron Microscope (SEM) imaging of the device was done on an FEI
 228 Quanta 600 SEM at an accelerating voltage of 20.0 kV to verify the fabricated device structure, as
 229 shown in Figure 5(a-c). Figure 5a shows the fabricated device array with the poly-Si jumpers acting
 230 as the 2nd level of electrical connections shown in the zoomed in image (Figure 5b). Only the central
 231 finger is released during a timed BOE wet etch as the flanking electrodes are much wider and
 232 therefore, stay anchored. Zoomed-in image of the central finger is visible in Figure 5c showing the
 233 asymmetrically patterned polyimide on the movable beam and the anti-stiction micro-staple pins
 234 clamping the central finger to the side electrodes after wet release. The fabricated devices were 900
 235 μm long, and the suspended beams were 13.3 μm wide. The air-gap measured between the
 236 suspended beam and the side electrode was 4.75 μm . The differential pull-in voltage was calculated
 237 to be $\sim 29.5\text{V}$. The fabricated device had 15 unit cells connected in a parallel circuit.

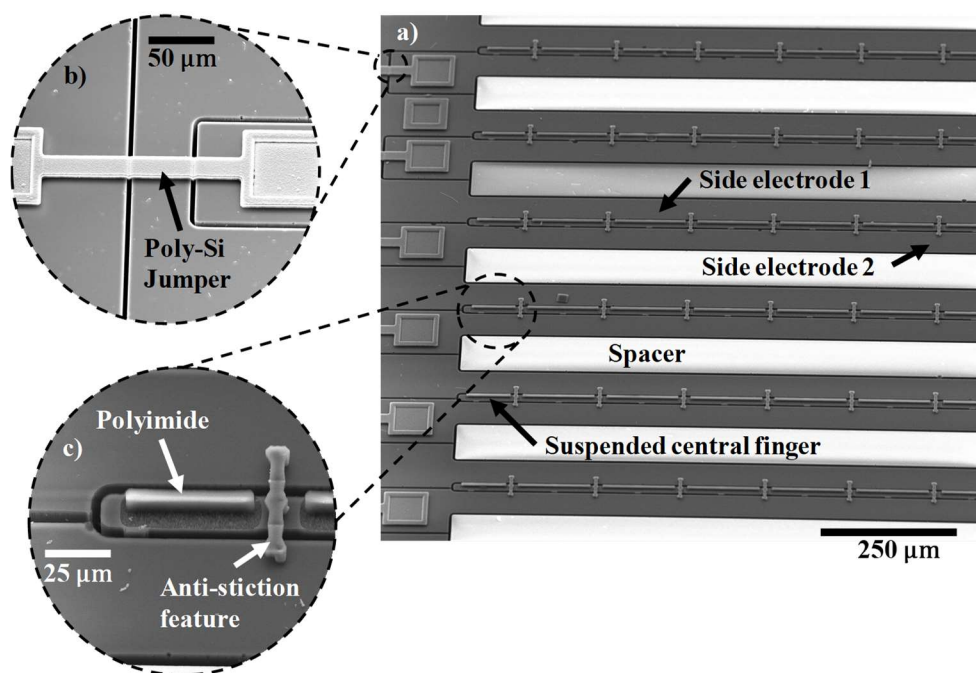


Figure 5: a) LACM sensor array b) Zoomed-in image of a poly-Si jumper over etched trenches acting as the 2nd level of electrical connections c) Magnified view of a suspended central finger with asymmetrically patterned polyimide and anti-stiction micro-staple holder.

238 4. Testing and Characterization

239 4.1. Test Setup

240 The sensor electrical testing was done at a probe station enclosed in a metallic box to create a
 241 localized environment for vapor testing. The enclosing box was grounded to reduce outside
 242 interference and noise during measurement. The device capacitance was measured using a Keithley
 243 4200A-SCS CVU connected to the probe station at 1MHz frequency using a 30mV AC signal. The
 244 noise floor for the test setup was measured to be ~363aF, and the base capacitance of the device was
 245 270fF. The chamber was flushed with N₂ gas before testing, and a commercial humidifier placed
 246 outside was used to humidify the chamber. The relative humidity (RH) of the chamber was
 247 monitored using a commercially available BME-280 [48] chip connected to an Arduino Uno board
 248 which reported the chamber humidity to a computer. Dehumidification of the chamber was done by
 249 purging the test chamber with N₂ while evacuating the chamber using an in-house vacuum line.

250 4.2. Sensor action and humidity response

251 Figure 6a shows the normalized response of the sensor as a function of varying water-vapor
 252 concentration at different biasing voltages. Sensor capacitance decreases when operated at no-bias
 253 voltage, which we believe, is due to a reduction in overlap area because of undesirable out-of-plane
 254 downward deflection of the central cantilever beam when exposed to increasing humidity.
 255 Application of a small DC bias voltage results in stiffening of the out of plane spring constant of the
 256 central finger due to induced electrostatic levitation as described by Tang et al. [49] which prevents
 257 out-of-plane deflection. Figure 6b shows the sensor characteristics at different relative humidity
 258 levels as a function of varying bias voltage indicating sensor output amplification as the bias voltage
 259 is increased at a constant humidity level. A ~11-fold magnification of sensor response was observed
 260 for a bias voltage of 28V compared to when a low bias (5V) voltage was used at 40.83 %RH, as shown
 261 in Figure 7. Figure 8 shows the dynamic response of the device when exposed to a relative humidity
 262 change from 20-90% and operated at a bias voltage of 28V. Highly repeatable device performance

263 was observed, and no sensor saturation was seen, as shown in Figure 8a. Figure 8b shows the
 264 continuous operation of the sensor over five cycles of humidification/dehumidification and shows
 265 near zero baseline drift.

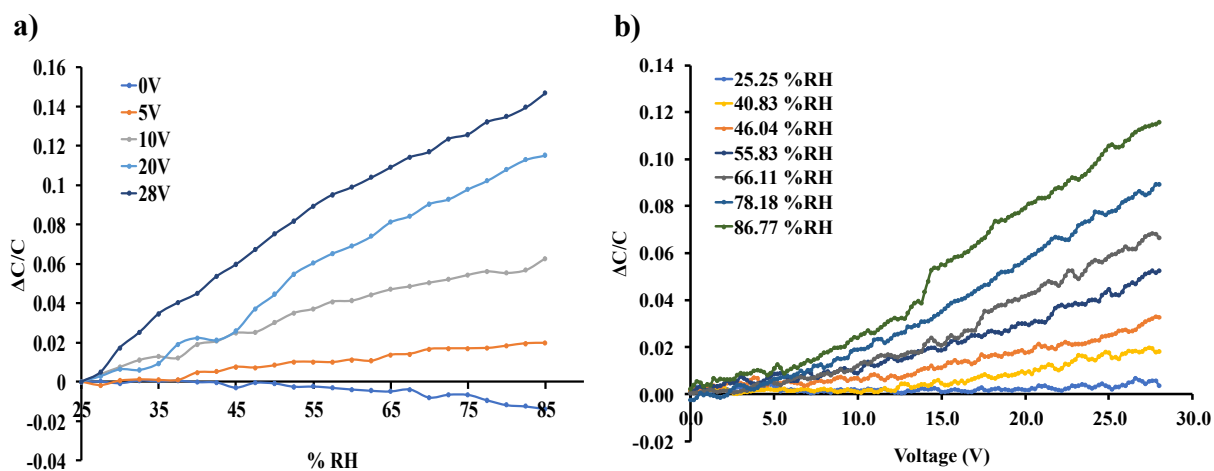


Figure 6: a) Sensor response to varying %RH levels at different DC bias voltage b) Sensor response to a varying bias voltage at different %RH levels.

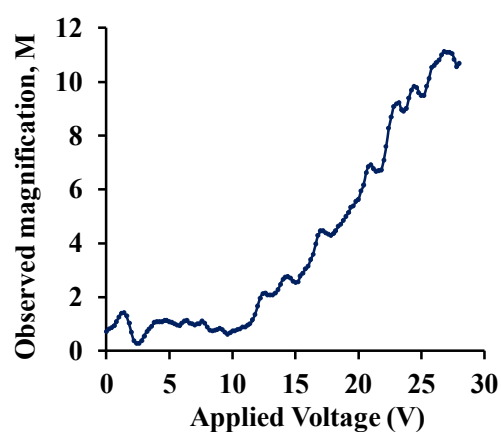


Figure 7: Observed sensor output magnification as a function of applied bias voltage at constant relative humidity.

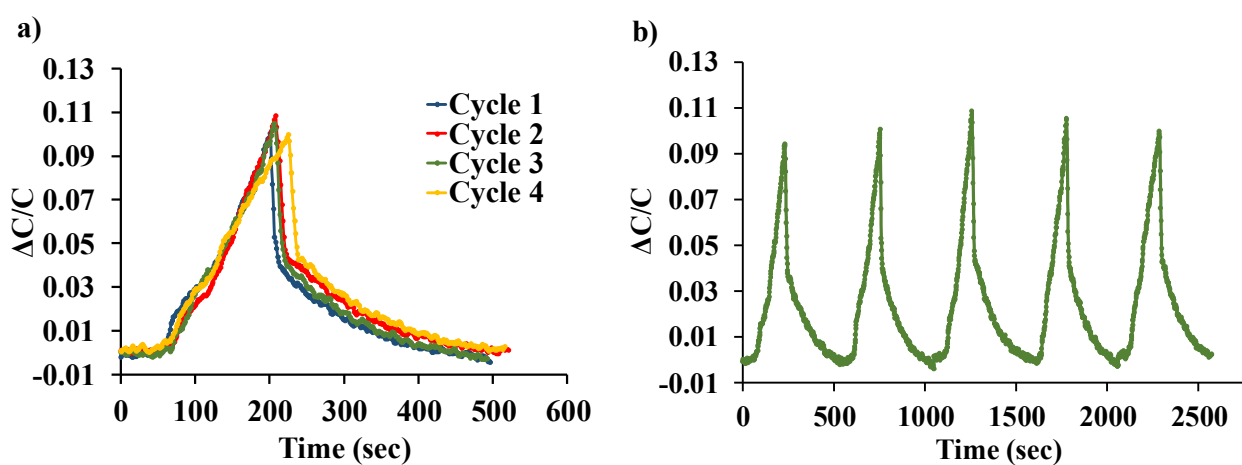


Figure 8: a) Repeatability of LACM sensor tested over four cycles. b) Sensor output over five consecutive cycles of exposure and removal of humidity.

266 4.3. Model Fitting

267 Fig 9a shows the equivalent electrical circuit of the LACM sensor. The humidity response of the
 268 device was curve-fitted to equation (13), and the plot is shown in Figure 9b. Parameter extraction
 269 revealed the mean value of $\beta_p \sim 0.114 \text{ mN.m}^{-1}$ per ppm of water vapor and the value of fitting
 270 parameters A_0 and B_0 ranged from 2.5 to 12 and -0.06 to -0.01, respectively. The root-mean-square
 271 error was found to be 0.1%, 0.39% and, 0.52% for applied bias voltages 5V, 20V and 28V, respectively.

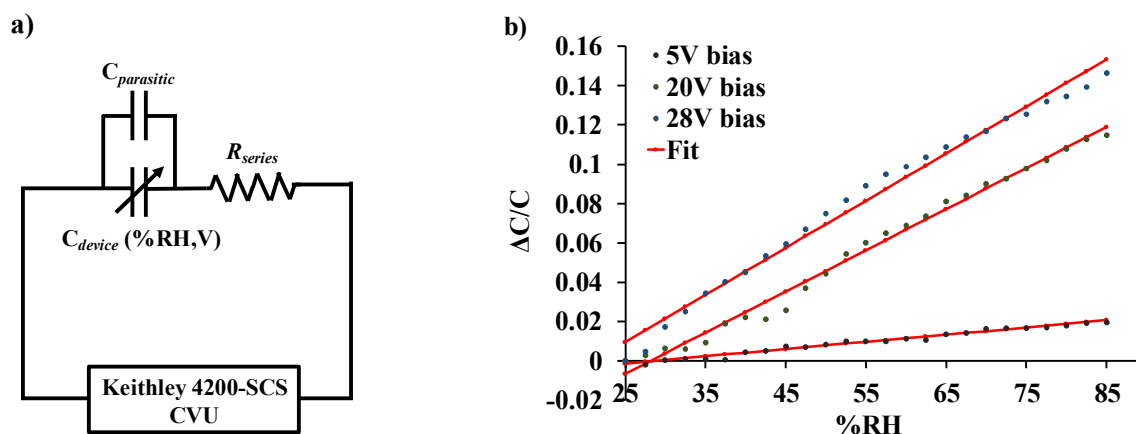


Figure 9: a) Equivalent electrical representation of the LACM sensor b) Normalized change in capacitance of the sensor curve fitted to equation (13).

272 4.4. Absorption-Desorption Kinetics

273 The dynamic response of the device is dependent on the moisture absorption induced swelling
 274 of the polyimide on the central cantilever beam. This type of behavior can be explained by a modified
 275 version of Fick's second law of diffusion. The performance of the LACM sensor can be effectively
 276 modeled as described by Sikame Tagne [50]. The desorption kinetics of the sensor is considered as a
 277 gradual desorption of water molecules back into the atmosphere and modeled using the Polanyi-
 278 Wigner equation [51]. The curve fitted normalized change in capacitance is shown in Figure 10a.
 279 Additionally, Figure 10b compares the normalized device response to that of a commercially
 280 available BME-280 [48] reference sensor chip. It can be observed from the plot that the LACM sensor
 281 closely follows the response of the reference chip which has a response time of 1s [48] with both the
 282 sensors reaching their maximum output at the same time.

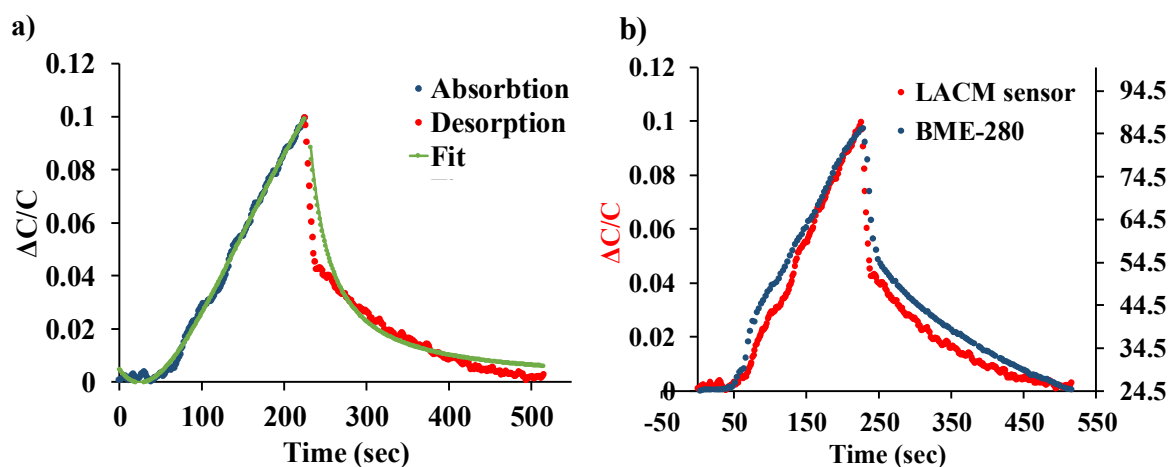


Figure 10: a) Dynamic response of the LACM sensor curve fitted to Fick's second law and the Polanyi-Wigner equation. b) Comparison of the LACM sensor performance to a commercially available BME-280 reference chip

283 5. Conclusions

284 We presented the design, fabrication, and response of a batch-fabricated capacitive polymer-
285 based humidity sensor based on mechanical leveraging and parametric amplification. The device
286 exploits the electrostatic lateral instability of MEMS structures to achieve a noiseless intrinsic gain,
287 which helps in achieving a better SNR. A ~11-fold magnification in sensor output was achieved by
288 applying a 28V DC bias voltage to the device at constant water vapor concentration due to spring
289 softening. We demonstrate an unassisted and completely recoverable change of 11% in capacitance
290 value when subjected to a humidity change from 25-85%. The dynamic response of the sensor was
291 also characterized, and the sensor showed a comparable response to a commercially available
292 reference chip with ~1s response time. A mathematical model to accurately describe the sensor action
293 and sensor dynamics has also been presented. Such a sensor is an excellent candidate for low-power,
294 low-cost, and sensitive vapor-sensor for applications in IoT based frameworks.

295 **Author Contributions:** R.L. designed, fabricated and tested all devices as well as performed characterization of
296 the LACM sensor, wrote and proofread the manuscript. research articles. A.B. assisted in the device testing,
297 mathematical modeling, manuscript preparation and proofreading. A.M. and M.K. assisted in manuscript
298 proofreading. H.K. helped in the experimental setup. C.H.M. helped in concept inception, device testing,
299 fabrication, and analysis of results as well as the preparation and proofreading of the manuscript.

300 **Funding:** This research received no external funding.

301 **Acknowledgments:** The authors would like to thank the staff at the University of Utah Nanofab and, the Surface
302 Analysis Lab for their assistance in fabricating and imaging the LACM sensor.

303 **Conflicts of Interest:** The authors declare no conflict of interest the results.

304 References

- 305 1. N. Yamazoe, Y. Shimizu, Humidity sensors: Principles and applications, *Sensors and Actuators*. 10 (1986)
306 379–398. doi:https://doi.org/10.1016/0250-6874(86)80055-5.
- 307 2. Z. Chen, C. Lu, Humidity Sensors: A Review of Materials and Mechanisms, *Sens. Lett.* (2005).
308 doi:10.1166/sl.2005.045.
- 309 3. Z.M.M. Rittersma, Recent achievements in miniaturised humidity sensors - A review of transduction
310 techniques, *Sensors Actuators, A Phys.* 96 (2002) 196–210. doi:10.1016/S0924-4247(01)00788-9.
- 311 4. C. Bariáin, I.R. Matías, F.J. Arregui, M. López-Amo, Optical fiber humidity sensor based on a tapered fiber
312 coated with agarose gel, *Sensors Actuators, B Chem.* (2000). doi:10.1016/S0925-4005(00)00524-4.
- 313 5. M. Penza, G. Cassano, Relative humidity sensing by PVA-coated dual resonator SAW oscillator, *Sensors*
314 *Actuators, B Chem.* (2000). doi:10.1016/S0925-4005(00)00448-2.
- 315 6. A. Banerjee, R. Likhite, H. Kim, C.H. Mastrangelo, Quantum Tunneling Hygrometer with Temperature
316 Stabilized Nanometer Gap, (2019). <http://arxiv.org/abs/1906.02451> (accessed June 7, 2019).
- 317 7. R. Fenner, E. Zdankiewicz, Micromachined Water Vapor Sensors: A Review of Sensing Technologies, *IEEE*
318 *Sens. J.* (2001). doi:10.1109/7361.983470.
- 319 8. Why control humidity in a cleanroom? | *Solid State Technology*, (n.d.). [https://electroiq.com/2003/10/why-](https://electroiq.com/2003/10/why-control-humidity-in-a-cleanroom/)
320 [control-humidity-in-a-cleanroom/](https://electroiq.com/2003/10/why-control-humidity-in-a-cleanroom/) (accessed June 6, 2019).
- 321 9. N. Wang, N. Zhang, M. Wang, Wireless sensors in agriculture and food industry - Recent development and
322 future perspective, *Comput. Electron. Agric.* (2006). doi:10.1016/j.compag.2005.09.003.
- 323 10. C.A. Balaras, E. Dascalaki, A. Gaglia, HVAC and indoor thermal conditions in hospital operating rooms,
324 *Energy Build.* (2007). doi:10.1016/j.enbuild.2006.09.004.
- 325 11. J.C. Zhao, J.F. Zhang, Y. Feng, J.X. Guo, The study and application of the IOT technology in agriculture, in:
326 *Proc. - 2010 3rd IEEE Int. Conf. Comput. Sci. Inf. Technol. ICCSIT 2010, 2010.*
327 doi:10.1109/ICCSIT.2010.5565120.
- 328 12. A. Banerjee, T. Ghosh, R. Likhite, N. Hasan, H. Kim, C.H. Mastrangelo, Electrical detection of proteins
329 using batch-fabricated vertical metal nanogap break-junctions, in: *20th Int. Conf. Miniaturized Syst. Chem.*
330 *Life Sci. MicroTAS 2016, 2016.*
- 331 13. A. Banerjee, N. Farhoudi, C. Ghosh, C.H. Mastrangelo, H. Kim, S.J. Broadbent, R. Looper, Picowatt gas
332 sensing and resistance switching in tunneling nano-gap electrodes, in: *Proc. IEEE Sensors, 2017.*
333 doi:10.1109/ICSENS.2016.7808637.

- 334 14. A. Banerjee, S.-U.H. Khan, S. Broadbent, R. Likhite, R. Looper, H. Kim, C.H. Mastrangelo, Batch-Fabricated
335 α -Si Assisted Nanogap Tunneling Junctions, *Nanomaterials*. 9 (2019) 727. doi:10.3390/nano9050727.
- 336 15. E. Traversa, Ceramic sensors for humidity detection: the state-of-the-art and future developments, *Sensors*
337 *Actuators B. Chem.* (1995). doi:10.1016/0925-4005(94)01268-M.
- 338 16. Y. Sakai, Y. Sadaoka, M. Matsuguchi, Humidity sensors based on polymer thin films, *Sensors Actuators, B*
339 *Chem.* (1996). doi:10.1016/S0925-4005(96)02019-9.
- 340 17. S. Chatzandroulis, A. Tserepi, D. Goustouridis, P. Normand, D. Tsoukalas, Fabrication of single crystal Si
341 cantilevers using a dry release process and application in a capacitive-type humidity sensor, *Microelectron.*
342 *Eng.* 61–62 (2002) 955–961. doi:10.1016/S0167-9317(02)00448-3.
- 343 18. C.Y. Lee, G.B. Lee, Micromachine-based humidity sensors with integrated temperature sensors for signal
344 drift compensation, *J. Micromechanics Microengineering*. (2003). doi:10.1088/0960-1317/13/5/313.
- 345 19. M.J. Thompson, D.A. Horsley, Parametrically amplified MEMS magnetometer, in: *TRANSDUCERS 2009 -*
346 *15th Int. Conf. Solid-State Sensors, Actuators Microsystems*, 2009. doi:10.1109/SENSOR.2009.5285917.
- 347 20. D.R. Baselt, B. Fruhberger, E. Klaassen, S. Cemalovic, C.L. Britton, S. V. Patel, T.E. Mlsna, D. McCorkle, B.
348 Warmack, Design and performance of a microcantilever-based hydrogen sensor, *Sensors Actuators, B*
349 *Chem.* (2003). doi:10.1016/S0925-4005(02)00315-5.
- 350 21. R. Likhite, A. Banerjee, H. Kim, C.H. Mastrangelo, Self-Leveling Micromechanical Gas Sensors, in: *Proc.*
351 *IEEE Sensors, IEEE*, 2018: pp. 1–4. doi:10.1109/ICSENS.2018.8589579.
- 352 22. M. Álvarez, L.G. Carrascosa, M. Moreno, A. Calle, Á. Zaballos, L.M. Lechuga, C. Martínez-A, J. Tamayo,
353 Nanomechanics of the formation of DNA self-assembled monolayers and hybridization on
354 microcantilevers, *Langmuir*. (2004). doi:10.1021/la0489559.
- 355 23. K.M. Hansen, T. Thundat, Microcantilever biosensors, *Methods*. 37 (2005) 57–64.
356 doi:https://doi.org/10.1016/j.ymeth.2005.05.011.
- 357 24. Y. Yang, H.F. Ji, T. Thundat, Nerve agents detection using a Cu₂/L-cysteine bilayer-coated
358 microcantilever, *J. Am. Chem. Soc.* (2003). doi:10.1021/ja028181n.
- 359 25. M. Sharma, E.H. Sarraf, R. Baskaran, E. Cretu, Parametric resonance: Amplification and damping in MEMS
360 gyroscopes, *Sensors Actuators A Phys.* 177 (2012) 79–86. doi:10.1016/J.SNA.2011.08.009.
- 361 26. H. Droogendijk, C.M. Bruinink, R.G.P. Sanders, A.M.K. Dagamseh, R.J. Wiegerink, G.J.M. Krijnen,
362 Improving the performance of biomimetic hair-flow sensors by electrostatic spring softening, *J.*
363 *Micromechanics Microengineering*. 22 (2012) 065026. doi:10.1088/0960-1317/22/6/065026.
- 364 27. A. Banerjee, S.S. Pandey, N. Banerjee, N. Hasan, C.H. Mastrangelo, A milli-volt triggered MEMS paddle
365 switch, in: *2015 IEEE SENSORS - Proc.*, 2015. doi:10.1109/ICSENS.2015.7370441.
- 366 28. S.S. Pandey, N. Banerjee, A. Banerjee, N. Hasan, H. Kim, C.H. Mastrangelo, High-sensitivity parametrically
367 amplified chemo-mechanical vapor sensors, in: *2015 IEEE SENSORS - Proc.*, 2015.
368 doi:10.1109/ICSENS.2015.7370582.
- 369 29. R. Likhite, S.S. Pandey, A. Banerjee, H. Kim, C.H. Mastrangelo, Amplified chemomechanical comb gas
370 sensor, in: *Proc. IEEE Sensors, IEEE*, 2017: pp. 1–3. doi:10.1109/ICSENS.2016.7808784.
- 371 30. S. Singamaneni, M.E. McConney, M.C. LeMieux, H. Jiang, J.O. Enlow, T.J. Bunning, R.R. Naik, V. V.
372 Tsukruk, Polymer-silicon flexible structures for fast chemical vapor detection, *Adv. Mater.* (2007).
373 doi:10.1002/adma.200701419.
- 374 31. W. Zhang, G. Meng, Nonlinear dynamical system of micro-cantilever under combined parametric and
375 forcing excitations in MEMS, *Sensors Actuators A Phys.* 119 (2005) 291–299. doi:10.1016/J.SNA.2004.09.025.
- 376 32. A.M. Elshurafa, K. Khirallah, H.H. Tawfik, A. Emira, A.K.S. Abdel Aziz, S.M. Sedky, Nonlinear dynamics
377 of spring softening and hardening in folded-mems comb drive resonators, *J. Microelectromechanical Syst.*
378 (2011). doi:10.1109/JMEMS.2011.2148162.
- 379 33. H. Torun, K.K. Sarangapani, F.L. Degertekin, Spring constant tuning of active atomic force microscope
380 probes using electrostatic spring softening effect, *Appl. Phys. Lett.* (2007). doi:10.1063/1.2827190.
- 381 34. S. Krylov, Y. Gerson, T. Nachmias, U. Keren, Excitation of large-amplitude parametric resonance by the
382 mechanical stiffness modulation of a microstructure, *J. Micromechanics Microengineering*. 20 (2010)
383 015041. doi:10.1088/0960-1317/20/1/015041.
- 384 35. T.B. Gabrielson, Mechanical-Thermal Noise in Micromachined Acoustic and Vibration Sensors, *IEEE Trans.*
385 *Electron Devices*. (1993). doi:10.1109/16.210197.
- 386 36. A.N. Cleland, M.L. Roukes, Noise processes in nanomechanical resonators, *J. Appl. Phys.* (2002).
387 doi:10.1063/1.1499745.

- 388 37. P.R. Gray, *Analysis and design of analog integrated circuits*, Wiley, 2009. [https://www.wiley.com/en-](https://www.wiley.com/en-us/Analysis+and+Design+of+Analog+Integrated+Circuits%2C+5th+Edition-p-9780470245996)
389 [us/Analysis+and+Design+of+Analog+Integrated+Circuits%2C+5th+Edition-p-9780470245996](https://www.wiley.com/en-us/Analysis+and+Design+of+Analog+Integrated+Circuits%2C+5th+Edition-p-9780470245996) (accessed
390 June 21, 2019).
- 391 38. Steve Taranovich, *Humidity Sensors and Signal Conditioning Choices* | DigiKey, *Electron. Prod.* (2011).
392 [https://www.digikey.com/en/articles/techzone/2011/oct/humidity-sensors-and-signal-conditioning-](https://www.digikey.com/en/articles/techzone/2011/oct/humidity-sensors-and-signal-conditioning-choices)
393 [choices](https://www.digikey.com/en/articles/techzone/2011/oct/humidity-sensors-and-signal-conditioning-choices) (accessed June 20, 2019).
- 394 39. Ville Kaajakari, *Noise in micromechanical systems*, (n.d.).
395 <http://www.kaajakari.net/~ville/research/tutorials/tutorials.shtml> (accessed June 19, 2019).
- 396 40. R. Buchhold, *A Study on the Microphysical Mechanisms of Adsorption in Polyimide Layers for*
397 *Microelectronic Applications*, *J. Electrochem. Soc.* (2006). doi:10.1149/1.1838906.
- 398 41. M. Godin, V. Tabard-Cossa, P. Grütter, P. Williams, *Quantitative surface stress measurements using a*
399 *microcantilever*, *Appl. Phys. Lett.* 79 (2001) 551–553. doi:10.1063/1.1387262.
- 400 42. N. Banerjee, A. Banerjee, N. Hasan, S.S. Pandey, B.P. Gogoi, C.H. Mastrangelo, *A Monolithically Integrated*
401 *Multisensor Platform*, *IEEE Sens. J.* (2016). doi:10.1109/JSEN.2016.2593698.
- 402 43. N. Banerjee, A. Banerjee, S.S. Pandey, B.P. Gogoi, C.H. Mastrangelo, *Encroachment and line of sight*
403 *blocking in micro-cavity sealing*, in: *2015 IEEE SENSORS - Proc.*, 2015. doi:10.1109/ICSENS.2015.7370691.
- 404 44. HD Microsystems, *HD – 4100 SERIES Photodefineable Polyimide*, (n.d.).
405 [http://www.hdmicrosystems.com/ec/liquid-polyimides-and-pbo-precursors/products/sub-products/hd-](http://www.hdmicrosystems.com/ec/liquid-polyimides-and-pbo-precursors/products/sub-products/hd-4100-series.html)
406 [4100-series.html](http://www.hdmicrosystems.com/ec/liquid-polyimides-and-pbo-precursors/products/sub-products/hd-4100-series.html) (accessed June 2, 2019).
- 407 45. C.H. Mastrangelo, *Suppression of Stiction in MEMS*, *MRS Proc.* (1999). doi:10.1557/proc-605-105.
- 408 46. R. Maboudian, W.R. Ashurst, C. Carraro, *Self-assembled monolayers as anti-stiction coatings for MEMS:*
409 *Characteristics and recent developments*, *Sensors Actuators, A Phys.* (2000). doi:10.1016/S0924-
410 [4247\(99\)00337-4](https://doi.org/10.1016/S0924-4247(99)00337-4).
- 411 47. A. Banerjee, S.S. Pandey, C.H. Mastrangelo, *MEMS stiction suppression with sacrificial polystyrene*
412 *nanoparticles*, in: *Proc. IEEE Sensors*, 2017. doi:10.1109/ICSENS.2017.8233922.
- 413 48. Bosch Sensortec, *BME280 - Combined humidity, pressure and temperature sensor*, *Datasheet.* (2015).
414 https://www.bosch-sensortec.com/en/bst/products/all_products/bme280 (accessed May 29, 2019).
- 415 49. W.C. Tang, M.G. Lim, R.T. Howe, *Electrostatic Comb Drive Levitation and Control Method*, *J.*
416 *Microelectromechanical Syst.* (1992). doi:10.1109/JMEMS.1992.752508.
- 417 50. N.R. Sikame Tagne, E. Njeugna, M. Fogue, J.-Y. Drean, A. Nzeukou, D. Fokwa, *Study of Water Absorption*
418 *in Raffia vinifera Fibres from Bandjoun, Cameroon*, *Sci. World J.* (2014). doi:10.1155/2014/912380.
- 419 51. R. Luna, C. Millán, M. Domingo, C. Santonja, M.Á. Satorre, *Experimental study of the frequency factor in*
420 *the Polanyi–Wigner equation: The case of C₂H₆*, *Vacuum.* 122 (2015) 154–160.
421 doi:<https://doi.org/10.1016/j.vacuum.2015.09.021>.
422