

1 *Review*

## 2 **Chitin and Chitosans: Characteristics, Eco-Friendly** 3 **Processes and Applications in Cosmetic Science**

4 **Cristina Casadidio, Dolores Vargas Peregrina, Maria Rosa Gigliobianco, Siyuan Deng,**  
5 **Roberta Censi, Piera Di Martino \***

6 School of Pharmacy, University of Camerino, Italy

7 \* Correspondence: piera.dimartino@unicam.it; Tel.: +39 0737 402215; Fax: +39 0737 637345

8 **Abstract:** Huge amounts of chitin and chitosans can be found in the biosphere as important  
9 constituent of the exoskeleton of many organisms, as well as waste by worldwide seafood  
10 companies. Nowadays, politicians, environmentalists, and industrialists encouraged the use of  
11 these marine polysaccharides as renewable source, particularly when developed by alternative  
12 eco-friendly processes, especially in the production of regular cosmetics. The aim of this review is  
13 to outline the physicochemical and biological properties and the different bioextraction methods of  
14 chitin and chitosans sources, focusing on enzymatic deproteinization, bacteria fermentation, and  
15 enzymatic deacetylation methods. Thanks to their biodegradability, non-toxicity, biocompatibility,  
16 and bioactivity, the application of these marine polymers is widely used in the contemporary  
17 manufacturing of biomedical and pharmaceutical products. In the end, advanced cosmetic  
18 products based on chitin and chitosans are presented, analyzing different therapeutic aspects about  
19 skin, hair, nail, and oral care. The innovative formulations described can be considered as excellent  
20 solutions regarding problems in the various body anatomical sectors.

21 **Keywords:** Chitin; chitosan; cosmetics; biodegradability; biomaterials; polysaccharides; green  
22 technology; marine cosmetic ingredients; marine green source; marine resources

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### 24 **1. Introduction**

25 Global warming, waste disposal, air pollution and natural resources exhaustion have been  
26 recognized as the dominant cause of many environmental disasters. For these problems, politicians,  
27 environmentalists, and industrialists are encouraging the use of organic products able to respect our  
28 habitat. Nowadays, organic products are increasing their marketing power and social interest thanks  
29 to the high expectations of consumers towards ambient and human health [1,2]. These goods, to be  
30 considered "green" or "organic", must be produced with renewable energy, possess characteristics  
31 such as fast degradation, minimize waste production, and be environmentally friendly [3]. "Green  
32 Chemistry" and its principles allow the adoption of technologies that fully meet the requirements of  
33 cost, safety, and performance. Anastas and Eghbali [4,5] listed the twelve principles of Green  
34 Chemistry, cornerstones for design, development and industrial assessment of green products,  
35 achieving sustainability at the molecular level. Recently Cervellon *et al.* [6] have outlined a series of  
36 indispensable concepts for a product that aim to be classified as "green", especially in the world of  
37 cosmetics:

38 **Biodegradable:** if the goods are wasted in nature, their degradation process under the action of  
39 microorganisms (fungi, bacteria and molds) will be faster than a conventional product;

40 **Biodynamic:** more natural and sustainable production processes favor the formation of a  
41 product (philosophy of taking-giving back to nature);

42 **Ecological:** the product offers respect for the environment by limiting damage, without  
43 evaluating the mode of production processes;

44 **Natural:** found in nature without chemicals or human transformations (it is not certified by  
45 organizations);

46 **Organic:** products and raw materials must be grown without the use of pesticides, synthetic  
47 fertilizers, genetically modified organisms or ionizing radiation. They also should come from  
48 animals that have not taken growth hormones or antibiotics.

49 A strategy that favors sustainable consumption, minimizing ambient impact, is to raise  
50 awareness of purchasing products based on biopolymers from renewable resources [7,8]. Among  
51 biopolymers biodegradable and made from renewable raw materials, chitin and chitosan are widely  
52 used in many sectors such as biomedical, biotechnology, water treatment, food, agriculture,  
53 veterinary, and also cosmetics [9]. These biopolymers are polymers produced by living organism  
54 like plants, microorganisms and animals, and it is no coincidence that chitin and chitosan are  
55 considered in recent publications as polymers of the future, thanks to their innumerable properties  
56 and numerous advantages in their use [3,10-12]. Precisely in the 21st century, there have been an  
57 increase in the production and use of regular cosmetics, this because they are perceived by the  
58 consumers to possess numerous benefits such as limited environmental incidence, and beneficial for  
59 the skin [13].

60 In this review the general properties of chitin and chitosan as natural marine polysaccharides  
61 will be described, highlighting their innovative eco-friendly extraction processes and industrial and  
62 biomedical applications. In the second part, the development of chitin, chitosans and their derivatives  
63 as cosmetic products will be investigated considering the definition of cosmetic product by the  
64 European Union Cosmetic Regulation. Cosmetics are any products, substances or preparations other  
65 than drugs, intended to be applied on the external surfaces of the human body (epidermis, hair  
66 system, nails, lips, external genital organs) or on teeth and mucous membranes of the mouth for the  
67 exclusive or prevalent purpose of cleaning them, perfuming them, modifying their appearance,  
68 correcting body odors, protecting or keeping them in good condition [14]. Starting from this  
69 regulation, we also provided examples of cosmetic with drug-like substances, up to now without  
70 specific legislation, considering them as promising starting points for new cosmetic developments.

## 71 **2. Chitin and Chitosans: Structure, Properties and Applications**

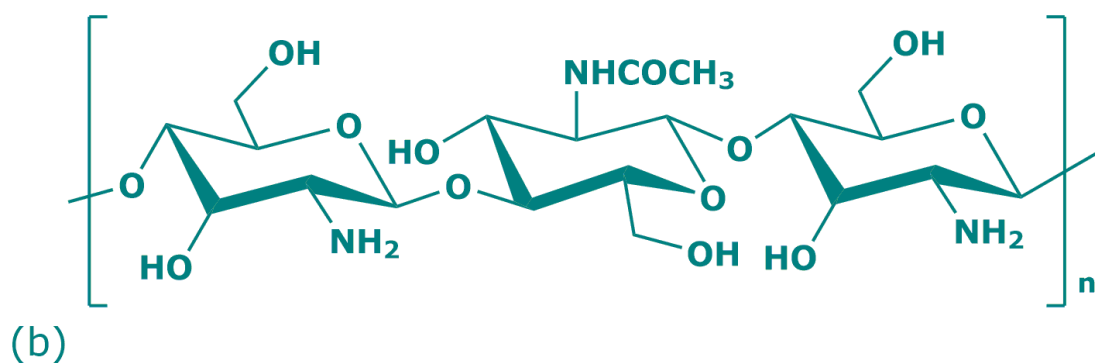
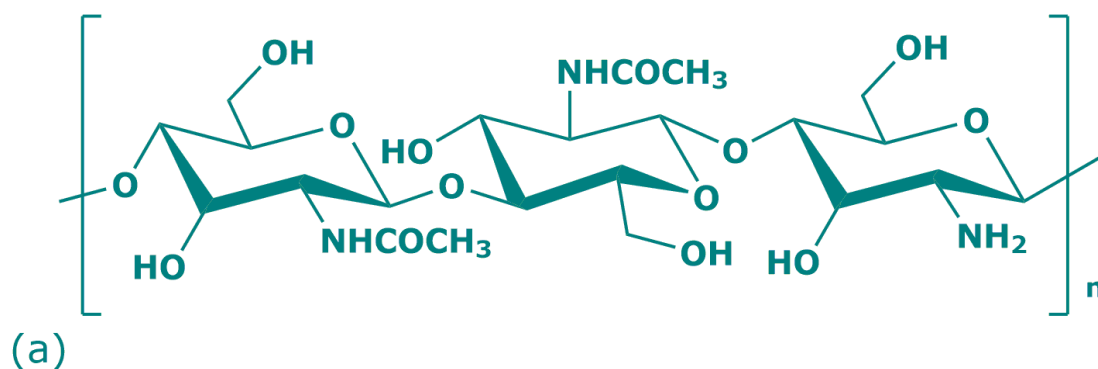
72 Biopolymers are used in widespread sectors of applied scientific areas such as biomedical, food,  
73 chemical and industrial fields [15-17]. Among biopolymers derived from natural source,  
74 polysaccharides have gained great attention thanks to their peculiar biomedical and  
75 physicochemical properties like biodegradability, biocompatibility, non-toxicity, renewability and  
76 ready availability. They are preferred compared to synthetic polymers for their low price and high  
77 presence in nature living organisms [11,18]. Polysaccharides can be classified by their nature in acid  
78 (carrageenan, alginic acid, hyaluronic acid, chondroitin sulfate), basic (chitin and chitosan,  
79 polylysine) or neutral (dextran, agarose, pullulan) [19]. In terms of basic polysaccharides, chitin and  
80 its major derivative product chitosan, are the most important and abundant marine polymers in the  
81 word and their physicochemical properties depend on the origin and the extraction method.

### 82 *2.1. Physical and Chemical Characterization of Chitin and Chitosans*

83 Over two hundred years ago, the French botanist Henri Braconnot, thanks to his research on  
84 edible mushrooms, discovered a new polysaccharide that, in 1823, took the name of chitin (Figure  
85 1a) [20]. Chitin is a natural polysaccharide derived from numerous living organisms and is the  
86 second most abundant polymerized carbon present in nature after cellulose. Because of the similar  
87 chemical backbone, chitin is often wrongly attributed to cellulose but instead of a hydroxyl group,  
88 chitin presents acetamide groups ( $\text{CH}_3\text{CONH}_2$ ) at C2 position [21]. Its crystalline structure is  
89 composed of poly (1,4)-linked *N*-acetyl-2-amino-2-deoxy- $\beta$ -D-glucose (GlcNAc) with some residues  
90 of 2-amino-2-deoxy- $\beta$ -D-glucose and presents also white, nitrogenous, inelastic and hard material  
91 features. The physical and chemical properties of chitin, and also chitosan, depend on their different  
92 raw materials and method of preparation. In nature, there are three chitin allomorphs with

93 crystalline forms:  $\alpha$  (the most common),  $\beta$  and  $\gamma$ , which can be characterized by different  
94 instruments (X-ray Diffraction (XRD), Solid-State Nuclear Magnetic Resonance (SSNMR)  
95 Spectroscopy, Infrared (IR) Spectroscopy), Solid-State Cross-Polarization/Magic-Angle-Spinning  
96 (CP-MAS)  $^{13}\text{C}$  NMR Spectroscopy and Thermogravimetric Analysis (TGA)). The  $\alpha$ -chitins are  
97 mainly sourced in fungi, yeasts, krill, lobsters, crabs, shrimps and insects;  $\beta$ -chitins in squid pens,  
98 while  $\gamma$ -chitins in *Ptinus* beetle and *Loligo* squid [22]. The principal difference among these  
99 allomorphs mostly depends on their structure:  $\alpha$ -chitin shows an orthorhombic unit with chains  
100 arranged in antiparallel sheets or stacks;  $\beta$ -chitin has monoclinic unit with parallel chains;  $\gamma$ -chitin  
101 reported unit cell with a random chains trend predominates (two up one down) [23-25]. Thanks to  
102 its antiparallel microfibril orientation,  $\alpha$ -chitin owns strong inter and intramolecular bonds that  
103 prevent diffusion of small molecules into the crystalline phase and is the preferred chitin allomorph  
104 for the industrial utilizations. Regarding  $\beta$ -chitin parallel chains, the intramolecular hydrogen bonds  
105 have weak strength, managing the solubility, but also the reactivity and the swelling [26].

106 In 1859 Professor C. Rouget observed the mechanism of chitin partial *N*-deacetylation which  
107 leads to the formation of its derived compound: chitosan (Figure 1b) [27]. The reaction consists in the  
108 protonation of the chitin amino group at C-2 position of glucosamine. Precisely, when the chitin  
109 degree of deacetylation reaches approximately 50%, chitin conformation becomes soluble in acid  
110 water solution and changes to chitosan cationic structure [24]. Chitosan is a linear  
111 heteropolysaccharide consisting on poly (1,4)-linked 2-amino- $\beta$ -D-glucose (GlcN), can be in solid  
112 semicrystalline form or in solution, and represent the progenitor of the numerous chitin families  
113 deacetylated with different degrees [28]. The properties of soluble chitosan are referred to the degree  
114 of deacetylation, molecular weight, distribution of remainder acetyl groups, ionic concentration, pH,  
115 isolation, and drying conditions. Chitosan represents a collective name for *N*-deacetylated chitin  
116 derivatives family with different degree of deacetylation and is prepared by three different ways. One  
117 is the (partial) thermochemical deacetylation of chitin in the solid state under basic conditions  
118 (NaOH), the second one is the enzymatic hydrolysis in the presence of a chitin deacetylase (chitin +  
119  $\text{H}_2\text{O} \rightleftharpoons$  chitosan + acetate). Chitosan can be found also in nature in the structural component of some  
120 fungi [29,30].



122 **Figure 1.** Chemical structure of chitin (a) and chitosan (b) repeat units.

### 123 2.1.1. Degree of deacetylation

124 The degree of deacetylation (DD) is the percentage of glucosamine (C<sub>6</sub>H<sub>13</sub>NO<sub>5</sub>) monomers  
 125 present in the chitin. DD produces a huge effect on the solubility of chitin, distinguishing from  
 126 chitosan, due to its inability to dissolve in aqueous acid solution such as acetic acid. When the DD  
 127 turns over 50%, chitin becomes soluble in acid diluted solution and change to the chitosan cationic  
 128 structure [24]. The determination of DD can be performed by numerous techniques such as UV  
 129 Spectroscopy, IR Spectroscopy, Proton and Carbon Nuclear Magnetic Resonance Spectroscopy (<sup>1</sup>H  
 130 and <sup>13</sup>C NMR), SSNMR Spectroscopy, Gel Permeation Chromatography (GPC), Circular Dichroism,  
 131 Residual Salicylaldehyde Analysis, Titration Methods, Elemental Analysis, High-Performance  
 132 Liquid Chromatography (HPLC), Thermal Analysis, Mass Spectrometry (MS) [31]. Heidari *et al.*  
 133 provided several characterizations of DD with Fourier Transform Infrared Spectroscopy (FTIR)  
 134 following the Sabins's law [32]:

$$135 \text{ Absorbance ratio} = (A)_{\text{amide}} / (A)_{\text{hydroxyl}}$$

$$136 (\text{DD}) = 97.67 - (26.486 (A_{1655}/A_{3450}))$$

137 They obtained a DD for chitin of 50.1% meanwhile for three different natural chitosan the DD  
 138 were 73.5, 82.3, and 82.5%, respectively [33]. In another work, DD was evaluated with a new  
 139 FT-Raman approach identifying the experimental bands of chitin and chitosan based on the DFT  
 140 quantum chemical calculations [34]. Dimzon *et al.* for the DD determination used an IR absorbance  
 141 ratio method improved with the use of partial least squares (PLS). The IR spectral region was settled  
 142 from 1500 to 1800cm<sup>-1</sup> and the results obtained were equal to those obtained with potentiometric  
 143 titration and better than those obtained with the IR absorbance ratio conventional method [35].

### 144 2.1.2. Molecular Weight

145 The molecular weight (MW) of chitin depends on its origin source and is related to the method  
 146 of decalcification with HCl, achieving the maximal depolymerization. The MW can be determined  
 147 by HPLC or by viscometry, where the intrinsic viscosity ( $\eta$ ) was determined in 0.1 M acid acetic and  
 148 0.2 M sodium chloride solution, following the Mark–Houwink equation [29]:

$$149 (\eta) = k \cdot M^a = 1.81 \times 10^{-3} \cdot M^{0.93}$$

150 The weight-average MW of chitin is reported ranged from 0.4 to 2.5 x 10<sup>6</sup> [36,37].

151 Chitosan MW compared to the chitin one is lower, due to the N-deacetylation. Chitosan MW  
 152 depends on his degree of polymerization (DP), where oligomers with DP of 8 or less are  
 153 water-soluble careless of the pH solution, and its deacetylation conditions as time, temperature and  
 154 concentration of sodium hydroxide [28,31]. The weight-average MW of chitosan is from 1 x 10<sup>5</sup> to 5 x  
 155 10<sup>5</sup> Da and can be determined by several methods like: light- scattering spectrophotometry, GPC and  
 156 viscometer [31]. In the last years size-exclusion chromatography (SEC) was another approach  
 157 adopted to better determinate the MW. Kang *et al.* coupled SEC with multi angle static laser light  
 158 scattering (MALLS) to improve the characterization of chitosan MW [38]. In another work, Weinhold  
 159 and Thöming connected SEC instrument with a triple detector array included in series right and low  
 160 angle light scattering (RALS-LALS) and viscometer [39].

### 161 2.1.3. Solubility

162 Chitin shows variations in its solubility according to different sources. The biopolymer is  
 163 insoluble in all the usual solvent such as neutral water, salt solutions and most organic solvents, but  
 164 presents solubility with other solvents like hexafluoroacetone sesquihydrate,  
 165 hexafluoroisopropanol, chloroalcohols (with sulfuric acid), in water mineral solutions, and in a  
 166 mixture of dimethylacetamide with 5% of lithium chloride. Its poor solubility is due to highly  
 167 hydrophobic properties and its extensive semi-crystalline structure [36]. Chitin is a polysaccharide  
 168 with intra and intermolecular hydrogen bonds, which results difficult to dissolve in the previous  
 169 indicated solvents.

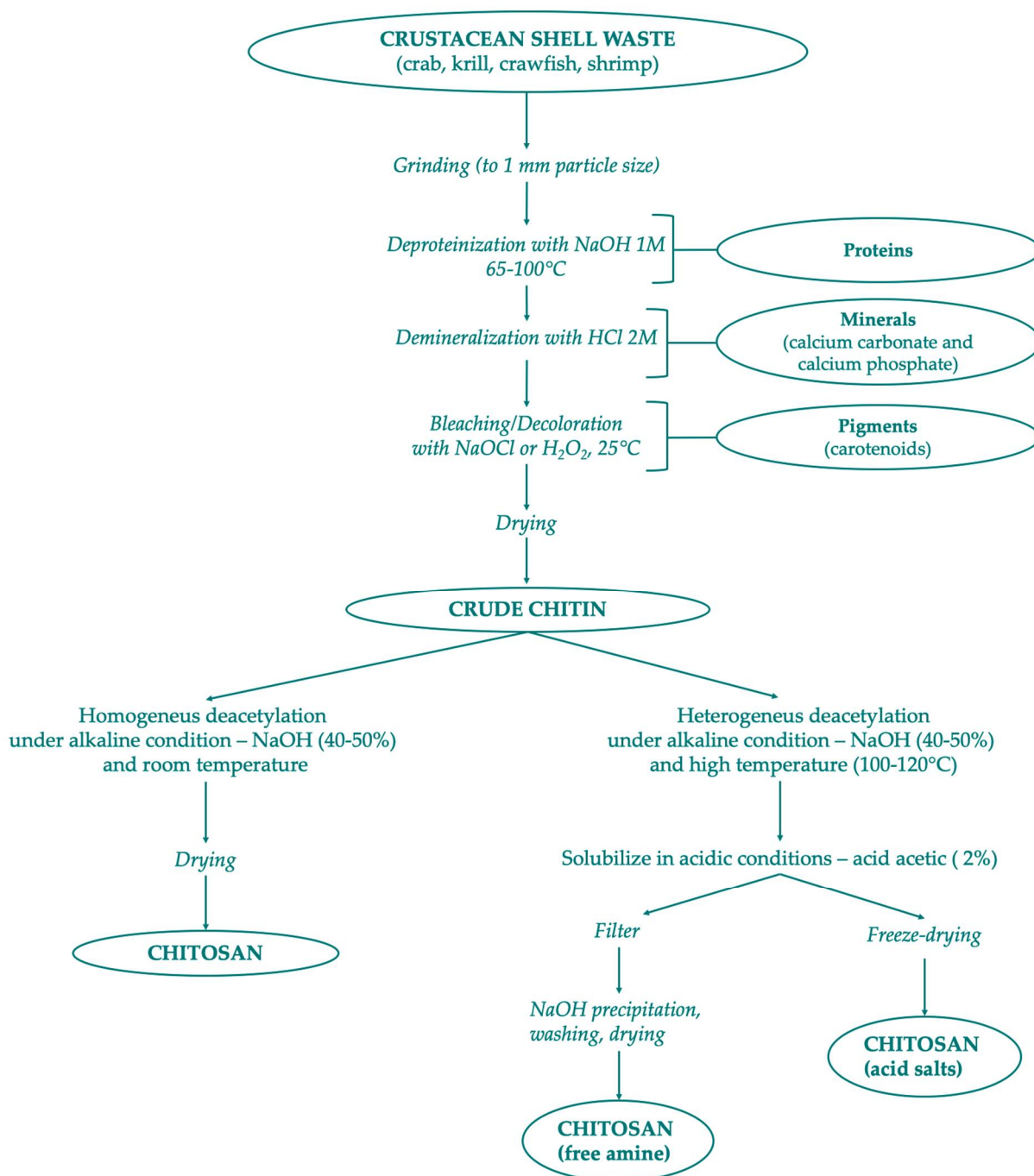
170 Chitosan is insoluble in neutral water and its solubility is settled with acid solution such as  
171 lactic, acetic, glutamic and hydrochloric acid solutions (pH up to 6.5), due to the lower number of  
172 N-acetylated groups and to its primary amino groups (with a pKa of 6.3) which become protonated,  
173 leading to a positively charged polymer and giving the characteristics of a strong base. However,  
174 when the pH reaches the value of 6.0 (and above), the polysaccharide becomes insoluble and  
175 precipitates due to the deprotonation of the amines. Nowadays, purified chitosans with high DD are  
176 commercially available in a broad range of MW, in the form of base and also as a salt readily soluble  
177 in water, without the use of acid solutions [40]. Commonly the solubility of chitosan decreases when  
178 pH raises from physiological to basic values, also when increase the ionic strength (salting-out effect)  
179 or the MW [24]. There are other determining factors that have important effects on chitosan  
180 solubility: temperature, average of DD and DP. The most common solvents for the solubilization of  
181 chitosan are: acetic acid (1% with pH close to 4); formic acid (0.2-100%); 1% hydrochloric acid; lactic  
182 acid and diluted nitric acid. Recently researcher found a neutral chitosan solution with the use of  
183 glycerol 2-phosphate as solvent [24]. On the other hand, chitosan is insoluble in sulfuric and  
184 phosphoric acid [29].

#### 185 2.1.4. Derivatives

186 Several chitin derivatives are described in literature, among which chitosan appears to be the  
187 main product. Chitosan can be obtained by chitin with two different processes: the enzymatic  
188 hydrolysis or *via* chemical deacetylation, as shown in Figure 2. In addition to this last, numerous  
189 other chitin products have been identified [24]. Thanks to the availability of the amino group, chitin  
190 can be associated with macromolecules such as proteins, carotenoids and glucans [28].

191 Regarding chitosan, its structure has three active groups which can be chemical modified in  
192 order to change specific properties and activities. These groups are: the primary (C-6) and secondary  
193 (C-3) hydroxyl groups at the level of which non-specific reactions occur, and the amino group (C-2),  
194 where specific reactions can be distinguished. Solubility, physical and mechanical properties of  
195 chitosan can be altered with the chemical modification of these reactive groups, attributing to its  
196 new derivatives further properties. The main reactions involving the C-3 and C-6 positions are  
197 esterification and etherification, while for the amino-C-2 position the quaternization of the amino  
198 group is carried out. In C-2, where the aldehyde function reacts with -NH<sub>2</sub> by reductive amination, a  
199 reaction can be settled in aqueous solution under mild conditions favoring the introduction of  
200 different functional groups on chitosan using acrylic reagents in aqueous medium [31].





201

202

**Figure 2.** Scheme of chitin and chitosan production following chemical methods.

### 203 2.2 Chitin and Chitosans Biological Properties

204 Both chitin and chitosan exhibit innumerable biological properties as: anticholesterolemic,  
 205 wound-healing agents, anticancer, fungistatic, haemostatic, analgesic, antiacid, antiulcer,  
 206 immunoadjuvant etc. [19,21,29,31,40-44]. In cosmetic science, chitin and chitosan have been  
 207 investigated as potential excipients and as biological active agents thanks to their peculiar properties  
 208 like no toxicity, biocompatibility and biodegradability. In this review will be discussed four of the  
 209 main characteristics and properties which make these polysaccharides excellent candidates in the  
 210 formulation of care products: antimicrobial and antioxidant activities, mucoadhesive and  
 211 penetration properties. The indispensable physicochemical parameters of the polysaccharides in  
 212 order to obtain the biological effect are summarized in Table 1.

213

214 **Table 1.** Influence of DD and Mw of polysaccharidic formulation on biological activities.  
215

Effect on Biological Activity	Physicochemical Property
Antimicrobial activity	↑ DD and ↓ MW
Antioxidant activity	↑ DD and ↓ MW
Mucoadhesive properties	↑ DD and ↑ MW
Penetration enhancement properties	↑ DD increased activity, MW is not discriminating

216

## 217 2.2.1. Antimicrobial activity

218 Chitin and chitosans have shown great antimicrobial activity against a large sector of  
219 microorganisms like bacteria, fungi and yeast. The mechanism behind its antibacterial and  
220 antifungal activity is still unknown but different hypotheses have been theorized in this regard. One  
221 of these causes can be associated with the impermeable coat formation due to crosslinking between  
222 the polycation nature of the polysaccharides and the cell surface negatively charged at pH lower  
223 than 6.5. This layer would prevent the intake of nutritional substances into the bacterial cells leading  
224 to the microorganism death. The other mechanism involves the chelating agent properties of chitin  
225 and chitosan and their influence in the organism growth. The third procedure concerns the easy  
226 permeation of low-MW chitosan through cell wall bacteria and its association with DNA and the  
227 suppression of RNA and protein synthesis. Together with low MW, also high-level of DD enhance  
228 the antibacterial activity of chitosan with an improvement of permeabilizing effect and a better  
229 electrostatic binding to the bacteria membrane [45-48]. Liu *et al.* have recently developed a bioactive  
230 natural preservative material for cosmetic formulation based on kojic acid (KA), a natural pyrone  
231 compound, and chitosan oligosaccharides (COS), following one-step environmentally friendly  
232 approach. They investigated the antibacterial and antifungal activities against two fungi and three  
233 Gram-negative and three Gram-positive bacterial strains. The results showed that with an increase  
234 in degrees substitution of COS with KA (owing positively charged grafting groups), an enhanced  
235 antimicrobial activity of the system can be observed [49]. Another interesting candidate of natural  
236 cosmetic preservative was provided by Juliano and Magrini. The synergistic activity of chitosan and  
237 methylglyoxal, a compound of manuka honey, was tested against different Gram-positive and  
238 Gram-negative bacteria and several strains of *Candida*, achieving an improvement of antimicrobial  
239 activity efficiency [50].

240

## 241 2.2.2. Antioxidant activity

242 The antioxidant activity of chitin, chitosan and derivatives, correspond to their scavenging  
243 ability against different oxygen radical species like alkyl, superoxide, hydroxyl and, DPPH  
244 (2,2-diphenyl-1-picrylhydrazyl). The mechanism is still unclear but should be related to the  
245 chelation of free metal ions by the polysaccharide hydroxyl and amino groups, which leads to the  
246 formation of a stable system. The *in vitro* tests highlighted that high percentages of DD  
247 simultaneously with a low MW favors a more effective scavenging action [51-53]. Zhang *et al.* have  
248 provided a good examples of chitosan derivative as a potential source of antioxidants also for  
249 cosmetic applications. They have synthesized three different combination of N,N,N-trimethyl  
250 chitosan salts with acetylsalicylate (TMCSAc), ascorbate (TMCSAs), citrate (TMCSi) and gallate  
251 (TMCSGa) following the ion exchange method. Their results displayed an inhibition of free radical  
252 chain reaction due to the synergistic action of the acid anion and the trimethyl chitosan cation.  
253 Therefore, TMCSAs and TMCSGa products showed better antioxidant activity [54]. Chitosan could

254 also be used for the production of a liposomal delivery system for antiaging cosmetic formulations.  
255 In a recent work, a chitosan-coated liposome was proposed for the controlled release of coenzyme  
256 Q10 and alpha-lipoic acid, using the Cell Counting Kit-8 (CCK8) colorimetric assay to evaluate the  
257 antioxidant activity and cytotoxicity of the formulations. The results revealed that chitosan-liposome  
258 system has low cytotoxicity with an excellent antioxidant activity (clearing ROS from H<sub>2</sub>O<sub>2</sub>) [55].

### 259 2.2.3. Mucoadhesive properties

260 The main component of mucus is mucin, a glycoprotein rich in negative charges that interact  
261 with the positive ones of chitosan. Previous studies established that the physical and chemical  
262 characteristics of the chitosan favor an improvement of the mucoadhesive properties related  
263 prevalently to DD and MW. Unlike what happens for antioxidant and antimicrobial activities, in this  
264 case there is an improvement of the mucoadhesion when are used polysaccharides with high degree  
265 of DD and high molecular weight [56,57]. Pereira *et al.* designed *Aloe vera*/vitamin E/chitosan  
266 microparticles for burn treatment application that could be also used in the future as a cosmetic  
267 proposal. They performed *in vitro* mucoadhesion test demonstrating and confirming the adhesive  
268 property of the system correlated with the presence of chitosan [58].

### 269 2.2.4. Penetration enhancement properties

270 The permeation enhancement carried out by chitosan is associated with the opening and  
271 destruction of epithelial tight junctions by a decrease in transepithelial electric resistance. The  
272 chemical nature of the mechanism is based on the electrical interaction between the positive charges  
273 of chitosan and the cell membrane, leading to a re-association of the proteins associated with the  
274 tight junctions [59-61]. In 2016, researchers developed cationic and anionic acrylic nanocapsules with  
275 a diameter of 150 nm, embedded into chitosan gel for cosmetic application. The chitosan cationic  
276 charged surface allowed a deeper skin penetration of acrylic capsules by induction of the tight  
277 junctions opening in the *stratum granulosum*, below the *stratum corneum* [62]. Kojima *et al.*  
278 investigated the distribution of chitosan, used as hair cosmetic ingredient to improve texture of hair  
279 surface, *via* time-of-flight secondary ion mass spectrometry (TOF-SIMS). They analyzed the  
280 penetration of chitosan as conditioning hair agent and the results showed how cationic chitosan  
281 equally incorporated on the hair surface, highlighting an important difference between virgin and  
282 bleached hair. The amount of cationic chitosan adsorbed on the virgin hair was lower than the  
283 bleached hair, this because bleaching leads to an negative charge enhancement due to cysteic acid  
284 group formation [63].



## 285 2.3. Chitin and Chitosans General Applications

286 The applications of chitin and chitosan include uses in a variety of areas, such as food industry, waste water treatment, agriculture, cosmetics,  
287 pharmaceutical and medical applications, paper production and textiles. The wide word of chitin and chitosans applications are shown in Table 2.

288 **Table 2.** Chitin and chitosans applications.

	Field	Examples	References
Industrial Applications	Cosmetic	Biodegradable, biocompatible and non-toxic chitosan microparticles encapsulating jaboticaba peel extract	[64]
		Modified chitosan microparticles containing rosmarinic acid for skin delivery formulations	[65]
		Nanoparticles of quaternized cyclodextrin-grafted chitosan associated with hyaluronic acid as promising skin penetration vehicles	[66]
		Preventive effect of chitosan oligosaccharide against UV-caused damage in hairless mouse dorsal skin	[67]
		Periodontal chitosan gels containing moxifloxacin hydrochloride	[68]
		Fluoride loaded chitosan nanoparticles in the prevention of dental caries	[69]
		Hydroxyapatite-chitosan sunscreen antibacterial gel for skin health care	[70]
		Chitosan and surface-deacetylated chitin nanofibrils induced hair growth	[71]
		Gum arabic/chitosan nanoparticles containing geraniol for pest management	[72]
	Agriculture	Chitosan natural biopolymer as a growth stimulator of rice yield	[73]
		Chitosan modified Pt/SiO <sub>2</sub> as catalyst for an agricultural synergistic agent	[74]
		Antifungal chitosan agent used to control <i>Ceratocystis fimbriata</i> plant pathogenic fungus that attacks sweet potato	[75]
		Eco-friendly chitosan/basalt hydrogel as soil conditioner and booster of plants growth	[76]
	Food and Nutrition	Food packaging made by chitosan-based films with microparticles of olive pomace	[77]
		Nisin-loaded chitosan-monomethyl fumaric acid nanoparticles as a direct food additive	[78]
		Chitosan-TiO <sub>2</sub> nanocomposite film as antimicrobial active food packaging	[79]
		Chitosan as an alternative food preservative to formalin	[80]
		Fish-purified antioxidant peptide-loaded electrospun chitosan/PVA nanofibrous mat for food biopackaging applications	[81]
		Tripolyphosphate and chitosan nanoparticles for encapsulation of C, B9 and B12 vitamins	[82]
		Starch or chitosan-based matrices carrying thyme extract polyphenols as antioxidant films for food preservation	[83]
	Water Engineering – Waste Treatment	Graphene oxide-ionic liquid and magnetic chitosan in heavy metal ion pollution clean-up	[84]
		Multifunctional nanocomposites of chitosan as contaminant water treatment material	[85]
		Antibacterial chitosan chloride-graphene oxide material and/with quartz sand filter media	[86]
	Chromatography	<i>N</i> -methoxycarbonyl chitosan for high-performance chiral separation materials	[87]
<i>N</i> -cyclohexylcarbonyl and <i>N</i> -hexanoyl chitosans as chiral selectors for enantiomeric separation		[88]	
Chitosan bis(methylphenylcarbamate)-(isobutyrylamide) derivatives as chiral stationary phases for HPLC		[89]	
<i>O</i> -carboxymethyl chitosan for convenient use in the purification of lysozyme		[90]	
Paper Industry	Bentonite micro-particles/chitosan system for improving the acidic papermaking dry strengths	[91]	
	Chitosan/titanium dioxide nanocomposite as antibacterial protective coating for paper packaging	[92]	
	Paper wet strength improved with chitosan-based additive using a dipping process	[93]	
	Chitosan as antitermite in paper making	[94]	

Biomedical and Pharmaceutical Applications	Textile Industry	Caseinate/chitosan films favor reduction in paper water vapor permeability	[95]
		Series of chitosan-based waterborne polyurethane improve tear strength and antimicrobial activity of polyester cotton dyed and printed fabrics	[96]
		Chitosan and herbal extract of <i>Aristolochia bracteolata</i> as medical textile product (band aid)	[97]
		Eco-friendly antimicrobial chitosan-based water dispersible polyurethanes finishes	[98]
		Chitin nanofibers for antibacterial finishing application	[99]
	Batteries	Chitosan networks crosslinked with citric acid or polymeric carboxylic acids as binders for silicon/graphite composite electrodes in lithium ion batteries	[100]
		Molybdenum disulfide-coated nitrogen-doped mesoporous carbon sphere/sulfur composite cathode and carbon nanotube/chitosan modified separator promoting lithium sulfur batteries	[101]
		Chitosan/epoxidized natural rubber networks by cross-linking as a binder material	[102]
		Highly-crystalline lithium titanate nanoparticles with N-doped carbon-coating and chitosan (as carbon and nitrogen source)	[103]
		Chitosan composite carbon material with high specific electrochemical performance of lead-carbon battery	[104]
	Tissue Engineering	Injectable carboxymethyl chitosan conjugated with $\alpha$ -cyclodextrin hydrogel complexed with poly(ethylene glycol) (PEG <sub>1000</sub> )	[105]
		Electrospun nanofibrous scaffolds containing poly( $\epsilon$ -caprolactone), chitosan and polypyrrole for neural tissue engineering	[106]
		Alginate/chitosan hydrogel for transplantation of olfactory ectomesenchymal stem cells for sciatic nerve tissue engineering (rat model)	[107]
		Chitosan–vitamin C–lactic acid composite membrane decorated with glycerol and PEG	[108]
		Graphene oxide and amine-modified graphene oxide incorporated into chitosan-gelatin scaffold by covalent linking	[109]
	Wound Healing	Magnesium oxide-poly( $\epsilon$ -caprolactone)-chitosan-based composite nanofiber by the electrospinning technique	[110]
		Scaffolds made with modified hydroxyapatite blended into chitosan-grafted-poly (methyl methacrylate) matrix	[111]
		Collagen/chitosan gel composite supplemented with a cell-penetrating peptide (oligo- arginine R8) with an antibacterial activity	[112]
		Silver nanoparticles encapsulation into chitosan-based membranes without altering the wound healing ability	[113]
		Rosuvastatin calcium loaded into chitosan hydrochloride scaffolds based with/without mesenchymal stem cells	[114]
	Ophthalmology	Phenytoin nanocapsules and nanoemulsions formulated as chitosan hydrogels for cutaneous use in rats	[115]
		Electrospun antibacterial PVA/Chitosan/Starch nanofibrous mats	[116]
		Biocompatible and nontoxic PVA/chitosan/nano zinc oxide hydrogels	[117]
		Chitosan-covered calcium phosphate nanoparticles loaded with timolol and lisinopril	[118]
		Topical chitosan-N-acetylcysteine for corneal damage in a rabbit model	[119]
	Vaccine	Chitosan-N-acetylcysteine (Lacrimera <sup>®</sup> ) in in patients with moderate to severe dry eye disease	[120]
Contact lenses made of poly(2-hydroxyethylmethacrylate) containing chitosan nanoparticles as dexamethasone sodium phosphate delivery system		[121]	
Timolol maleate imprinted copolymer of carboxymethyl chitosan-g-hydroxy ethyl methacrylate-g-polyacrylamide incorporated on a pHEMA matrix for glaucoma		[122]	
	N-Trimethyl Chitosan Nanoparticles loaded with flurbiprofen-hydroxyl propyl- $\beta$ -cyclodextrin inclusion complex	[123]	
	Layer-by-layer deposition of chitosan and alginate was used to control drug release from ophthalmic lens materials	[124]	
	Inactivated avian influenza H5N1 virus vaccine encapsulated in chitosan nanoparticles in broiler chickens	[125]	
	Chitosan coated PLGA microparticles for intranasal vaccine delivery of hepatitis B surface Antigen	[126]	

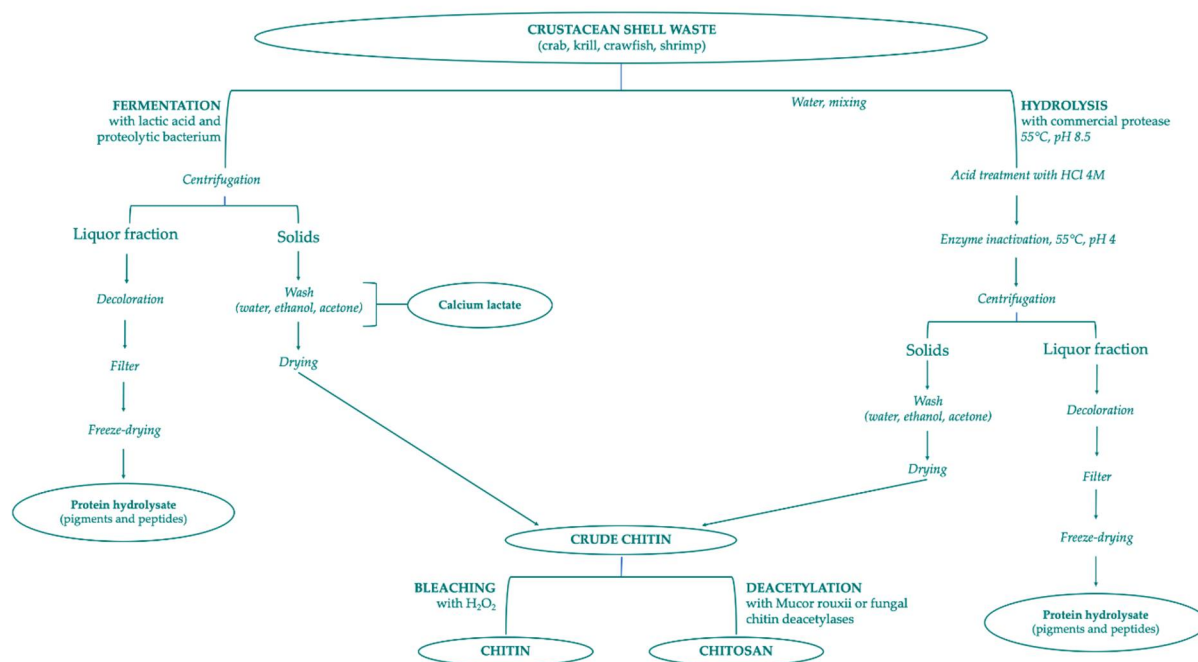
	pH-sensitive microneedle chemically coated with inactivated polio vaccine and N-trimethyl chitosan chloride <i>via</i> electrostatic interactions for dermal vaccination in rats	[127]
	Glycol chitosan nanoparticles for mucosal intranasal administration of hepatitis B vaccine	[128]
	Folate-chitosan/ interferon-induced protein-10 gene nanoparticles and DC/tumor fusion vaccine enhanced anti-hepatocellular carcinoma effects in mice	[129]
Drug Delivery	Chitosan-grafted-dihydrocaffeic acid and oxidized pullulan hydrogels <i>via</i> a Schiff base reaction for local doxorubicin delivery	[130]
	2-chloro-N,N-diethylethylamine hydrochloride/chitosan pH-responsive nanoparticles as quercetin delivery system for breast cancer treatment	[131]
	pH-responsive Carboxymethyl chitosan nanoparticles for doxorubicin hydrochloride controlled release at pH 4.5	[132]
	Injectable visible light-cured glycol chitosan hydrogel incorporating paclitaxel- $\beta$ -cyclodextrin inclusion complex for ovarian cancer therapy	[133]
	Methyl methacrylate modified chitosan conjugate by a green method <i>via</i> Michael addition in curcumin delivery	[134]
	Quaternized chitins vector synthesized <i>via</i> eco-friendly process	[135]
Gene Delivery	Organosilane-functionalized chitosan nanoparticles as plox plasmid delivery system	[136]
	Chitosan-graft-PEI-PEG gene carrier decorated with arginine-glycine-aspartate/twin-arginine translocation for sustained delivery of NT-3 protein growth factor for neural regeneration	[137]
	Targeting ligand conjugated chitosan-PEI copolymer/siRNA polyplexes for cancer therapy	[138]
	Liposome encapsulated chitosan nanoparticles for enhanced plasmid DNAdelivery	[139]

### 289 3. Extraction of chitin and chitosans from natural sources

290 Chitin and chitosan are considered important marine renewable sources, due to the high  
291 availability as garbage from seafood processing industry; only chitin availability was estimated to be  
292 approximately over 10 billion tons annually [29,140]. Nowadays, most producers for commercial  
293 purposes of chitin and chitosan are located in Poland, India, Norway, Australia, USA, and Japan  
294 [141]. The chitin extraction methods conducted in the industry are mainly two: chemical or  
295 biological. Both extraction strategies of chitin consist of two phases, deproteinization with alkaline  
296 treatment at high temperatures and demineralization with dilute hydrochloric acid. The sequence of  
297 these two phases is interchangeable depending on the source and the proposed use of chitin. The  
298 third phase mainly depends on the starting waste material: if the chitin is extracted from squid pens  
299 a final not pigmented white powder is obtained. On the other hand, chitin powder isolated from  
300 crustacean sources assumes a pale pink color, thus necessitating the bleaching process which  
301 requires the use of hydrogen peroxide, oxalic acid or potassium permanganate [45,142]. Figure 2 will  
302 provide a scheme of chitin preparation from marine shell waste following chemical process. These  
303 synthetic methods are very risky and have many disadvantages due to high temperature and high  
304 concentration of acid and alkali solutions. In addition, the production of chitin and chitosan by  
305 chemical process has different industrial drawbacks like: high energy consumption, long handling  
306 times, greater solvent consumption, high environmental pollution, high production of waste,  
307 difficulty in recovering waste products like pigment and proteins [143-145]. As an alternative to  
308 chemical process, bioextraction of chitin has been studied as a newly green ecological process.

#### 309 3.1. Bioextraction of Chitin

310 Chitin is a constituent of the organic matrix of different marine organisms like: arthropods  
311 exoskeletons such as crustaceans (crab, shrimp, lobster, krill, crayfish, barnacles) and insects  
312 (cockroach, beetle, true fly and worm); mollusks endoskeletons; fungi (*Aspergillus niger*, *Mucor rouxii*,  
313 *Penicillium notatum*); yeasts; algae; cuttlefishes and squid pen. Crustacean shell is composed of  
314 30-40% proteins, 30-50% mineral salts (principally calcium carbonate and phosphate), and 13-42%  
315 of chitin with its different chemical structure:  $\alpha$ -,  $\beta$ -,  $\gamma$ -form. In minimal percentages, carotenoids  
316 (mainly astaxanthin and its esters) and lipids from visceral or muscular residues can also be found in  
317 shellfish waste [146]. Chitin is extracted from crustacean shell waste with three different steps:  
318 demineralization, deproteinization and bleaching/decoloration. Chemical demineralization and  
319 deproteinization present several issues that prevent optimal control of reactions: depolymerization,  
320 anomerization and decrease of MW by altering the properties of purified chitin. To solve these  
321 problems, bioextraction is preferred with its two different methods, employment of proteolytic  
322 enzymes to digest proteins or microorganism-mediated fermentation processes (Figure 3). The  
323 limitations of these biological procedures are high cost, lower yield and properties of the products  
324 [143,147,148].



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**Figure 3.** Scheme of chitin and chitosan production following biological methods.

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### 3.1.1. Chitin Enzymatic Deproteinization

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One of the proposed biological alternatives is the use of proteases for deproteinization of crustacean shells, mainly deriving from plant, microbial and animal sources. This method avoids alkaline treatments and produce, in addition to chitin, protein hydrolysates of nutritional value. Depending on the starting waste, the protease can lead to various deproteinization yields according to the conditions tested. The demineralization should be performed firstly, as it increases the tissues permeability and decreases the presence of potential enzyme inhibitors, promoting the action of the proteolytic enzyme. Chymotrypsin, Papain, Trypsin, Alkalase, Devolvase, Pepsin and Pancreatin, are the major proteolytic enzymes used to extract and separate the protein and chitin parts of shrimp waste. The final products obtained possess more advantageous physicochemical properties compared to other methods. Proteases can be purified extracted (commercial one) with high costs and less efficacy, and crude extracted, mainly derived from bacteria but also from fish viscera [149,150]. The crude extracted proteases are cheaper and more efficient thanks to the presence of coexisting proteases. Mhamdi *et al.* in their study, reported the evaluation, characterization and application of thermostable serine alkaline proteases from actinomycete strain *Micromonospora chaiyaphumensis* S103 for chitin extraction from shrimp shell (*Penaeus kerathurus*) waste powder. The percentage of deproteinization obtained after 3 hours of hydrolysis at 45°C and pH 8.0 with an enzyme/substrate (E/S) ratio of 20 U/mg had reached 93%, one of the best results in the literature compared to the use of other proteases [151]. In another work, crude digestive alkaline proteases from the viscera of *Portunus segnis* proved to be very efficient in the production of chitin by deproteinization of blue crab (*P. segnis*) and shrimp (*P. kerathurus*). In this case the percentage of deproteinization achieved was near 85% for blue crab shells and 91% for shrimp shells, with an E/S ratio of 5 U/mg of proteins after 3 h incubation at 50°C [152].

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### 3.1.2. Chitin Bacteria Fermentation

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Another inexpensive approach for the chitin extraction from seafood wastes consists on the fermentation using two different methods: with and without lactic acid bacteria (LAB). Fermentation can be carried out by adding selected strains of microorganisms, following one-stage, two-stage fermentation, co-fermentation/subsequent fermentation, or from endogenous microorganisms (auto-fermentation).



### 356 Lactic Acid Bacteria Fermentation

357 LAB fermentation has been studied as an innovative method for enzymatic extraction of chitin  
358 and can be use combined with chemical treatments reducing the amount of acid and alkali needed  
359 [148]. The ability of LAB strains is to ferment waste materials and simultaneously produce organic  
360 acids *in situ* (lactic and acetic acids). During fermentation two fractions are obtained: a liquid fraction  
361 rich in proteins, minerals and pigments and, a solid phase containing crude chitin (which can be  
362 separated by filtration and washed with water). The separation into two fractions occurs thanks to  
363 the action of lactic acid that promotes the precipitation of the chitin and the production of calcium  
364 lactate after reaction with calcium carbonate. The lactic acid, obtained by conversion of glucose, at  
365 the same time promotes the lowering of the pH and consequently the activation of proteases. This  
366 methodology has also been used for the recovery of other products from silage shrimp waste such  
367 carotenoids. The most used bacterial strains for fermentation are those of *Lactobacillus* sp. strain such  
368 as *L. plantarum*, *L. paracasei* and *L. helveticus*. Recently, Castro *et al.* have extracted and purified chitin  
369 from *Allopetrolisthes punctatus* crabs using *Lactobacillus plantarum* sp.47, a Gram-positive bacteria  
370 isolated from Coho salmon, that produce high lactic acid concentrations. They obtained a 99.6% of  
371 demineralization, 95.3% of deproteinization and 17mg of lactic acid/ g silage choosing optimal  
372 fermentation parameters (60 h fermentation, 10% inoculum, 15% sucrose and 85% crab biomass)  
373 [153].

### 374 Non-Lactic Acid Bacteria Fermentation

375 For chitin recovery with non-lactic acid bacteria crustacean shell fermentation were used  
376 bacteria and fungi as inoculum source: *Pseudomonas* sp., *Bacillus* sp. and *Aspergillus* sp. In their  
377 research Ghorbel-Bellaaj *et al.* isolated a protease bacterium identified as *Pseudomonas aeruginosa* A2.  
378 By evaluation of protease activities and spectral analysis, they showed how chitin extracted by the  
379 biological method was similar to commercial  $\alpha$ -chitin. The ability to deproteinize shrimp waste to  
380 produce chitin was also highlighted, overcoming the disadvantages of chemical deproteinization  
381 [154]. In another work, the same researchers followed a Plackett-Bhenken design to better improve  
382 deproteinization and demineralization efficiencies of shrimp shells with *P. aeruginosa* A2. They used  
383 these optimized variables: shrimp shell concentration (50 g/L), glucose concentration (50 g/L),  
384 incubation time (5 days) and inoculum size (0.05 OD), obtaining a deproteinization of 89% and  
385 demineralization of 96% [155].

386 The majority of commercial bacterial proteases are mainly produced by *Bacillus* sp. and Hajji *et*  
387 *al.* have extracted from the waste of crab shells, chitin and fermented-crab supernatants after  
388 fermentation using six different strains of *Bacillus*. Through the use of specific assays, it has been  
389 discovered that fermented-crab supernatants possess interesting antioxidant and antibacterial  
390 properties [156].

391 About fungi as inoculum source, three different proteolytic strains of *Aspergillus niger*, namely  
392 0576, 0307 and 0474, were selected by Teng *et al.* thanks to their protease activity necessary for the  
393 production of chitin. The aim of their study was to obtain two distinct sources of chitin by adding to  
394 the fermentation of the mushrooms directly the shrimp shells. The proteolytic enzymes released by  
395 fungi during the deproteinization and demineralization of the shrimp shell lead to the release of  
396 amino acids which, as a source of nitrogen, promote the growth of fungi [157].

### 397 3.2. Enzymatic Deacetylation of Chitin

398 Chitosan can be derived from chitin by chemical or enzymatic deacetylations. Chemical  
399 deacetylation is usually preferred because is cheaper and guarantees suitability for mass production  
400 but, at the same time, presents disadvantages such as energy consumption and increased  
401 environmental pollution due to the alkaline conditions. To overcome these drawbacks in the  
402 preparation of chitosan, an innovative enzymatic method that exploits chitin deacetylases has been  
403 explored: enzymatic chitin. Hembach *et al.* with their research conducted in 2017, have chosen  
404 fungal, viral and bacterial chitin deacetylases, producing 14 possible partially acetylated chitosan

405 tetramers with a defined degree of acetylation and pattern of acetylation, also presenting a  
406 purification method [158].

#### 407 **4. Applications in Cosmetics**

408 Among polysaccharides, chitin, chitosan and their derivatives offer intangible qualities related  
409 to anti-aging, matrix metalloproteinase (MMP) inhibitors, antioxidant and anti-fungal properties.  
410 The use of chitin and chitosans has been suggested in different fields of skin, oral, nail and hair care  
411 applications, obtaining formulations that favor resolution of problems related to teeth, hair, nails  
412 and skin.

##### 413 *4.1. Skin Care Applications*

414 Chitin, chitosan and their derivatives are widely used in cosmetics precisely because they exert  
415 antioxidant, cleansing, protecting, humectant and antioxidant functions. Major chitin and chitosan  
416 cosmetic applications as antiaging and moisturizing agent, ultraviolet protective cosmetics and skin  
417 cleansing products, emphasizing skin essential functions like protection, absorption, thermal  
418 regulation, defense, reserve and synthesis are described in the following paragraphs.

##### 419 *Antiaging and Moisturizing Agent*

420 Skin aging is commonly a consequence of the intrinsic aging that occurs with the protraction of  
421 the years, but also of extrinsic aging, caused by external factors as cigarettes, UV radiations, air  
422 pollution etc. Dryness, relaxation, roughness and skin tissue laxity are the main characteristics of  
423 skin aging and UV rays exposure represents one of the most documented cause of the origin of  
424 hyperpigmentation and wrinkles, leading to the phenomenon that is recognized as a photoaging  
425 [159]. Recently, researchers have shown how chitosan, especially of high molecular weight,  
426 possesses film-forming properties that can promote a reduction in cutaneous water loss, an increase  
427 in skin elasticity and smoothness, making it so interesting in moisturizing cosmetic applications  
428 [160]. Transparency of film is one of the desirable features due to their great impact on the cosmetic  
429 fields, especially the ability to obtain a product with no further visible changes. Han and Floros  
430 calculated the transparency following this equation [161]:

$$431 \text{ Transparency} = A_{600}/x$$

432 where x is the film thickness (mm) and  $A_{600}$  is the absorbance at 600 nm. The greater transparency  
433 value is represented by lower transparency. In 2019, Montenegro and Freier have patented a  
434 transparent tissue dressing material based on deacetylated native chitosan that can be applied in  
435 different cosmetic applications such as peelings and face masks [162]. The skin treated with chitosan  
436 film neutralized in citrate buffer (with or without hyaluronic acid), prepared by Libio *et al.*, have  
437 demonstrated desquamation of the stratum corneum and a significant increase in the degree of  
438 hydration within 10 minutes on a model of pig skin, compared to skin without treatment. The results  
439 suggest that the biocompatible film, with the absence of glycerol, promotes a cosmetic effect for skin  
440 exfoliation, thanks to the bioadhesive properties of chitosan [163].

441 Morganti *et al.* in a study conducted in 2013 showed the anti-aging activity of a particular  
442 cosmetic formulation based on the use of chitin nanofibril-hyaluronan (CN-HA) block copolymeric  
443 nanoparticles. These CN-HA block-copolymers is to be used with invasive and non-invasive  
444 therapies in aesthetic medicine, exploiting drug delivery properties. *In vitro* studies have highlighted  
445 the ability to easily encapsulate different active compounds (like lutein) and *in vivo* studies have  
446 exalted the innovative anti-aging properties of these formulations, with encouraging long-lasting  
447 results [164-166]. As an alternative to the common chemical polymers, Rajashree and Rose  
448 investigated the anti-aging power of a gel based on collagen, chitosan and *Aloe vera*. Chitosan  
449 improved the stability and the capability to induce local cell proliferation and, the addition with  
450 collagen enhanced the skin fibroblasts biocompatibility, attachment and proliferation. The final  
451 system was able to increase the rejuvenation and regeneration of the skin [167].

## 452 Ultraviolet Protective Cosmetics

453 Chitin and chitosan show adhesive properties (thanks to the electrostatic interactions between  
454 the positively charged polysaccharides and negatively charged keratin-based structures [168]),  
455 water resistance, cytocompatibility and UV absorption (below 400 nm), qualities necessary for the  
456 formulation of protective creams. The main radiation characteristics of solar rays are UV, specifically  
457 UV-A (320-400 nm) and UV-B (290-320 nm). These radiations cause numerous adverse reactions on  
458 the skin such as sunburn, skin degeneration, photosensitivity, phototoxicity, photoaging,  
459 immunosuppression and skin cancer. In order to prevent such diseases caused by excessive  
460 exposure of the skin to solar radiation, chitosan-containing sunscreens with substances having  
461 strong protective efficacy are used [159,169]. Ito *et al.* used urocanic acid (UCA), the major  
462 UV-absorbing chromophore in the skin, to prepare nanofibrils of urocanic acid-chitin by UCA  
463 hydrolysis. They examined the protective effect of the formulation against UVB radiation  
464 demonstrating *in vivo* their protective effect but also the ability to inhibit erythema induced by UVB  
465 irradiation and solarization cell generation [170]. In a recent work, solar emulsion based on chitosan  
466 nanoparticles (150-500 nm) were prepared with annatto, ultrafiltered annatto, saffron and  
467 ultrafiltered saffron. All formulations were synthesized *via* ionotropic gelation and showed good  
468 preservation and low toxicity, while minimal sun protection was observed with sun protection  
469 factor (SPF) values ranging from 2.15 to 4.85. The storage stability was evaluated and the final  
470 system showed a good storage (about pH and viscosity) at room temperature for up to 90 days [171].

## 471 Skin Cleansing

472 Cleaning the skin means remove from its surface contaminating foreign substances that are  
473 acquired during a simple air exposure or cosmetic products application. Chitosan and its  
474 derivatives, thanks to their cationic nature, can be used as positively charged vehicles in the delivery  
475 of products for personal cleaning. They can indeed exploit the ionic attraction between their charge  
476 and the anionic nature of the surface of the skin [172]. A liquid cleansing composition with  
477 moisturizing and exfoliating dual-properties was designed and patented by Massaro *et al.* To date  
478 they have not used chitin as an ingredient in the specific cosmetic cleansing but it is present among  
479 the next substances list to be used as a candidate in the formulation of a new product [173].

## 480 4.2. Nail Care Applications

481 The nail is a structure produced by the skin and is therefore an appendage of the skin. Nail  
482 diseases, onychosis, have a distinct classification respect to skin diseases. Onychomycosis, a fungal  
483 infection of the nail unit, is a common disorder that is currently being treated with broad-spectrum  
484 antimycotics delivered through topical administration and/or in combination with systemic oral  
485 drugs. Most cases of onychomycosis are caused by skin infections or as a consequence of a nail  
486 trauma (mechanical trauma or exposure of chemical agents), altering the natural barrier function of  
487 the nail. A topical agent such as nail lacquers represents a valid topical formulation to prevent  
488 fungal infections compared to creams and solutions, since it favors a better stay of the formulation at  
489 the site of action. Hydroxypropyl chitosan (HPCH), a semisynthetic derivative of chitosan, has  
490 proved to be a valid candidate for the delivery of active products to nails, acting as a protective film  
491 that preserve nail structure, protecting keratin, maintaining hydration with a decrease of dystrophy  
492 signs in psoriatic nails [174,175]. Two recent studies conducted by Cantoresi *et al.* regarding the use  
493 of HPCH have shown the efficacy on the treatment of dystrophy in psoriatic nails by the association  
494 of the derivative chitosan with horsetail extract (*Equisetum arvense*) and methylsulphonyl-methane  
495 (DMSO<sub>2</sub>). During the preliminary study, the efficacy of this formulation was identified and then  
496 confirmed by a secondary and randomized, placebo-controlled, double-blind trial. This research  
497 covered a period of 24 weeks where the synthesized product proved to have been statistically  
498 superior to placebo [174,176]. Ghannoum *et al.* exemplify the effects of a HPCH-based nail solution  
499 compared to urea and isopropyl alcohol effects on the bovine hoof structure. They used HPCH as  
500 starting material for the composition of film able to prevent fungal infection (no to treat them) and to

501 protect keratin and maintains hydration with restructuration of nails. Unlike the chemicals normally  
502 used in cosmetic treatments (isopropyl alcohol or urea), repeated application of the HPCH nail  
503 solution can prevent the occurrence of new or recurrent fungal infections by increasing hardness,  
504 tensile strength, flexural strength of the hoof sample compared to the untreated control. HPCH also  
505 reduces the crumbling area of the sample after abrasion and penetration of dermatophyte hyphae  
506 [177].

#### 507 4.3. Hair Care Applications

508 The hair is the piliferous ends that grow at the level of the skin and are made of solid proteins,  
509 in a higher percentage keratin, composed of numerous amino acids among which lysine and  
510 cysteine, but also from the melanin that gives color to the hair. Different factors cause the damage of  
511 hair such as the use of high temperatures in the drying phase (hairdryer, curling or hair  
512 straightener), during the coloring phase with contact of aggressive chemical agents, while exposure  
513 to UV rays or for contact with chlorine. Here, as with previous applications, the use of chitin and  
514 chitosan demonstrate peculiar characteristics: electrostatic interaction (with negatively charged  
515 hair), hydrophobicity (removing oils and sebum from hairs), antibacterial and antifungal activities,  
516 interaction with the hair keratin creating a transparent and elastic film at the level of the hair surface,  
517 favoring and increasing softness and strength of the hair. Due to these properties, chitin and  
518 chitosan are used in the cosmetic formulations as: hair tonics, hair colorants, hair sprays, permanent  
519 wave agent, rinses, hair gels etc. As an example of drug product developed for scalp treatment, we  
520 report a study of Matos *et al.* who developed a delivery system based on chitosan nanoparticles  
521 (about 236 nm) loaded with minoxidil sulfate (MXS-NP), in a 1: 1 by weight ratio, for targeted release  
522 to hair follicles. Chitosan nanoparticles were obtained using low MW chitosan and tripolyphosphate  
523 as cross-linked agent. MXS-NP were able to accumulate in the hair follicles and support the release  
524 of drugs about twice as much compared to the previous microparticles loaded with MXS alone,  
525 maintaining relevant therapeutic concentrations for over 12 hours. The loading of MXS into chitosan  
526 nanoparticles proves to be a promising strategy for the release of drugs to hair follicles, improving  
527 the topical treatment of alopecia [178]. Nonetheless, the same strategy could be envisaged for the  
528 delivery of functional in cosmetic formulations. In 2017, researchers studied the properties of hair  
529 covered with thin films consisting of collagen, chitosan and hyaluronic acid mixture, evaluating  
530 their respective surface and mechanical properties. Chitosan and collagen were mixed in different  
531 volumetric ratios: 25:75, 50:50 and 75:25, while percentages of 1, 2 and 3% of hyaluronic acid were  
532 added to the final solution. The film was obtained through solvent evaporation at room temperature.  
533 Thin films formulation brings numerous benefits to the hair such as thickness increase, favorable  
534 mechanical properties, better appearance and conditioning [179].

#### 535 4.4. Oral Care Applications

536 When referring to dental care, we mean the organs within the oral cavity like the teeth and the  
537 gum which is a soft connective tissue that surrounds the teeth and covers the alveolar process.  
538 Among the various dental diseases, we can include: anodontia (genetic disorder of teeth congenital  
539 absence), dental caries (degenerative disease of tooth tissues), tooth wear (loss of dental substance by  
540 means other than dental caries or dental trauma), periodontal disease (inflammation of dental tissue)  
541 and bruxism (rubbing of teeth during sleep). As far as gingiva-related diseases are concerned,  
542 gingivitis (an increase in the thickness of the free gum) and periodontitis (an infection involving  
543 tooth support tissues leading to loss of gingival attachment) are often frequent. Chitosan and its  
544 derivatives are used in the treatment of oral problems through the formulation of gels, dentifrices,  
545 sprays, chewing gum, mouth rinses and microspheres, going to prevent diseases such as oral  
546 mucositis, plaque formation, periodontal problems and bacterial growth control.

#### 547 Caries Treatment



548 Dental caries, one of the most common oral health problems worldwide, is a pathological  
549 process caused by the organic acids produced by the dental plaque biofilms present on the enamel  
550 surface. The formation of dental caries and enamel surface white spot lesions are dynamic processes  
551 associated with an imbalance between demineralization and remineralization which must be treated  
552 with a remineralizing agent. He *et al.* have recently published an anti-cariogenic system able to  
553 prevent and treat early caries and white lesions and to promote remineralization. A mineral solution  
554 of nanocomplexes of carboxymethyl chitosan/amorphous calcium phosphate (CMC/ACP) was  
555 previously characterized and its antibacterial activity was evaluated on enamel coated blocks of  
556 saliva, going to inhibit the percentage of adherence of *Streptococcus mutans* and *Streptococcus gordonii*  
557 for 90% and 86%, respectively, and biofilm formation, by 45% and 44%, respectively. Additionally,  
558 CMC/ACP reduced the attachment of *Fusobacterium nucleatum* (promoter of biofilm development) to  
559 streptococcal biofilm by 75% and acting on both zeta potential of the bacterial suspension and  
560 cytochrome c binding to bacteria [180]. Regarding the formulation of toothpastes, Achmad *et al.*  
561 synthesized a chitosan-based dentifrice (5%) from white shrimp (*Litopenaeus vannamei*) able to reduce  
562 the number of colonies of *Streptococcus Mutans* in the case of early childhood caries. The effectiveness  
563 of chitosan toothpaste was more effective than chitosan with 2.5% toothpaste and placebo toothpaste  
564 [181].

#### 565 Erosive Tooth Ware Treatment

566 The loss of dental substance caused by chemical and mechanical processes, that do not involve  
567 bacteria, belong to the erosive tooth ware. In a recent study published in 2018, researchers tested the  
568 preventive erosive effect of toothpastes in permanent teeth and, for the first time, in deciduous teeth.  
569 They noted that the deciduous teeth had a lower initial superficial microhardness than that of  
570 permanent teeth. No significant differences were observed between the two types of teeth when  
571 fluoride toothpaste (four different formulations) were used but, in the treatment with placebo  
572 dentifrice without fluoride, the deciduous teeth showed a significantly greater softness compared to  
573 the permanent teeth. They found that AmF-NaF-SnCl<sub>2</sub> anti-erosion toothpaste shows a better  
574 preventive effect only for deciduous teeth while the NaF anti-erosion children toothpaste  
575 formulation allows better efficacy for both teeth [182]. Beltramea *et al.* evaluated in vitro the  
576 anti-erosive effects of phosphorylated chitosan solutions in bovine dentin. The loss of dentin surface,  
577 the surface hardness and modulus of elasticity were measured by profilometry, nano-hardness and  
578 scanning electron microscopy (SEM), demonstrating a preventive and therapeutic action of chitosan  
579 in the treatment of dental erosion [183].

#### 580 Gingivitis Treatment

581 An oral disease of lichen planus is the desquamative gingivitis, where the gingiva becomes  
582 inflamed, swollen and takes on a more reddish color. Desquamative gingivitis can be treated with  
583 administration of topic corticosteroids, such as hydrocortisone sodium succinate, a synthetic  
584 water-soluble derivative of hydrocortisone, with peculiar properties: antiviral, anti-coma and  
585 anti-inflammatory [184]. Last year, Davoudi *et al.* developed with an environmental friendly process,  
586 a chitosan/gelatin/keratin composite containing hydrocortisone sodium succinate as a buccal  
587 mucoadhesive patch to treat desquamative gingivitis, with pH values suitable for the oral cavity  
588 [185].

#### 589 Periodontitis Treatment

590 Periodontitis, initiated by bacteria accumulation, consists in the destruction of dental structures  
591 (loss of alveolar bone, periodontal ligament tearing) that can lead to the actual loss of teeth [186]. The  
592 disease, associated with an inflammatory state, can be treated with the administration of statin drugs  
593 such as atorvastatin. To increase the efficacy of the drug and improve its *in situ* administration,  
594 Özdoğan *et al.*, have developed a bioadhesive delivery system based on chitosan, for the local  
595 administration of atorvastatin. Good viscosity and bioadhesive properties have been found, favoring



596 an easy applicability at the level of the periodontal pocket and a sustained release of the drug. *In*  
597 *vitro* studies using human gingival fibroblast cells showed that cytokine release decreased with  
598 atorvastatin and the presence of chitosan enhanced anti-inflammatory activity. Following the  
599 administration of the system they found, compared to the control, a dental bone healing and a  
600 decreased level of proinflammatory cytokines interleukin-1beta (IL-1 $\beta$ ), IL-6; IL-8; IL-10, and  
601 anti-inflammatory transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1), TGF- $\beta$ 2 and TGF- $\beta$ 3 [187]. The same  
602 research group in another study, have evaluated *in vivo* efficacy on the system previously described,  
603 administering chitosan gels with 2% w/v of atorvastatin at rats with periodontitis induced by  
604 ligation. The research did not find difference between the water soluble and basic chitosan  
605 formulations in relation to the anti-inflammatory and bone repair activity [188].

## 606 5. Conclusions

607 The group of green products is wild and huge and among these, green cosmetics are increasing  
608 their marketing power [189]. Chitin and chitosan are natural biopolymers that can be used in  
609 cosmetic formulations, based on their structural characteristics (MW, DD, viscosity and solubility).  
610 This review was mainly focused on the physicochemical and biological characteristics through  
611 which it is possible to obtain marine-based polymers like chitin and chitosan as starting material for  
612 cosmetic products, following environment-friendly extractions. These polysaccharides and their  
613 respective derivatives have gained much attention due to their high percentage of nitrogen (6.8%)  
614 and thanks to their specific characteristics and biological activities, as antibacterial and antioxidant  
615 potential agents in many cosmetic formulations. One of the major applications of chitin and chitosan  
616 is to act as a promising delivery vehicle for active ingredients like natural compound, but also  
617 drug-like active ingredient, indispensable qualities especially in cosmetic science. In addition, their  
618 positive charge at physiological conditions favors the arrangement of a stable system by exploiting  
619 the negatively charged nature of the skin, conferring an electrostatic durable interaction. With this  
620 review we wanted to offer an overview of recent developments regarding the use of chitin and  
621 chitosan in cosmetic products related to hair, skin, nails and oral care, also proposing the use of  
622 products containing drugs (cosmeceutical) as an alternative to the classic cosmetic, hoping for  
623 upcoming specific regulations.  
624

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