

1 Article

2 **Improvement in diabetic retinopathy through protection against**
3 **retinal apoptosis in spontaneously diabetic Torii rats mediated by**
4 **ethanol extract of *Osteomeles schwerinae* C.K. Schneid.**

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20

21 **Abstract:** Retinal apoptosis plays a critical role in the progression of diabetic retinopathy (DR), a
22 common diabetic complication. Currently, the tight control of blood glucose levels is the standard
23 approach to prevent or delay the progression of DR. However, prevalence of DR among diabetic
24 patients remains high. Focusing on natural nutrients or herbal medicines that can prevent or delay
25 the onset of diabetic complications, we administered an ethanol extract of the aerial portion of
26 *Osteomeles Schwerinae* (OSSCE), a Chinese herbal medicine, over a period of 17 weeks to
27 spontaneously diabetic Torii (SDT) rats. OSSCE was found to ameliorate retinal apoptosis through
28 the regulation of advanced glycation end products (AGEs) accumulation, oxidative stress, and
29 mitochondrial function via inhibition of NF- κ B activity, in turn through the downregulation of PKC δ ,
30 P47phox, and ERK1/2. We further demonstrated in 25 mM glucose-treated human retinal
31 microvascular endothelial cells (HRMECs) that hyperoside (3-O-galactoside-quercetin), quercitrin (3-
32 O-rhamnoside-quercetin), and 2''-O-acetyl-vitexin (8-C-(2''-O-acetyl-glucoside)-apigenin) were the
33 active components of OSSCE that mediated its pharmacological action. Our results provide evidence
34 that OSSCE is a powerful agent that may directly mediate a delay in development or disease
35 improvement in patients of DR.

36

37 **Keywords:** *Osteomeles Schwerinae*; diabetic retinopathy (DR); spontaneously diabetic Torii (SDT) rat;
38 human retinal microvascular endothelial cells (HRMECs); advanced glycation end products (AGEs);
39 retinal apoptosis; oxidative stress; mitochondrial function; adjunctive effect; combination therapy

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41

42 1. Introduction

43 Diabetic retinopathy (DR) is common long-term microvascular complication of diabetes and
44 is a microcirculation disorder that accounts for the large majority of cases of visual impairment in
45 working-class adults. DR has early non-proliferative and late proliferative stages [1]. Early changes
46 in DR include apoptosis of peripheral blood cells, microvascular occlusion, vascular leakage and
47 microaneurysm [2]. Retinal endothelial cells (REC) form the first barrier that senses changes in blood
48 glucose and is therefore often the primary target of diabetic complications. The dysfunction of REC
49 is a common basis for microvascular complications, including DR. Two hallmarks of human retinal
50 cell loss in chronic diabetes have been reported – the loss of blood-retinal barrier integrity and direct
51 effects on metabolism in the neural retina [3]. Diabetic metabolic influence on retinal neurons leads
52 to an increase in apoptosis, which in turn causes breakdown of the blood-retinal barrier. Retinal
53 neuronal cell death occurs early in diabetes, indicating that DR is an important risk factor for later
54 development of neurodegeneration. The retinal neuron cells begin to die soon after the onset of
55 streptozotocin (STZ)-induced diabetes in an experimental rat model. The increase in frequency of
56 apoptosis occurred after only one month of induction, and a similar increase was noted in human
57 retinas after six years of diabetes. The development of DR is usually irreversible, since retinal neurons
58 cannot normally be replaced. This underlines the importance of deploying preventive measures in
59 diabetic patients prior to the development of overt clinical symptoms [4]. The current therapy for
60 patients with DR involves the tight control of blood glucose levels, with the aim of postponing disease
61 onset and progression. Nevertheless, the prevalence of DR remains high [5]. To address this problem,
62 at least in the form of adjunct treatment, we have used natural resources as nutrient or herbal
63 medicine to develop an alternative preventive and/or therapeutic strategy against the onset and
64 progression of retinal apoptosis.

65 Under conditions of chronic hyperglycaemia, glucose and other reducing sugars react non-
66 enzymatically with proteins, leading to the formation of advanced glycation end products (AGEs).
67 AGEs remain tightly bound to proteins and form intra- and inter- molecular crosslinks with adjacent
68 proteins[6]. They have been implicated in diabetes-related complications. Their formation and
69 accumulation damages cells in tissues such as the retinal vascular endothelium and kidney
70 glomerular mesangium via binding interactions between them and the AGE receptor (RAGE) [7-9].
71 In patients with diabetes, AGEs are abnormally elevated and found accumulated in tissues and
72 organs that form the sites for chronic diabetic complications [7]. In this vein, Hamme's group reported
73 that AGEs accumulated in diabetic retinal vascular cells [10] promoted retinal apoptosis and vascular
74 hyper-permeability [11]. Under hyperglycaemic conditions, oxidative stress is initiated by the
75 generation of free radicals through protein glycation. An abnormal increase in reactive oxygen
76 species (ROS) levels and/or decrease in antioxidant levels leads to cellular damage by hampering
77 normal mitochondrial function. The damaged organelles trigger the apoptotic signalling pathway
78 [12]. Oxidative stress-induced apoptosis follows the intrinsic mitochondrial pathway, with the
79 disruption in balance between pro-apoptotic proteins such as B-cell lymphoma-2-associated X
80 protein (Bax) and anti-apoptotic proteins such as B-cell lymphoma-1 (Bcl-1) proteins resulting in an
81 excess of pro-apoptotic proteins in the cells, which reduces mitochondrial membrane potential
82 ($\Delta\Psi$ M) following the release of cytochrome c into the cytosol [13].

83 *Osteomeles schwerinae* C. K. Schneid (Chinese name: Huaxixiaoshiji) is recorded in the traditional
84 Chinese book of botanical medicine, the Chinese Materia Medica. It is a species of deciduous, semi-

85 evergreen shrub of the family Rosaceae that is indigenous to Asia and Polynesia. It has been used in
86 traditional Chinese folk medicine to treat various diseases, including dysentery, diarrhoea, sore
87 throat, arthritis, neuralgia, and furuncles [14]. In our preliminary studies to uncover therapeutic
88 agents responsible for the effects of plants shown to combat diabetic complications, it was discovered
89 that an ethanol extract of the leaves and twigs of *O. schwerinae* (OSSCE) and two flavonoids,
90 hyperoside and quercitrin, isolated from OSSCE, inhibited the activity of rat lens aldose reductase
91 (RLAR) [15]. Specifically, a novel phytochemical compound, 5'-methoxy (1,1'-biphenyl)-3,4,3'-triol
92 from OSSCE (referred to as K24) was confirmed to reduce the dilation of hyaloid-retinal vessels to
93 near-normal values in 130 mM glucose-treated *flk: EGFP* transgenic zebrafish larvae [16]. The anti-
94 angiogenic action of K24 was also demonstrated in an oxygen-induced retinopathy (OIR) mouse
95 model [17]. We have previously reported that OSSCE reduces AGE/ RAGE binding interaction and
96 the expression of TGF- β 1 by pERK1/2, p38MAPK, and I κ B phosphorylation in mouse glomerular
97 mesangial cells under diabetic conditions [18]. We also used a peroxidase labelling kit-NH₂ to
98 determine that another novel compound from OSSCE, 4-hydroxy-3',5'-dimethoxybiphenyl-(1,1'-
99 biphenyl)-3-O- β -D-glucopyranoside (referred to as K19), inhibits non-enzymatic formation of AGE
100 and cross-linking of AGE to collagen *in vitro*. Intravitreal injection of K19 into the *in vivo* model –
101 AGE-modified rat serum albumin (AGE-RSA)-injected Sprague-Dawley (SD) rats – inhibited retinal
102 vascular leakage by suppressing the expression of vascular endothelial growth factor (VEGF) and
103 preventing the loss of occludin, an important tight junction protein [19]. Furthermore, it was also
104 confirmed that OSSCE inhibits extracellular matrix accumulation and mesangial proliferation of
105 glomeruli in spontaneously diabetic Torii (SDT) rats through inhibition of the interaction between
106 platelet-derived growth factor-B chain (PDGF-BB) and PDGF-BB receptor (PDGFR- β) [20].
107 Hyperoside, isolated from *Abelmoschus manihot*, prevents glomerular podocyte apoptosis in STZ-
108 induced diabetic nephropathy [21]. Hyperoside from *Allium victorialis* exhibits inhibitory effects on
109 AGE formation and disrupted AGE-RAGE binding in hRAGE overexpressing mesangial cells [22].
110 In this study, we investigated the inhibitory effects of OSSCE on AGE accumulation and retinal cell
111 apoptosis in SDT rats. A multi-targeted-mode of action was confirmed in human retinal
112 microvascular endothelial cells (HRMECs) for OSSCE and its marker compounds (MCs), quercitrin,
113 hyperoside, and 2''-O-acetylvitexin under hyperglycaemic conditions.

114 2. Materials and Methods

115 2.1 OSSCE preparation

116 OSSC was collected in Kunming, Yunnan Province, China, in April 2011 and identified by
117 Professor Joo Hwan Kim (Gachon University, Korea). A voucher specimen (no. DiAB-141) was
118 deposited in the herbarium of Korea Institute of Oriental Medicine (KIOM), Korea. For animal and
119 cell studies, air-dried leaves and twigs (4 kg) were extracted with EtOH three times by maceration.
120 The combined extracts were filtered and concentrated using a vacuum evaporator, leaving behind
121 the EtOH extract [15].

122

123 2.2 HPLC chromatogram of OSSCE

124 The air-dried leaves and twigs of OSSC were chopped and then extracted with 99% ethanol for
125 24 h at room temperature under reflux and concentrated to obtain OSSCE. Hyperoside and quercitrin

126 were purchased from Sigma, and 2''-O-acetylvitexin was isolated from OSSCE and identified from
127 the spectroscopic data. HPLC analysis was performed using an Agilent 1200 HPLC instrument
128 (Agilent Technologies, USA) equipped with a binary pump, vacuum degasser, auto sampler, column
129 compartment, and diode array detector (DAD). The column used was a Luna C₁₈ (250 × 4.6 mm/5.0
130 μm, Phenomex, USA). The mobile phase was composed of HPLC grade methanol (A) and 0.1% acetic
131 acid in H₂O (B) and gradually changed as follows: from 0 min to 40 min (A: 25%–45%; B: 75%–55%);
132 from 40 min to 55 min (A: 45%–70%; B 55%–30%); from 55 min to 65 min (A: 70%–100%; B 30%–0%);
133 from 65 min to 70 min (A 100%). Column temperature was maintained at 30°C. Analysis was
134 performed at a flow rate of 1.0 mL/min and monitored at UV 254 nm.

135

136 2.3 Inhibitory activity on non-enzymatic AGE formation

137 Bovine serum albumin (BSA; Roche Diagnostics, Basel, Swiss) in phosphate buffer containing
138 sodium azide (s-8032, Sigma-Aldrich, St. Louis, MO, USA) was added to a 0.2 M solution of glucose
139 and fructose. This solution was added to the OSSCE or aminoguanidine (AG; 396494; Sigma-Aldrich),
140 a positive control. Following 14 days of incubation, AGE-specific fluorescence was analysed using a
141 spectrofluorometer (Synergy HT; BIO-TEK, Winooski, VT, USA; 370 nm/440 nm). The IC₅₀ value was
142 calculated from the dose inhibition curve.

143

144 2.4 Inhibitory activity on AGE formation and expression of RAGE in HRMECs

145 Cells were grown in DMEM/F-12 with 10% foetal bovine serum in a 5% CO₂ incubator. They
146 were treated with either OSSCE or AG dissolved in DMSO for 1 h before addition of 25 mM HG and
147 500 μg/mL BSA, following which they were incubated for 24 h. To obtain the protein, cells were lysed
148 with Laemmli sample buffer (Bio-Rad) and heated at 95°C for 5 min. Protein was separated by SDS–
149 PAGE and transferred to a PVDF membrane using a Bio-Rad semi-blotting apparatus. The membrane
150 was incubated with antibodies specific for AGE (1:2000, Trans Genic Inc.), RAGE (1:5000, Cell
151 signalling), and β-actin (1:3000, Cell signalling), washed, and incubated with horseradish peroxidase-
152 linked secondary antibodies. All sample detection and analysis was performed using LAS-3000 (Fuji
153 Photo Film).

154

155 2.5 Animal experimental design

156 SDT rats 10 weeks of age and age-matched SD rats were purchased from CLEA Japan (Tokyo,
157 Japan) and OrientBio (Korea), respectively. They were acclimated, maintained in a controlled
158 temperature room (22 ± 2°C in 55 ± 10% relative humidity) with a 12-h light-dark cycle. They received
159 a basal diet (5L79, PMI Nutrition International, St Louis, MO, USA) and tap water ad libitum for 14
160 weeks until the blood glucose levels of SDT rats reached 300 mg/dL. At 24 weeks of age, the rats were
161 randomly divided into four groups: (1) normal SD rats (Nor, n = 10), (2) vehicle-treated SDT rats
162 (SDT, n = 10), (3) SDT rats treated with 100 mg/kg/day of OSSCE (OSSCE-100, n = 10) and (4) SDT rats
163 treated with 250 mg/kg/day of OSSCE (OSSCE-250; n = 10). OSSCE was dissolved in distilled water
164 and administered once a day orally for 17 weeks. All 42-week-old rats were sacrificed. All animal

165 care procedures were approved by the Institutional Animal Care and Use Committee of KIOM
166 (IACUC Approval number HH109037). Blood samples were obtained at the time of sacrifice. Blood
167 glucose level was measured with an automated biochemistry analyser (HITACHI 917, Japan), and
168 glycated haemoglobin was determined by a commercial kit (Unimate HbA1c, Roche Diagnostic,
169 Mannheim, Germany) [20].

170

171 2.6 Cell culture

172 Human retinal microvascular endothelial cells (HRMECs) were purchased from Cell Systems
173 (Cat. No. ACBRI 181, Kirkland, WA, USA) and used at passages 3–7. Cells were grown in CSC
174 complete medium (CS-4ZO-500; Cell Systems) containing Bac-Off® (antibiotic). Cultures were
175 maintained at 37°C in a humidified 95% air/5% CO₂ atmosphere [23].

176

177 2.7 Western blot analysis

178 Cells were treated with Laemmli sample buffer (Cat. No. 161-0737, Bio-Rad, CA, USA) and
179 heated to 100°C for 5 min. Proteins were electrophoresed at 20 µg/lane on a denaturing SDS–
180 polyacrylamide gel and transferred to a nitrocellulose membrane (Whatman, GmbH, Hahne str.,
181 Germany) using a Bio-Rad tank blotting apparatus (Bio-Rad, Hercules, CA, USA). Membranes were
182 probed with 1:1000–1:2000 dilutions of primary antibodies against p47 Phox (Santa Cruz
183 Biotechnology), ERK1/2 (Cell Signaling Technology, Danvers, MA, USA), PKC δ (Santa Cruz
184 Biotechnology) and β -actin (Sigma). The membrane was washed and incubated with a horseradish
185 peroxidase-coupled goat anti-rabbit IgG (Santa Cruz Biotechnology). After washing the membranes
186 thrice, signals were detected with a WEST-one ECL solution (Intron, Korea) using a Fujifilm LAS-
187 3000 (LAS-3000, Fuji Photo, Tokyo, Japan). The band intensities were determined using Multi Gauge
188 Version 3.0 software.

189

190 2.8 TUNEL staining

191 The rat retinal vessel was fixed with 4% paraformaldehyde. TUNEL staining was performed with a
192 Dead End Fluorometric TUNEL kit as per the manufacturer's instructions (Promega, Madison, WI,
193 USA).

194

195 2.9 IKK complex assay

196 IKK activity was evaluated using an IKK- β inhibitor screening kit (Calbiochem, CA, USA)
197 according to the manufacturer's instructions.

198

199 2.10 Morphological observation of mitochondria

200 For assessment of mitochondrial morphology in living cells, mitochondria were stained with
201 MitoTracker red (Life Technologies, USA) and phalloidin (Santa Cruz, USA) for 30 min at 37°C in a
202 humidified chamber with 5% CO₂. Images were taken using an Olympus FV10i confocal microscope.

203 To observe individual mitochondria, z-stack images were acquired in series of six slices per cell
204 ranging in thickness from 0.5 to 0.8 μm per slice.

205

206 2.11 Mitochondrial membrane potential ($\Delta\Psi\text{m}$) analysis

207 The lipophilic cationic probe JC-1 (Abcam, USA) was employed to measure the mitochondrial
208 membrane potential ($\Delta\Psi\text{m}$) of cells according to the manufacturer's directions. Cells were incubated
209 with 5 $\mu\text{g}/\text{mL}$ JC-1 for 20 min and rinsed with JC-1 staining buffer. The fluorescence intensity of
210 mitochondrial JC-1 monomers (green) and aggregates (red) was detected using an Olympus
211 microscope (BX51, Olympus, Japan). In healthy cells with high mitochondrial $\Delta\Psi\text{m}$, JC-1 forms
212 complexes that emit intense red fluorescence (JC-1 aggregates). In apoptotic cells with low $\Delta\Psi\text{m}$, JC-
213 1 remains in monomeric form, and emits green fluorescence. The ratio of red to green fluorescence
214 was calculated by analysing the digital images using Image J software (National Institutes of Health,
215 MD, USA) and was indicative of the $\Delta\Psi\text{m}$.

216

217 2.12 Intracellular ROS measurement

218 Measurement of intracellular ROS level was made using dihydrodichlorofluorescein diacetate
219 (DCF-DA), in which the fluorescent probe, 2',7'-dichlorodihydrofluorescein diacetate ($\text{H}_2\text{DCF-DA}$;
220 Molecular Probes Inc., Eugene, OR, USA), was converted by intracellular esterase to H_2DCF , which
221 was oxidized by intracellular ROS to the highly fluorescent DCF. OSSCE or MCs treatment was
222 administered for 10 min, and the cells were then stimulated with HG for 96 h. Cells were washed
223 with HBSS buffer and incubated in the dark for 30 min in HBSS buffer containing 50 μM $\text{H}_2\text{DCF-DA}$.
224 DCF fluorescence was measured using a Synergy HT spectrofluorometer (ex. 485 nm/em. 530 nm,
225 BIO-TEK, VT, USA). The production of intracellular ROS was visualized by fluorescence microscopic
226 imaging of cells incubated in the dark for 5 min in HBSS buffer containing 10 μM $\text{H}_2\text{DCF-DA}$, using
227 an Olympus microscope (BX51, Olympus, Japan) equipped with an Olympus DP 70 camera.

228

229 2.13 Intracellular 8-OHdG measurements

230 Cells were washed with PBS, fixed, and permeabilized with 0.2% Triton X-100. Following three
231 additional washes, cells were incubated with primary antibody against 8-OHdG (1:100, Abcam),
232 washed, and incubated with the secondary antibody conjugated to Alexa Fluor 594. After removing
233 the secondary antibody, cells were washed three times and observed under the inverted fluorescence
234 microscope.

235

236 2.14 Immunocytochemistry

237 Cells were grown to 80% confluency in 4-well slides, synchronized, and exposed for 96 h to HG
238 in the absence or presence of treatment solution (OSSCE or MCs). Cells were fixed for 15 min in 4%
239 paraformaldehyde in PBS at 4°C and washed. For determination of NF- κB nuclear translocation,
240 treated HRMECs were washed and fixed using 4% paraformaldehyde in PBS. Cells were then washed
241 and treated with 10% goat serum in PBS for 30 min to block nonspecific binding. The primary NF- κB

242 antibody was diluted 1:1000 and incubated for 1 h. After further washing, cells were incubated with
243 FITC for 1 h. Stained cells were sealed with mounting solution (DAKO, Glostrup, Denmark) and
244 observed using an Olympus fluorescence microscope (BX51) equipped with an Olympus DP 70
245 camera.

246

247 2.15 Measurement of NADPH oxidase activity

248 After treatment with OSSCE or MCs, cells were washed and scraped, and then harvested with
249 lysis buffer containing 20 mM KH_2PO_4 , protease mixture inhibitor, 1 mM EGTA, 10 $\mu\text{g}/\text{mL}$ aprotinin,
250 0.5 $\mu\text{g}/\text{mL}$ PMSF at 4°C.

251 Following centrifugation at 10,000 g for 10 min, cell lysates were analysed immediately [24].

252

253 2.16 Statistical analysis

254 Image analysis was implemented using Image J software (National Institutes of Health, MD,
255 USA) and averaged. All experiments were repeated at least three times. The data are analysed using
256 one-way analysis of variance (ANOVA) followed by Tukey's multiple comparison test or using an
257 unpaired Student's t-test with the Prism 6.0 software (GraphPad software, San Diego, CA, USA).

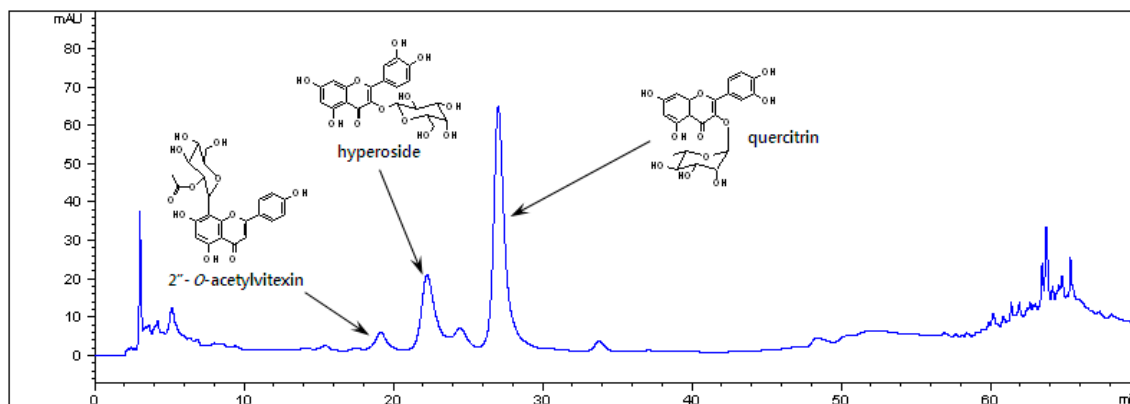
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259 3. Results

260 3.1 HPLC Chromatogram of OSSCE

261 HPLC analysis demonstrated that hyperoside, quercitrin, and 2''-O-acetylvitexin are marker
262 compounds for OSSCE (Fig. 1).

263



264

265 **Figure 1.** HPLC chromatogram of ethanol extract of the aerial part of *Osteomeles Schwerinae* (OSSCE).

266

267 3.2 OSSCE inhibits AGE formation and RAGE expression in 25 mM glucose-treated HRMECs

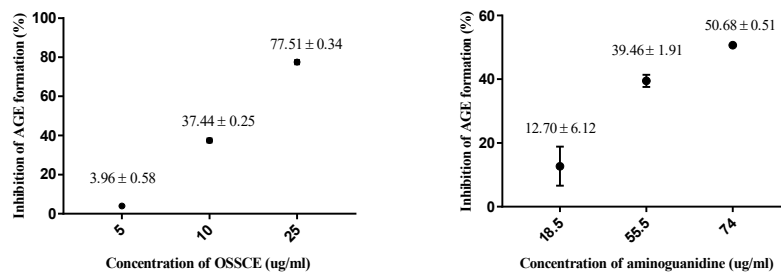
268 OSSCE inhibits the non-enzymatic formation of AGEs (IC_{50} : $16.34 \pm 0.04 \mu\text{g}/\text{mL}$) more effectively
269 than aminoguanidine (AG), an established AGE inhibitor (IC_{50} : $72.28 \pm 4.21 \mu\text{g}/\text{mL}$) (Fig. 2a).
270 HRMECs were treated with 10 ng/mL OSSCE or 10 nM doses of the three identified MCs, and then
271 incubated with 25 mM glucose (HG). OSSCE- and MC-treated HRMECs showed a marked reduction

272 in the formation of AGEs compared with vehicle-treated HRMECs ($##P < 0.001$). OSSCE and
 273 quercitrin significantly reduced the expression of RAGE ($##P < 0.01$, $#P < 0.05$). RAGE expression in
 274 hyperoside- and 2''-O- acetylvitexin-treated groups exhibited a decreasing trend (Fig. 2b).
 275 Concentration of serum AGEs was prominently increased in vehicle-treated SDT rats compared with
 276 normal SD rats ($**P < 0.01$). OSSCE treatment (250 mg/kg/day) significantly decreased AGEs levels in
 277 SDT rats relative to vehicle-treated rats ($#P < 0.05$) (Fig. 2c). Whole retinal tissue from vehicle-treated
 278 SDT rats showed significant accumulation of AGEs relative to normal SD rats ($**P < 0.01$). High doses
 279 of OSSCE significantly reduced levels of AGEs relative to levels in vehicle-treated SDT rats ($#P < 0.05$)
 280 (Fig. 2d).

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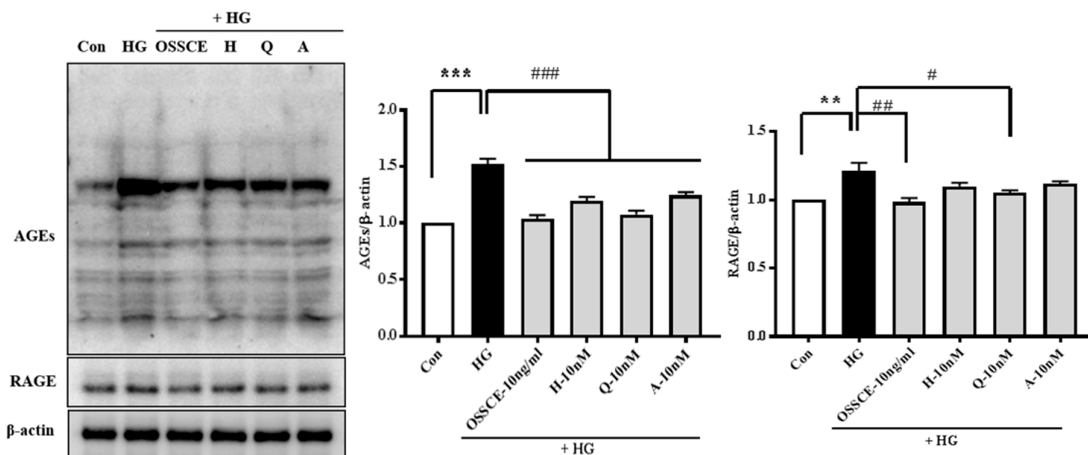
(a)



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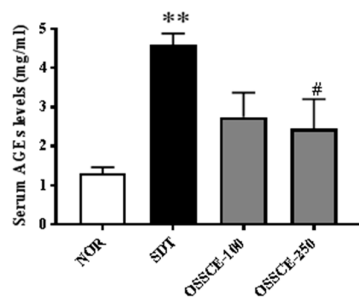
(b)



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(c)



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(d)

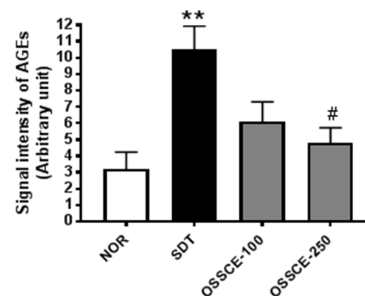
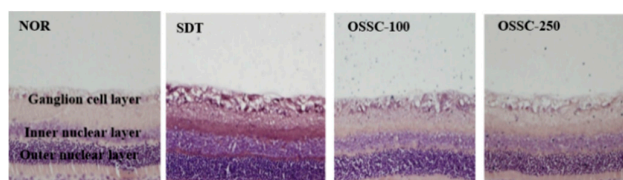


Figure 2. OSSCE inhibits non-enzymatic AGE formation, AGE formation and RAGE expression in HG-treated HRMECs.

(a) Inhibitory action of OSSCE on non-enzymatic AGEs formation. Aminoguanidine (AG) was used as a positive control. OSSCE was added into the solution of BSA and 0.2 M glucose and fructose, and incubated for 14 days, AGE-specific fluorescence was analysed using a spectrofluorometer. The IC₅₀ value was calculated from the dose inhibition curve. IC₅₀ values of OSSCE and AG activity against non-enzymatic AGE formation are $16.34 \pm 0.04 \mu\text{g/mL}$ and $72.28 \pm 4.21 \mu\text{g/mL}$, respectively ($n = 3$). (b) Inhibitory effect of OSSC and MCs on AGE formation and RAGE expression in HG-treated HRMECs. Con, HG, H, Q, A stand for control, 25 mM glucose, hyperoside, quercitrin, and 2''-O-acetylvitexin, respectively. HG incubation for 96 h was performed after treatment with OSSCE or MCs. Cell lysate was subjected to western blotting with monoclonal antibodies against specific AGEs, RAGE, and β actin, as described in Materials. All data are expressed as the mean \pm SD ($n = 3$). *** $p < 0.001$, ** $p < 0.01$ vs. Con.; *** $p < 0.001$, ** $p < 0.01$, # $p < 0.05$ vs. HG. AGEs level in serum (c) and whole retina (d) of SDT rats. OSSCE was administered at 100 or 250 mg/kg/day orally for 17 weeks. Serum AGEs levels were analysed by ELISA. AGEs in rat retinas were analysed by immunofluorescence staining and western blot analysis followed by densitometric quantification. ** $p < 0.01$ vs. NOR; # $p < 0.05$ vs. SDT. ** $p < 0.01$ vs. NOR; # $p < 0.05$ vs. SDT. Data are expressed as means \pm S.D. ($n = 3-5$).

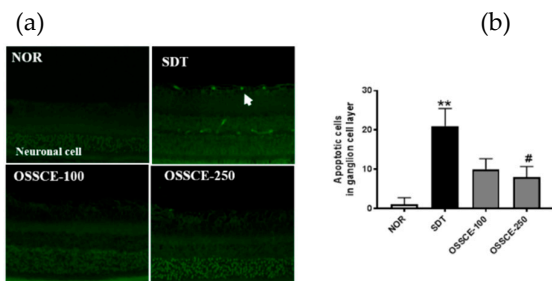
3.3 OSSCE inhibits apoptosis of the retinal ganglion cell layer and whole retinal vessels in SDT rats

To confirm the inhibitory effect of OSSCE on retinal damage, we investigated levels of apoptosis in SDT rat tissues. We applied the terminal deoxynucleotidyl transferase dUTP nick end labelling (TUNEL) assay in trypsin-digested retinal ganglion cells and in whole retinal vessels. The retinal trypsin digests were analysed to quantitate TUNEL-positive cells. Examination of the retinal trypsin digests of vehicle-treated SDT rats showed dramatic increases in TUNEL-positive cells in the retinal ganglia (** $p < 0.01$) and in whole retinal vessels (*** $p < 0.001$) relative to that seen in normal SD rats. OSSCE-treated SDT rats exhibited a significant reduction in the number of TUNEL-positive cells in the ganglion layer (# $p < 0.05$) relative to vehicle-treated SDT rats (Fig. 3a). Levels of apoptosis in whole retinal vessels of SDT rats treated with two different dosages of OSSCE ($18 \pm 11\%$, $11 \pm 9\%$) reduced by 67% and 78% respectively relative to the levels seen in vehicle-treated SDT rats ($45 \pm 15\%$) (** $p < 0.01$; *** $p < 0.001$) (Fig. 3b). We investigated further the ratio between Bax and Bcl-2 and the expression of cleaved caspase-3 in the trypsin-digested whole retina of SDT rats. The ratio of Bax to Bcl-2 in vehicle-treated SDT rats was significantly increased relative to that seen in normal SD rats (** $p < 0.01$). OSSCE-treated SDT rats exhibited a significantly reduced Bax to Bcl-2 ratio when compared with vehicle-treated SDT rats, with the decrease occurring in a dose-dependent manner (# $p < 0.05$; ** $p < 0.01$) (Fig. 3c, left panel). Expression of cleaved caspase-3 in vehicle-treated SDT rats also increased markedly (** $p < 0.01$), but was significantly decreased in 250 mg/kg OSSCE-treated SDT rats (# $p < 0.05$) (Fig. 3c, right panel).

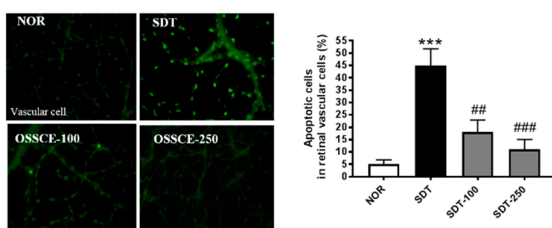
3.4 OSSCE and MCs inhibit HG-induced intracellular ROS generation and 8-OHdG expression in HRMECs.

HRMECs were treated with 10 ng/mL OSSCE and 10 nM MCs before incubation with HG for 96 h, and assayed for intracellular ROS generation and 8-OHdG expression using fluorescence microscopy. The HG-treated group demonstrated a significant increase in ROS generation compared with the normal group ($***p < 0.001$). The OSSCE- and MCs-treated groups exhibited significantly lower ROS production relative to the HG-treated group ($###p < 0.001$) (Fig. 3d). Expression of 8-OHdG by HG was also significantly increased almost ten-fold compared to that seen in the control group ($***p < 0.001$). The OSSCE- and MCs-treated groups exhibited significantly reduced expression of 8-OHdG when compared with the HG-treated group ($###p < 0.001$) (Fig. 3e).

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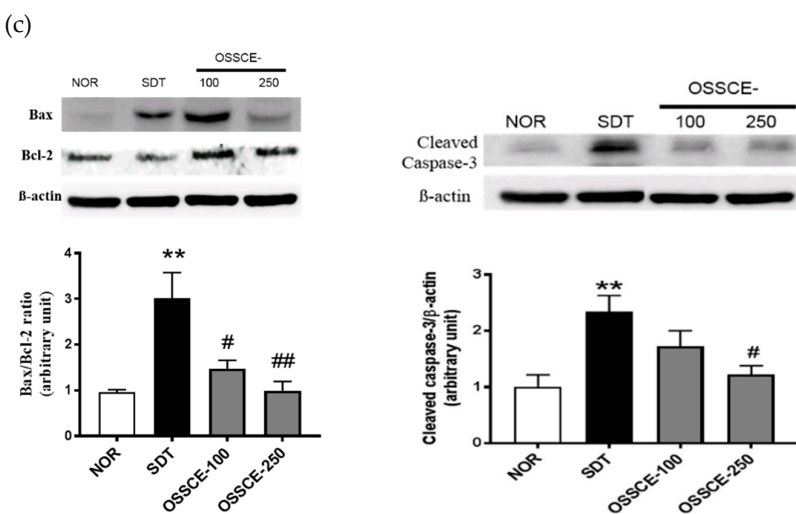


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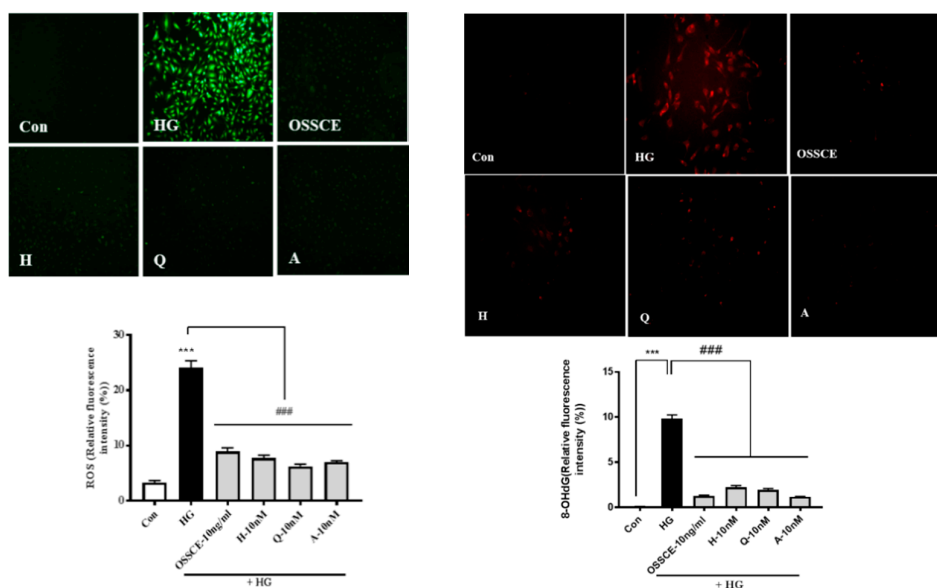


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(d)

(e)



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Figure 3. OSSCE inhibits retinal apoptosis in SDT rats, as well as ROS generation and 8-OHdG expression

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3.5 Protective effects of OSSCE and MCs on HG-induced mitochondrial morphology and mitochondrial membrane potential ($\Delta\Psi$ M) in HRMECs

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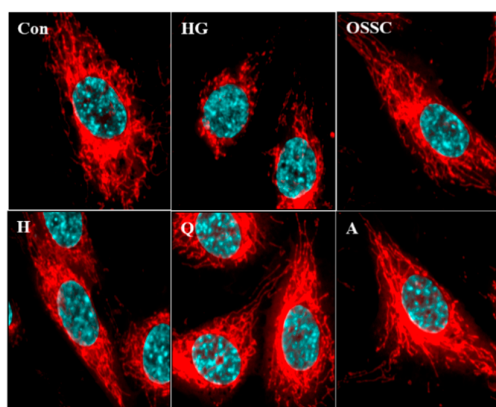
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Mitochondrial tubules in the HG-treated group became shorter and more fragmented compared to those from the untreated group. However, OSSCE and the MCs were found to prevent such mitochondrial damage (Fig. 4a). We evaluated the effect of OSSCE and MCs on HG-induced $\Delta\Psi$ M in HRMECs by detecting different fluorescence emitted by monomeric and aggregated 5, 5', 6, 6'-tetrachloro-1, 1', 3, 3'-tetramethyl benzimidazolyl carbocyanine iodide (JC-1). Depolarization of the mitochondrial membrane was evidenced by the green fluorescence emitted by HG-treated cells resulting from the presence of JC-1 in monomeric form. Untreated cells, on the other hand, emitted red fluorescence due to the aggregation of JC-1. Reduced red/green fluorescence intensity ratio can thus indicate the depolarization of mitochondria. Fig. 4b (left panel) indicates that HREMCs exposed to HG for 24 h exhibited a significant decrease in the aggregate form (red fluorescence) and an increase in the monomeric form (green fluorescence) of JC-1. However, treatment with OSSCEs and

369 MCs prevented the loss of aggregation and the concurrent increase in monomers. In addition, as seen
 370 in the right panel of Fig. 4b, the HG-treated group showed greater variation in $\Delta\Psi_M$, as inferred from
 371 the lower range of red (hyperpolarized) to green (depolarized) colour when compared with that seen
 372 in normal cells ($p^{***} < 0.001$). However, treatment with OSSCE and MCs was found to result in a 43.2%,
 373 39.3%, 36.1%, and 48.9% increase in the red/green fluorescence ratio of JC-1 respectively, relative to
 374 that seen in HG-treated HRMECs ($^{##}p < 0.01$; $^{\#}p < 0.05$; $^{\#}p < 0.05$; $^{###}p < 0.001$).

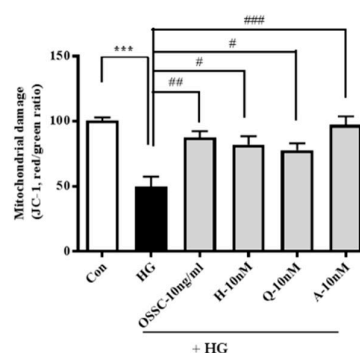
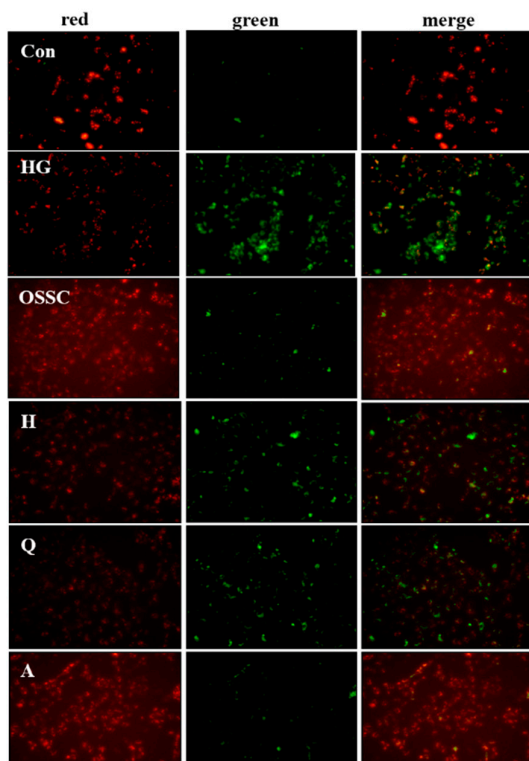
375 (a)



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(b)



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380 **Figure 4. OSSCE and MCs inhibit the alteration of HG-induced mitochondrial morphology and**
 381 **mitochondrial membrane potential (MMP) activity in HRMECs.** (a) OSSCE and MCs inhibit the alteration of
 382 mitochondrial shape in HG-induced HRMECs. (b, left panel) HRMECs were pre incubated with OSSCE or MCs
 383 for 24 h in the absence or presence of HG, and then the MMP was evaluated using JC-1. (b, right panel) MMP
 384 was determined using an automatic fluorescence microplate reader. The MMP (ratio of red/green) activity in the

385 OSSCE- and MC-treated groups showed significant increases compared with that in the HG-treated group,
 386 respectively. The red/green ratio ($\Delta\Psi_m$) of HG, OSSCE, H, Q, and A was 49.75 ± 15.49 , 87.61 ± 9.59 , 82.01 ± 13.22 ,
 387 77.87 ± 10.38 , and 97.33 ± 12.96 , respectively. $***p < 0.001$ vs. Con; $*p < 0.05$, $**p < 0.01$, $***p < 0.001$ vs. HG. The data
 388 are expressed as mean \pm S.D. (n = 3).

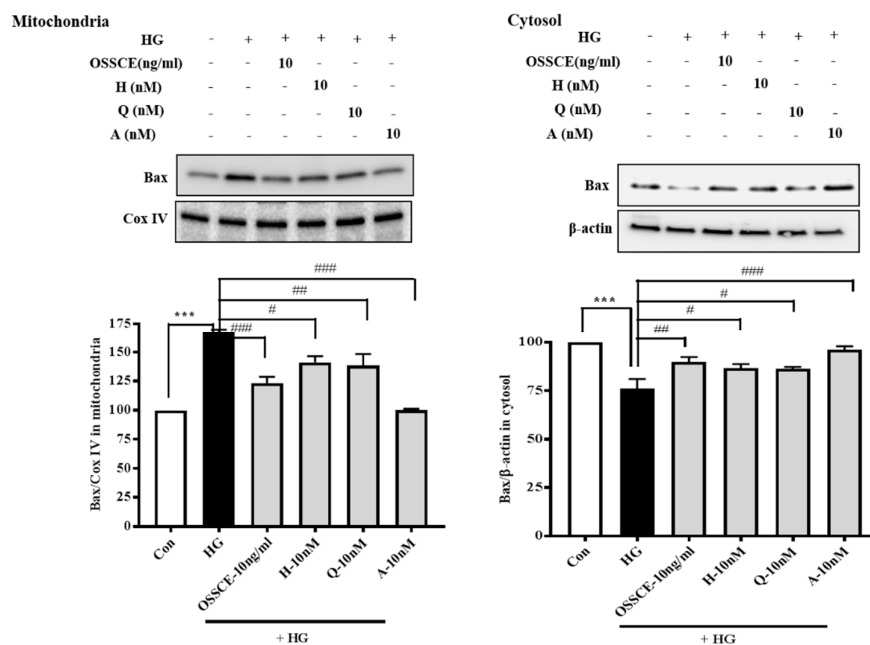
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390 3.6. Effects of OSSCE and MCs on mitochondria-dependent apoptotic pathways in HG-treated HRMECs

391 Upregulation of mitochondrial Bax (Fig. 5a, left panel), cytosolic cytochrome c (Fig. 5b, right
 392 panel), and cleaved caspase-9 and -3 (Fig. 5c), and the downregulation of cytosolic Bax (Fig. 5a, lower,
 393 right) and mitochondrial cytochrome c (Fig. 5b, left panel) were observed in HG-treated HRMECs ($**p$
 394 < 0.01 ; $***p < 0.001$). OSSCE- and MCs-treated HRMECs showed significant reversal of these effects on
 395 protein expression ($*p < 0.05$; $**p < 0.01$; $***p < 0.001$).

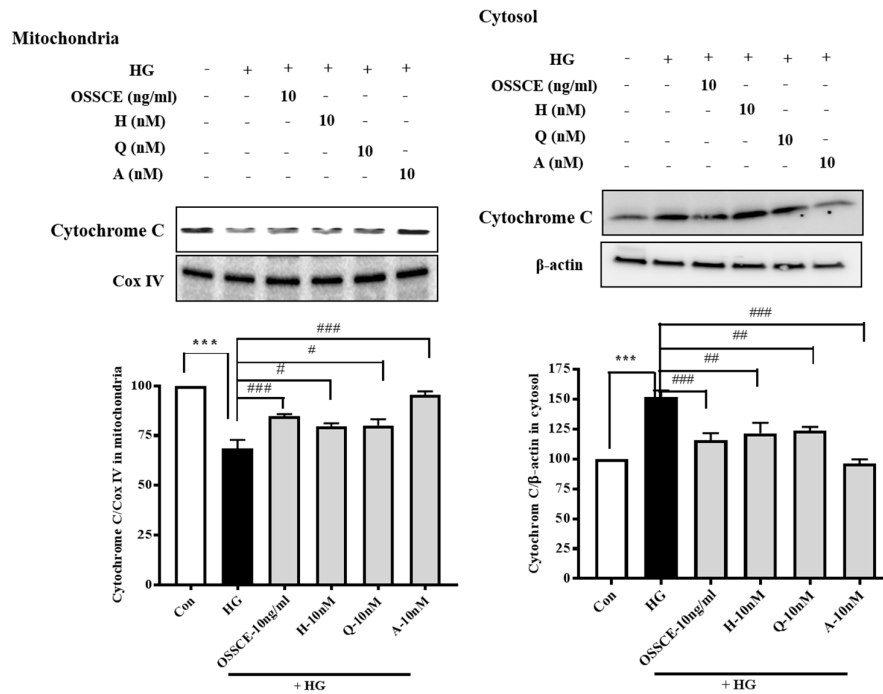
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397 (a)



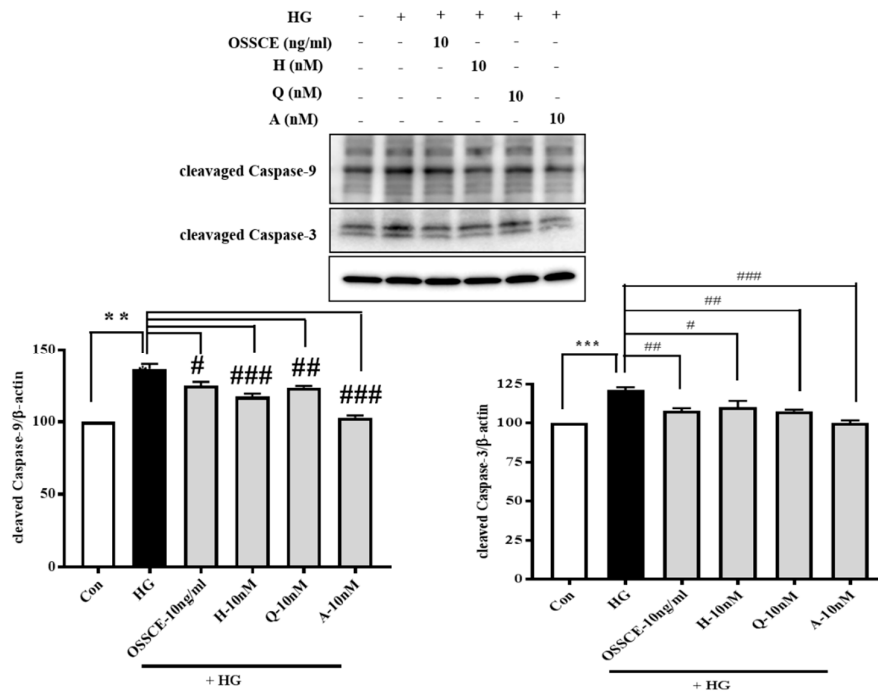
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399 (b)



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401 (c)



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403 **Figure 5. Effects of OSSCE and MCs on the mitochondria dependent-apoptotic pathways in HG-treated**404 **HRMECs. OSSCE and MCs restore the expression of Bax (a), cytochrome C (b) and caspase-9 and -3 (c)**405 **abnormally changed in HG-treated HRECs. $**p < 0.01$, $***p < 0.001$ vs. Con; $\#p < 0.05$, $\#\#p < 0.01$, $\#\#\#p < 0.001$ vs. HG.**406 **The data are expressed as mean \pm SD (n = 3).**

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408 **3.7 OSSCE inhibits the activation of nuclear factor κ -B (NF- κ B) in SDT rat retina and HG-treated**

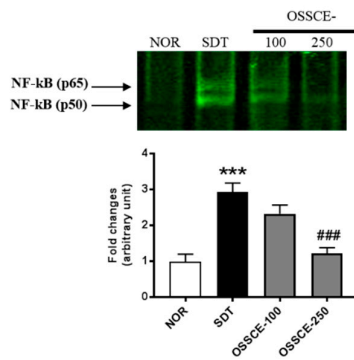
409 HRMECs

410 Electrophoretic mobility shift assay (EMSA) analysis of nuclear proteins revealed that OSSCE
 411 treatment at a dose of 250 mg/kg/day significantly reduced nuclear translocation and DNA-binding
 412 activity of NF- κ B ($^{###}p < 0.001$), whereas vehicle-treated SDT rats resulted in increased NF- κ B
 413 translocation ($^{***}p < 0.001$) relative to levels in normal SD rats (Fig. 6a).

414 OSSCE and MCs treatment also prevented nuclear translocation of NF- κ B in HG-treated HRMECs
 415 (Fig. 6b). Visualization (Fig. 6b, left panel) and qualitative analysis of nuclear translocation (Fig. 6b,
 416 right panel) of NF- κ B in HG-treated HRMECs was performed using fluorescence microscopy and
 417 Image J software respectively ($^{***}p < 0.001$). Nuclear NF- κ B levels in OSSCE and MCs-treated groups
 418 of HRMECs were significantly lower than that of HG-treated group ($^{###}p < 0.001$). We checked whether
 419 OSSCE inhibited IKK activity. As shown in Fig. 6c, OSSCE treatment at doses of 50 ng/mL and 100
 420 ng/mL dose-dependently inhibited IKK activity in HRMECs ($^{**}P < 0.01$, $^{***}P < 0.001$).

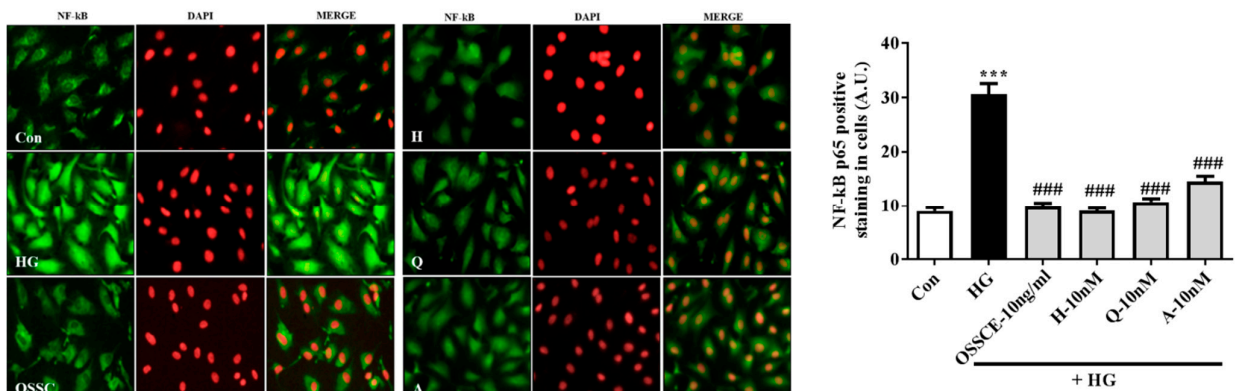
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422 (a)



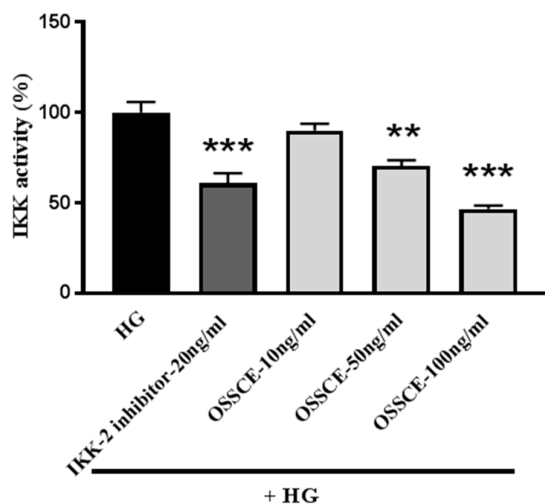
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424 (b)



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426 (c)



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Figure 6. OSSCE inhibits the activation of NF- κ B in the retina of SDT rats and in HG-treated HRMECs, and the activity of IKK-kinase. (a) Increased NF- κ B activity in the retina of SDT rats was decreased by OSSCE. The NF- κ B activity was measured by TUNEL staining. *** p < 0.001 vs. NOR; *** p < 0.001 vs. SDT (n = 3–5). (b) OSSCE and MCs suppressed NF- κ B translocation into the nucleus in HG-treated HRMECs (n = 4). (c) Inhibitory effect of OSSCE on the I κ B kinase activity. The treatment with OSSCE at concentrations of 50 and 100 ng/mL inhibited the I κ B kinase activity dose-dependently. ** p < 0.01, *** p < 0.001 vs. NOR. IKK-2 inhibitor IV (20 ng/mL) inhibited IKK activity. *** p < 0.001 vs. NOR. The data are expressed as mean \pm S.D. (n = 3).

3.8. Effects of OSSCE and MCs on NADPH oxidase activity and the related signalling pathways in HG-treated HRMECs

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In HG-treated cells, protein kinase C (PKC) δ was dramatically activated, although PKC α / β II and PKC ζ / λ were not phosphorylated (Fig. 7a). HG-induced NADPH oxidase activity was significantly decreased by diphenyleneiodonium (DPI; NADPH oxidase inhibitor), rottlerin (PKC δ inhibitor), and GFX (PKC inhibitor), whereas Gö 6983 (PKC α / β II inhibitor) caused no such effect (Fig. 7b). We checked whether OSSCE and its MCs can regulate the activity of NADPH oxidase. NADPH oxidase in the HG-treated group was activated (** p < 0.001) when compared with the control group. OSSCE and MCs-treated groups showed significantly reduced activity of NADPH oxidase compared with that seen in the HG-treated group ($^{\#}p$ < 0.05, $^{\#\#}p$ < 0.01) (Fig. 7c).

Next, we examined the inhibitory effects of OSSCE and MCs on HG-induced p47^{phox}, extracellular regulated kinase (ERK)-1/2, and PKC δ expression. The HG-treated group showed significantly elevated expression of PKC δ and p47^{phox} compared to the control group (** p < 0.01, *** p < 0.001). Treatment with OSSCE and MCs significantly downregulated PKC δ , and p47^{phox} (*** p < 0.001). Upregulated ERK1/2 expression caused by HG treatment was reversed by subsequent treatment with OSSCE and MCs ($^{\#\#}p$ < 0.01, $^{\#}p$ < 0.05) (Fig. 7d).

(a)

(b)

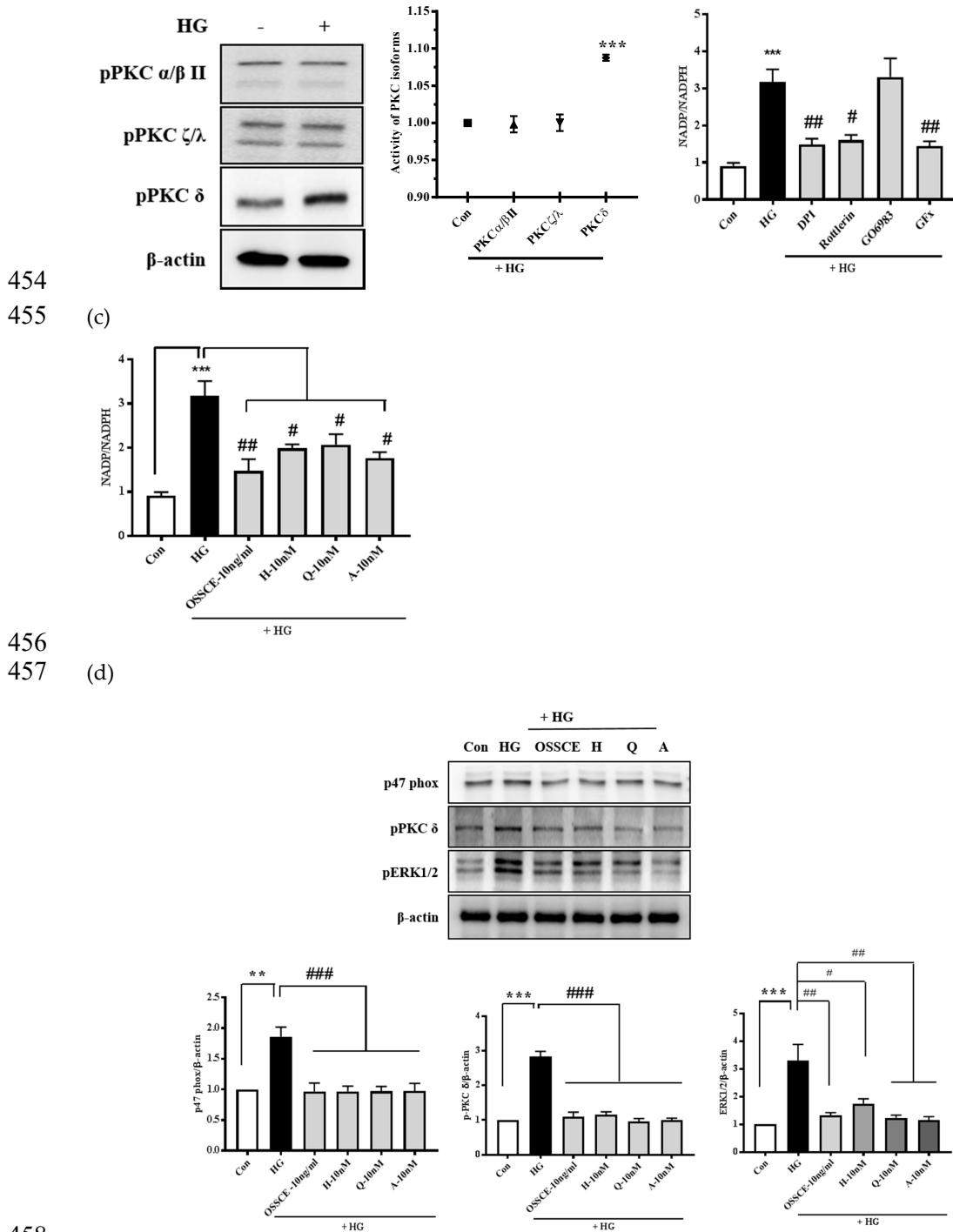


Figure 7. OSSCE and MCs inhibit the expression of HG-induced PKC δ , p47phox, and ERK1/2 in HRECs. (a) The activity of PKC isoforms was evaluated. Only PKC δ was activated under HG-treated condition. (b) The activity of NADPH oxidase was inhibited by DPI (NADPH oxidase inhibitor), rottlerin (PKC δ inhibitor), and GFx (PKC inhibitor). G06983 (PKC α/β II inhibitor) did not affect the NADPH oxidase activity. (c) OSSCE and MCs inhibit the HG-induced NADPH oxidase activity in HRMECs. The activity of NADPH oxidase was measured by the luminescence assay. *** $p < 0.001$ vs. Con; # $p < 0.05$, ## $p < 0.01$ vs. HG (n = 3). (d) Elevated expression of p47phox, ERK1/2, and PKC δ due to HG was significantly restored nearly to the normal range by the treatment with OSSCE and MCs. ** $p < 0.01$, *** $p < 0.001$ vs. Con; # $p < 0.05$, ## $p < 0.01$, ### $p < 0.001$ vs. HG. All the data are expressed as mean \pm SD (n = 3).

469 **3.9. Levels of haemoglobin A1c (HbA1c) and blood glucose in SDT rats.** As already reported[20],
 470 levels of HbA1c and blood glucose were significantly elevated in vehicle-treated SDT rats. However,
 471 these parameters in OSSCE-treated group showed the tendency to be decreased (Table 1).

472

473 **Table 1. Levels of HbA1c and blood glucose in SDT rats**

	Nor	SDT	OSSCE-100	OSSCE -250
Blood glucose (mg/dl)	144.1±21.0	419.2±21.1*	393.4±47.7	391.5±52.2
HbA1c (%)	3.49±0.07	9.13±0.37*	9.14±0.30	8.74±0.48

474 Nor, normal SD rat; SDT, Spontaneously diabetic Torii rat; OSSCE -100, SDT rat treated with 100 mg/kg OSSCE;
 475 OSSCE -250, SDT rat treated with 250 mg/ml OSSCE. All data were expressed as means ± SEM. **p*<0.01 vs. NOR
 476 group
 477

478 **4. Discussion**

479 DR is a frequent diabetic microvascular complication and one of the most common causes of
 480 legal blindness in the world. The low success of current therapeutic strategies in combating this
 481 problem points to an unmet clinical need for therapy that may slow or halt the progression of DR. It
 482 is well-known that in clinical practice, the development of diabetic complications is seen in a large
 483 number of patients even after strict control of blood glucose by oral medications, insulin therapy[25],
 484 or use of the insulin pump[26]. Clearly, there is an urgent need for the development of alternative
 485 therapeutic approaches. Matsuda's group suggested a pancreatic transplantation before the "point of
 486 no return", thereby preventing or curing diabetic complications [27]. Traditional herbal medicine,
 487 sometimes as adjunctive therapy, has been demonstrated to accrue various benefits to patients
 488 suffering from a range diseases and complications[28,29] The aim of the present study is to develop
 489 a drug candidate from herbal medicine or plant resources as a therapeutic or adjunctive approach to
 490 prevent or delay the onset of DR, including in our consideration, substances that may act through a
 491 mechanism other than the tight modulation of blood glucose levels. We investigated the potential of
 492 OSSCE against retinal apoptosis in SDT rats over a period of 17 weeks. Further, multi-targeted mode
 493 of actions for OSSCE and its MCs – hyperoside, quercitrin, and 2'-O-acetylvitexin – were also
 494 investigated in HG-treated HRMECs.

495 The SDT rat spontaneously develops hyperglycaemia as a result of reduced insulin secretion due to
 496 dysfunction of pancreatic islet tissues [30,31]. It has been frequently used as suitable animal model
 497 for DR. Retinal vascular leakage, vascular cell loss, and proliferative neovascularization are
 498 characteristics of SDT rats that resemble the clinical features of human DR [25,31]. Matsuda's group
 499 has reported that non-perfusion area and neovascularization in the retina were detected at 5 weeks
 500 following the onset of diabetes in SDT rats. Leakage of retinal vessels was also observed at 10 weeks
 501 post-onset of diabetes in SDT rats. Daily insulin treatment could not prevent or reverse these ocular
 502 changes. With regards to pancreatic transplantation, DR and diabetic cataract cannot be prevented or
 503 improved by performing pancreatic transplantation at or beyond 10 weeks post-onset [27].

504 Hyperglycaemia leads to the formation and accumulation of irreversible AGEs, which is already
 505 known to be one of the risk factors for the progression of diabetic complications such as DR. AGEs
 506 also induce apoptotic cell death of pericytes through binding interactions with RAGE [32-34]. The

507 IC₅₀ value of OSSCE against non-enzymatic AGE formation ($16.31 \pm 0.04 \mu\text{g/mL}$) was superior to that
508 of aminoguanidine (AG; $72.28 \pm 4.21 \mu\text{g/mL}$), a well-known AGE inhibitor[35]. Further, it was
509 confirmed that OSSCE and MCs significantly suppressed AGE formation and RAGE expression in
510 HG-treated HRMECs (Fig. 2b). Moreover, OSSCE-treated SDT rats showed significant reduction in
511 AGEs levels in the serum and whole retina (Fig. 2c, 2d). AGEs quantitative measurements following
512 OSSCE treatment under HG conditions yielded coinciding results in both *in vitro* and *in vivo* contexts.
513 Hyperglycaemia induces activation of protein kinase C (PKC) and NADPH-oxidase, which leads to
514 the production of ROS and oxidative stress in diabetic patients. PKC and NADPH-oxidase have been
515 suggested as potential therapeutic targets for the control of hyperglycaemia-induced oxidative
516 stress[36]. Increased ROS production and cellular death are related. Their association is mediated by
517 a pathological cell death pathway (apoptosis) and may be aggravated by the interaction of AGEs with
518 RAGEs[36]. Therefore, we evaluated the effect of OSSCE on apoptosis in SDT rat retina and the
519 associated molecular mechanisms in HG-treated HRMECs. OSSCE also exhibited an anti-apoptotic
520 effect in the retinal ganglion cell layer (Fig. 3a arrow) and whole retinal vessels of SDT rats (Fig. 3b).
521 We further investigated whether OSSCE could regulate apoptotic proteins in the SDT rat retina.
522 Bax/Bcl-2 ratio and the level of caspase-3 were increased more than twofold in vehicle-treated SDT
523 rat retinas when compared to normal SD rat retinas. These abnormal increases were significantly
524 reversed by OSSCE treatment. Particularly, at a dosage of 250 mg/kg, they were reduced to nearly
525 normal values (Fig. 3c). Intracellular ROS generation and increased expression of 8-OHdG in HG-
526 treated HRMECs were prevented by the administration of OSSCE (Fig. 3c, 3d). MCs were shown to
527 be active against oxidative stress. OSSCE reduced hyperglycaemia-induced oxidative stress, thus
528 preventing retinal apoptosis. Oxidative stress results in alteration of mitochondrial shape and
529 function. The change in mitochondrial shape has been linked to neurodegeneration, reduced lifespan,
530 and cell death [37]. Dissipation of mitochondrial integrity is one of the early events leading to
531 apoptosis [38]. Mitochondrial dysfunction is a common denominator in several chronic nervous
532 system diseases and diabetes [39], as well as in ischemic brain injury [40].
533 Hyperglycaemia-induced oxidative stress increases Bax/Bcl-2 ratio, augmenting the release of
534 cytochrome c from mitochondria to cytosol, and inducing the formation of the apoptosome. Further,
535 it leads to the conversion of inactive procaspase 9 into active caspase 9 and procaspase 3 into caspase
536 3[41]. OSSCE treatment ameliorated damage to mitochondrial morphology and $\Delta\Psi\text{M}$ caused by HG
537 in HRMECs. MCs were shown to be the active components of OSSCE responsible for this effect (Fig.
538 4a, 4b). OSSCE and MCs were effective in preventing the activation of the mitochondrial-dependent
539 apoptotic pathway in HG-treated HRMECs. HG-triggered apoptosis in HRMECs occurs via the
540 activation of caspase-9 and 3, enhancement of cytochrome C release into cytosol, and subsequent
541 interruption of the Bax/Bcl-2 balance. These detrimental effects were prevented by OSSCE and MCs
542 (Fig. 5a, 5b, 5c). Oxidative stress-mediated activation of NF- κB leads to the translocation of its p65
543 subunit to the nucleus by releasing it from the inhibitory protein I κB through I κB phosphorylation
544 [13]. Nuclear translocation of NF- κB in SDT rat retinas was significantly decreased by OSSCE
545 treatment (250 mg/kg) (Fig. 6a). In HG-treated HRMECs, OSSCE and MCs showed marked inhibition
546 of NF- κB translocation into the nucleus (Fig. 6b). HG-induced I κB kinase (IKK) activity was also dose-
547 dependently decreased by OSSCE (50 ng/mL, 100 ng/mL) (Fig. 6c). That is, OSSCE was able to inhibit
548 NF- κB translocation through the suppression of phosphorylation and degradation of I κB . OSSCE
549 thus acts as an IKK inhibitor. NADPH oxidase is an enzyme that catalyses the production of

550 superoxide (O₂⁻) from oxygen and NADPH. Superoxide produced by NADPH oxidase plays a critical
551 role in diverse vascular diseases such as diabetic microvascular complications [42], stroke [43,44] and
552 cardiovascular disease [45,46]. Activation of PKC δ and NADPH-oxidase ultimately leads to oxidative
553 stress- and NF- κ B-mediated apoptosis [36]. In the present study, among the PKC isoforms, only PKC δ
554 was dramatically activated by HG in HRMECs (Fig. 7a). The increase in NADPH oxidase activity
555 mediated by HG was significantly decreased by DPI, Rottlerin, and GFX, but not by Gö 6983 (Fig.
556 7b). These data demonstrated that PKC δ plays a crucial role in the activity of NADPH oxidase in HG-
557 treated HRMECs. OSSCE and MCs significantly inhibited NADPH-oxidase activity by mediating a
558 reduction in PKC δ activity (Fig. 7c). The increased expression of PKC δ , the p47^{phox} subunit of NADPH-
559 oxidase, and ERK1/2 in HG-treated HRMECs was significantly reversed by treatment with OSSCE
560 and MCs (Fig. 7d).

561

562 5. Conclusions

563 This series of experiments strongly indicated that OSSCE mediates protection against retinal
564 apoptosis resulting from hyperglycaemia, by simultaneously modulating AGEs levels, oxidative
565 stress-induced retinal apoptosis, and mitochondrial dysfunction through inhibition of NF- κ B
566 translocation into the nucleus via downregulation of PKC δ , P47^{phox} subunit of NADPH oxidase, and
567 ERK1/2, although OSSCE itself could not properly control the levels of blood glucose and HbA1c in
568 SDT rats. Taken together, we can postulate that a delay and/or prevention of the development of DR
569 might be possible, if combination of additional OSSCE and an anti-glycaemic drug such as metformin
570 is given to patients with diabetes before the point of no return.

571 6. Patents

572 Patents related to this study were registered in Korea (no. 10-097394), Hong Kong (no.
573 HK1170958), England, France, Swiss, Germany (no. 247483), China (no. ZL 200980160639.3), the
574 United Arab Emirates (no.1028), and the USA (no. 8,784,911).

575

576 **Author Contributions:** JS Kim desined all experiments, wrote, reviewed and edited the manuscript,
577 J kim and YS Kim designed *in vivo* and *in vitro* studies; CS kim, J Kim, KH Jo and YM Lee performed
578 animal experiments; YS Kim and DH Jung performed *in vitro* experiments; IK Lee confirmed HPLC
579 Chromatogram; JH Kim collected and identified OSSC.

580

581 **Funding:** This work was supported by Korea Institute of Oriental Medicine Grant K17270 & K18270.

582 **Conflicts of Interest:** The authors declare no competing interests.

583 **Data Availability:** All data generated or analysed during this study are included in this published
584 article.

585

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