

1 Article

2 Spatio-temporal Features of Urban Heat Island and its 3 Relationship with Land Use/Cover in Mountainous 4 City: A Case Study in Chongqing

5 Chunxia Liu^{1,2,3} and Yuechen Li^{1,2,3,*}

6 ¹ College of Geography and Tourism, Chongqing Normal University, 37 Daxuechengzhong Road,
7 Chongqing 401331, China; liuchunxia_2004@163.com

8 ² Chongqing Key Laboratory of GIS Application, 37 Daxuechengzhong Road, Chongqing 401331, China;
9 liyuechen@cqnu.edu.cn

10 ³ Institute of Eco-environment remote sensing in Three Gorges Reservoir Region, Chongqing Normal
11 University, 37 Daxuechengzhong Road, Chongqing 401331, China; liyuechen@cqnu.edu.cn

12 * Correspondence: liyuechen@cqnu.edu.cn; Tel.: +86-23-6536-2776

13 **Abstract:** The urban heat island (UHI) becomes more and more serious with the acceleration of
14 urbanization. Many researchers have shown interest in studying the UHI by using remote sensing
15 data. But these studies rarely examine the mountainous cities. The studies on UHI in mountainous
16 cities often used empirical parameters to estimate the land surface temperature (LST), and lacked
17 satellite-ground synchronous experiment to test the accuracy. This paper revised the parameters in
18 mono-window algorithm used to retrieve the LST according to the characteristics of mountainous
19 cities. This study examined the spatial and temporal patterns of the UHI intensity in Chongqing, a
20 typical mountainous city, and its relationship with land cover from 2007 to 2011 based on the
21 Landsat TM data and the improved method. The accuracy of the LST derivation increased by about
22 1°C compared to the traditional method. The high LST areas increased and extended from the
23 downtown to suburban area each year, but the rate of change decreased. The UHI is dramatically
24 impacted by the rivers. There is a good relationship between the urban sprawl and the UHI. The
25 LST was reduced by about 1°C within a 300m distance from large urban fringe green spaces. The
26 urban landscape parks had a strong effect relieving the UHI at a 100m distance. The LST was
27 reduced by about 0.5°C. The study greatly improves the accuracy of LST derivation, and provides a
28 reliable parameters for the UHI researched in mountainous city.

29 **Keywords:** spatio-temporal pattern; land cover; mountainous city; Chongqing

30

31 1. Introduction

32 Urbanization is one important attribute of regional social and economic development. At the
33 same time, the eco-environment problems caused by urban heat island (UHI) become more and
34 more serious with the acceleration of urbanization [1,2]. In recent decades, many researchers have
35 shown interest in estimating the magnitude of UHI, analyzing its spatio-temporal evolution
36 features, understanding its implication with respect to a broad set of environmental factors, and
37 looking for the measures to reduce its detrimental effects [3-10]. The studies on the UHI
38 phenomenon can be divided into two types: ground based observed air temperature in urban and
39 rural weather stations and Remotely-sensed data based land surface temperature [11]. It is well
40 known that the air temperature defined UHI can be used to analyze the long term trend, but
41 considerable efforts must be made to correct air temperature biases when comparing UHI effects
42 across different regions. It is difficult to analyze the UHI phenomenon in the regions where there are
43 no enough weather stations. The remote sensing data with the advantages of large area,
44 synchronization, and spatial coverage has become the effective data for studying UHI phenomenon
45 [12-15]. Among many UHI algorithms based on remote sensing, the mono-window algorithm,

46 because it is easy and feasible, is widely used in the studies of UHI[3,9,15-18]. While these detailed
47 studies provide an excellent basis for understanding UHI, there are also some limitations: (1) These
48 studies rarely examined the mountainous cities; (2) Few studies on UHI in mountainous cities based
49 on remote sensing data often used empirical parameters to estimate the land surface temperature
50 (LST). It is therefore hampered by the lack of proper parameters to retrieve the LST in mountainous
51 cities. (3) Many studies lacked satellite-ground synchronous experiment to test the accuracy.

52 Chongqing, a typical mountainous city, is located at the valley between Mount Tongluo and
53 Mount Zhongliang. The eco-environmental problems caused by UHI are becoming increasingly
54 acute with the acceleration of urbanization. Ren found that the UHI intensity of Chongqing
55 increased year by year. The UHI effect was stronger in winter and at night [19]. Li et al. indicated
56 that the UHI was contemporaneous with the Urban Wet Island (UWI) in Chongqing. The vertical
57 structure of UHI was shown as the city being covered by warm moist air at 200-300m above the river
58 level [20]. He et al. discovered that the UHI was influenced by the mountainous terrain features and
59 city layout in Chongqing [21]. Dan et al. thought that the Yangtze River and Jialing River had
60 important effects on the UHI of Chongqing [22]. Some other scholars also did some research on UHI
61 in Chongqing [23, 24]. Although Chongqing's UHI effect has been well studied, most of the
62 literature is based on weather station data, which neglects the spatial extension and distribution of
63 UHI. These studies also lack necessary verification of their precision. Furthermore, it is necessary to
64 better understand how the impact factors, especially urban green spaces, influence the UHI effect in
65 Chongqing.

66 In this study we rectify the parameters used in the mono-window algorithm according to the
67 terrain features of Chongqing. We use a combination of Landsat TM data, automatic weather station
68 data, and satellite-ground synchronous experiment data to estimate the LST, test its accuracy, and
69 examine the relationship between the impact factors and the UHI variations in Chongqing. The main
70 objective of this study is to provide a suitable quantitative method to study UHI in mountainous
71 cities.

72 2. Materials and Methods

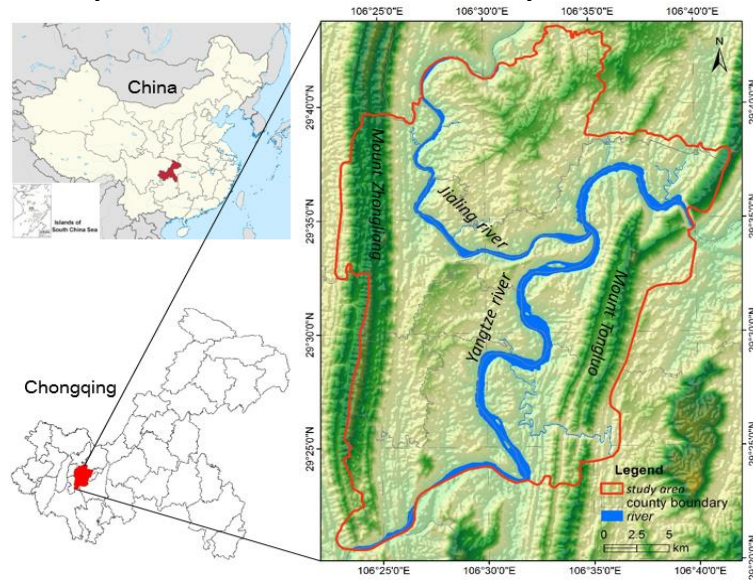
73 2.1. Study area

74 Chongqing municipality, one of the fastest developing cities in China over the past twenty
75 years, is situated in southwest China. Characterized by rugged hills, Chongqing is a typical
76 mountainous city and is also one of the three famous "stoves" of China. The study area is located
77 between 106°23'2.4"E-106°41'45.6"E and 29°20'31.2"N-29°43'26.4"N in the southwest of Chongqing
78 municipality, with a total area of approximately 780km² (Figure 1). It has a humid subtropical
79 monsoon climate, and receives an average annual precipitation of 1000mm. The average annual
80 temperature is 18.4 °C. The Yangtze River and Jialing River go through the area roughly from south
81 to north and from west to east respectively, uniting in the city center. Separated by the terrain and
82 the rivers, naturally, the study area forms a multi-center structure. The UHI phenomenon in the
83 study area is obvious because of the air circulation caused by the terrain barrier, and the high
84 population and building density caused by rapid urbanization [25].

85 2.2. Data and image pro-processing

86 Satellite remote sensing offers a great opportunity to acquire continuous LST data without
87 direct physical contact with the surface, with sufficient spatial resolution to distinguish between
88 urban and surrounding rural areas [26]. The Landsat TM is one of the most widely used remote
89 sensing data for UHI studies. Five Landsat TM images (i.e., Sept 20, 2007; Jul. 20, 2008; Sept. 24, 2009;
90 Aug. 11, 2010 and Aug. 30, 2011) were used for retrieval of LST in this study. The data acquisition
91 dates had highly clear atmospheric conditions, and the images were acquired from the Institute of
92 Remote Sensing and Digital Earth Chinese Academic of Sciences Data Center, which corrected the
93 radiometric and geometrical distortions of the images before delivery. The Landsat images were
94 further rectified to a common Universal Transverse Mercator coordinate system based on 1:10,000

95 scale topographic maps, and were resampled using the nearest neighbor algorithm with a pixel size
 96 of 30 by 30 m for all bands, including the thermal band. The resultant RMSE was found to be less
 97 than 0.5 pixels. In addition, the meteorological data from 4 standard weather stations, 11 intensive
 98 automatic weather stations, and 19 field observing sites, the urban planning data, the land use/cover,
 99 and some other auxiliary data were used to assist in the analysis.



100 **Figure 1.** A map of the study area.

101 The sensor TM band 6 on Landsat 5 measures the radiances at the top of the atmosphere, and its
 102 brightness temperatures can be derived using Planck's law [27]. The approach to the retrieval of
 103 temperature was described in the Landsat 5 User's Handbook. The following equation was used to
 104 convert the digital number (DN) of Landsat TM TIR band into spectral radiance:

$$L_{\lambda} = \text{DN} (L_{\max} - L_{\min}) / 255 + L_{\min}, \quad (1)$$

105 Where, L_{λ} is spectral radiance; DN represents the digital number of band 6;
 106 $L_{\max}=1.56\text{mWcm}^{-2}\text{sr}^{-1}\text{um}^{-1}$ and $L_{\min}=0.1238\text{mWcm}^{-2}\text{sr}^{-1}\text{um}^{-1}$ (given in the header files of the images) are
 107 the maximum and minimum spectral radiance of band 6 respectively.

108 The next step is to convert the spectral radiance to at-satellite brightness temperature under the
 109 assumption of uniform emissivity. The conversion formula is:

$$T_6 = K_2 / \ln(1 + K_1 / L_{\lambda}), \quad (2)$$

110 Where, T_6 is the effective at-satellite brightness temperature in K of band 6; L_{λ} is spectral
 111 radiance; $K_1=60.776\text{mWcm}^{-2}\text{sr}^{-1}\text{um}^{-1}$ and $K_2=1260.56$ K are pre-launch calibration constants.

112 2.3. Derivation of LST and improvement of the parameters

113 The methods for the retrieval of LST from satellite TIR data can be broadly classified into three
 114 categories: single-channel methods, multi-channel methods (split-window algorithm, SWA), and
 115 multi-angle methods [28-30]. In comparison with other methods, the mono-window algorithm is
 116 simpler and only three parameters (the average atmospheric operative temperature, land surface
 117 emissivity and atmospheric transmittance) are needed; therefore, the mono-window algorithm was
 118 used to calculate the LST for the Landsat TM images. The mono-window algorithm's calculation
 119 formula is as follows [31]:

$$T_s = \{a_6(1 - C_6 - D_6) + [b_6(1 - C_6 - D_6) + C_6 + D_6]T_6 + D_6T_a\} / C_6, \quad (3)$$

120 Where, T_s is the emissivity corrected land surface temperatures; a_6 and b_6 are regression
 121 coefficients. In most studies, the values of the a_6 and b_6 were given roughly as -67.35535, 0.458608,
 122 respectively, within the temperature range of 0-70 °C. The TM images used in this study are all at

noon in summer (approximately 11:17 am), and the range of temperature variation is small. In this case, the a_6 and b_6 are equal to -67.9542 and 0.45987, respectively (within the temperature range of 20~50°C); $C_6 = \tau_6 \varepsilon_6$ and $D_6 = (1 - \tau_6)[1 + \tau_6(1 - \varepsilon_6)]$ are the intermediate variables; τ_6 is the atmospheric transmittance; ε_6 is the land surface emissivity; T_6 is the effective at-satellite brightness temperature of band 6; and T_a is the effective mean atmospheric temperature.

τ_6 , ε_6 and T_a are three essential parameters in the mono-window algorithm. Many researchers used these empirical values in their studies. But, in fact, the mono-window algorithm is sensitive to parameters. So it is obvious that the empirical values are not suitable to the retrieval of LST in Chongqing, a typical mountainous city with complex terrain features. In this study we improved the method to estimate the three essential parameters, and the retrieval accuracy of LST was also improved.

2.3.1. Determination of the land surface emissivity (ε_6)

The urban area can be divided into water, natural surface, and built-up. If land over is water then $\varepsilon_w = 0.995$. If land cover is natural surface and $NDVI < 0.05$ then it is bare land, and $\varepsilon_s = 0.972$. If $NDVI > 0.7$, it is fully covered by vegetation, and $\varepsilon_v = 0.986$. When $NDVI \in [0.05, 0.7]$, the land surface emissivity (ε_6) was computed as follows [32]:

$$\varepsilon_6 = P_v R_v \varepsilon_v + (1 - P_v) R_s \varepsilon_s + d_\varepsilon \quad (4)$$

When land cover is built-up, the land surface emissivity (ε_6) was computed as following follows [32]:

$$\varepsilon_6 = P_v R_v \varepsilon_v + (1 - P_v) R_m \varepsilon_m + d_\varepsilon \quad (5)$$

Where, P_v is vegetation coverage; $R_v = 0.9332 + 0.0585 P_v$ is vegetation temperature ratio; $\varepsilon_v = 0.986$ is land surface emissivity with very high vegetation coverage; $R_m = 0.9886 + 0.1287 P_v$ is the building surface temperature ratio; $\varepsilon_m = 0.972$ is the building surface emissivity. The effect of d_ε is negligible in many studies. They all assume that the land surface is flat. As mentioned before, the terrain of Chongqing is complex. In this paper, we estimated the value of d_ε according to the vegetation coverage (when $P_v \leq 0.05$, $d_\varepsilon = 0.0038$; when $P_v > 0.05$, $d_\varepsilon = 0.0038(1 - P_v)$).

2.3.2. Determination of atmospheric transmittance (τ_6)

The atmospheric transmittance (τ_6) can be estimated by the following equation [31]:

$$\tau_6 = 0.97429 - 0.08007\omega, \quad \omega \in [0.4, 1.6]; \tau_6 = 1.031412 - 0.11536\omega, \quad \omega \in [1.6, 3.0], \quad (6)$$

Where, ω is atmospheric water vapor content in $g \cdot cm^{-2}$. In many studies ω was often estimated by experience. In our study we calculate it as follows [33]:

$$\omega = a_0 + a_1 e, \quad (7)$$

Where, a_0 and a_1 are empirical constants. The central latitude and average altitude of the study area are 29.5° and 350m respectively, so we can determine $\omega = 0.04691 + 0.19604e$, according to Yang *et al.* e is absolute vapor pressure. It can be calculated as follows [33]:

$$e = 0.6108 \exp[17.27(T_0 - 273.15)/(237.3 + T_0 - 273.15)] RH, \quad (8)$$

Where, T_0 is air temperature; RH is relative humidity. Due to a lack of meteorological data, most of the studies use the meteorological data from standard weather stations to estimate e . Unfortunately, urban areas often have few weather, making the accuracy of e unsatisfactory. In this study the meteorological data from 4 standard weather stations, 11 intensive automatic weather stations, and 19 field observing sites are combined to determine the value of e . We therefore generated satisfactory results.

2.3.3. Determination of effective mean atmospheric temperature (T_a)

According to Qin *et al.*, for mid-latitude summer T_a can be approximated as [31]:

$$T_a = 16.011 + 0.92621 T_0, \quad (9)$$

163 Where, T_0 is near-surface air temperature. In this paper, we improved the accuracy of T_a by
 164 using the integrated meteorological data from standard weather stations, intensive automatic
 165 weather stations and field observing sites.

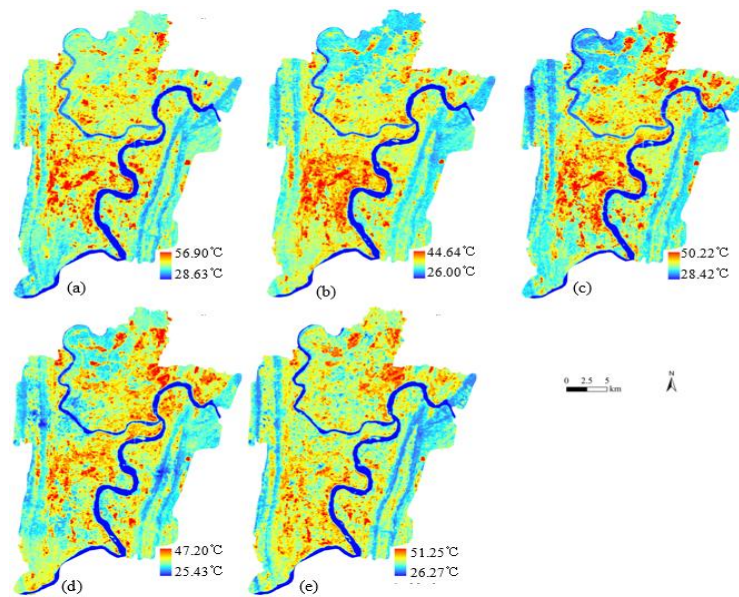
166 3. Results

167 3.1. Retrieval Accuracy validation of LST based on satellite-ground synchronous experiment

168 The best way to validate retrieval accuracy is to compare the in situ ground truth measurements
 169 of LST with the retrieved ones from the Landsat TM data of a specific region. On Aug. 30, 2011, we
 170 carried out a satellite-ground synchronous experiment at a Landsat TM pass over the study area. We
 171 recorded the air temperature, LST, wind speed, and air humidity at 46 field sites each 15 minutes
 172 from 9:30 am to 3 pm. In actual operation, we used the data from 19 sites with high reliability to
 173 validate the retrieval accuracy of LST (Table 1).

174 **Table 1.** Retrieval accuracy validation of LST based on satellite-ground synchronous experiment.

Sites	Land use/cover	Ground measurement LST T_{true} (°C)	Method of improved parameters		Method of empirical parameters	
			Retrieved LST T_{s1} (°C)	Absolute difference $ T_{true} - T_{s1} $ (°C)	Retrieved LST T_{s2} (°C)	Absolute difference $ T_{true} - T_{s2} $ (°C)
Bailin	Grassland	31.00	33.06	2.06	31.05	0.05
Guanyinqiao	Shrub	39.90	39.27	0.63	35.93	3.97
Longtousi	Square	37.20	36.92	0.28	33.57	3.63
Yuanyuang	Farmland	35.20	37.15	1.95	34.82	0.38
Houbao	Residential area	35.10	35.71	0.61	32.58	2.52
Caiyuanba	Square	42.50	39.84	2.66	37.42	5.08
Nanshan	Wood	36.00	35.83	0.17	31.95	4.05
Zhaomushan	Wood	26.50	29.20	2.70	27.69	1.19
Jinyuan	Pavement	27.00	30.00	3.00	26.14	0.86
Dachuan	Square	43.20	38.98	4.22	36.95	6.25
Shaping	Wood	34.00	34.20	0.20	30.47	3.53
Huahui	Wood	37.70	34.10	3.60	32.98	4.72
Jialing river1	River beach	29.10	30.57	1.47	26.16	2.94
Jialing river2	Water	28.50	30.57	2.07	32.57	4.07
Dadukou	Wasteland	36.50	38.56	2.06	34.90	1.60
Chaotianmen	Grassland	36.00	36.85	0.85	33.09	2.91
Pingtianshan	Wood	31.00	33.33	2.33	29.02	1.98
Yangtze river1	River beach	27.00	28.77	1.77	28.72	1.72
Yangtze river2	Water	26.00	28.77	2.77	26.5	0.50
Mean	-	33.65	34.30	1.86	31.71	2.73



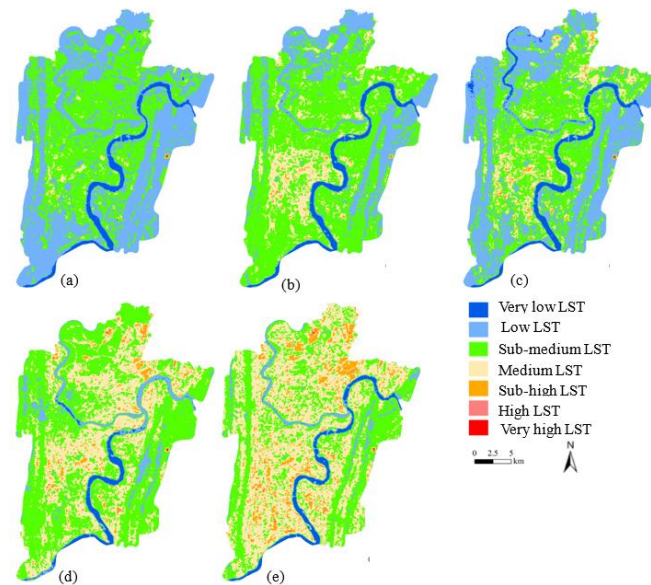
175 **Figure 2.** Land surface temperature distribution maps: (a) Sept 20, 2007; (b) Jul. 20, 2008; (c) Sept. 24,
176 2009; (d) Aug. 11, 2010; (e) Aug. 30, 2011.

177 The results in Table 1 indicate that the LSTs retrieved after improving the parameters are
178 generally higher than the in situ ground truth measurements of LSTs. The mean values are 34.30°C
179 and 33.65°C respectively with a difference of 0.65°C. The maximum, minimum, and mean absolute
180 difference between T_{true} and T_{s1} are 4.22°C, 0.17°C and 1.86°C. We also compared the retrieved LST
181 by comparing the empirical parameters with the ground truth LST. The former are generally lower
182 than the latter. The mean value is lower by 1.94°C. The maximum, minimum, and mean absolute
183 difference between T_{true} and T_{s2} are 5.08°C, 0.05°C and 2.73°C. The experimental results also show
184 that the LSTs retrieved by using the improved parameters are more accurate compared to the LSTs
185 retrieved based on the previous parameters. This good matching of the LSTs retrieved to the actual
186 ones confirms the applicability of the improved parameters to the UHI studies in mountainous cities.

187 LST images from five periods Landsat TM6 data of the study area were calculated using the
188 improved parameters mono-window algorithm (Figure 2, Table 2). In order to eliminate the effects
189 of the environmental factors, we normalized the LSTs to 0~1, and divided them to seven levels (i.e.,
190 very high temperature area, high temperature area, sub-high temperature area, medium
191 temperature area, sub-medium temperature area, low temperature area, and very low temperature
192 area) by using the natural breaks grading method (Figure 3, Table 3).

193 3.2. Spatio-temporal features of UHI

194 The temporal variation and spatial distribution of annual UHI over the study area are shown in
195 Figure 2, Figure 3, Table 2, and Table 3. The results suggest that The LSTs show a gradually
196 increasing trend from 2007 to 2011, and that the spatial pattern of the UHI changed from a scattered
197 pattern in 2007 to a more contiguous pattern in 2011, along with the expansion of the regional urban
198 system. The centers of high temperature were consistent with built-up and bare land areas and the
199 low-temperature centers are located in the area of two rivers (i.e., Yangtze River and Jialing River)
200 and two mountains area (i.e., Mount Zhongliang and Mount Tongluo). The relatively high
201 temperature areas (i.e. very high LST area and high LST area) shifted from south to north and
202 expand from the central city to the outskirts. On August 20, 2011, the mean LST was 37°C. In most of
203 the study area, the LST was above 35°C and the maximum LST was even up to 51.26°C. Compared to
204 2007, the mean LST increased by 0.29°C, the distribution of the relatively high temperature areas
205 became more even. The distribution of high-temperature centers was more disperse, and their inner
206 structure and composition obviously changed. These distributions and changes of the UHI effect are
207 closely related to the types and functions of the different underlying surface and their changes.



208 **Figure 3.** Land surface temperature levels maps: (a) Sept 20, 2007; (b) Jul. 20, 2008; (c) Sept. 24, 2009;
 209 (d) Aug. 11, 2010; (e) Aug. 30, 2011.

210 In the study area, the very low LST areas were mainly the large water bodies, such as ponds,
 211 reservoirs and rivers, and changed with the water level. There were few changes from 2007 to 2009,
 212 but the area and area ratio obviously decreased (Table 2). The low LST areas concentrated on the
 213 high vegetation coverage areas and some small water bodies. After 2009, the area sharply decreased.
 214 The sub-medium LST areas were located in the sub-high vegetation coverage areas and farmlands.
 215 They changed in volatility from 2007 to 2011. The medium LST areas were the forests and grasslands
 216 with low vegetation coverage and some farmlands, and increased rapidly over time, from 15.29km²
 217 in 2007 to 250.18km² in 2011. The high LST areas, and very high LST areas mainly distributed in the
 218 industry lands, built-up areas, and development and construction areas. Compared to 2007, the total
 219 area and ratio of the two types quickly increased as a general trend and were up to 2.35km² and
 220 0.30%, 1.31km² and 0.17% respectively in 2010 and 2011. The relatively high temperature areas were
 221 spotty and distributed linearly in 2007, and the large high temperature areas were mainly located in
 222 the business and industry concentrating areas, such as Yuzhong, Dadukou and Jiulongpo, etc. In
 223 2008, the relatively high temperature areas also distributed in the business and industry centers
 224 within the inner ring road, but the spatial structure gradually transformed from a dispersedly spotty
 225 and linear distribution to continuous area distribution. At the same time, many constructing areas
 226 became the new high temperature centers. In 2009, when the lands within the inner ring road had
 227 not met the urban development, some new high temperature areas appeared outside inner ring
 228 road, such as Lianglu, Jingkou, Tangjiatuo, Lishuwan, Chonggang, Changgang, etc. The LST was
 229 generally higher in 2010. The main change in this year was the obviously northward shift of the
 230 high-temperature centers, and the expansion of the relatively high temperature areas (from 0.76km²
 231 in 2009 to 57.07km² in 2010). In 2011, the relatively high temperature areas decreased compared to
 232 2010 (from 2.35 km² in 2010 to 1.31 km² in 2011), but it was still far more than it was in 2007, 2008 and
 233 2009. Although the relatively high LST areas were largest in 2010, apparently there was a general
 234 trend of increasing UHI effect from 2007 to 2011.

235

Table 2. LSTs of the five dates. (°C)

Date	LST _{min}	LST _{max}	LST _{mean}	LST _{std}
2007-9-20	28.63	56.90	36.71	2.02
2008-7-20	26.00	44.64	33.24	2.02
2009-8-24	28.43	50.22	35.04	2.25
2010-8-11	25.44	47.20	34.01	2.24
2011-8-30	26.28	51.26	37.00	2.78

236

Table 3. The area of different LST levels from 2007 to 2011.

Level	Area of 2007 (km ²)	Area ratio (%)	Area of 2008 (km ²)	Area ratio (%)	Area of 2009 (km ²)	Area ratio (%)	Area of 2010 (km ²)	Area ratio (%)	Area of 2011 (km ²)	Area ratio (%)
Very low LST	33.20	4.26	33.71	4.32	39.29	5.04	26.88	3.45	20.38	2.61
Low LST	385.46	49.42	180.73	23.17	323.25	41.44	36.29	4.65	56.38	7.23
Sub-medium LST	345.85	44.34	466.31	59.78	332.65	42.65	288.78	7.02	429.43	35.06
Medium LST	15.29	1.96	95.44	12.24	78.18	10.02	371.79	47.67	250.18	32.07
Sub-high LST	0.89	0.11	4.27	0.55	6.71	0.86	54.72	7.02	23.13	2.97
High LST	0.14	0.02	0.35	0.04	0.67	0.09	2.17	0.28	1.22	0.16
Very high LST	0.07	0.01	0.00	0.00	0.09	0.01	0.18	0.02	0.09	0.01
Sum of high and very high LST	0.21	0.03	0.35	0.04	0.76	0.10	2.35	0.30	1.31	0.17

237 3.3. Relationship between LST and NDVI

238 The Normalized Difference Vegetation Index (NDVI) was calculated for each image. The
 239 negative correlations were found between NDVI and LST by comparing both images. In order to
 240 further study their quantitative relationship, the NDVI and LST images on August 30, 2011 are
 241 selected as samples to establish the linear regression. Its regression equation was given as follows.

$$\text{LST} = -6.212\text{NDVI} + 38.89 \quad (\text{NDVI} \geq 0), \quad (10)$$

242 With the increasing of NDVI, the LST decreased from approximately 45°C to 33°C. When NDVI
 243 was approximately 0.18, some pixels had a higher LST. After analyzing the NDVI, LST and high
 244 resolution images, we find that most of these pixels were in the new construction areas.

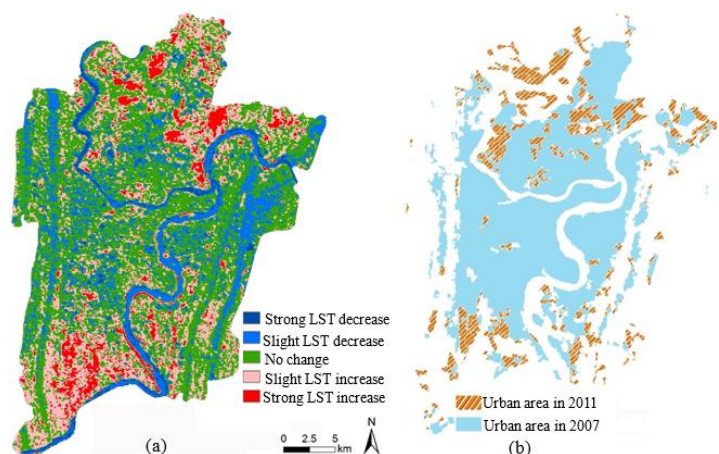
245 3.4. Relationship between UHI and urban expansion

246 In the paper, the LST changes were detected from 2007 to 2011, and the amplitude of changes
 247 are classified on Table 4, Figure 4.

Table 4. Classification standard of the LST change.

Types	Strong LST decrease	Slight LST decrease	No chang	Slight LST increase	Strong LST increase
$\text{LST}_{2011} - \text{LST}_{2007}$	<-3°C	-3-1°C	-1-1°C	1-3°C	>3°C

249 In Figure 4 we find that the LST decrease areas are mainly the water bodies and high vegetation
 250 coverage areas, the no-change areas are distributed in the old urban districts, and the LST increase
 251 areas are located at the north and south of the study area. From 2007 to 2011 the urban area also
 252 mainly expanded to north and south (Figure 4-b). By comparing Figure 4-a with Figure 4-b, there is a
 253 good correlation between the expansion of urban area and UHI in pattern, position and amount. The
 254 urban area expanded by 85.9km² during the five years and the strong LST increase areas showed a
 255 rise of 54.57km² during the same time. It is obvious that the urban expansion was the main
 256 contributor to the UHI.



257 **Figure 4.** Relationship between UHI and urban expansion: (a) LST change map from 2007 to 2011; (b)
 258 urban expansion map from 2007 to 2011.

259 3.5. Relationship between UHI and land use/cover

260 In this paper, we also explored the effect of different land use/cover types for UHI in a
 261 mountainous city. Five land use/cover types were classified in the study area (i.e. built-up, bare land,
 262 vegetation, water and road). Vegetation is the area containing forests, shrubs, grasslands and crops.
 263 Water includes reservoirs, ponds and rivers. The area under development is similar to bare land. The
 264 map of land use/cover was retrieved from a Landsat TM image on Aug. 30, 2011. To study the
 265 temperature relationship of different land use/cover types, the maximum, minimum and mean LST
 266 of different land use/cover types were derived by averaging all corresponding pixel values (Table 5).
 267 It can be found from Table 5 that the maximum and mean LST in bare land are all highest (47.20°C
 268 and 36.91°C) followed by built-up (46.78°C and 35.24°C), and the maximum and mean LST in
 269 water are lowest due to its high heat capacity.

270 To further study the relationship between LST and land use/cover types, the area of each LST
 271 level in different land use/cover types in 2011 was calculated (Table 6). Using the information, how
 272 the land use/cover types may have contributed to UHI can be estimated. From our analysis, it can be
 273 seen that almost all land use/cover types except water were distributed over the medium and
 274 sub-medium LST areas. The difference is that the areas of built-up, bare land and road located in
 275 medium LST areas were larger than those in sub-medium LST areas, but the vegetation was the
 276 opposite. The vegetation areas mainly showed the characteristics of low and very low LST. The
 277 relatively high LST areas concentrated in the built-up (14.11km²) and bare land (8.95km²). They
 278 nearly matched the total contribution. Most of the areas of built-up were medium and sub-medium
 279 LST. However, the low and very low LST areas were highly concentrated in water and vegetation.
 280 Both of them contributed more than 92% (70.93km²) to the total area of low and very low LST areas
 281 in the study area. So it is easy to conclude that water and high coverage vegetation areas play an
 282 important role mitigating the UHI effect.

283 **Table 5.** LST in different land use/cover types in 2011.

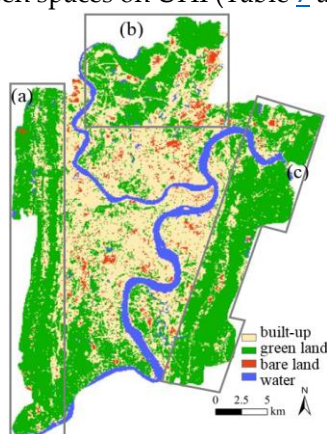
Land use/cover	Minimum LST (°C)	Maximum LST (°C)	Mean LST (°C)
Built-up	26.75	46.78	35.24
Bare land	28.72	47.20	36.91
vegetation	28.33	40.99	33.43
water	25.44	36.25	29.61
road	32.81	43.49	34.82

284 **Table 6.** Area of each LST level in different land use/cover types in 2011 (km²).

Land use/cover	LST levels						
	Very low LST	Low LST	Sub-medium LST	Medium LST	Sub-high LST	High LST	Very high LST
Built-up	0.18	5.20	119.63	171.27	13.80	0.30	0.01
Bare land	0.00	0.34	4.87	19.65	8.05	0.82	0.08
Vegetation	0.01	24.48	293.80	56.89	1.02	0.02	0.00
Water	20.18	26.27	9.96	1.14	0.17	0.08	0.00
Road	0.00	0.00	0.10	0.18	0.00	0.00	0.00

285 3.6. Mitigating effects of different urban green spaces on UHI

286 Two types of urban green spaces (i.e. urban fringe forests and urban parks) were selected in the
 287 study. The urban fringe forests with large areas were retrieved from Landsat TM on Aug. 30,
 288 2011(Figure 5), and the urban parks data was provided by Chongqing Park Management and
 289 Landscape Planning Bureau. The urban fringe forests include three primary parts: Mount Tongluo
 290 located in the east, Mount Zhongliang located in the west and some hills located in the north. Nine
 291 urban parks were selected as samples in the paper (i.e. Bolin park, Dongbu park, Eling park, Huahui
 292 park, Zhongyang park, Pingdingshan park, Shaping park, Shanhu park, Shimen park). We created
 293 six buffers per 50m for each urban fringe forest and urban park in this study, and estimated the
 294 mitigating effects of these urban green spaces on UHI (Table 7 and Table 8).



295 **Figure 5.** The green spaces map in 2011: (a) west urban fringe forests; (b) north urban fringe forests;
 296 (c) east urban fringe forests.

297 From Table 7 and Table 8, it can be seen that there are obvious mitigating effects of the urban
 298 green spaces on UHI, but the mitigating effects decreased over distance. The urban fringe forests can
 299 achieve better performance than urban parks in mitigating UHI effects. For urban fringe forests, the
 300 two mountains (Mount Zhongliang in the west and Mount Tongluo in the east) showed a similar
 301 trend because of their similar features in area and spatial distribution. The decrease of LST changed
 302 from 1.85°C and 1.93°C to 1.47°C and 1.32°C, respectively, from the first buffer to the sixth buffer.
 303 The LSTs of buffers in the north showed a fast decline over distance, from 2.01°C to 0.53°C within
 304 200m. This may be caused by the small area and different spatial pattern of the north urban fringe
 305 forest. In general, the urban fringe forests contributed to the decrease of LST by more than 1°C
 306 within 300m. The mitigating effects of the shorter distance to the forests the mitigating effects on
 307 UHI are more obvious.

308 **Table 7.** Mitigating effects of the urban fringe forests on UHI (°C).

Buffer (m)	Decrease of LST in west	Decrease of LST in north	Decrease of LST in east	Mean
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0-50	1.85	2.01	1.93	1.93
50-100	1.81	1.36	1.76	1.64
100-150	1.65	0.86	1.59	1.37
150-200	1.58	0.53	1.56	1.22
200-250	1.58	0.37	1.49	1.15
250-300	1.47	0.22	1.32	1.00

309 ¹ Decrease of LST = mean LST of buffer – mean LST of urban area.

310 Urban parks as the natural landscapes for citizens to rest can also, to some extent, play an
 311 important role in mitigating UHI effects. The differences between these parks, specifically the
 312 different areas and patterns to mitigated UHI effect (Table 8). In general, the LST in the first and
 313 second buffer of the parks, except Pingdingshan park, obviously decreased. The average
 314 contribution to the LST decrease in the first buffer (0-50m) was 0.51°C. The maximum and minimum
 315 decrease of LST were 1.73°C (Zhongyang park) and 0.06°C (Shaping park) respectively within the
 316 0-50m distance. The parks had only slight effects on the LST when the distance exceeded 150m. So
 317 we should design and plan urban parks (area, pattern, location and quantity) scientifically and
 318 reasonably to maximize their effects on the UHI.

319 **Table 8.** Mitigating effects of the urban parks on UHI (°C).

Buffer (m)	Decreases of LST									Mean
	Bolin park	Dongbu park	Eling park	Huahui park	Zhongyang park	Pingdingshan park	Shaping park	Shanhu park	Shimen park	
0-50	0.83	0.29	0.37	0.15	1.73	0.33	0.06	0.43	0.44	0.51
50-100	0.29	0.30	0.31	-0.02	1.12	0.63	-0.27	0.30	0.11	0.31
100-150	-0.30	0.09	0.14	-0.04	0.33	0.83	-0.23	0.18	-0.11	0.10
150-200	-0.44	-0.10	-0.04	0.03	-0.43	0.75	0.02	-0.18	-0.12	-0.06
200-250	-0.22	-0.37	-0.29	0.00	-1.08	0.71	0.15	-0.45	-0.16	-0.19
250-300	-0.17	-0.22	-0.46	-0.12	-1.69	0.36	0.23	-0.25	-0.14	-0.27

320 ¹ Decrease of LST = mean LST of buffer – mean LST of urban area.

321 4. Discussion

322 In this study we rectify the parameters used in the mono-window algorithm according to the
 323 characteristics of Chongqing, a typical mountainous city. We use a combination of Landsat TM data,
 324 automatic weather station data, and satellite-ground synchronous experiment data to estimate the
 325 LST, test its accuracy, and examine the relationship between the impact factors and the UHI
 326 variations in Chongqing. The accuracy of the LST derivation increased by about 1°C compared to the
 327 traditional method, and provides a reliable parameters for the UHI researched in mountainous city.
 328 Furthermore, all the analyses in this paper were based on the interpretation of remote sensing
 329 images, by which we analyzed not only the methods to retrieve the UHI, but also the phenomenon
 330 of UHI and its impact factors. The temporal and spatial variations of UHI were conducted by the
 331 analysis of multi-temporal remote sensing images. The remote sensing images are ideal for
 332 analyzing UHI, but it is difficult to select images with similar environmental conditions. In future
 333 study, several additional topics need to be explored. Firstly, the retrieval of UHI in mountainous
 334 cities is more complex than the cities on the plain. Although we have improved the method to
 335 estimate the three essential parameters, and the retrieval accuracy of LST was also improved, the
 336 retrieval method of LST needs to be improved to accommodate the complex terrain features and
 337 reduce the influence of inhomogeneous atmosphere condition in the mountainous cities. Secondly,
 338 although we have analyzed the effects of urban sprawl, LUCC and urban green spaces on the UHI,
 339 the impact mechanism of the shape, area, structure and distribution pattern of different land
 340 use/cover types in the urbanized area on UHI needs to be further studied. Thirdly, the effect of
 341 human activities and other impact factors on UHI must be investigated.

342 5. Conclusions

343 Chongqing is a typical mountainous city. In this paper, qualitative and quantitative analyses
344 were used to study the spatio-temporal features of UHI and the relationship between LUCC and
345 UHI in the study area. Several conclusions were drawn: (1) The accuracy of the LST derivation was
346 improved by about 1°C compared to the traditional method. (2) The high LST areas increased and
347 extended from the city center to suburban area each year, but the rate of change decreased. There
348 were many minor changes inside high LST areas. (3) There were many rivers in the city and the UHI
349 is dramatically impacted by the rivers. There is a good relationship between the urban expansion
350 and the UHI. (4) The urban green spaces reduced the effects of UHI, but their functions decreased
351 with the increase of distance from the green spaces. The large urban fringe green spaces composed a
352 relative low temperature area and relieved the UHI in the large area. The LST was reduced by about
353 1°C within a 300m distance from the large urban fringe green spaces. The urban landscape parks had
354 strong effects relieving the UHI at a 100m distance. The LST was reduce by about 0.5°C. The study
355 greatly improves the accuracy of LST derivation, and provides a reliable parameters for the UHI
356 researched in mountainous city.

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