

1 Article

2 Refractive Index Sensor Based on Metal–Insulator– 3 Metal Waveguide Coupled with Symmetric Structure

4 Shubin Yan^{1,†,*}, Meng Zhang^{1,†}, Xuefeng Zhao¹, Yanjun Zhang¹, Jicheng Wang² and Wen Jin³

5 ¹ Science and Technology on Electronic Test and Measurement Laboratory, North University of China, No. 3
6 Xueyuan Road, Taiyuan 030051, China; 315559492@qq.com (M.Z.); xf_zhao@st.nuc.edu.cn (X.Z.);
7 yjzhang@nuc.edu.cn (Y.Z.)

8 ² School of Science, Jiangsu Provincial Research Center of Light Industrial Optoelectronic Engineering and
9 Technology, Jiangnan University, Wuxi 214122, China; wangjcheng@1sdf.com

10 ³ Aerospace Science and Technology Corporation, Beijing Institute of Space Long March Vehicle, Beijing
11 100036, China; Jinwen@sdfsdf.com

12 * Correspondence: shubin_yan@nuc.edu.cn; Tel.: +86-351-392-0398

13 † These authors contributed equally to this work.

14 **Abstract:** In this study, we design a new refractive index sensor based on a metal–insulator–metal
15 waveguide coupled with a notched ring and vertical rectangular resonators. We use the finite
16 element method to study the propagation characteristics of the sensor. According to the calculation
17 results, the transmission spectrum exhibits a typical Fano resonance shape. The phenomenon of
18 Fano resonance is caused by the coupling between the wide spectrum and narrow spectrum. In the
19 design, the wide spectrum signal is generated by the vertical rectangular resonator, while the
20 narrow spectrum signal is generated by the notched ring resonator. In addition, we varied the
21 structural parameters of the resonators and filled the structure with media of different refractive
22 indices to study the sensing properties. The maximum achieved sensitivity of the sensor reached
23 1071.4 nm/RIU. The results reveal potential applications of the coupled system in the field of
24 sensors.

25 **Keywords:** surface plasmon polaritons; Fano resonance; finite element method; refractive index
26 sensor

27

28 1. Introduction

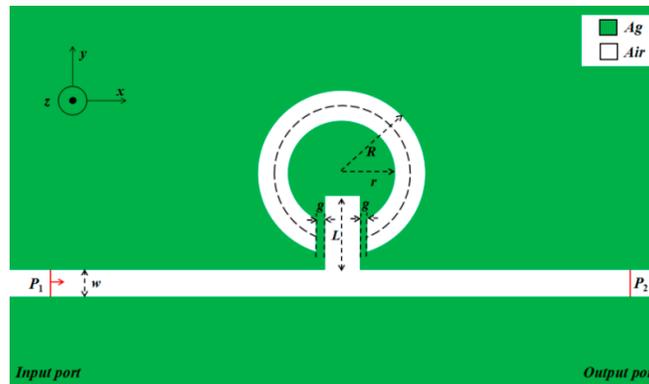
29 Surface plasmon polaritons (SPPs) are charge density waves [1,2] and are formed by the
30 interference of free electrons and electrons on the surface of a metal film, which propagate along the
31 metal surface, and the electric field amplitude decays exponentially in the vertical interface direction
32 [3–7]. SPPs propagate only on the interface of metals and dielectrics; therefore, it can break through
33 the traditional optical diffraction limit [8]. In addition, the size of the SPP model is small, and
34 nanoscale optical transmission, processing, and control can be realized [9–11]. Optical devices based
35 on SPP waveguide structures have been extensively studied, such as in filters [12–14], diodes [15–
36 17], and optical switches [18–20].

37 In recent years, optical phenomena such as Fano resonance [21] and plasmon-induced
38 transparency [22] caused by metal–insulator–metal (MIM) waveguide coupling cavities, which are
39 sensitive to the surrounding environment, have attracted the interest of domestic and foreign
40 researchers to study SPP sensors [23–25]. Thus, many sensors based on the MIM waveguide have
41 been investigated and reported. These nanosensors include a MIM waveguide coupled with two
42 silver baffles and a ring resonator [26], a gear-shaped nanocavity [21], and double rectangular
43 resonators [27]. However, these plasmonic sensors currently exhibit low sensitivity, which is an
44 enormous challenge to researchers.

45 In this study, a structure consisting of MIM waveguides coupled with the vertical rectangular
 46 and notched ring resonators was applied as a plasmonic refractive index nanosensor. The structure
 47 was distributed by the transmission spectra and magnetic H_z field with a perfectly matched layer
 48 absorbing boundary condition and was calculated by the finite element method. We varied the
 49 coupling distance between the vertical rectangular and notched ring resonators, and the external
 50 diameter of the notched ring resonator and length of the vertical rectangular resonator to study its
 51 sensing characteristics and refractive index sensitivity.

52 2. Model and Analytical Method

53 Figure 1 shows a schematic of the MIM waveguide coupled with the vertical rectangular
 54 resonator and the designed notched ring resonator. The vertical symmetry axes of the two
 55 resonators and MIM waveguide coincide with each other. The width w of the waveguide is 50 nm to
 56 ensure that the waveguide only possesses a transverse magnetic field (TM₀ mode) [28]. g represents
 57 both sides of the coupling distance between the vertical rectangular resonator and notched ring
 58 resonator. The inner diameter and external diameter of the notched ring resonator are r and R ,
 59 respectively, while l represents the length of the vertical rectangular resonator.



60

61 **Figure 1.** Two-dimensional schematic of the MIM waveguide coupled with notched ring and vertical
 62 rectangular resonators.

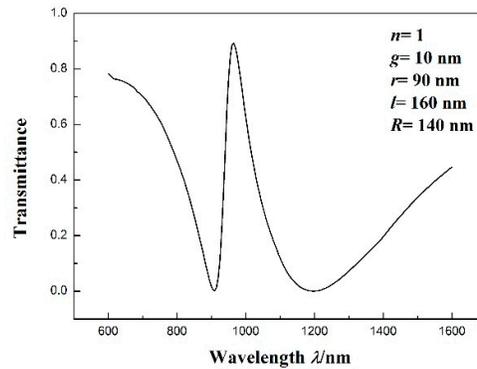
63 The white part in Figure 1 represents the MIM waveguide and resonators, the filling medium is
 64 air (dielectric constant = 1), the green part represents metallic silver, and its dielectric constant is
 65 related to the frequency of incident light. Based on the Debye–Drude dispersion model [29], the
 66 relative permittivity of silver can be defined as

$$\varepsilon(\omega) = \varepsilon_{\infty} + \frac{\varepsilon_s - \varepsilon_{\infty}}{1 + i\omega\tau} + \frac{\sigma}{i\omega\varepsilon_0} \quad (1)$$

67 where $\varepsilon_{\infty} = 3.8344$, $\tau = 7.35 \times 10^{-15}$, $\varepsilon_s = -9530.5$, and $\sigma = 1.1486 \times 10^7$ s/m are the infinite frequency
 68 permittivity, relaxation time, static permittivity, and conductivity of Ag, respectively. COMSOL
 69 Multiphysics software based on the finite element method can be applied to solve the partial
 70 differential equation, and the transmission spectra of the coupling structure under different incident
 71 light frequencies can be obtained. The transmittance is defined as $T = (S_{21})^2$, where S_{21} is the
 72 transmission coefficient from input to output (P_1 to P_2) [26].

73 3. Results and Discussion

74 In Figure 2, the transmission spectrum of the structure with $R = 140$ nm, $r = 90$ nm, $l = 160$ nm, n
 75 $= 1$ RIU, and $g = 10$ nm is presented. The transmittance is about 0 at $\lambda_{\text{dip}} = 910$ nm and about 0.9 at
 76 $\lambda_{\text{peak}} = 965$ nm, which is a typical Fano resonance [30] line-shape with a minimum and maximum.



77

78

Figure 2. Transmission spectrum of the plasmonic waveguide coupling structure.

79

80

81

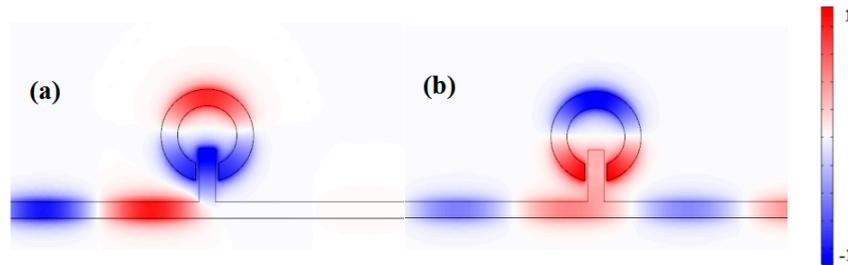
82

83

84

85

In order to better understand the internal mechanism of the change in the transmission spectra, the magnetic field distribution of the spectra at the resonance dip and peak are studied. Figures 3(a) and 3(b) show the fields H_z of the plasmonic waveguide-coupled system at $\lambda_{\text{dip}} = 910$ nm and $\lambda_{\text{peak}} = 965$ nm. In Figure 3(a), a weak coupling at the right side of the MIM waveguide is shown and has no SPPs coupled into it. There is a clear in-phase relationship between the lower part of the notched ring resonator and vertical rectangular resonator, and the relationship between the higher part and lower part of the notched ring resonator is anti-phase in Figure 3(b).



86

87

88

Figure 3. Contour profiles of the normalized H_z field of different structures at (a) $\lambda_{\text{dip}} = 910$ nm and (b) $\lambda_{\text{peak}} = 965$ nm.

89

90

91

92

93

94

95

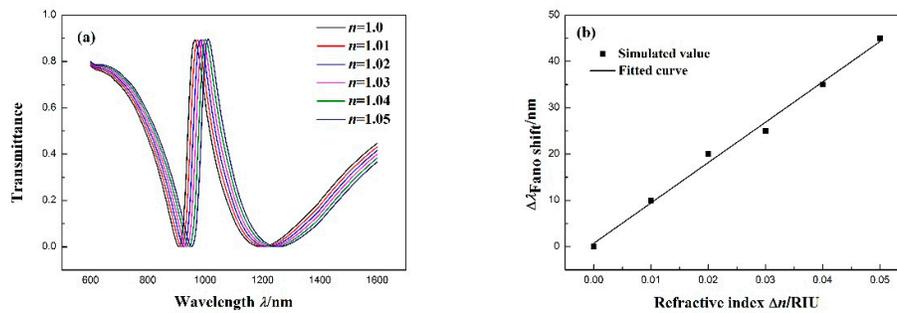
96

97

98

99

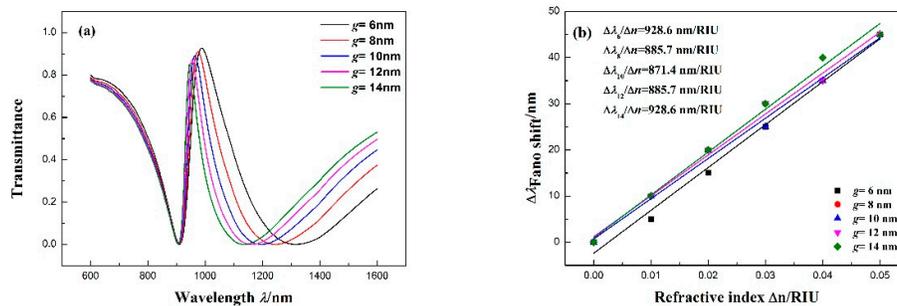
Based on the propagation characteristics of the structure, the structure is applied to the refractive index sensor. The shift in the transmission spectra is due to the change in refractive index. Therefore, the shift can be defined as the sensitivity of the sensor. The effect caused by refractive index on the structure is studied by filling it with media of different refractive indices. Figure 4(a) presents the transmission spectra for different refractive indices from 1.00 to 1.05 RIU (interval of 0.01). As n increases, the transmission spectra red-shifts an equal distance. Furthermore, Figure 4(b) indicates that there is a linear relationship between the wavelength shift in the Fano resonance peak and refractive index change Δn . With the increase in n to 1.05, the Fano resonance peak shifts to 1010 nm. By linear fitting, the sensitivity ($\Delta\lambda/\Delta n$) can be obtained. The maximum sensitivity can reach 871.4 nm/RIU. In addition, the figure-of-merit (FOM) is an important parameter for the refractive index nanosensor. It is defined as $\Delta T/(T\Delta n)$ and is 831.8 for the nanosensor.



100

101 **Figure 4.** (a) Transmission spectra of the MIM waveguide coupled with the notched ring and vertical
 102 rectangular resonators for different n . (b) Fitting line of the Fano resonance peak shift ($\Delta\lambda$) with the
 103 change in refractive index (Δn).

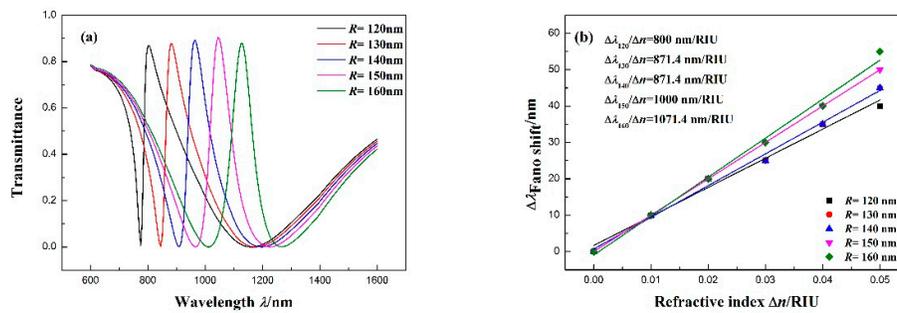
104 Studying the effects of more than one coupling distance between the notched ring and vertical
 105 rectangular resonators on the Fano resonance of the structure, we find that the g factor increases
 106 from 6 to 14 nm at intervals of 2 nm while maintaining the other parameters at $r = 90$ nm, $R = 140$ nm,
 107 $l = 160$ nm, and $n = 1$ RIU. The transmittance of the Fano resonance peak increases slightly, and the
 108 position of the dip remains unchanged. In addition, the Fano resonance peak red-shifts slightly in
 109 Figure 5(a). Figure 5(b) shows the Fano resonance peak shift with the change in Δn . With the
 110 increase in n , the transmission spectra red-shifts an equal distance. The fitting calculation indicates
 111 that the maximum sensitivity can reach 928.6 nm/RIU with $g = 6$ and 12 nm, with FOMs of 502.1 and
 112 681.6, respectively.



113

114 **Figure 5.** (a) Transmission spectra for different coupling distances g between the notched ring
 115 resonator and MIM waveguide. (b) Fitting line of the Fano resonance peak shift ($\Delta\lambda$) with the change
 116 in refractive index (Δn).

117 We also study the impact of different external diameters on the transmission spectra. The factor
 118 R increases from 120 to 160 nm at intervals while maintaining the other parameters at $l = 160$ nm, $n =$
 119 1.00 RIU, and $g = 10$ nm, and the width of the notched ring is 50 nm. As shown in Figure 6(a), with
 120 the increase in the equal distance of R , the Fano resonance red-shifts an equal distance. The Fano
 121 resonance is attributed to the coupling between the wide spectrum and narrow spectrum. The wide
 122 spectrum signal is generated by the vertical rectangular resonator, while the narrow spectrum signal
 123 is generated by the notched ring resonator. The increase in R results in the growth of the narrow
 124 spectrum resonance wavelength, which leads the Fano resonance to red-shift. The fitting line of the
 125 Fano resonance peak shifts with the refractive index change (Δn) in Figure 6(b). The fitting outcome
 126 indicates that the maximum sensitivity can achieve 1071.4 nm/RIU with $R = 160$ nm, and its FOM is
 127 673.6.



128

129

130

Figure 6. (a) Transmission spectra for different external diameters of the notched ring resonator R . (b) Fitting line of the Fano resonance peak shift ($\Delta\lambda$) with the change in refractive index (Δn).

131

132

133

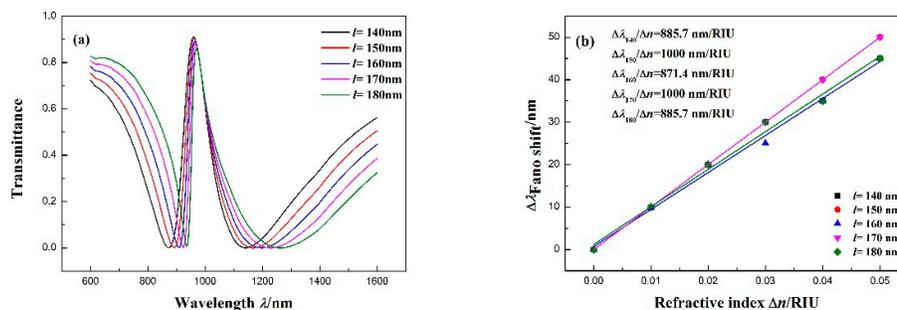
134

135

136

137

Moreover, we investigated the influence of different vertical rectangular resonator lengths l on the transmission spectra. l increases from 140 to 180 nm (interval 10 nm) while maintaining the other parameters at $R = 140$ nm, $r = 90$ nm, $n = 1.00$ RIU, and $g = 10$ nm. As shown in Figure 7(a), with the increase in the equal distance of l , the transmission spectra red-shift slightly. Figure 7(b) describes the relationship of the Fano resonance peak shift with the refractive index Δn . The fitting calculation shows that the maximum sensitivity can achieve 1000 nm/RIU with $l = 150$ and 170 nm, with FOMs of 639.9 and 983.1, respectively.



138

139

140

Figure 7. (a) Transmission spectra for different vertical rectangular resonator lengths l . (b) Fitting line of the Fano resonance peak shift ($\Delta\lambda$) with the change in refractive index (Δn).

141

4. Conclusions

142

143

144

145

146

147

148

149

150

151

152

We used the finite element method to study the transmission characteristics of a MIM waveguide coupled with a vertical rectangular resonator and notched ring resonator. The results indicate that the coupled structure clearly generates typical Fano resonance. Its propagation characteristics indicate that the Fano resonance in the transmission spectra depends on the geometric parameters of the notched ring resonator, and they are insensitive to small changes in the length of the vertical rectangular resonator and the coupling distance between two resonators. This characteristic significantly reduces the difficulty of the micro-/nano-processing technology. In addition, the Fano resonance produced by the structure is extremely sensitive to the change in different surrounding media, and the sensitivity reaches 1071.4 nm/RIU after optimizing the structural parameters, which provides a new method based on the MIM waveguide for detecting changes in refractive index.

153

154

155

Acknowledgements: This work was supported by the National Natural Science Foundation of China (Grant No.61675185), the National Science Fund for Distinguished Young Scholars (Grant No.61525107), the Natural Science Foundation of Shanxi Province (Grant No. 201601D011008).

156

Conflicts of Interest: The authors declare no conflict of interest.

157 **References**

- 158 1. Barnes, W. L.; Dereux, A.; Ebbesen, T.W. Surface plasmon subwavelength optics. *Nature* **2003**, *424*, 824-830.
- 159 2. Zayats, Anatoly V.; Smolyaninov, I. I.; Maradudin, A. A. Nano-optics of surface plasmon polaritons,
- 160 *Physics Reports* **2005**, *408*, 131-314.
- 161 3. Prasad; P. N. Nanophotonics, 2004.
- 162 4. Berini; Pierre. Surface Plasmon Nanophotonics, *Springer* **2007**, *131*, 1-9.
- 163 5. Lu, H.; Liu, X.; Mao, D.; Wang, G. Plasmonic nanosensor based on fano resonance in waveguide-coupled
- 164 resonators. *Optics Letters* **2012**, *37*, 3780-2.
- 165 6. Zhao, C.; Li, Y. Multiple fano resonances based on different waveguide modes in a symmetry breaking
- 166 plasmonic system. *IEEE Photonics Journal* **1943**, *6*, 1-8.
- 167 7. Wu, T.; Liu, Y.; Yu, Z.; Ye, H.; Shu, C.; Peng, Y.; et al. Tuning the fano resonances in a single defect
- 168 nanocavity coupled with a plasmonic waveguide for sensing applications. *Optik - International Journal for*
- 169 *Light and Electron Optics* **2015**, *29*.
- 170 8. Gramotnev, D. K.; Bozhevolnyi, S. I. Plasmonics beyond the diffraction limit. *Nature Photonics* **2010**, *4*,
- 171 83-91.
- 172 9. Yin, Y.; Qiu, T.; Li, J.; Chu, P. K. Plasmonic nano-lasers. *Nano Energy* **2012**, *1*, 25-41.
- 173 10. Zhou, N.; Ye, C.; Polavarapu, L.; Xu, Q. H. Controlled preparation of au/ag/sno2 core-shell nanoparticles
- 174 using a photochemical method and applications in LSPR based sensing. *Nanoscale* **2015**, *7*, 9025-32.
- 175 11. Ozbay, E. Plasmonics: merging photonics and electronics at nanoscale dimensions. *Science* **2006**, *311*, 189.
- 176 12. Xiao, S; Liu, L; Qiu, M. Resonator channel drop filters in a plasmon-polaritons metal, *Optics Express* **2006**,
- 177 *14*, 2932–2937.
- 178 13. Lin, X. S.; Huang, X. G. Tooth-shaped plasmonic waveguide filters with nanometric sizes. *Optics Letters*
- 179 *2008*, *33*, 2874.
- 180 14. Xiao, B.; Kong, S.; Gu, M. Parallel coupled filter based on spoof surface plasmon polaritons. *International*
- 181 *Conference on Optical Communications and Networks* **2017** pp.1-3. IEEE.
- 182 15. Fan, C.; Shi, F.; Wu, H.; Chen, Y. Tunable all-optical plasmonic diode based on fano resonance in
- 183 nonlinear waveguide coupled with cavities. *Optics Letters* **2015**, *40*, 2449-52.
- 184 16. Hu, X.; Xin, C.; Li, Z.; Gong, Q. Ultrahigh-contrast all-optical diodes based on tunable surface plasmon
- 185 polaritons. *New Journal of Physics* **2010**, *12*, 023029.
- 186 17. Krummacher, B. C.; Nowy, S.; Frischeisen, J.; Klein, M.; Brütting, W. Efficiency analysis of organic
- 187 light-emitting diodes based on optical simulation. *Organic Electronics* **2009**, *10*, 478-485.
- 188 18. Mao, D.; Lu, H.; Wang, L.; Liu, X.; Gong, Y. Ultrafast all-optical switching in nanoplasmonic waveguide
- 189 with kerr nonlinear resonator. *Optics Express* **2011**, *19*, 2910.
- 190 19. Wang, G.; Lu, H.; Liu, X.; Gong, Y. Numerical investigation of an all-optical switch in a graded nonlinear
- 191 plasmonic grating. *Nanotechnology* **2012**, *23*, 444009.
- 192 20. Y. E. Li; X. P. Zhang. Nonlinear optical switch utilizing longrange surface plasmon polaritons. *Journal of*
- 193 *Electromagnetic Waves & Applications* **2009**, *23*, 2363-2371.
- 194 21. Zhang, Z. D.; Wang, H. Y.; Zhang, Z. Y. Fano resonance in a gear-shaped nanocavity of the metal–
- 195 insulator–metal waveguide. *Plasmonics* **2013**, *8*, 797-801.
- 196 22. Yan, X.; Wang, T.; Han, X.; Xiao, S.; Zhu, Y.; Wang, Y. High sensitivity nanoplasmonic sensor based on
- 197 plasmon-induced transparency in a graphene nanoribbon waveguide coupled with detuned graphene
- 198 square-nanoring resonators. *Plasmonics* **2016**, 1-7.
- 199 23. Kulshreshtha, R.; Zafar, R. The sensing characteristics of plasmonic waveguide with rectangular stub and
- 200 taper. *International Conference on Recent Advances and Innovations in Engineering* **2017** pp.1-4. IEEE.
- 201 24. Tang, Y.; Zhang, Z.; Wang, R.; Hai, Z.; Xue, C.; Zhang, W.; et al. Refractive index sensor based on fano
- 202 resonances in metal-insulator-metal waveguides coupled with resonators. *Sensors* **2017**, *17*, 784.
- 203 25. Yun, J. G.; Kim, J.; Lee, K.; Lee, Y.; Lee, B. Numerical study on refractive index sensor based on
- 204 hybrid-plasmonic mode. *International Conference on Optical Fiber Sensors* **2017** pp.103236U.
- 205 26. Zhao, X.; Zhang, Z.; Yan, S. Tunable fano resonance in asymmetric mim waveguide structure. *Sensors*
- 206 **2017**, *17*.
- 207 27. Zhang, Z.; Luo, L.; Xue, C.; Zhang, W.; Yan, S. Fano resonance based on metal-insulator-metal
- 208 waveguide-coupled double rectangular cavities for plasmonic nanosensors. *Sensors* **2016**, *16*, 642-643.
- 209 28. Kekatpure, R. D.; Hryciw, A. C.; Barnard, E. S.; Brongersma, M. L. Solving dielectric and plasmonic
- 210 waveguide dispersion relations on a pocket calculator. *Optics Express* **2009**, *17*, 24112-29.

- 211 29. Gai, H.; Wang, J.; Tian, Q. Modified debye model parameters of metals applicable for broadband
212 calculations. *Applied Optics* **2007**, *46*, 2229-2233.
- 213 30. Fano, U. Effects of configuration interaction on intensities and phase shifts. *Physical Review* **1961**, *124*,
214 1866-1878.